

Report Checklist



Indicates completed sections - all sections listed below must be checked before a "Submit Report" button will display to allow you to submit the report.

Section	Status	Update	Preview
Project Description		Review/Update Project Description	Instructions
You must click the link above and review your abstract, subjects, Web sites, highlights, evaluator, partners, and contacts before you can submit your report.			
Budget Summary		Update Budget Summary	Instructions
Performance Data		Update Performance Data	Instructions
Performance Narrative		Upload Performance Narrative	Instructions
Evaluation Report/Template		Upload Evaluation Report/Template	Instructions
Logic Model		Upload Logic Model	Instructions
Performance Measures		Update Performance Measures	Instructions
Other Documents (Optional)		Upload Other Documents	Instructions

[Preview Report](#)

Instructions

Recipients of discretionary grants must submit an Annual Performance Report that demonstrates that substantial progress has been made toward meeting the objectives of the project. These instructions have been designed so that the Annual Performance Report will provide FIPSE with the information it needs to determine whether recipients have made such progress.

Please complete the following sections of the Annual Performance Report:

1. **Project Description.** As part of your Annual Performance Report, you must complete the entry of all activities and accomplishments on the project description in the FIPSE database. When editing, please remember that this is the public face of your grant and is likely to be viewed by Department of Education officials, members of Congress, postsecondary education professionals, and the general public.

This section involves a multi-step review and update:

1. Review your abstract and project title. If the information needs to be updated, click the 'Edit Abstract' button, enter your changes, and click the Save button. Please review the instructions on this page before you update your abstract. When finished, click the "Continue to Project Highlights" button.
 2. Review your project highlights. If the information need to be updated, click the 'Edit Highlights' button, enter your changes, and click the Save button. These highlights will be displayed on the public web site. When finished, click the "Continue to Project Web Sites" button.
 3. Review your list of Web sites. Update your web sites, if necessary. If you list a project Web site, please make sure that you have properly cited FIPSE support on your project Web site. When finished, click the "Continue to Project Subjects" button.
 4. Review your project subjects. Click the 'Edit Subjects' button to change them. When finished, click the "Continue to Project Evaluator" button.
 5. Review your project evaluator. If the information needs to be updated, click the 'Edit Evaluator' button, enter your changes, and click the Save button. When finished, click the "Continue to Project Partners" button.
 6. Review your project partners. If the information needs to be updated, click the 'Edit' link to update a partner or the "Add a Partner" button to add a partner. When finished, click the "Continue to Project Contacts" button.
 7. Review your project contacts. Update your list of contacts, if necessary. When finished, click the "Return to Checklist" button.
2. **Budget Summary.** You must include a budget summary as part of your report. Although some FIPSE grants are forward funded (i.e. the total multi-year budget was funded at the beginning of the performance period), you should consider each year of a project as one performance and one budget period. The annual performance report must show federal and non-federal expenditures, the carryover balance, and next year's budget. Indirect costs are limited to 8%. You must also upload a budget narrative spreadsheet with a detailed breakdown of how funds were spent for each budget category. Explain in the document the reasons for any unexpended funds and any significant differences between the original budget and current expenditures. **You will not be able to submit your report until the budget narrative spreadsheet has been uploaded.**
 3. **Project Performance Data.** On this screen you will answer questions to provide financial and performance information.
 4. **Performance Narrative.** Please integrate into your essay a discussion of each of the following issues:
 1. Report your progress in accomplishing the objectives of the project. For each project objective, describe project activities and outcomes since the submission of the last performance report or (if you are currently in the first budget period) since the start of the project. Include quantifiable data in your narrative when

available.

