**Adult Education and Family Literacy Act (AEFLA) Reporting Tables**

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**A Project of the U.S. Department of Education**

OMB Control Number 1830-0027

Table 1   
Participants by Entering Educational Functioning Level, Ethnicity, and Sex

**Enter the number of participants\* by educational functioning level\*\*, ethnicity/race\*\*\*, and sex.**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Entering Educational**  **Functioning Level (EFL)** | **American Indian or Alaska Native** | | **Asian** | | **Black or African American** | | **Hispanic/**  **Latino** | | **Native Hawaiian or Other Pacific Islander** | | **White** | | **More than One Race** | | **Total** |
| **Male** | **Female** | **Male** | **Female** | **Male** | **Female** | **Male** | **Female** | **Male** | **Female** | **Male** | **Female** | **Male** | **Female** |  |
| **(A)** | **(B)** | **(C)** | **(D)** | **(E)** | **(F)** | **(G)** | **(H)** | **(I)** | **(J)** | **(K)** | **(L)** | **(M)** | **(N)** | **(O)** | **(P)** |
| ABE\*\*\*\* Level 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| ABE Level 2 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| ABE Level 3 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| ABE Level 4 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| ABE Level 5 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| ABE Level 6 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| ESL\*\*\*\* Level 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| ESL Level 2 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| ESL Level 3 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| ESL Level 4 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| ESL Level 5 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| ESL Level 6 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| **Total** |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

\*A participant is an individual in an AEFLA program who has completed at least 12 contact hours.

\*\* See definitions for educational functioning levels.

\*\*\* See definitions for ethnicity/race categories.

\*\*\*\* ABE = Adult Basic Education; ESL = English as a Second Language

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**Ethnicity/Race definition:**

**Hispanic / Latino:** The participant indicates that he/she is a person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture in origin, regardless of race.

**American Indian / Alaska Native:** The participant indicates that he/she is a member of an Indian tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (85 Stat. 688) [43 U.S.C. 1601 et seq.], which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians.

**Asian:** The participant indicates that he/she is a person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian Subcontinent (e.g., India, Pakistan, Bangladesh, Sri Lanka, Nepal, Sikkim, and Bhutan). This area includes, for example, Cambodia, China, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.

**Black / African American:** The participant indicates that he/she is a person having origins in any of the black racial groups of Africa.

**Native Hawaiian / Other Pacific Islander:** The participant indicates that he/she is a person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.

**White:** the participant indicates that he/she is a person having origins in any of the original peoples of Europe, the Middle East, or North Africa.

**More Than One Race: P**articipants having origins in more than one racial category at program entry.

**Male:** The participant indicates that he is male.

**Female:** The participant indicates that she is female.

Table 2   
Participants by Age, Ethnicity, and Sex

**Enter the number of participants\* by age\*\*, ethnicity/race\*\*\*, and sex.**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Age Group** | **American Indian or Alaska Native** | | **Asian** | | **Black or African American** | | **Hispanic/**  **Latino** | | **Native Hawaiian or Other Pacific Islander** | | **White** | | **More than One Race** | | **Total** |
| **Male** | **Female** | **Male** | **Female** | **Male** | **Female** | **Male** | **Female** | **Male** | **Female** | **Male** | **Female** | **Male** | **Female** | **(P)** |
| **(A)** | **(B)** | **(C)** | **(D)** | **(E)** | **(F)** | **(G)** | **(H)** | **(I)** | **(J)** | **(K)** | **(L)** | **(M)** | **(N)** | **(O)** |
| 16-18 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 19-24 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 25-44 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 45-54 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 55-59 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 60+ |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| **Total** |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

\*A participant is an individual in an AEFLA program who has completed at least 12 contact hours.

\*\*Participants should be classified based on their age at program entry. Participants entering the program prior to the current program year should be classified based on their age at the beginning of the current program year.

\*\*\* See definitions of ethnicity/race categories.

The totals in columns *B*–*O* should equal the totals in columns *B*–*O* of Table 1. Row totals in column *P* should equal corresponding column row totals in Table 3.

