

Appendix A: Affected Population by Regulatory Requirement

Regulation	Description of Requirement	Affected Population	Number of Affected Vessels per year
(1) Marking of Lifesaving Equipment (§28.135)	Requires lifesaving equipment – wearable personal flotation devices (PFDs), immersion or exposure suits, ring life buoys, life floats, buoyant apparatus, auxiliary craft, and EPIRBs – to be marked with the name of the vessel, and as specified in Table 28.135, in §28.135. Wearable PFDs have further specifications.	All new commercial fishing vessels – both documented & undocumented.	3,754
(2) Emergency Instructions (§28.265)	Requires all documented commercial fishing vessels to have emergency instructions “posted in conspicuous locations accessible to the crew”, the exception being vessels that operate with less than 4 people on board.	All new documented fishing vessels.	99
(3) Acceptance Letter for Instructors and Course Curricula (§28.275)	Requires that acceptance letters be issued by the Coast Guard to qualified instructors and for accepted course curricula.	All applicants for the acceptance letters.	100
(4) Letter of Certification for Training in Drills and Safety Orientation (§28.270)	Each documented fishing vessel is required to have a qualified person conduct the required training in accordance with §28.270.	All new trainers certified by the qualified instructors.	100
(5) Letter of Attestation for Stability Evaluation (§28.505)	Requires the owner to select a qualified individual to perform tests and calculations to evaluate vessel stability after major alterations have been made to a vessel. This is applicable to fishing vessels that are 79 feet or greater, have no load line requirements, and were built after 9/15/1991. These test results and calculations must be maintained on board as a letter of attestation.	Fishing vessels with specifications described in previous column, and that undergo major alterations.	5
(6) Stability Instructions (§28.530)	Requires each new commercial fishing vessel and each fishing vessel that undergoes major alterations (all must be 79 feet or greater, have no load line requirements and were built after 9/15/91) to be provided with sufficient stability information in a readily usable form to allow the master or individual in charge to determine the conditions of loading and operations.	All new fishing vessels and ones undergoing major alterations, with specifications described in previous column.	102
(7) Uninspected Fish Processing Vessel Exam (§28.710)	Requires all uninspected fish processing vessels to be examined every two years for compliance with the regulations. The examination must be conducted by the American Bureau of Shipping (ABS) or by a similarly qualified organization.	All existing uninspected fish processing vessels.	101

Regulation	Description of Requirement	Affected Population	Number of Affected Vessels per year
(8) Uninspected Fish Processing Vessel Certification of Classification (§28.720)	Requires that fish processing vessels built after, or that underwent a major conversion completed after, July 27, 1990, must be classed by the ABS or a similarly qualified organization. And the vessel must have on board a certificate of class issued by the organization.	All new fish processing vessels.	2
(9) Exemption Letter Requirement (§28.60)	Requires that an exemption letter be carried on board each vessel that has been granted an exemption from a part of the regulations.	Approximately 0.5% of all fishing vessels.	375
(10) Citizenship Waiver (§28.910)	Provides relief from requirement that no more than 25% of the total number of unlicensed seamen on a U.S. documented vessel may be aliens lawfully admitted to the United States under the Immigration and Nationality Act of 1952.	All commercial fishing vessels opting to apply for waiver.	91
(11) Documentation of Equipment (§28.140)	Requires the keeping of records of equipment maintenance and drills/instructions in safety logbook.	All commercial fishing vessels that operate beyond three nautical miles of the baseline.	36,115
(12) Dockside Examination Certificate of Completion (§28.201)*	Requires the vessel to have a dockside examination every five years and carry a certificate of completion.	All commercial fishing vessels that operate beyond three nautical miles without an existing valid decal.	23,221

*Of the 36,115 vessels, 12,894 vessels currently have valid decals and we assume that these vessels would continue to undergo examination in the absence of the Interim Rule, and are therefore not accounted for in terms of costs. The remaining 23,221 vessels are required to undergo examinations and so would incur an additional collection of information burden.

Appendix B: Total Estimated Annual Burden on Industry

Requirement	Response Frequency	Burden Hours/ Response (Hours)	Annual Duration	Number of Vessels	Wage Rate	Number of Responses per year	Total Number of Responses	Total Annual Hour Burden	Total Annual Cost Burden
(1) Marking of Lifesaving Equipment (§28.135)	One-time	1.00	1.00	3,754	\$76	1	3,754	3,754	\$285,304
(2) Emergency Instructions (§28.265)	One-time	3.00	3.00	99	\$76	1	99	297	\$22,572
(3) Acceptance Letter for Instructors and Course Curricula (§28.275)	Acceptance letter valid for 5 years	1.10	1.10	100	\$76	1	100	110	\$8,360
(4) Letter of Certification for Training in Drills and Safety Orientation (§28.270)	One-time	0.10	0.10	100	\$76	1	100	10	\$760
(5) Letter of Attestation for Stability Evaluation (§28.505)	Each Occurrence	1.00	1.00	5	\$76	1	5	5	\$380
(6) Stability Instructions (§28.530)	One-time	10.00	10.00	102	\$60	1	102	1,020	\$61,200
(7) Uninspected Fish Processing Vessel Exam (§28.710)	Biennial	2.00	2.00	101	\$66	1	101	202	\$13,332
(8) Uninspected Fish Processing Vessel Certification of Classification (§28.720)	One-time	1.00	1.00	2	\$76	1	2	2	\$152
(9) Exemption Letter Requirement (§28.60)	Each Request	1.00	1.00	375	\$76	1	375	375	\$28,500
(10) Citizenship Waiver (§28.910)	Each Request	9.25	9.25	91	\$45	1	91	842	\$37,879
(11) Documentation of Equipment (§28.140)	Varies	0.40	0.40	36,115	\$45	1	36,115	14,446	\$650,070
(12) Dockside Examination Certificate of Completion (§28.201)	Once over the first three years	0.25	0.25	23,221	\$45	1	23,221	5,805	\$261,236
Total	-	-	-	40,844	-	-	64,065	26,868	\$1,369,745

NOTE--Number of respondents equals the number of vessels in rows (1) - (11).

Appendix C: Estimated Annual Federal Government Cost

Requirement	Response Frequency	Hours / Response	Annual Duration	Number of Vessels	Wage Rate	Number of Responses per year	Total Number of Responses	Total Annual Hours	Total Annual Costs
(3) Acceptance Letter for Instructors and Course Curricula (§28.275)	Each Application Review	1.50	1.50	100	\$61	1	100	150	\$9,150
(9) Exemption Letter Requirement (§28.60)	Each Request Review	5.00	5.00	375	\$79	1	375	1,875	\$148,125
	Each Approved Request	0.10	0.10	300	\$56	1	300	30	\$1,680
(10) Citizenship Waiver (§28.910)	Each Application Review	3.00	3.00	91	\$79	1	91	273	\$21,567
(12) Dockside Examination Certificate of Completion (§28.201)	Each Certification Issued	0.25	0.25	23,221	\$66	1	23,221	5,805	\$383,147
Total	-	-	-	-	-	-	24,087	8,133	\$563,669