2. Discuss any setbacks or challenges you have experienced and describe the resulting changes or delays that occurred this year (e.g., changes in project leadership, staffing patterns, strategies, timelines, activities).
3. Discuss any significant changes proposed for the coming year. Why are changes necessary? If you have partner institutions or organizations, describe how your multi-institutional project is implementing the proposed activities and the evaluation components. Describe any changes from your original plan or from last year's report.
4. Describe how you have set up the control and treatment groups. Describe any changes from your original group descriptions. (Your independent evaluator's report will go into more detail, but you may summarize the most significant aspects here.)
5. How is your evaluation proceeding? Are there any changes or delays from your original evaluation plan? Describe the revision process for your evaluation plan. Describe how you have separated the activities that will be evaluated by non-What Works Clearinghouse (WWC) standards (i.e. implementation and fidelity evaluation) from those that will use WWC evidence standards. What are the challenges you have experienced in implementing the evaluation plan? How often do you collect evaluation data on your project? Are you experiencing any difficulties gathering evaluation data on your objectives? Are you experiencing any difficulties working with your independent evaluator? You may include some selected evaluation findings here, but the technical aspects of the evaluation evidence should be in the independent evaluator's report.
5. **Evaluation Report.** This report is written by the independent evaluator, and submitted by the project director as part of the annual performance report. The first year evaluation report is quite different from the report for year two and beyond. The first year report is primarily devoted to the implementation of the revised evaluation plan. The evaluation report for the second year and beyond is devoted mostly to data analysis. The first year evaluation report should focus on the work for defining the control and treatment groups, a detailed description of the baseline equivalencies (if applicable), plans for managing attrition, and controlling for confounding factors. The evaluation report for year two and beyond must describe the results of the evaluation for the control and treatment groups. The evaluation reports for year two and beyond may also include qualitative and quantitative results that are not part of the WWC evaluation. However, these must be carefully delineated from the WWC evaluation. Upload your independent evaluator's report in this section.
6. **Logic Model.** Include an updated or revised version of your logic model if there are changes to report. The Logic Model (also referred to as a theory of action) is a well-specified conceptual framework that identifies key components of the proposed process, product, strategy, or practice (i.e., the active "ingredients" that are hypothesized to be critical to achieving the relevant outcomes) and describes the relationship among the key components and outcomes, theoretically and operationally. It is a constructive way to address the three sub-criteria, namely, the need to define the target population, the need to define an exceptional approach to the priority selected, and the use of a framework for the conceptual design of the entire project. The logic model should be made up of inputs, activities, outcomes, and include a timeline. Be sure to highlight any changes or revisions in the updated logic model.
7. **Other Documents (Optional).** You may upload electronic copies of important materials and resources developed during the time of the project, memorandum of understanding (1st year reports only), short-form resume of the independent evaluator (1st year reports only, unless there is a change of personnel), including course outlines, books, media materials, and other significant products.
8. **Performance Measures.** Review the performance measures for FITW grants. When applicable, report on each performance measure.
9. **Preview Report.** Click the "Preview Report" link to view your report information. Make sure all of the information is correct before you submit your report.
10. **Submit Report.** After you have completed the required sections of the report, a "Submit Report" button will display. Click this button to submit your report to FIPSE. When you click on the submit button, a cover sheet will be generated automatically for your review, based on the information you provided. Make sure that all parts of the cover sheet are complete.

Enter your authorized representative and click the "Submit Report" button. A confirmation email will be sent after the report is submitted.

Step 1 - Edit Abstract

Enter your project title and a brief abstract in the form provided below. You may copy and paste your abstract from a word processor, or you may type in your abstract manually.

Project Title:

Abstract:

Save

Step 2 - Edit Project Highlights

Enter any highlights for your project in the form provided below and click the "Save" button. The highlights you enter will be made available on the public web site.

Highlights:

Save

Step 3 - Add Project Web Site

Enter the Web site name or title and the URL. Use the actual title of the Web site, if available. The URL should follow the format: <http://host.domain/path/filename>, for example, <http://www.ed.gov/fipse>.

Name:

URL:

Save

Step 4 - Rank Relevant Subjects

From the subject categories listed below, choose and rank the subjects that directly relate to your project. Limit the number of subjects to four or fewer, while properly ranking the relevance of each subject.