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**Ethnicity/Race definition:**

**See Table 1**

**Sex**

See Table 1

Table 3  
Participants\* by Program Type and Age

Enter the number of participants by program type and age, non-duplicated.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Program Type** | **16-18** | **19-24** | **25-44** | **45-54** | **55-59** | **60+** | **Total** |
| **(A)** | **(B)** | **(C)** | **(D)** | **(E)** | **(F)** | **(G)** | **(H)** |
| **Adult Basic Education\*\*** |  |  |  |  |  |  |  |
| Integrated Education and Training Program |  |  |  |  |  |  |  |
| **Adult Secondary Education\*\*\*** |  |  |  |  |  |  |  |
| Integrated Education and Training Program |  |  |  |  |  |  |  |
| **English Language Acquisition\*\*\*\*** |  |  |  |  |  |  |  |
| Integrated Education and Training Program |  |  |  |  |  |  |  |
| **Integrated English Literacy and Civics Education (Sec. 243)\*\*\*\*\*** |  |  |  |  |  |  |  |
| Integrated Education and Training Program |  |  |  |  |  |  |  |
| **Total** |  |  |  |  |  |  |  |

\*A participant is an individual in an AEFLA program who has completed at least 12 contact hours.

The total in column H should equal the total in column P of Table 1.

\*\*Number of participants enrolled in ABE levels 1-4. This number includes those enrolled in Integrated Education and Training (IET) Programs (Sec. 203(11) of WIOA).

\*\*\*Number of participants enrolled in ABE levels 5 and 6. This number includes those enrolled in IET Programs (Sec. 203(11) of WIOA).

\*\*\*\*Number of participants enrolled in English Language Acquisition programs but not enrolled in Integrated English Literacy and Civics Education (IELCE) programs (Sec. 243 of WIOA). This number includes those enrolled in IET Programs (Sec. 203(11) of WIOA).

\*\*\*\*\*Number of participants enrolled in IELCE programs (Sec. 243 of WIOA). This number includes those enrolled in IET Programs. It does not include those enrolled in ELA programs.

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**Table 4  
Measurable Skill Gains by Entry Level**

**Enter the number of participants for each category listed, total attendance hours, number achieving at least one educational functioning level gain, number who attain a secondary school diploma or its equivalent, and periods of participation outcomes.**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Entering Educational Functioning Level** | **Total Number Enrolled** | **Total Attendance Hours for all participants** | **Number who achieved at least one educational functioning level gain** | **Number who attained a secondary school diploma or its equivalent** | **Number Separated Before Achieving Measurable Skill Gains** | **Number Remaining in Program without Measurable Skill Gains** | **Percentage Achieving Measurable Skill Gains** | **Total number of Periods of Participation** | **Total number of Periods of Participation with Measurable Skill Gains** | **Percentage of Periods of Participation with Measurable Skill Gains** |
| **(A)** | **(B)** | **(C)** | **(D)** | **(E)** | **(F)** | **(G)** | **(H)** | **(I)** | **(J)** | **(K)** |
| ABE Level 1 |  |  |  |  |  |  |  |  |  |  |
| ABE Level 2 |  |  |  |  |  |  |  |  |  |  |
| ABE Level 3 |  |  |  |  |  |  |  |  |  |  |
| ABE Level 4 |  |  |  |  |  |  |  |  |  |  |
| ABE Level 5 |  |  |  |  |  |  |  |  |  |  |
| ABE Level 6 |  |  |  |  |  |  |  |  |  |  |
| **ABE Total** |  |  |  |  |  |  |  |  |  |  |
| ESL Level 1 |  |  |  |  |  |  |  |  |  |  |
| ESL Level 2 |  |  |  |  |  |  |  |  |  |  |
| ESL Level 3 |  |  |  |  |  |  |  |  |  |  |
| ESL Level 4 |  |  |  |  |  |  |  |  |  |  |
| ESL Level 5 |  |  |  |  |  |  |  |  |  |  |
| ESL Level 6 |  |  |  |  |  |  |  |  |  |  |
| **ESL Total** |  |  |  |  |  |  |  |  |  |  |
| **Grand Total** |  |  |  |  |  |  |  |  |  |  |

* Use participant’s pretest score for initial placement in this table.
* For the purposes of reporting measureable skill gain on Tables 4, 4C, 8, and 10, each program entry per participant during the reporting period is considered a period of participation.
* Count each participant only once in columns D through G. Total number of participants in column B should equal corresponding total number of participants in other NRS tables. Report the most recent measurable skill gain for a participant who achieved more than one measurable skill gain during a period of participation.
* The number in column D is the number of participants who completed one or more Educational Functioning Level gains as measured in one of three ways: 1) by comparing a participant’s  initial EFL as measured by a pre-test with the participant’s EFL as measured by a participant’s post-test; or 2) for States that offer high school programs that lead to a secondary school diploma or its recognized equivalent, an EFL gain may be measured through the awarding of credits or Carnegie units: or 3) States may report an EFL gain for participants who exit the program and enroll in postsecondary education or training during the program year.
* Column E is the number of participants who attained a secondary school diploma or its equivalent.
* Enter only the most recent achievement, if attained, per participant in column D or column E.
* Column F is the number of participants who achieved no measurable skill gain and exited the program. The last day of service cannot be determined until at least 90 days have elapsed since the participant last received services (services do not include self-service, information-only services, activities, or follow-up services), and there are no plans to provide the participant with future services.
* Column G is number of participants who remain enrolled and achieved no measurable skill gain.
* Column *D + E + F* + G should equal the total in column *B.*
* Column His calculated using the following formula: (Column H) = (Column D + Column E)

