



FORM APPROVED
OMB No. 3145-0100
Expiration Date: mm/dd/yy

NATIONAL SCIENCE FOUNDATION
ARLINGTON, VA 22230
HIGHER EDUCATION RESEARCH AND DEVELOPMENT SURVEY
FY 2013 Population Review Questionnaire

Please return the completed form by August 16, 2013.

Please fill in your institution's name and complete the three questions below.

Your inclusion in the FY 2013 Higher Education Research and Development (HERD) Survey will be based on your FY 2012 research expenditures.

Your institution name:

Question 1. During your institution's FY 2012, did your institution have any R&D expenditures?

(Please see definitions below.)

Yes ☐ → Go to Question 2.

No ☐ → Go to Question 3.

Research and development (R&D) is creative work conducted systematically to increase the stock of knowledge (research) and to use this stock of knowledge to devise new applications (development).

R&D expenditures include all R&D expenditures from your institution's current operating funds that are separately budgeted and accounted for. R&D for the purposes of this survey includes expenditures for "organized research," as defined by 2 CFR 220 (OMB Circular A-21), and expenditures from other accounts that are used only for research.

R&D <i>includes</i> :	R&D does <i>not</i> include:
- Sponsored research (including federal and nonfederal sponsors) - University research (institutional funds that are separately budgeted for individual R&D projects) - Other accounts funded by your institution that are only used for research - Recovered and unrecovered indirect costs - Equipment purchased from R&D project accounts - R&D funds passed through to a subrecipient organization, educational or other - Clinical trials, Phases I, II, or III - Research training grants funding work on organized research projects	- Public service grants or outreach programs - Curriculum development (unless included as part of an overall research project) - Departmental research that is not separately budgeted - R&D conducted by university faculty or staff at outside institutions that is not accounted for in your financial records - Capital projects (i.e., construction or renovation of research facilities) - Non-research training grants - Unrecovered indirect costs that exceed your institution's federally negotiated facilities and administrative (F&A) rate

(Questions continue on page 2)

Question 2. What were your institution's total expenditures for R&D in FY 2012?

Less than \$150,000 ☐

\$150,000 to \$999,999 ☐

\$1,000,000 or more ☐

Question 3. Whom should we contact about the information above?

Name	
Title	
Institution name	
Building/department	
Street address (line 1)	
Street address (line 2)	
City, state, and ZIP code	
Phone number	
Fax number	
E-mail address	

Thank you for completing this questionnaire. If you have any questions, please contact ICF International at support@herdsurvey.org or (866) 936-9376.