Save

Topic Areas 	Ranking	Disciplines 	Ranking	Critical Needs 	Ranking
Access		African American Studies		STEM	
Accreditation		Agribusiness			Slightly Relevant 
Active Learning		Agriculture			
Administration		Agroecology			
Adult Education		Agronomy			
Articulation and School-College Partnerships		Anatomy			
Assessment and Evaluation		Animal Science			
Campus Climate		Anthropology			
Career Counseling		Archaeology			
Civic Education & Engagement		Architecture			
Community Service		Art History			
Completion		Astronomy			
Conflict Resolution		Biology			
Cooperative Education		Biotechnology			
Cost Effectiveness/Restructuring		Business and Economics			
Course Materials Rental		Chemistry			
Critical Thinking		Communication			
Curricular Reform		Computer Science and Information Technology			
Dissemination		Construction			
Distance Learning		Criminal Justice			
Entrepreneurship		Culinary Arts			
Equipment and Materials Acquisition		Dance			
Experiential Education		Dentistry			
Faculty Development					

Disciplines	Ranking
African American Studies	
Agribusiness	
Agriculture	
Agronomy	
Anatomy	

Critical Needs	Ranking
STEM	

Step 5 - Edit Evaluator

Enter the evaluator information below and click the "Save" button.

Evaluator Company/Institution:	
First Name:	
Last Name:	
Title:	
Address Line 1:	
Address Line 2:	
Address Line 3:	
City:	
State:	
Zip Code:	
Telephone:	
Fax:	
Email:	
Notes:	
Save	

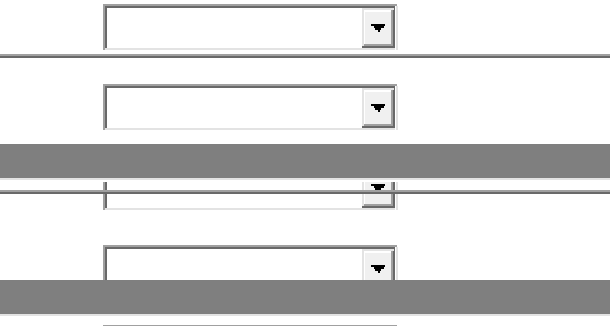
Step 6 - Add Institution Partner

Enter the information below for your institution partner and click the "Save" button. Limit 4,000 characters and spaces on all text fields.

* Required

Partner Name:	Slightly Relevant *	
Partner Role:	*	
List your partner's activities:		
Is this partner actively engaged?	Yes No *	

**If no,
list the issues and challenges:**



Enter the contact information and click the Save button to save it.

First Name:		
Last Name:		
Title:		
Institution:		
Address 1:		
Address 2:		
Address 3:		
City:		
State:		
Zip Code:		
Telephone:		
Fax:		
Email:		
Note:		
Contact Type:	☐ Project Director ☐ Project Co-Director ☐ Other Contact	The official single principal project point of contact. The name listed in block 3 of the Grant Award Notification (GAN) is considered the Project Director. Any individual who also functions as a direct for the project but is not listed in block 3 of the GAN Any individual that serves as a secondary point of contact.

Save

[illegible]

Report Budget Information

The Annual Performance Report must show the budget for the current budget period/year, federal and non-federal expenditures from the first day of the current year to the current date, the carryover balance, and next year's budget. Indirect costs are limited to 8%. You must also upload a budget narrative document with a breakdown of each category.

Budget Category	Actual Budget	Expenditures	Non-Federal Expenditures	Carryover Balance	Next Year's Actual Budget
Personnel	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
Fringe Benefits	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
Travel	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
Equipment	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
Supplies	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
Contractual	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
Construction	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
Indirect Costs	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
Other	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
Total	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Upload a budget narrative spreadsheet with a detailed breakdown of how funds were spent for each category. Please explain in the document your reasons for any unexpended funds and any significant differences between the original budget and expenditures. **This file is required before your report can be submitted.**

Budget Narrative File:

Save

Performance Data

Please answer all of the following questions. If any questions do not apply to your project, enter "N/A" for text answers and "0" for numeric answers.

Section 1 - Financial Data

1. Did your organization expend more than \$750,000 in Federal funds during the last fiscal year?
☐ Yes ☐ No ☐ N/A
2. When was your most recent OMB Circular A-133 audit?
Fiscal Year:
3. Were there any findings under your most recent audit?
☐ Yes ☐ No ☐ N/A
4. Have all the findings been resolved through corrective actions?
☐ Yes ☐ No ☐ N/A
5. Does your organization maintain time distribution records for each employee to account for the total activity for which an employee is compensated from the present grant award?
☐ Yes ☐ No ☐ N/A
6. Does your organization have procedures in place to minimize the time elapsing between the transfer of funds to your organization by a Federal agency and your organization's expenditures of such funds?
☐ Yes ☐ No ☐ N/A
7. Does your organization have a current negotiated indirect cost rate agreement?
☐ Yes ☐ No
Negotiated Indirect Cost Percentage Rate: %
Expiration Date: (mm/dd/yyyy)
8. Total funds expended to for-profit? (Any funds from the present grant award that are expended to for-profit groups/individuals/organizations/companies.)
Equipment: \$
Consultants: \$
Contractual: \$