(Column B)

* Column I is the total number of periods of participation for each participant. A participant may have more than one period of participation.
* Column J is the number of periods of participation in which a Measurable Skill Gain is achieved. Multiple outcomes are permissible for individual participants with more than one period of participation. Participants may achieve more than one gain per period of participation. However, a maximum of one gain per period of participation is reported in column J.
* Column Kis calculated using the following formula: (Column H) = (Column J)

(Column I)

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Table 4B   
Educational Functioning Level Gain and Attendance for Pre- and Post-tested Participants

Enter the number of pre- and post-tested participants for each category listed, number of post-tested participants achieving at least one educational functioning level gain, and total attendance hours for post-tested participants.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Entering Educational Functioning Level** | **Total Number Enrolled** | **Total Attendance Hours** | **Number with EFL Gain** | **Number Separated Before Achieving EFL Gain** | **Number Remaining Within Level** | **Percentage Achieving EFL Gain** |
| **(A)** | **(B)** | **(C)** | **(D)** | **(E)** | **(F)** | **(G)** |
| ABE Level 1 |  |  |  |  |  |  |
| ABE Level 2 |  |  |  |  |  |  |
| ABE Level 3 |  |  |  |  |  |  |
| ABE Level 4 |  |  |  |  |  |  |
| ABE Level 5 |  |  |  |  |  |  |
| **ABE Total** |  |  |  |  |  |  |
| ESL Level 1 |  |  |  |  |  |  |
| ESL Level 2 |  |  |  |  |  |  |
| ESL Level 3 |  |  |  |  |  |  |
| ESL Level 4 |  |  |  |  |  |  |
| ESL Level 5 |  |  |  |  |  |  |
| ESL Level 6 |  |  |  |  |  |  |
| **ESL Total** |  |  |  |  |  |  |
| **Total** |  |  |  |  |  |  |

Include in this table only participants who are both pre- and post-tested.

* Column *D* is the total number of participants (both exited and continuing) who achieved at least one EFL gain by completing at least one level through pre- and post-testing.
* Column *E* is the number of participants who achieved no EFL gain and exited the program. The last day of service cannot be determined until at least 90 days have elapsed since the participant last received services (services do not include self-service, information-only services, activities, or follow-up services), and there are no plans to provide the participant with future services.
* Column *F* represents the number of participants still enrolled who are at the same EFL level as when they entered.
* Column *D + E + F* should equal the total in Column *B.*
* Each row total in Column *G* is calculated using the following formula: 

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Table 4C   
Measurable Skill Gains by Entry Level for Participants in Distance Education

**Enter the number of distance education participants for each category listed, total attendance hours, number achieving at least one educational functioning level gain, number who attain a secondary school diploma or its equivalent, and periods of participation outcomes.**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Entering Educational Functioning Level** | **Total Number Enrolled** | **Total Attendance Hours for all participants** | **Number who achieved at least one educational functioning level gain** | **Number who attained a secondary school diploma or its equivalent** | **Number Separated Before Achieving Measurable Skill Gains** | **Number Remaining in Program without Measurable Skill Gains** | **Percentage Achieving Measurable Skill Gains** | **Total number of Periods of Participation** | **Total number of Periods of Participation with Measurable Skill Gains** | **Percentage of Periods of Participation with Measurable Skill Gains** |
| **(A)** | **(B)** | **(C)** | **(D)** | **(E)** | **(F)** | **(G)** | **(H)** | **(I)** | **(J)** | **(K)** |
| ABE Level 1 |  |  |  |  |  |  |  |  |  |  |
| ABE Level 2 |  |  |  |  |  |  |  |  |  |  |
| ABE Level 3 |  |  |  |  |  |  |  |  |  |  |
| ABE Level 4 |  |  |  |  |  |  |  |  |  |  |
| ABE Level 5 |  |  |  |  |  |  |  |  |  |  |
| ABE Level 6 |  |  |  |  |  |  |  |  |  |  |
| **ABE Total** |  |  |  |  |  |  |  |  |  |  |
| ESL Level 1 |  |  |  |  |  |  |  |  |  |  |
| ESL Level 2 |  |  |  |  |  |  |  |  |  |  |
| ESL Level 3 |  |  |  |  |  |  |  |  |  |  |
| ESL Level 4 |  |  |  |  |  |  |  |  |  |  |
| ESL Level 5 |  |  |  |  |  |  |  |  |  |  |
| ESL Level 6 |  |  |  |  |  |  |  |  |  |  |
| **ESL Total** |  |  |  |  |  |  |  |  |  |  |
| **Grand Total** |  |  |  |  |  |  |  |  |  |  |

