



SURVEY OF NEW FOREIGN DIRECT INVESTMENT IN THE UNITED STATES
FORM BE-13E
MANDATORY — CONFIDENTIAL

Name and mailing address

Electronic filing: Go to www.bea.gov/efile for details

Mail reports to: U.S. Department of Commerce
 Bureau of Economic Analysis, BE-49(NI)
 Washington, DC 20230

Deliver reports to: U.S. Department of Commerce
 Bureau of Economic Analysis, BE-49(NI)
 Shipping and Receiving Section, M-100
 1441 L Street, NW
 Washington, DC 20005

Fax reports to: (202) 606-2894

Assistance: E-mail: be13@bea.gov
 Telephone: (202) 606-5577
 Copies of form: www.bea.gov/fdi

0010	Name:		
0011	In Care Of:		
0012	Attention:		
0013	Title:		
0014	Street 1:		
0015	Street 2:		
0016	0017	0018	
	City:	State:	Zip:

Definitions: Underlined items are defined on page 10.

Due date: No later than 45 days after the request date.

Estimates: If actual amounts are not available, supply estimates and label them as such.

Who must report: A BE-13E report must be filed by a U.S. affiliate to update construction costs previously reported on Forms BE-13B or BE-13D.

Alternate forms:

Report on Form BE-13A if a foreign entity acquires a voting interest (directly, or indirectly through an existing U.S. affiliate) in an existing U.S. business enterprise, segment, or operating unit and i) the total cost of the acquisition is greater than \$3 million, ii) the U.S. business enterprise will operate as a separate legal entity, and iii) by this acquisition, at least 10 percent of the voting interest in the acquired entity is now held (directly or indirectly) by the foreign entity.

Report on Form BE-13B if a foreign entity or an existing U.S. affiliate of a foreign entity establishes a new legal entity in the United States and i) the projected total cost to establish the new legal entity is greater than \$3 million, and ii) the foreign entity owns 10 percent or more of the new business enterprise's voting interest (directly or indirectly).

Report on Form BE-13C if an existing U.S. affiliate of a foreign parent when it acquires a U.S. business enterprise or segment that it then merges into its operations and the total cost to acquire the business enterprise is greater than \$3 million.

Report on Form BE-13D if an existing U.S. affiliate of a foreign parent expands its operations to include a new facility where business is conducted and the projected total cost of the expansion is greater than \$3 million.

Report on Form BE-13 Claim for Exemption if the U.S. business Enterprise is exempt from filing on Forms BE-13A, BE-13B, BE-13C, or BE-13D.

BE-13E – Cost updates for Forms BE-13B and BE-13D

\$ Bil. Mil. Thous. Dols.

Monetary Values — Report in U.S. dollars rounded to thousands (omitting 000).

EXAMPLE – If amount is \$1,334,891.00, report as.....

1	1	335	000
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Percentages — Report ownership percentages to a tenth of one percent:

9	8	.	7	%
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Retention of copies: Retain a copy of each BE-13 report for three years beyond the report's original due date.

Purpose: This survey collects data on the acquisition or establishment of U.S. business enterprises by foreign investors and the expansion of existing U.S. affiliates of foreign companies to establish a new production facility. The data collected on the survey are used to measure the amount of new foreign direct investment in the United States, assess the impact on the U.S. economy, and based on this assessment, make informed policy decisions regarding foreign direct investment in the United States.

Authority: This survey is being conducted under the International Investment and Trade in Services Survey Act (P.L. 94-472, 90 Stat. 2059, 22 U.S.C. 3101-3108, as amended) –hereinafter “the Act”, and the filing of reports is MANDATORY pursuant to Section 5(b)(2) of the Act (22 U.S.C. 3104). The implementing regulations are contained in Title 15, CFR, Part 801.

Penalties: : Whoever fails to report may be subject to a civil penalty of not less than \$2,500, and not more than \$32,500, and to injunctive relief commanding such person to comply, or both. Whoever willfully fails to report shall be fined not more than \$10,000 and, if an individual, may be imprisoned for not more than one year, or both. Any officer, director, employee, or agent of any corporation who knowingly participates in such violation, upon conviction, may be punished by a like fine, imprisonment, or both. (22 U.S.C. 3105). Civil penalties are subject to inflationary adjustments. Those adjustments are found in 15 CFR 6.4.

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

Respondent burden: Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing burden, to: Director, Bureau of Economic Analysis (BE-1), U.S. Department of Commerce, Washington, DC 20230; and to the Office of Management and Budget, Paperwork Reduction Project 0608-0035, Washington, DC 20503.

Confidentiality: The Act provides that your report to this Bureau is CONFIDENTIAL and may be used only for analytical or statistical purposes. Without your prior written permission, the information filed in your report CANNOT be presented in a manner that allows it to be individually identified. Your report CANNOT be used for purposes of taxation, investigation, or regulation. Copies retained in your files are immune from legal process.

**Person to consult concerning questions about this report —
Enter name and address**

0020	Name	0		
0021	Address	0		
0022		0		
0023	Telephone number	Area code	Number	Extension
0024	0	0	0	0

Certification — The undersigned official certifies that this report has been prepared in accordance with the applicable instructions, is complete, and is substantially accurate including estimates that may have been provided.

Authorized official's signature		Date
0025	Print or type name	0026
0027	0	0
0027	Telephone number	0028
1	0	2

May fax and/or e-mail be used in correspondence between your enterprise and BEA?

Note — If you choose to communicate with BEA via fax or electronic mail, BEA cannot guarantee the security of the information during transmission, but will treat information we receive as confidential in accordance with Section 5(c) of the International Investment and Trade in Services Survey Act.

0030 E-mail: 1 Yes (If yes, enter your e-mail address)
2 No

E-mail address
0

0031 Fax: 1 Yes (If yes, enter your fax number)
2 No

Fax number
0

BE-13E –Cost updates for Forms BE-13B and BE-13D

Update to previously reported expenditures:

Month Day Year

1 What is the end date for the most recently completed fiscal year for which you have final expenditure figures?

1
 ____ / ____ / ____

For **2** through **12**, what are the expenditures (including projected expenditures) associated with setting up this new operation or facility?

• Provide actual expenditures for completed years and updated projections for future years as of the date reported in **1**.

	Year	Previous Reported Expenditures				Updated expenditures (actual and projected)			
		\$ Bil.	Mil.	Thous.	Dols.	\$ Bil.	Mil.	Thous.	Dols.
2 xxxx					000	1			000
3 xxxx					000	1			000
4 xxxx					000	1			000
5 xxxx					000	1			000
6 xxxx					000	1			000
7 xxxx					000	1			000
8 xxxx					000	1			000
9 xxxx					000	1			000
10 xxxx					000	1			000
11 xxxx					000	1			000
12 xxxx	Total expenditures? Sum of 2 through 11				000	1			000

How much of the expenditure reported above, for the most recently completed fiscal year reported in **1**, is accounted for as:

	\$ Bil.	Mil.	Thous.	Dols.
13 Land?				000
14 Lease (excluding leasehold improvements)?				000
15 Property, plant and equipment expenditures other than land?				000
16 Construction (including construction of leasehold improvements)?				000
17 Intellectual property rights?				000
18 Fees, taxes, permits, licenses?				000
19 Other costs (specify)?				000
20 Total expenditures? Sum of 13 through 19				000

Must equal the total for the most recently completed fiscal year.