Section 2 - Performance Data

- 1a. Was there a change in the project director this year? (If yes, please follow the instructions in the [How to Change your Project Director Guide](#) to change your project director.)
☐ Yes ☐ No
2. Was there a change in your evaluator? (If yes, enter your new evaluator on the Evaluator screen.)
☐ Yes ☐ No

2014 FITW absolute priorities

- 3a. Select your primary absolute priority for high-need students.
☐ Increasing Access and Completion
☐ Increasing Community College Transfer Rates to Four-Year Colleges
☐ Increasing Enrollment and Completion in STEM Programs

- ☐ Reducing Time to Completion
- ☐ Improving College Affordability

3b. Select your other absolute priorities for high-need students.

- ☐ Increasing Access and Completion
- ☐ Increasing Community College Transfer Rates to Four-Year Colleges
- ☐ Increasing Enrollment and Completion in STEM Programs
- ☐ Reducing Time to Completion
- ☐ Improving College Affordability

2015 FITW absolute priorities

3a. Select your primary absolute priority for high-need students.

- ☐ Improving Teaching and Learning
- ☐ Developing and Using Assessments of Learning
- ☐ Facilitating Pathways to Credentialing and Transfer

3b. Select your other absolute priorities for high-need students.

- ☐ Improving Teaching and Learning
- ☐ Developing and Using Assessments of Learning
- ☐ Facilitating Pathways to Credentialing and Transfer

2015 FITW Validation Absolute Priorities

3a. Select your primary absolute priority for high-need students.

- ☐ Improving Success in Developmental Education
- ☐ Improving Teaching and Learning
- ☐ Improving Student Support Services
- ☐ Influencing the Development of Non-cognitive Factors

3b. Select your other absolute priorities for high-need students.

- ☐ Improving Success in Developmental Education
- ☐ Improving Teaching and Learning
- ☐ Improving Student Support Services
- ☐ Influencing the Development of Non-cognitive Factors

4. What is your type of research design?

- ☐ Quasi-experimental Design (QED)
- ☐ Randomized Control Trial (RCT)
- ☐ Other (Please specify)

5a. How many students did you propose to serve in your control or match comparison group this year?

5b. How many students did you propose to serve in your treatment group this year?

6a. How many students did you actually serve in your control or match comparison group this year?

6b. How many students did you actually serve in your treatment group this year?

6c. If the actual number of students served is less than the proposed number of students, please explain why.



7a. How many high-need students did you actually serve in your control or match comparison group this year?

7b. How many high-need students did you actually serve in your treatment group this year?

8. How much did you spend for each student in the treatment group?

\$

9. Rate all of the intervention activities that you are using.

		Very Effective	Effective	Slightly Effective	Not Effective	Not Yet Known
Adaptable Technology						
Advising and Counseling						
Bridge Programs						
College Matching						
Curriculum Development						
Faculty Development						
Gaming Technology						
Institutional Restructuring						
Peer to Peer Mentoring						
Predictive Analytics						
Other						
Other 2						

10a. Have you had any significant delays?

☐ Yes ☐ No

10b. If yes, please explain them?

[illegible]

11a. Have there been any changes in the number of partner institutions?

☐ Yes ☐ No

11b. If yes, please explain them.

A large, empty rectangular text area with a scroll bar on the right side. The scroll bar is located on the right edge of the text area, with a small square handle and a track. The text area is currently empty.☐

Form Completed (must be checked in order to submit report)

Save

Performance Narrative Instructions

Upload your Performance Narrative as one or more attachments in MS Word (DOC/DOCX), Rich Text Format (RTF), or PDF format. Include the grant number and institution name at the top of the document. Maximum size of each file is 10 MB. You may upload as many Performance Narrative files as you need.