Include in this table only participants who are counted as distance education participants . This table is a subset of the participants reported in Table 4.

* Use participant’s pretest score for initial placement in this table.
* For the purposes of reporting measureable skill gain on Tables 4, 4C, 8, and 10, each program entry per participant during the reporting period is considered a period of participation.
* Count each participant only once in columns D through G. Total number of participants in column B should equal corresponding total number of participants in other NRS tables.
* The number in column D is the number of participants who completed one or more Educational Functioning Levels gain as measured in one of three ways: 1) by comparing a participant’s  initial EFL as measured by a pre-test with the participant’s EFL as measured by a participant’s post-test; or 2) for States that offer high school programs that lead to a secondary school diploma or its recognized equivalent, an EFL gain may be measured through the awarding of credits or Carnegie units: or 3) States may report an EFL gain for participants who exit the program and enroll in postsecondary education or training during the program year.
* Column E is the number of participants who attained a secondary school diploma or its equivalent.
* Enter only the most recent achievement, if attained, per participant in column D or column E.
* Column F is the number of participants who achieved no measurable skill gain and exited the program. The last day of service cannot be determined until at least 90 days have elapsed since the participant last received services (services do not include self-service, information-only services, activities, or follow-up services), and there are no plans to provide the participant with future services.
* Column G is number of participants who remain enrolled and achieved no measurable skill gain.
* Column *D + E + F* + G should equal the total in Column *B.*
* Column His calculated using the following formula: (Column H) = (Column D + Column E)

(Column B)

* Column I is the total number of periods of participation for each participant. A participant may have more than one period of participation.
* Column J is the number of periods of participation in which a Measurable Skill Gain is achieved. Multiple outcomes are permissible for individual participants with more than one period of participation. Participants may achieve more than one gain per period of participation. However, a maximum of one gain per period of participation is reported in column J.
* Column Kis calculated using the following formula: (Column H) = (Column J)

(Column I)

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Table 5  
Core Follow-up Outcome Achievement

| **Core Follow-up Outcome Measures** | **Number of Participants who Exited** | **Number of Participants who Exited Achieving Outcome or Median Earnings Value** | **Percent Achieving Outcome** | **Periods of Participation** | | |
| --- | --- | --- | --- | --- | --- | --- |
| **Total Periods of Participation** | **Number of Periods of Participation Achieving Outcome or Median Earnings Value** | **Percent of Periods of Participation Achieving Outcome** |
| **(A)** | **(B)** | **(C)** | **(D)** | **(E)** | **(F)** | **(G)** |
| Employment Second Quarter after exit \* |  |  |  |  |  |  |
| Employment Fourth Quarter after exit \* |  |  |  |  |  |  |
| Median Earnings Second Quarter after exit \*\* |  |  | **N/A** |  |  | **N/A** |
| Attained a Secondary School Diploma/Recognized Equivalent and Enrolled in Postsecondary Education or Training within one year of exit \*\*\* |  |  |  |  |  |  |
| Attained a Secondary School Diploma/Recognized Equivalent and Employed within one year of exit \*\*\* |  |  |  |  |  |  |
| Attained a Postsecondary Credential while enrolled or within one year of exit \*\*\*\* |  |  |  |  |  |  |

**Instructions for Completing Table 5**

Note: All shaded columns will be calculated automatically by OCTAE’s data system.

For the purposes of reporting on Employment 2nd Quarter, Employment 4th Quarter, Median Earnings, and the Credential indicators on Tables 5, 5A, 8, and 10, each program entry and exit per participant during the reporting period is considered a period of participation.

Do not exclude participants because of missing Social Security numbers or other missing data**.**

\* Report in Column B (second and fourth quarter employment) the total number of participants who exited during the program year, excluding incarcerated individuals under section 225 of WIOA who exited the AEFLA program but are still incarcerated.

\*\* Report in Column B (Median Earnings) the total number of participants who exited during the program yearand who were employed in the second quarter after program exit, excluding incarcerated individuals under section 225 who exited the AEFLA program but are still incarcerated.

\*\*\* Report in Column B (secondary credential attainment) the total number of participants who exited during the program yearwho were at the ninth grade equivalent educational functioning levelor higher upon entry, as measured by pretest with approved NRS test, excluding incarcerated individuals under section 225 who exited adult education but are still incarcerated.

\*\*\*\* Report in Column B (postsecondary credential attainment) the total number of participants who exited during the program yearwho were enrolled in IET programs , excluding incarcerated individuals under section 225 who exited adult education but are still incarcerated.

Column C (except for Median Earnings) is the number of participants that achieved each outcome. For Median Earnings reporting, Column C is the median earnings value which is the midpoint between lowest and highest quarterly wage, in U.S. dollars, for the total number of participants who exited during the program year and who were employed in the second quarter after program exit, excluding incarcerated individuals under section 225 who exited the AEFLA program but are still incarcerated.

Column D (except for Median Earnings) is the number in Column C divided by the number in Column B. Column D should never be greater than 100 percent.

Column E is the total number of Periods of Participation for each participant reported in column B. This number will be greater than or equal to the number of participants in Column B.

Column F (except for Median Earnings) is the number of periods of participation for which the outcome was received. For Median Earnings reporting, Column F is the median earnings value which is the midpoint between lowest and highest quarterly wage, in U.S. dollars, for the total number of periods of participation, excluding incarcerated individuals under section 225 who exited the AEFLA program but are still incarcerated.

Column G (except for Median Earnings) is the number in Column F divided by the number in Column E. Column G should never be greater than 100 percent.

Columns D and G are not applicable to Median Earnings.

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Table 5a

Outcome Achievement for Participants in Distance Education

| **Core Follow-up Outcome Measures** | **Number of Participants who Exited** | **Number of Participants who Exited Achieving Outcome or Median Earnings Value** | **Percent Achieving Outcome** | **Periods of Participation** | | |
| --- | --- | --- | --- | --- | --- | --- |
| **Total Periods of Participation** | **Number of Periods of Participation Achieving Outcome or Median Earnings Value** | **Percent of Periods of Participation Achieving Outcome** | |
| **(A)** | **(B)** | **(C)** | **(D)** | **(E)** | **(F)** | **(G)** | |
| Employment Second Quarter after exit |  |  |  |  |  |  | |
| Employment Fourth Quarter after exit |  |  |  |  |  |  | |
| Median Earnings Second Quarter after exit |  |  | **N/A** |  |  | **N/A** | |
| Attained a Secondary School Diploma/Recognized Equivalent and Enrolled in Postsecondary Education or Training within one year of exit |  |  |  |  |  |  | |
| Attained a Secondary School Diploma/Recognized Equivalent and Employed within one year of exit |  |  |  |  |  |  | |
| Attained a Postsecondary Credential while enrolled or within one year of exit |  |  |  |  |  |  | |

**Instructions for Completing Table 5A:** Include only participants who are counted as distance education participants. Distance education participants are included in Table 5.

Follow instructions for completing Table 5.

Note: All shaded columns will be calculated automatically by OCTAE’s data system.

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**Table 6  
Participant Status and Program Enrollment**

Enter the number of participants for each of the categories listed.

|  |  |  |
| --- | --- | --- |
| **Participant Status at Program Entry** | **Number** | |
| **(A)** | **(B)** | |
| Employed |  | |
| Employed, but Received Notice of Termination of Employment or Military Separation is pending |  | |
| Unemployed |  | |
| Not in the Labor Force |  | |
| **TOTAL** |  | |
| **Highest Degree or Level of School Completed \*** | **US-Based Schooling** | **Non-US-Based Schooling** |
| No schooling |  |  |
| Grades 1-5 |  |  |
| Grades 6-8 |  |  |
| Grades 9-12 (no diploma) |  |  |
| Secondary School Diploma or alternate credential |  |  |
| Secondary School Equivalent |  |  |
| Some Postsecondary education, no degree |  |  |
| Postsecondary or professional degree |  |  |
| Unknown |  |  |
| **TOTAL (both US Based and Non-US Based)** |  | |
| **Program Type \*\*** | | |
| In Family Literacy Program |  | |
| In Workplace Adult Education and Literacy Activities  \*\*\* |  | |
| **Institutional Programs (section 225)** | | |
| In Correctional Facility |  | |
| In Community Correctional Program |  | |
| In Other Institutional Setting |  | |
| **TOTAL Institutional** |  | |

\* Enter the highest level of schooling or degree attained for each participant in US or non-US-based schooling. Provide *only one entry* per participant. The total number of participants reported here must be the same as the number reported in the Total row of Column P, Table 1.

\*\* Participants counted here must be in a program specifically designed for that purpose.

\*\*\* The term “workplace adult education and literacy activities” means adult education and literacy activities offered by an eligible provider in collaboration with an employer or employee organization at a workplace or an off-site location that is designed to improve the productivity of the workforce.

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**Employment Status definitions:**

**Employed:** The participant, at program entry, (a) is currently performing any work at all as a paid employee, (b) is currently performing any work at all in his or her own business, profession, or farm, (c) is currently performing any work as an unpaid worker in an enterprise operated by a member of the family, or (d) is one who is not working, but currently has a job or business from which he or she is temporarily absent because of illness, bad weather, vacation, labor-management dispute, or personal reasons, whether or not paid by the employer for time-off, and whether or not seeking another job.

**Employed, but Received Notice of Termination of Employment or Military Separation is pending:** The participant, at program entry, is a person who, although employed, either (a) has received a notice of termination of employment or the employer has issued a Worker Adjustment and Retraining Notification (WARN) or other notice that the facility or enterprise will close, or (b) is a transitioning service member (i.e., within 12 months of separation or 24 months of retirement).

**Not in the labor force:** The participant, at program entry, is not in the labor force (i.e., those who are not employed and are not actively looking for work, including those who are incarcerated).

**Unemployed:** The participant, at program entry, is not employed but is seeking employment, makes specific effort to find a job, and is available for work.

Table 7  
Adult Education Personnel by Function and Job Status

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Adult Education Personnel** | | **Unpaid Volunteers** |
| **Total Number of Part-time Personnel** | **Total Number of Full-time Personnel** |
| **(A)** | **(B)** | **(C)** | **(D)** |
| **Function** |  |  |  |
| State-level Administrative/ Supervisory/Ancillary Services \* |  |  |  |
| Local-level Administrative/ Supervisory/Ancillary Services \* |  |  |  |
| Local Counselors \* |  |  |  |
| Local Paraprofessionals \* |  |  |  |
| Local Teachers \*\* |  |  |  |
| Teachers’ Years of Experience  In Adult Education |  |  |  |
| Less than one year |  |  |  |
| One to three years |  |  |  |
| More than three years |  |  |  |
| Teacher Certification |  |  |  |
| No certification |  |  |  |
| Adult Education Certification |  |  |  |
| K-12 Certification |  |  |  |
| Special Education Certification |  |  |  |
| TESOL Certification |  |  |  |

\* For reporting State-level Administrative/Supervisory/Ancillary Services and Local-level Administrative/Supervisory/Ancillary Services, Counselors, and Paraprofessionals:

* Enter an unduplicated count of personnel by function and job status. Count the number of positions, not the number of staff who filled them.
* In Column *B*, count one time only each part-time position of the program administered under AEFLA who is being paid out of Federal, State, and/or local education funds.
* In Column *C*, count one time only each full-time position of the program administered under AEFLA who is being paid out of Federal, State, and/or local education funds.
* In Column *D*, report the number of volunteer positions (personnel who are not paid) who served in the program administered under AEFLA.

\*\* For reporting Local Teachers:

* Count and report the number of teachers, not the number of positions. For example, if one local part-time teaching position was filled with 3 teachers throughout program year, count and report 3 local part-time teachers.
* Report adult education experience and certification for paid teachers only, not volunteers. The total number of teachers for which experience is reported must equal the total number of teachers reported in Columns B and C.
* For certification, report all certifications a teacher has. Multiple responses are allowed. Report teachers who lack certification in the “No Certification” category.

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**Table 8**

**Outcomes for Adults in Family Literacy Programs (Optional)**

**Enter the number of participants in family literacy programs for each of the categories listed.**

| **Core Follow-up Outcome Measures** | **Number of Participants who Exited** | **Number of Participants who Exited Achieving Outcome or Median Earnings Value** | **Percent Achieving Outcome** | **Periods of Participation** | | | |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Total Periods of Participation** | **Number of Periods of Participation Achieving Outcome or Median Earnings Value** | **Percent of Periods of Participation Achieving Outcome** |
| **(A)** | **(B)** | **(C)** | **(D)** | **(E)** | **(F)** | **(G)** |
| Measurable Skill Gain |  |  |  |  |  |  |
| Employment Second Quarter after exit |  |  |  |  |  |  |
| Employment Fourth Quarter after exit |  |  |  |  |  |  |
| Median Earnings Second Quarter after exit |  |  | **N/A** |  |  | **N/A** |
| Attained a Secondary School Diploma/Recognized Equivalent and Enrolled in Postsecondary Education or Training within one year of exit |  |  |  |  |  |  |
| Attained a Secondary School Diploma/Recognized Equivalent and Employed within one year of exit |  |  |  |  |  |  |
| Attained a Postsecondary Credential while enrolled or within one year of exit |  |  |  |  |  |  |

|  |  |  |  |
| --- | --- | --- | --- |
| **Family Literacy Follow-up Outcome Measures** | **Number of Participants who Exited** | **Number of Participants who Exited Achieving Outcome** | **Percent Achieving Outcome** |
| **(A)** | **(B)** | **(C)** | **(D)** |
| Increased Involvement in Children’s Education |  |  |  |
| Helped more frequently with school |  |  |  |
| Increased contact with children’s teachers |  |  |  |
| More involved in children’s school activities |  |  |  |
| Increased Involvement in Children’s Literacy Activities |  |  |  |
| Reading to children |  |  |  |
| Visiting library |  |  |  |
| Purchasing books or magazines |  |  |  |

**Instructions for Completing Table 8** (Include only family literacy program participants in Table 8)

Note: All shaded columns will be calculated automatically by OCTAE’s data system.

**For reporting measurable skill gains:**

Enter in column B the total number ofFamily Literacy program participants enrolled during the reporting period. Enter in column C the number of participants who achieved one or more educational functioning level gains or attained a secondary school diploma. Enter only one of these achievements, if attained, per participant in column C.

**For reporting core follow-up outcome measures:**

Follow instructions for completing Table 5 to report these outcomes.

**For reporting family literacy outcome measures:**

Report in Column B the total number of participants who exited during the program year. Do not exclude participants because of missing Social Security numbers or other missing data.

Achievement of one or more of the increased involvement in children’s education or children’s literacy activities measures should be counted only once per participant. However, the specific outcome should be recorded in the subcategory and more than one outcome may be reported, so that the total for the three subcategories may be greater than the total reported for the overall category. For example, a participant who helped more frequently with schoolwork and increased contact with child’s teachers would be recorded in both categories but would be counted only once in the overall category of “increased involvement in children’s education.”

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**Table 9**

**Secondary Outcome Measures (Optional)**

|  |  |  |  |
| --- | --- | --- | --- |
| **Civics Education Follow-up Outcome Measures (Optional)** | **Number of Participants who Exited** | **Number of Participants who Exited Achieving Outcome** | **Percent Achieving Outcome** |
| **(A)** | **(B)** | **(C)** | **(D)** |
| Left Public Assistance |  |  |  |
| Achieved Citizenship Skills |  |  |  |
| Increased Involvement in Children’s Education\* |  |  |  |
| Increased Involvement in Children’s Literacy Activities\* |  |  |  |
| Voted or Registered to Vote |  |  |  |
| Increased Involvement in Community Activities |  |  |  |

**Instructions for Completing Table 9**

Note: All shaded columns will be calculated automatically by OCTAE’s data system.

Each row total In Column D is calculated using the following formula: D=Column C / Column B

\* Enter the total number of participants who achieved this outcome regardless of whether the participant was in a family literacy program. Use Table 8 to enter achievements of family literacy participants. The number reported here may be higher than reported in Table 8 because it includes all participants who achieved this goal.

Table 10  
Outcome Achievement for Adults in Correctional Education Programs

Enter the number of participants in correctional education programs (section 225) for each of the categories listed.

| **Core Follow-up Outcome Measures** | **Number of Participants who Exited** | **Number of Participants who Exited Achieving Outcome or Median Earnings Value** | **Percent Achieving Outcome** | **Periods of Participation** | | | |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Total Periods of Participation** | **Number of Periods of Participation Achieving Outcome or Median Earnings Value** | **Percent of Periods of Participation Achieving Outcome** |
| **(A)** | **(B)** | **(C)** | **(D)** | **(E)** | **(F)** | **(G)** |
| Measurable Skill Gain |  |  |  |  |  |  |
| Employment Second Quarter after exit |  |  |  |  |  |  |
| Employment Fourth Quarter after exit |  |  |  |  |  |  |
| Median Earnings Second Quarter after exit |  |  | **N/A** |  |  | **N/A** |
| Attained a Secondary School Diploma/Recognized Equivalent and Enrolled in Postsecondary Education or Training within one year of exit |  |  |  |  |  |  |
| Attained a Secondary School Diploma/Recognized Equivalent and Employed within one year of exit |  |  |  |  |  |  |
| Attained a Postsecondary Credential while enrolled or within one year of exit |  |  |  |  |  |  |

**Instructions for Completing Table 10** (include only correctional education participants under Sec. 225 of WIOA)

Note: All shaded columns will be calculated automatically by OCTAE’s data system.

**For measurable skill gain:** Enter in column B the total number ofcorrectional education program participants enrolled during the reporting period. Enter in column C the number of participants who achieved one or more educational functioning level gains or attained a secondary school diploma. Enter only one of these achievements, if attained, per participant in column C.

**For reporting core follow-up outcomes measures:**

Follow instructions for completing Table 5 to report these outcomes

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Table 14   
Local Grantees by Funding Source

Enter the number of each type of grantee (see attached definitions) directly funded by the State and the amount of Federal and State funding they receive.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Provider Agency \***  **(A)** | **Total Number of Providers**  **(B)** | **Total Number of IELCE Providers**  **(C)** | **Total Number of Sub-Recipients**  **(D)** | **WIOA Funding** | | **State Funding** | |
| **Total**  **(E)** | **% of Total**  **(F)** | **Total**  **(G)** | **% of Total**  **(H)** |
| Local Educational Agencies |  |  |  |  |  |  |  |
| **Public or Private Nonprofit Agency** |  |  |  |  |  |  |  |
| Community-based Organizations |  |  |  |  |  |  |  |
| Faith-based Organizations |  |  |  |  |  |  |  |
| Libraries |  |  |  |  |  |  |  |
| **Institutions of Higher Education** |  |  |  |  |  |  |  |
| Community, Junior or Technical Colleges |  |  |  |  |  |  |  |
| Four-year Colleges or Universities |  |  |  |  |  |  |  |
| Other Institutions of Higher Education |  |  |  |  |  |  |  |
| **Other Agencies** |  |  |  |  |  |  |  |
| Correctional Institutions |  |  |  |  |  |  |  |
| Other Institutions (non-correctional) |  |  |  |  |  |  |  |
| All Other Agencies |  |  |  |  |  |  |  |
| **Other** |  |  |  |  |  |  |  |
| Fillable field |  |  |  |  |  |  |  |
| **Total** |  |  |  |  |  |  |  |

Note: All shaded columns will be calculated automatically by OCTAE’s data system.

* In Column (B), report the number of all providers receiving a grant award or contract for instructional services from the eligible agency.
* In Column (C), report the total number of Integrated English Literacy and Civics Education (IELCE) providers receiving a grant award or contract for instructional services.
* In Column (D), report the total number of each entity receiving funds as a sub-recipient*. (Entities receiving funds from a grantee as part of a consortium are to reported in column (D).*
* In Column (F), the percentage is calculated using the following formula: Cell value in Column (E) / Total of Column (E)
* In Column (G), report the total amount of State funds contributed. This amount need not necessarily equal the non-Federal expenditure report on the Federal Financial Report.
* In Column (H), the percentage is calculated using the following formula: Cell value in Column (G) / Total of Column (G)

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**\* Provider Agency Descriptions for Table 14**

**Local Educational Agencies** are public boards of education or other public authorities legally constituted within a State for either administrative control or direction of, or to perform a service function for, public elementary schools or secondary schools in a city, county, township, school district, or other political subdivision of a State.

**Community-based Organizations** (CBOs) are private nonprofit organizations of demonstrated effectiveness that are representative of a community or significant segment of a community.

**Faith-based Organizations** (FBO) are non-profit organizations associated with a faith community or multiple faith ministries.

**Libraries** are public state and community funded institutions that offer education and community services in addition to providing access to print, audio-visual and technology resources.

**Community, Junior or Technical Colleges** are public institutions of higher education that offer associate’s degree and certificate programs but, with few exceptions, award no baccalaureate degrees.

**Four Year Colleges or Universities** are public or private non-profit institutions of higher education that primarily offer baccalaureate degree programs.

**Other Institution of Higher Education** is a public or private non-profit institution that is not a community, junior, or technical college or a four-year college or university.

**Correctional Institutions** are prisons, jails, reformatories, work farms, detention centers, or halfway houses, community-based rehabilitation centers, or any other similar institutions designed for the confinement or rehabilitation of criminal offenders.

**Other Institutions (Non-Correctional)** are any medical or special institutions not designed for criminal offenders.

**All Other Agencies** include other public (Federal, State, local) agencies not listed in the categories above (e.g. Public Housing Authority).

**Other** categories of grantees (e.g. nonprofit institution not described above, partnership between an employer and any entity above, etc.).