Instructions

Please integrate into your essay a discussion of each of the following issues:

1. Report your progress in accomplishing the objectives of the project. For each project objective, describe project activities and outcomes since the submission of the last performance report or (if you are currently in the first budget period) since the start of the project. Include quantifiable data in your narrative when available.
2. Discuss any setbacks or challenges you have experienced and describe the resulting changes or delays that occurred this year (e.g., changes in project leadership, staffing patterns, strategies, timelines, activities).
3. Discuss any significant changes proposed for the coming year. Why are changes necessary? If you have partner institutions or organizations, describe how your multi-institutional project is implementing the proposed activities and the evaluation components. Describe any changes from your original plan or from last year's report.
4. Describe how you have set up the control and treatment groups. Describe any changes from your original group descriptions. (Your independent evaluator's report will go into more detail, but you may summarize the most significant aspects here.)
5. How is your evaluation proceeding? Are there any changes or delays from your original evaluation plan? Describe the revision process for your evaluation plan. Describe how you have separated the activities that will be evaluated by non-What Works Clearinghouse (WWC) standards (i.e. implementation and fidelity evaluation) from those that will use WWC evidence standards. What are the challenges you have experienced in implementing the evaluation plan? How often do you collect evaluation data on your project? Are you experiencing any difficulties gathering evaluation data on your objectives? Are you experiencing any difficulties working with your independent evaluator? You may include some selected evaluation findings here, but the technical aspects of the evaluation evidence should be in the independent evaluator's report.

Performance Narrative File Upload

Upload your document in MS Word (DOC/DOCX), MS Excel (XLS/XLSX), Rich Text Format (RTF), or PDF format. Maximum file size is 10 MB.

Enter a descriptive title for the file (e.g., Appendix A: Questionnaire) in the "Document Title:" field. Click the "Browse..." button and select the file from your computer. Click the "Save" button upload your file to the server.

Document Title:	
File to Upload:	
Save	

Evaluation Instructions

Upload your Evaluation as one or more attachments in MS Word (DOC/DOCX), Rich Text Format (RTF), or PDF format. Include the grant number and institution name at the top of the document. Maximum size of each file is 10 MB. You may upload as many Evaluation files as you need.

Instructions

This report must be written by the independent evaluator, not the project director. The report should be an evaluation of the learning outcomes of the project. It should not be a list of project activities or project management issues unless these relate to learning outcomes. It should contain more than a satisfaction survey. The report should discuss the 2-5 main project goals centering on educational outcomes for postsecondary students or professional development for those working in the field of postsecondary education. If the project impacts K-12 students or teachers, you may discuss that here, but it should not be the sole focus of your evaluation since FIPSE grants are to improve postsecondary education.

We are looking for assessment that focuses on gains or changes in knowledge, skills, behaviors, and/or attitudes. In this report you should explain the data gathering procedures you are using to monitor and assess progress toward the

educational aims of the project. When describing the measurement instruments you are using (surveys, interviews, focus groups, assessments of e-portfolios or capstone projects, measures of class performance, scores on standardized tests, etc.), be sure to mention why they are appropriate to gauging the success of your endeavor. If you or the project director has received prior feedback from FIPSE staff regarding the project, the final section of this report should address those areas of project evaluation that were considered weak, unclear, or absent in previous submissions.

Components of your independent evaluation report.

Annual evaluation reports:

1. **Year 1 Evaluation Report** - The Evaluation Report contains a revised evaluation plan, details of baseline data collection, and draft data collection instruments.
2. **Year 2 Evaluation Report** - The Evaluation Report contains your early evaluation findings and a discussion of the resulting adjustments to project activities that were recommended and those that were implemented in order to increase the impact of the project.
3. **Year 3 Evaluation Report** - The Evaluation Report updates your evaluation findings to date and discusses any further adjustments to project activities that were recommended and those that were implemented in order to increase the impact of the project.

If you or the project director has received feedback on an evaluation plan or previous annual report from FIPSE's staff evaluator, then you should address those issues that were flagged as absent, unclear, or weak in the feedback. The program officer will be comparing the previous assessment with this report and filling in the missing information.

Note: A short-form resume of the independent project evaluator is required in the first year as well as with a change of personnel. Upload the resume separately under "Other Documents."

Evaluation File Upload

Upload your document in MS Word (DOC/DOCX), MS Excel (XLS/XLSX), Rich Text Format (RTF), or PDF format. Maximum file size is 10 MB.

Enter a descriptive title for the file (e.g., Appendix A: Questionnaire) in the "Document Title:" field. Click the "Browse..." button and select the file from your computer. Click the "Save" button upload your file to the server.

Document Title:	
File to Upload:	
Save	

Logic Model Instructions

Upload your Logic Model as one or more attachments in MS Word (DOC/DOCX), Rich Text Format (RTF), or PDF format. Include the grant number and institution name at the top of the document. Maximum size of each file is 10 MB. You may upload as many Logic Model files as you need.

Instructions

The Logic Model (also referred to as a theory of action) is a well-specified conceptual framework that identifies key components of the proposed process, product, strategy, or practice (i.e., the active "ingredients" that are hypothesized to be critical to achieving the relevant outcomes) and describes the relationship among the key components and outcomes, theoretically and operationally. It is a constructive way to address the three sub-criteria, namely, the need to define the target population, the need to define an exceptional approach to the priority selected, and the use of a framework for the conceptual design of the entire project. The logic model should be made up of inputs, activities, outcomes, and include a timeline.

Logic Model File Upload

Upload your document in MS Word (DOC/DOCX), MS Excel (XLS/XLSX), Rich Text Format (RTF), or PDF format. Maximum file size is 10 MB.

Enter a descriptive title for the file (e.g., Appendix A: Questionnaire) in the "Document Title:" field. Click the

"Browse..." button and select the file from your computer. Click the "Save" button upload your file to the server.

Document Title:

File to Upload:

Save

Other Documents Instructions

Upload your Other Documents as one or more attachments in MS Word (DOC/DOCX), Rich Text Format (RTF), or PDF format. Include the grant number and institution name at the top of the document. Maximum size of each file is 10 MB. You may upload as many Other Documents files as you need.

Instructions

Other documents are optional. As noted earlier, you may submit copies of important materials or resources generated during the time of the project, e.g., course outlines, journal articles, books, CD-ROMs, software, and other significant products. Your short-form resume for your independent evaluator may be uploaded here. NOTE: Include the grant number and institution name at the top of each document.

Other Documents File Upload

Upload your document in MS Word (DOC/DOCX), MS Excel (XLS/XLSX), Rich Text Format (RTF), or PDF format. Maximum file size is 10 MB.

Enter a descriptive title for the file (e.g., Appendix A: Questionnaire) in the "Document Title:" field. Click the "Browse..." button and select the file from your computer. Click the "Save" button upload your file to the server.

Document Title:

File to Upload:

Save

Performance Measures

Review the performance measures for FITW grants. When applicable, report below on each performance measure. Limit 4,000 characters and spaces on all responses.

Under the Government Performance and Results Act of 1993, the Department will use the following performance measures in assessing the successful performance of FIPSE's FITW grants:

- (1) The extent to which funded projects are replicated (i.e., adopted or adapted by others).
(Report at the end of the grant period - Final Report)

- (2) The extent to which projects are institutionalized and continued after funding.
(Report at the end of the grant period - Final Report)

- (3) The extent to which the metrics used to assess and evaluate project results measure performance under the absolute priority the project is designed to address. **(Report annually)**



- (4) The percentage of projects supported by FITW grants that produce evidence of their effectiveness (i.e., meet What Works Clearinghouse Evidence Standards with or without reservations) at improving student outcomes and college affordability, especially for low-income students.

(Report at the end of the grant period - Final Report)



- (5) The percentage of projects supported by FITW grants that provide high-quality implementation data and performance feedback that allow for periodic assessment of progress toward achieving intended outcomes.

(Report annually)

[illegible]

- (6) The cost per student served by FITW grants. **(Report annually using this equation):**

Expenditures ((Federal, non-Federal, and other costs expended) - Evaluation Costs expended)	=	\$	0	=	0.00
Students Served			0		

- (7) The cost per successful student outcome. **(Report at the end of the grant period - Final Report)**



Save

Authorized Representative:

Name:

Title:

Phone: