

**RECOMMENDATION BY ICCAT AMENDING  
RECOMMENDATION 07-10 ON AN ICCAT BLUEFIN TUNA  
CATCH DOCUMENTATION PROGRAM**

*RECOGNIZING* the situation of Atlantic bluefin tuna stocks and the impact that market factors have on the fishery;

*TAKING INTO ACCOUNT* the rebuilding plan for western Atlantic bluefin tuna and the recovery plan for eastern Atlantic and Mediterranean bluefin tuna that ICCAT has adopted, including the need for complementary market related measures;

*RECOGNIZING* the necessity to clarify and improve the implementation of the bluefin tuna catch documentation scheme, providing detailed instructions for the completion and the validation of the bluefin tuna catch document,

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION  
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

**PART I GENERAL PROVISIONS**

1. Each Contracting Party, Cooperating non-Contracting Party, Entity and Fishing Entity (hereafter referred to as CPCs) shall take the necessary steps to implement an ICCAT Bluefin Tuna Catch Documentation Scheme for the purpose of identifying the origin of any bluefin tuna in order to support the implementation of conservation and management measures.
2. For the purpose of this Programme:
  - a) "Domestic trade" means:
    - trade of bluefin tuna harvested in the ICCAT Convention area by a vessel or trap, which is landed in the territory of the CPC where the vessel is flagged or where the trap is established, and
    - trade of farmed bluefin tuna products originating from bluefin tuna harvested in the ICCAT Convention area by a vessel which is flagged to the same CPC where the farm is established, which is supplied to any entity in this CPC, and
    - trade between the Member States of the European Community of bluefin tuna harvested in the ICCAT Convention area by vessels flagged to one Member State or by a trap established in one Member State.
  - b) "Export" means:

Any movement of bluefin tuna in its harvested or processed form (including farmed) from the territory of the CPC where the fishing vessel is flagged or where the trap or farm is established to the territory of another CPC or non-Contracting Party, or from the fishing grounds to the territory of a CPC which is not the flag CPC of the fishing vessel or to the territory of a non-Contracting Party.
  - c) "Import" means:

Any introduction of bluefin tuna in its harvested or processed form (including farmed) into the territory of a CPC, which is not the CPC where the fishing vessel is flagged or where the trap or the farm is established.
  - d) "Re-export" means:

Any movement of bluefin tuna in its harvested or processed form (including farmed) from the territory of a CPC where it has been previously imported.
  - e) "flag State" means the State where the fishing vessel is flagged; "trap State" means the State where the trap is established; and "farm State" means the State where the farm is established.

3. CPCs shall require a completed Bluefin Tuna Catch Document (BCD) for each bluefin tuna:
  - a) landed at its ports,
  - b) delivered to its farms, and
  - c) harvested from its farms.

Each consignment of bluefin tuna domestically traded, imported into or exported or re-exported from its territories shall be accompanied by a validated BCD, except in cases where paragraph 9(c) applies and, as applicable, an ICCAT transfer declaration or a validated Bluefin Tuna Re-export Certificate (BFTRC). Any such landing, transfer, delivery, harvest, domestic trade, import, export or re-export of bluefin tuna without a completed and validated BCD or a BFTRC shall be prohibited.

4. In order to support an effective BCD, CPCs shall:
  - a) not place bluefin tuna into a farm not authorized by the CPC or listed in the ICCAT record,
  - b) not place bluefin tuna from different years or CPCs in the same cages unless effective measures are in place to determine the CPC of origin and catch year when the bluefin tuna are ultimately harvested from the farm
5. Each CPC shall provide BCD forms only to catching vessels and traps authorized to fish bluefin tuna in the Convention area, including as by-catch. Such forms are not transferable. Each BCD form shall have a unique document identification number. Document numbers shall be specific to the flag or trap State and assigned to the catching vessel or trap.
6. Domestic trade, export, import and re-export of fish parts other than the meat (i.e., heads, eyes, roes, guts and tails) shall be exempted from the requirements of this Recommendation.

## **PART II VALIDATION OF BCDs**

7. The catching vessel master or trap operator, or its authorized representative, or the operator of farms, or the authorized representative of the flag, farm, or trap State, shall complete the BCD by providing the required information in appropriate sections and request validation in accordance with paragraph 9 for a BCD for catch landed, transferred to cages, harvested, transhipped, domestically traded or exported on each occasion that it lands, transfers, harvests, tranships, domestically trades or exports bluefin tuna.
8. A validated BCD shall include, as appropriate, the information identified in **Annex 1** attached. A BCD format is attached as **Annex 2**. In cases where a section of the BCD format does not provide enough room to completely track movement of BFT from catch to market, the needed information section of the BCD may be expanded as necessary and attached as an annex using the original BCD format and number. The authorized representative of the CPC shall validate the annex as soon as possible but not later than the next movement of BFT.
9.
  - a) The BCD must be validated by an authorized government official, or other authorized individual or institution, of the flag State of the catching vessel, the State of the seller/exporter, or the trap or farm State that caught, harvested, domestically traded or exported the bluefin tuna. If the catching vessel is operating under a charter arrangement, the BCD must be validated by an authorized governmental official or institution of the chartering entity's CPC.
  - b) The CPCs shall validate the BCD for all bluefin tuna products only when all the information contained in the BCD has been established to be accurate as a result of the verification of the consignment, and only when the accumulated validated amounts are within their quotas or catch limits of each management year, including, where appropriate, individual quotas allocated to catching vessels or traps, and when those products comply with other relevant ICCAT provisions of the conservation and management measures.
  - c) Validation under 9(a) shall not be required in the event that all bluefin tuna available for sale are tagged by the flag State of the catching vessel or the trap State that fished the bluefin tuna.

- d) Where the bluefin tuna quantities caught and landed are less than 1 metric ton or three fish, the logbook or the sales note may be used as a temporary BCD, pending the validation of the BCD within seven days and prior to export.

### **PART III VALIDATION OF BFTRCs**

10. Each CPC shall ensure that each bluefin tuna consignment which is re-exported from its territory be accompanied by a validated Bluefin Tuna Re-export Certificate (BFTRC). In cases where bluefin tuna is imported live, the BFTRC shall not apply.
11. The operator who is responsible for the re-export shall complete the BFTRC by providing the required information in its appropriate sections and request its validation for the bluefin tuna consignment to be reexported. The completed BFTRC shall be accompanied by a copy of the validated BCD(s) relating to the bluefin tuna products previously imported.
12. The BFTRC shall be validated by an authorized government official or authority.
13. The CPC shall validate the BFTRC for all bluefin tuna product only when
  - a) all the information contained in the BFTRC has been established to be accurate,
  - b) the validated BCD(s) submitted in support to the BFTRC had been accepted for the importation of the products declared on the BFTRC and
  - c) the products to be re-exported are wholly or partly the same products on the validated BCD(s).
  - d) a copy of the BCD(s) shall be attached to the validated BFTRC.
14. The validated BFTRC shall include the information identified in **Annex 3** and **Annex 4** attached.

### **PART IV VERIFICATION AND COMMUNICATION**

15. Each CPC shall communicate a copy of all validated BCDs or BFTRCs, except in cases where paragraph 9(c) applies, within five working days following the date of validation, or without delay where the expected duration of the transportation should not take more than five working days, to the following:
  - a) the competent authorities of the country where the bluefin tuna will be domestically traded, or transferred into a cage or imported, and
  - b) the ICCAT Secretariat.
16. The ICCAT Secretariat shall extract from the validated BCDs or BFTRCs communicated under paragraph 15 above the information marked with an asterisk (\*) in **Annex 1** or **Annex 3** and enter this information in a database on a password protected section of its website, as soon as practicable.

At its request, the SCRS shall have access to the catch information contained in the database, except the vessel or trap names.

### **PART V TAGGING**

17. CPCs may require their catching vessels or traps to affix a tag to each bluefin tuna preferably at the time of kill, but no later than the time of landing. Tags shall have unique country specific numbers and be tamper proof. The tag numbers shall be linked to the BCD and a summary of the implementation of the tagging program shall be submitted to the ICCAT Secretariat by the CPC. The use of such tags shall only be authorized when the accumulated catch amounts are within their quotas or catch limits of each management year, including, where appropriate, individual quotas allocated to vessels or traps.

### **PART VI VERIFICATION**

18. Each CPC shall ensure that its competent authorities, or other authorized individual or institution, take steps to identify each consignment of bluefin tuna landed in, domestically traded in, imported into or exported or re-exported from its territory and request and examine the validated BCD(s) and related documentation of

each consignment of bluefin tuna. These competent authorities, or authorized individuals or institutions, may also examine the content of the consignment to verify the information contained in the BCD and in related documents and, where necessary, shall carry out verifications with the operators concerned.

19. If, as a result of examinations or verifications carried out pursuant to paragraph 18 above, a doubt arises regarding the information contained in a BCD, the final importing State and the CPC whose competent authorities validated the BCD(s) or BFTRCs shall cooperate to resolve such doubts.
20. If a CPC involved in trade of bluefin tuna identifies a consignment with no BCD, it shall notify the findings to the exporting State and, where known, the flag State.
21. Pending the examinations or verifications under paragraph 18 to confirm compliance of the bluefin tuna consignment with the requirements in the present Recommendation and any other relevant Recommendations, the CPCs shall not grant its release for domestic trade, import or export, nor, in the case of live bluefin tuna destined to farms, accept the transfer declaration.
22. Where a CPC, as a result of examination or verifications under paragraph 18 above and in cooperation with the validating authorities concerned, determines that a BCD or BFTRC is invalid, the domestic trade, import, export or re-export of the bluefin tuna concerned shall be prohibited.
23. The Commission shall request the non-Contracting Parties that are involved in domestic trade, import, export or re-export of bluefin tuna to cooperate with the implementation of the Program and to provide to the Commission data obtained from such implementation.

## **PART VII NOTIFICATION AND COMMUNICATION**

24. Each CPC that validates BCDs in respect of its flag catching vessels, traps or farms in accordance with paragraph 9(a), shall notify the ICCAT Secretariat of the government authorities, or other authorized individuals or institutions (name and full address of the organization(s) and, where appropriate, name and title of the validating officials who are individually empowered, sample form of document, sample impression of stamp or seal, and as appropriate tag samples) responsible for validating and verifying BCDs or BFTRCs. This notification shall indicate the date at which this entitlement comes into force. A copy of the provisions adopted in national law for the purpose of implementing the bluefin tuna catch documentation program shall be communicated with the initial notification, including procedures to authorize non-governmental individuals or institutions. Updated details on validating authorities and national provisions shall be communicated to the ICCAT Secretariat in a timely fashion.
25. The information on validating authorities transmitted by notifications to the ICCAT Secretariat shall be placed on the password protected page of the database on validation held by the ICCAT Secretariat. The list of the CPCs having notified their validating authorities and the notified dates of entry into force of the validation shall be placed on a publicly accessible website held by the ICCAT Secretariat. CPCs are encouraged to access this information to help verify the validation of BCDs and BFTRCs.
26. Each CPC shall notify to the ICCAT Secretariat the points of contact (name and full address of the organization(s)) that should be notified when there are questions related to BCDs or BFTRCs.
27. Copies of validated BCDs and notification pursuant to paragraphs 24, 25 and 26 shall be sent by CPCs to the ICCAT Secretariat, by electronic means, whenever possible.
28. The Commission shall consider the introduction of an electronic system as informed by results reported to the Commission from the electronic statistical document pilot programs conducted by CPCs in accordance with *Recommendation by ICCAT on an Electronic Statistical Document Pilot Program* [Rec. 06-16]. Those CPCs which implement an electronic system in advance of the Commission shall ensure the electronic system meets the requirements of this measure and has the ability to produce paper copies upon request of national authorities from the exporting and importing Parties.
29. Copies of BCDs shall follow each part of split shipments or processed product, using the unique document number of the BCD to link them.
30. CPCs shall keep copies of documents issued or received for at least two years.

31. CPCs shall provide to the ICCAT Secretariat a report each year by October 1 for the period from July 1 of the preceding year to June 30 of the current year to provide the information described in **Annex 5**.

The ICCAT Secretariat shall post these reports on the password protected section of the ICCAT website, as soon as practicable.

At its request, the SCRS shall have access to the reports received by the ICCAT Secretariat.

32. The *Recommendation by ICCAT on an ICCAT Bluefin Tuna Catch Document Program* [Rec. 07-10] is repealed and replaced by this Recommendation.

**UNITED STATES BLUEFIN TUNA CATCH DOCUMENT**

Document No. \_\_\_\_\_

**1. CATCH INFORMATION****A. VESSEL**

	ATVP NO:			
	NAME:		FLAG: <b>US</b>	ICCAT RECORD NO.:

**B. CATCH DESCRIPTION**

	LANDING DATE (ddmmyy):		AREA: <b>West Atlantic</b>
	NO. FISH:		AVG WT (KG):
	TOTAL WT (KG):		GEAR:

**C. GOVT. VALIDATION**

	NAME OF AUTHORITY:		TITLE:		SEAL
	SIGNATURE:		DATE:		

**2. TAG INFORMATION & PRODUCT DESCRIPTION**

TAG #:	WT (KG):	Product Type		Product Type Descriptions
		F/FR	RD/GG/DR/FL/OT	
ABT - - - - -				F = Fresh
ABT - - - - -				FR = Frozen
ABT - - - - -				
ABT - - - - -				RD = Round
ABT - - - - -				GG = Gilled&Gutted
ABT - - - - -				DR = Dressed
ABT - - - - -				FL = Fillet
ABT - - - - -				OT = Other
ABT - - - - -				
ABT - - - - -				

**3. TRADE INFORMATION****A. EXPORTER/SELLER**

	COMPANY:		LICENSE NO:	
	ADDRESS:		STREET	CITY STATE OR PROVINCE
	NET WT (kg):		SIGNATURE:	

**B. POINT OF EXPORT/DEPARTURE:**

	CITY:		STATE:		DESTINATION COUNTRY:
	COUNTRY: <b>USA</b>		DATE:		

**C. TRANSPORTATION DESCRIPTION (ensure documents are available at import)****D. GOVT VALIDATION**

	NAME OF AUTHORITY:		TITLE:		SEAL
	SIGNATURE:		DATE:		

**E. IMPORTER/BUYER**

	COMPANY:		LICENSE NO:	
	ADDRESS:		STREET	CITY STATE OR PROVINCE
	SIGNATURE:			

**F. POINT OF IMPORT**

	CITY:		STATE OR PROVINCE:	
	COUNTRY:		DATE:	

# United States Bluefin Tuna Catch Document

## Reporting Instructions for Bluefin Tuna Exports

Bluefin tuna exporters must fill out this form for each vessel's exported catch. Complete the document on the reverse side by entering the requested data in the appropriate data fields following the instructions below. (*See the bottom of this instruction sheet for Pacific bluefin tuna*). The document must be filled out completely prior to exporting bluefin tuna in accordance with 50 C.F.R. 300.185. The completed original document must accompany the exported consignment.

Submit a copy of the completed document to the National Marine Fisheries Service (NMFS) at one of the following addresses: by email to: [BFTreporting@noaa.gov](mailto:BFTreporting@noaa.gov); by FAX to: 978-281-9340; or by mail to: HMS Management Division, One Blackburn Drive, Gloucester, MA, 01930. NMFS must receive a copy of the document within 24 hours of export.

### FORM INSTRUCTIONS

**Document Number:** Use the following formula to generate a document number: *USYear - International Trade Permit (ITP) number* (numerals only, do not include the "SE" prefix)- *sequential number*. For example, an exporter with the ITP number SE 10543 who is exporting the first bluefin for the year 2008 would use the following doc. number: US2008-10543-1.

**1. Catch Information** (*NOTE: It may be necessary to obtain this information from the Atlantic Tunas Dealer Permit holder who first purchased the bluefin tuna.*)

A. Vessel: Enter the name of the vessel that captured the bluefin tuna and the vessel's Atlantic Tuna Vessel Permit (ATVP) number. For vessels with a length greater than 24 m, enter the ICCAT record number (see website <http://www.iccat.int/vesselsrecord.asp>)

B. Catch Description: Enter the Landing Date (date bluefin tuna was brought to dock and sold to Atlantic Tunas Dealer Permit holder), Area of catch, Gear type (choose from table below), Number of Fish entered on this form, Total Weight in kilograms of fish product entered on this form, and calculate average weight = (total weight/number of fish).

GEAR TYPES								
HAND	-	handline	LL	-	longline	RR	-	rod and reel
HARP	-	harpoon	PS	-	purse seine	TRAP	-	trap

### 2. Tag Information and Product Description

Enter the tag number for each bluefin tuna in the space provided. Enter the product type (choose from list on front of form) for each tagged fish and its weight in kilograms. If product type is "other" enter "OT" and a one-word description (*e.g.*, OT-toro).

### 3. Trade Information

A. Exporter/Seller: Enter the exporting company's name and International Trade Permit number, address, the total weight of bluefin tuna listed on this form, and sign once the form is printed.

B. Point of Departure/Export: enter the point of departure including city, state, and date of export. ***Enter the destination (country) of the export.***

C. Transportation Description: Ensure that a copy of official transportation documentation (*e.g.*, airway bill) is received by the importer, along with this form.

D. Government Validation: If bluefin tuna are tagged, leave this section blank (government validation is waived for tagged U.S. Atlantic and Pacific bluefin tuna). For untagged Pacific bluefin tuna, government validation is required. For validation, FAX the completed form to the NMFS-authorized validation official at: 866/252-3751. Be sure to specify return fax number and phone number. If your validated form has not been returned within 2 hours, call the validation official at 843-724-6425.

E. Importer/Buyer; and, F. Point of Import: leave blank – this section will be filled out by the foreign importer.

**Pacific bluefin tuna exports:** only the following fields are required: "Document Number," "Area" under "Catch Information," "Weight" and "Product Type" under "Tag Information and Product Description," and all "Trade Information" fields, including "Government Validation."

**The following information is provided to you as required by the Paperwork Reduction Act (PRA) of 1995 (PL 104-13):** Under the provisions of the PRA and the Privacy Act of 1974 (PL 93-579), you are advised that disclosure of the information requested on the United States bluefin tuna catch document is mandatory for the purpose of managing the bluefin tuna fishery. The data is used to monitor trade of Atlantic bluefin tuna. Reporting burden for the collection of information is estimated to average 5 minutes per completed form, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing and reviewing the information. Pursuant to Section 402(b) of the Magnuson-Stevens Act, and consistent with NOAA Administrative Order 216-100 (Confidentiality of Fisheries Statistics), the Agency does not release confidential information submitted in compliance with provisions of the Act, other than in aggregate form and under circumstances required or authorized by law. Whenever data are requested or released to the general public, the Agency ensures that information on the pecuniary business activity of a dealer is not identified. **Because you have been provided with a currently valid OMB control number for a collection of information subject to the requirements of the Paperwork Reduction Act you are required to respond to, or be subject to penalty for failing to comply with, this collection of information. Send comments regarding this burden estimate or suggestions for reducing this burden to: NMFS, Highly Migratory Species Division, 1 Blackburn Drive, Gloucester, MA 01930-2298.**

<b>DOCUMENT NUMBER</b>	<b>ICCAT BLUEFIN TUNARE-EXPORT CERTIFICATE</b>		
<b>RE-EXPORT SECTION:</b>			
<b>1. RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY</b>			
<b>2. POINT OF RE-EXPORT</b>			
<b>3. FARMS (if applicable)</b>			
<b>4. DESCRIPTION OF IMPORTED FISH</b>			
Product Type <i>F/FR RD/GG/DR/FL/OT</i>	Net Weight <i>(kg)</i>	Flag Country/ Entity/Fishing Entity	Date of import
<b>5. DESCRIPTION OF FISH FOR RE-EXPORT</b>			
Product Type <i>F/FR RD/GG/DR/FL/OT</i>	Net Weight <i>(kg)</i>		
		? Farmed	
		? Farmed	
		? Farmed	
F=Fresh, FR=Frozen, RD=Round, GG=Gilled & Gutted, DR=Dressed, FL=Fillet, OT=Others (Describe the type of product: _____)			
<b>6. EXPORTER CERTIFICATION:</b>			
I certify that the above information is complete, true and correct to the best of my knowledge and belief.			
Name	Address	Signature	Date
License # (if applicable)			
<b>7. GOVERNMENT VALIDATION:</b>			
I validate that the above information is complete, true and correct to the best of my knowledge and belief.			
			Total weight of the shipment: _____ kg
Name & Title	Signature	Date	Government Seal
<b>IMPORT SECTION</b>			
<b>8. IMPORTER CERTIFICATION:</b>			
I certify that the above information is complete, true and correct to the best of my knowledge and belief.			
Importer Certification (Intermediate Country/Entity/Fishing Entity)			
Name	Address	Signature	Date
License # (if applicable)			
Importer Certification (Intermediate Country/Entity/Fishing Entity)			
Name	Address	Signature	Date
License # (if applicable)			
Importer Certification (Final Destination of Shipment)			
Name	Address	Signature	Date
License # (if applicable)			
Final Point of Import: City _____ State/Province _____ Country/Entity/Fishing Entity _____			

**NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION ON THIS DOCUMENT.**



## ICCAT BLUEFIN TUNA RE-EXPORT CERTIFICATE INSTRUCTION SHEET

Under the framework of the ICCAT Bluefin Tuna Statistical document Program, a request for the establishment of a system enabling re-export was growing recently. In 1997, a Recommendation was adopted to implement the ICCAT Bluefin Tuna statistical Document Program for Re-export. Pursuant to this Recommendation, bluefin tuna dealers who import re-exported\*1 bluefin tuna to Japan will be required to submit an ICCAT Bluefin Tuna Re-export Certificate\*2 to be validated by a government official of the intermediate country or area\*3, or by a recognized institution, such as a Chamber of Commerce and Industry, accredited by the government of the intermediate country or area. A copy of the original Bluefin Tuna Statistical Document (BFTSD) accompanying the bluefin tuna at the time of importation must be attached to the Re-export Certificate. The copy of the original BFTSD so attached must be verified by a government official of the intermediate country or area, or by a recognized institution, such as a Chamber of Commerce and Industry, accredited by the government of the intermediate country or area. When re-exported bluefin tuna are again re-exported\*4, all copies of documents, including a verified copy of a BFTSD and Re-export Certificate which accompanied that bluefin tuna must be attached to a new Re-export Certificate to be validated by a government official of the last intermediate country or area, or by a recognized institution, such as a Chamber of Commerce and Industry, accredited by the government of the last intermediate country or area. Only bluefin tuna accompanied by a complete and valid Re-export Certificate will be allowed to enter Japan. Shipments of re-exported bluefin tuna accompanied by an improperly documented\*5 Re-export Certificate will be considered illegitimate shipments of re-exported bluefin that are contrary to ICCAT conservation efforts, and their entry into Japan will be suspended pending receipt of a properly documented Re-export Certificate.

### NOTE:

\*1 "Re-export" means that bluefin tuna passes through a country or an area (tax-exempted areas are excluded) after being exported from the flagship country or the area (tax-exempted areas are excluded) of the fishing vessel which has caught that bluefin tuna.

\*2 Hereinafter called "Re-export Certificate".

\*3 "An intermediate country or area" means a country or an area through which bluefin tuna pass after being exported from the flagship country or the area (tax-exempted areas are excluded) of the fishing vessel which has caught that bluefin tuna.

\*4 Re-export of bluefin tuna from one Member State of the European Union to another is exempted.

\*5 "Improperly documented" means that the Re-export Certificate is either missing from the shipment, incomplete, invalid, or falsified.

Please use this instruction sheet as a guideline to complete that section of the Bluefin Tuna Re-export Certificate that applies to Exporters, Importers, and Government Validation. If a language other than English is used in completing the form, please add the English translation on the Certificate. NOTE: IF A BLUEFIN TUNA PRODUCT IS RE-EXPORTED DIRECTLY TO JAPAN, WITHOUT FIRST GOING THROUGH AN INTERMEDIATE COUNTRY/ENTITY/FISHING ENTITY, ALL FISH CAN BE IDENTIFIED ON ONE CERTIFICATE. HOWEVER, IF THE BLUEFIN TUNA PRODUCT IS RE-EXPORTED THROUGH AN INTERMEDIATE COUNTRY/ENTITY/FISHING ENTITY (i.e. A COUNTRY/ENTITY/FISHING ENTITY OTHER THAN THE COUNTRY/ENTITY/FISHING ENTITY WHICH IS THE FINAL DESTINATION OF THE PRODUCT), A SEPARATE CERTIFICATE MUST BE PREPARED FOR DIFFERENT FINAL DESTINATIONS OR EACH FISH MAY BE ACCOMPANIED BY A SEPARATE CERTIFICATE TO IDENTIFY ANY POSSIBLE SEPARATION OF SHIPMENTS BY AN INTERMEDIATE COUNTRY/ENTITY/FISHING ENTITY. THE IMPORT OF FISH PARTS OTHER THAN THE MEAT, i.e. HEADS, EYES, ROE, GUTS, TAILS MAY BE ALLOWED WITHOUT THE CERTIFICATE.

### INSTRUCTIONS

**DOCUMENT NUMBER:** Block for the issuing Country/Entity/Fishing Entity to designate a Country/Entity/Fishing Entity coded document number.

#### (1) RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY

Fill in the name of the Country/Entity/Fishing Entity that re-exports the bluefin tuna in the shipment and issued this Certificate. According to the ICCAT Recommendation, only the re-exporting Country/Entity/Fishing Entity can issue this Certificate.

#### (2) POINT OF RE-EXPORT

Identify the City/State Province and Country/Entity/Fishing Entity from which the bluefin tuna was re-exported.

#### (3) FARMS (if applicable)

Fill in the name and address of the farm that farmed the bluefin tuna in the shipment.

#### (4) DESCRIPTION OF IMPORTED FISH

The exporter must provide, to the highest degree of accuracy, the following information: NOTE: One row should describe one product type. (1) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: Net product weight in kilograms. (3) Flag Country/Entity/Fishing Entity: the name of the Country/Entity/Fishing Entity of the vessel that harvested the bluefin tuna in the shipment. (4) Date of import: Imported date.

#### (5) DESCRIPTION OF RE-EXPORTED FISH

The exporter must provide, to the highest degree of accuracy, the following information: NOTE: One row should describe one product type. (1) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: Net product weight in kilograms. (3) Mark the box "Farmed" if the bluefin tuna in the shipment was farmed at the re-exporting country/entity/fishing entity.

#### (6) RE-EXPORTED CERTIFICATION

The person or company re-exporting the bluefin tuna shipment must provide his/her name, address, signature, date the shipment was re-exported, and re-exporter's license number (if applicable).

#### (7) GOVERNMENT VALIDATION

Fill in the name and full title of the official signing the Certificate. The official must be in the employment of the competent government authority of the re-exporting Country/Entity/Fishing Entity appearing on the Certificate. This requirement may be waived according the ICCAT RESOLUTION CONCERNING VALIDATION BY AN GOVERNMENT OFFICIAL OF THE BLUEFIN STATISTICAL DOCUMENT.

#### (8) IMPORTER CERTIFICATION

The person or company that imports bluefin tuna must provide his/her name, address, signature, date the bluefin tuna was imported, license number (if applicable) and re-exported final point of import. This includes imports into intermediate Countries/Entities/Fishing Entities. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

**RETURN A COPY OF THE COMPLETED CERTIFICATE TO:** (the name of the office of the competent authority of the re-exporting Country/Entity/Fishing Entity).

<b>DOCUMENT NUMBER</b>	<b>ICCAT BIGEYE TUNA STATISTICAL DOCUMENT</b>
<b>EXPORT SECTION:</b>	
<b>1. FLAG COUNTRY/ENTITY/FISHING ENTITY</b>	
<b>2. DESCRIPTION OF VESSEL (if applicable)</b>	
Vessel Name	Registration Number
LOA (m)	ICCAT Record No. (if applicable)
<b>3. TRAPS (if applicable)</b>	
<b>4. POINT OF EXPORT</b> (City, State/Province, Country/Entity/Fishing Entity)	
<b>5. AREA OF CATCH (Check one of the following)</b>	
(a) Atlantic	(b) Pacific
(c) Indian	
* In case of (b) or (c) is checked, the items 6 and 7 below do not need to be filled out.	
<b>6. DESCRIPTION OF FISH</b>	
Product Type (*1)	Time of Harvest
Gear Code (*2)	Net Weight
<i>F/FR RD/GG/DR/FL/OT</i>	<i>(mm/yy)</i>
<i>(kg)</i>	
*1 F=Fresh, FR=Frozen, RD=Round, GG=Gilled & Guttled, DR=Dressed, FL=Fillet, OT=Others (Describe the type of product: _____)	
*2 When the Gear Code is OT, describe the type of gear: _____	
<b>6. EXPORTER CERTIFICATION:</b>	
I certify that the above information is complete, true and correct to the best of my knowledge and belief.	
Name	Address
Signature	Date
License # (if applicable)	
<b>7. GOVERNMENT VALIDATION:</b>	
I validate that the above information is complete, true and correct to the best of my knowledge and belief.	
Total weight of the shipment: _____ kg	
Name & Title	Signature
Date	Government Seal
<b>IMPORT SECTION</b>	
<b>8. IMPORTER CERTIFICATION:</b>	
I certify that the above information is complete, true and correct to the best of my knowledge and belief.	
Importer Certification (Intermediate Country/Entity/Fishing Entity)	
Name	Address
Signature	Date
License # (if applicable)	
Importer Certification (Intermediate Country/Entity/Fishing Entity)	
Name	Address
Signature	Date
License # (if applicable)	
Importer Certification (Final Destination of Shipment)	
Name	Address
Signature	Date
License # (if applicable)	
<b>Final Point of Import: City _____ State/Province _____ Country/Entity/Fishing Entity _____</b>	

**NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION ON THIS DOCUMENT.**

## ICCAT BIGEYE TUNA STATISTICAL DOCUMENT INSTRUCTION SHEET

**DOCUMENT NUMBER:** Block for the issuing Country/Entity/Fishing Entity to designate a country/entity/fishing entity encoded Document Number.

**(1) FLAG COUNTRY/ENTITY/FISHING ENTITY:** Fill in the name of the country/entity/fishing entity of the vessel that harvested the bigeye tuna in the shipment and issued this Document. According to the ICCAT Recommendation, only the flag state of the vessel that harvested the bigeye tuna in the shipment or, if the vessel is operating under a charter arrangement, the exporting state, can issue this Document.

**(2) DESCRIPTION OF VESSEL (if applicable):** Fill in the name, registration number, length overall (LOA) and ICCAT Record number of the vessel that harvested the bigeye tuna in the shipment.

**(3) TRAPS (if applicable):** Fill in the name of the trap that harvested the bigeye tuna in the shipment.

**(4) POINT OF EXPORT:** Identify the City, State or Province, and Country/Entity/Fishing Entity from which the bigeye tuna was exported.

**(5) AREA OF CATCH:** Check the area of catch. (If (b) or (c) checked, items 6 and 7 below do not need to be filled out.)

**(6) DESCRIPTION OF FISH:** The exporter must provide, to the highest degree of accuracy, the following information. **NOTE:** One row should describe one product type.

(1) Product Type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment.

(2) Time of Harvest: Fill in the time of harvest (in month and year) of the 0 tuna in the shipment.

(3) Gear Code: Identify the gear type which was used to harvest the bigeye tuna using the list below. For OTHER TYPE, describe the type of gear, including farming.

(4) Net product weight in kilograms.

**(7) EXPORTER CERTIFICATION:** The person or company exporting the bigeye tuna shipment must provide his/her name, company name, address, signature, date the shipment was exported, and dealer licensenumber (if applicable).

**(8) GOVERNMENT VALIDATION:** Fill in the name and full title of the official signing the Document. The official must be employed by a competent authority of the flag state government of the vessel that harvested the bigeye tuna appearing on the Document or other individual or institution authorized by the flag state. When appropriate, this requirement is waived according validation of the document by a government official, or if the vessel is operating under a charter arrangement, by a government official or other authorized individual or institution of the exporting state. The total weight of the shipment shall also be specified in this block. The substitutional measure described in paragraphs A-D of the *Resolution by ICCAT Concerning Validation by a Government Official of the Bluefin Tuna Statistical Document* [93-2], adopted by the Commission in 1993, may be applied to the above requirements for the validations in this Bigeye Tuna Statistical Document Program.

**(9) IMPORTER CERTIFICATION:** The person or company that imports bigeye tuna must provide his/her name, address, signature, date the bigeye was imported, license number (if applicable), and final point of import. This includes imports into intermediate countries, entities or fishing entities. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

### **GEAR CODE:**

<i>GEAR CODE</i>	<i>GEAR TYPE</i>
BB	BAITBOAT
GILL	GILLNET
HAND	HANDLINE
HARP	HARPOON
LL	LONGLINE
MWT	MID-WATER TRAWL
PS	PURSE SEINE
RR	ROD AND REEL
SPHL	SPORT HANDLINE
SPOR	SPORT FISHERIES UNCLASSIFIED
SURF	SURFACE FISHERIES UNCLASSIFIED
TL	TENDED LINE
TRAP	TRAP
TROL	TROLL
UNCL	UNSPECIFIED METHODS
OT	OTHER TYPE

**RETURN A COPY OF COMPLETED DOCUMENT TO: (the name of the office of the competent authority of the flag state).**

DOCUMENT NUMBER	<b>ICCAT SWORDFISH STATISTICAL DOCUMENT</b>
<b>EXPORT SECTION:</b>	
<b>1. FLAG COUNTRY/ENTITY/FISHING ENTITY</b>	
<b>2. DESCRIPTION OF VESSEL (if applicable)</b>	
Vessel Name	Registration Number
LOA (m)	ICCAT Record No. (if applicable)
<b>3. POINT OF EXPORT:</b>	
CITY, STATE OR PROVINCE	COUNTRY/ENTITY/FISHING ENTITY _____
<b>4. AREA OF CATCH (Check one of the following)</b>	
(a) North Atlantic	(b) South Atlantic
(c) Mediterranean	(d) Pacific
(e) Indian	
* In case of (d) or (e) is checked, the items 5 and 6 below do not need to be filled out.	
<b>5. DESCRIPTION OF FISH</b>	
Product Type <sup>a</sup>	Time of Harvest
F/FR    RD/GG/DR/FL/OT	(mm/yy)
	Gear Code <sup>b</sup>
	Net Weight
	(kg)
<sup>a</sup> F=Fresh, FR=Frozen, RD=Round, GG=Gilled & Guttred, DR=Dressed, FL=Fillet, ST=Steak, OT=Others (Describe the type of product: _____)	
<sup>a</sup> When the Gear Code is OT, describe the type of gear: _____	
<b>6. EXPORTER CERTIFICATION:</b> For export to countries that have adopted the ICCAT alternative minimum size for swordfish the exporter must certify that the listed Atlantic swordfish are greater than 15 kg(33lb.) or if pieces, the pieces were derived from a swordfish weighing >15kg.	
I certify that the above information is complete, true and correct to the best of my knowledge and belief.	
Name	Address
Signature	Date
License # (if applicable)	
<b>7. GOVERNMENT VALIDATION:</b>	
I validate that the above information is complete, true and correct to the best of my knowledge and belief.	
Total weight of the shipment: _____ kg	
Name & Title	Signature
Date	Government Seal
<b>IMPORT SECTION</b>	
<b>8. IMPORTER CERTIFICATION:</b>	
I certify that the above information is complete, true and correct to the best of my knowledge and belief.	
Importer Certification (Intermediate Country/Entity/Fishing Entity)	
Name	Address
Signature	Date
License # (if applicable)	
Importer Certification (Intermediate Country/Entity/Fishing Entity)	
Name	Address
Signature	Date
License # (if applicable)	
Importer Certification (Final Destination of Shipment)	
Name	Address
Signature	Date
License # (if applicable)	
Final Point of Import: City _____ State/Province _____ Country/Entity/Fishing Entity _____	

NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION ON THIS DOCUMENT.

## ICCAT SWORDFISH STATISTICAL DOCUMENT INSTRUCTION SHEET

Pursuant to the 2001 ICCAT recommendation, swordfish imported into the territory of a Contracting Party or upon first entry into a regional economic organization must be accompanied by an ICCAT Swordfish Statistical Document (SWD) beginning January 1, 2003. Swordfish dealers who export or import swordfish from all ocean areas will be required to complete the appropriate sections of the SWD. Only complete and valid documents will guarantee that shipments of swordfish will be allowed to enter the customs territory of Contracting Parties (e.g., Japan, Canada, U.S., Spain, etc.). Improperly documented swordfish shipments (i.e., the SWD is either missing from the shipment, incomplete, invalid or falsified) will be considered illegitimate and contrary to ICCAT conservation efforts. Entry of improperly documented swordfish will be suspended (PENDING RECEIPT OF A PROPERLY COMPLETED DOCUMENT) into the customs territory of a Contracting Party or subject to administrative or other sanctions.

Please use the instructions below as a guide to complete the sections that apply to Exporters, Importers, and Government Validation. If a language other than English is used for description, please add an English translation either on the SWD or on a separate paper. Note: if a swordfish product is exported directly from the harvesting Country/Entity/Fishing Entity to a Contracting Party, without going through an intermediate Country/Entity/Fishing Entity, all fish can be identified on one document. However, if the swordfish product is exported through an intermediate Country/Entity/Fishing Entity (i.e., a Country/Entity/Fishing Entity other than the Country/Entity/Fishing Entity which is the final destination of the product), separate documents must be prepared for fish destined for different final destinations, or only one fish may be identified on a document to cope with any possible separation in an intermediate Country/Entity/Fishing Entity. Import of swordfish parts other than meat (i.e., heads, eyes, roe, guts, tails) may be allowed entry without an accompanying SWD.

**DOCUMENT NUMBER:** This block is for the issuing Country/Entity/Fishing Entity to designate a country coded Document Number.

**(1) FLAG COUNTRY/ENTITY/FISHING ENTITY** - Fill in the name of the Country/Entity/Fishing Entity of the vessel that harvested the swordfish in the shipment and issued this Document. According to the ICCAT Recommendation, only the flag state of the vessel that harvested the swordfish in the shipment, or, if the vessel is operating under a chartering arrangement, the exporting state, can issue this Document.

**(2) DESCRIPTION OF VESSEL (if applicable):** Fill in the name, registration number, length overall (LOA) and ICCAT Record number of the vessel that harvested the swordfish in the shipment.

**(3) POINT OF EXPORT** - Identify the City and State or Province, and country/entity/fishing entity from which the swordfish was exported.

**(4) AREA OF CATCH** - Check the area of catch. (In case of (d) or (e) checked, items 4 and 5 need not be completed).

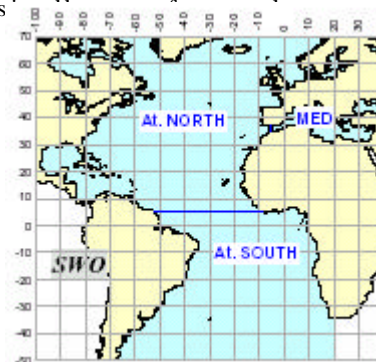
**(5) DESCRIPTION OF FISH** - The exporter must provide, to the highest degree of accuracy, the following information. (NOTE: One row should describe one product type.) (1) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment; (2) Time of Harvest: Fill in the time of harvest (month and year) of the swordfish in the shipment; (3) Gear Code: Identify the gear type which was used to harvest the swordfish using the listed codes; (5) Net weight: Net product weight in kilograms.

**(6) EXPORTER CERTIFICATION** - The person or company exporting the swordfish shipment must provide his/her name, signature, address, date the shipment was exported, and dealer license number (if applicable). For countries that have adopted the ICCAT alternative minimum size for swordfish the exporter must certify that the listed Atlantic swordfish are greater than 15 kg (33 lb.) or if pieces, the pieces were derived from a swordfish weighing >15 kg.

**(7) GOVERNMENT VALIDATION** - Fill in name and full title of the official signing the SWD. The official must be employed by a competent authority of the flag state government of the vessel that harvested the swordfish appearing on the SWD or other individual or institution authorized by the flag state or if the vessel is operating under a charter arrangement, by a government official or other authorized individual or institution of the exporting state. Net weight must also be certified and recorded. The substitutional measure described in paragraphs A-D of the *Resolution by ICCAT concerning Validation by a Government Official of the Bluefin Tuna Statistical Document* [93-2], adopted by the Commission in 1993, may be applied to the above requirements for the validations in this Swordfish Statistical Document Program.

**(8) IMPORTER CERTIFICATION** - The person or company that imports swordfish must provide their name, signature, address, date the swordfish was imported, license number (if applicable) and final point of import. This includes imports into intermediate countries, entities or fishing entities. For fresh and chilled products, signature of the importer may be substituted by the signature of the authority of the country of origin when the authority of signature is properly accredited to the company

GEAR CODE	GEAR TYPE
BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water trawl
PS	Purse seine
RR	Rod and reel
SPHL	Sport handline
SPOR	Sport fisheries, unclassified
SURF	Surface fisheries, unclassified
TL	Tended line
TRAP	Trap
TROL	Troll
UNCL	Unspecified methods
OT	Other type: Describe the type of gear



Original completed document must accompany exported shipment. Retain a copy for your records. The original (imports) or a copy (exports) must be postmarked and mailed, or faxed, within 24 hours of import or export to: XXXX

INTER-AMERICAN TROPICAL TUNA COMMISSION  
COMISIÓN INTERAMERICANA DEL ATÚN TROPICAL

RESOLUTION C-03-01

RESOLUTION ON IATTC BIGEYE TUNA STATISTICAL DOCUMENT  
PROGRAM

Approved by correspondence, 24 June 2003

**The Inter-American Tropical Tuna Commission (IATTC):**

**Recognizing** the authority and responsibility of IATTC to manage bigeye tuna in the Eastern Pacific Ocean (EPO), at the international level,

**Recognizing also** the nature of the international market for bigeye tuna,

**Recognizing also** that there is uncertainty on the catch of bigeye tuna in EPO and that the availability of trade data would greatly assist in reducing such uncertainty,

**Recognizing also** that bigeye tuna is the main target species of “flag of convenience” fishing operations and that most of the bigeye harvested by such fishing vessels are exported to Contracting Parties, especially to Japan,

**Recalling** that the International Commission for the Conservation of Atlantic Tunas (ICCAT) has established its Bigeye Tuna Statistical Document Program, and that the Indian Ocean Tuna Commission (IOTC) has also established its Bigeye Tuna Statistical Document Program,

**Recognizing** that the Statistical Document Program is an effective tool to assist the Commission’s effort for the elimination of illegal, unregulated and unreported (IUU) fishing operations,

**Recommends** that,

1. Contracting Parties, **by March 1, 2003** or as soon as possible thereafter, require that all bigeye tuna, when imported into the territory of a Contracting Party, be accompanied by an IATTC Bigeye Tuna Statistical Document which meets the requirements described in **Annex 1** or an IATTC Bigeye Tuna Re-export Certificate which meets the requirements described in **Annex 2**. Bigeye tuna caught by purse seiners and baitboats and destined principally for canneries are not subject to this statistical document requirement. The Commission and the Contracting Parties importing bigeye tuna shall contact all the exporting countries to inform them of this Program in advance of the implementation of the Program.
2. (1) The IATTC Bigeye Tuna Statistical Document must be validated by a government official or other authorized individual or institution of the flag State of the vessel that harvested the tuna, or, if the vessel is operating under a charter arrangement, by a government official or other authorized individual of the exporting state, and;  
(2) The IATTC Bigeye Tuna Re-export Certificate must be validated by a government official or other authorized individual or institution of the state that re-exported the tuna.
3. Each Contracting Party shall provide to the Director sample forms of its statistical document and re-export certificate required with bigeye tuna imports and information on validation in the format specified in **Annex 4**, and inform him of any change in a timely fashion.
4. The Contracting Parties which export or import bigeye tuna shall compile data from the Program.
5. The Contracting Parties which import bigeye tuna shall report the data collected by the Program to

the Director each year by April 1 for the period of July 1 - December 31 of the preceding year and October 1 for the period of January 1 - June 30 of the current year, which shall be circulated to all the Contracting Parties by the Director. The formats of the report are attached as **Annex 3**.

6. The Contracting Parties which export bigeye tuna shall examine export data upon receiving the import data mentioned in paragraph 5 above from the Director, and report the results to the Commission annually.
7. The Contracting Parties should exchange copies of statistical documents and re-export certificates to facilitate the examination mentioned in paragraph 6, consistent with domestic laws and regulations.
8. The Commission shall request cooperating non-contracting parties to take the measures described in the above paragraphs.
9. The Director shall request information on validation from all the non-Contracting Parties/Entities/Fishing Entities fishing and exporting bigeye tuna to Contracting Parties, and request them to inform him in a timely fashion of any changes to the information provided.
10. The Director shall maintain and update information specified in paragraphs 3 and 9 and provide it to all the Contracting Parties, and promptly circulate any changes.
11. The Commission shall request the non-Contracting Parties which import bigeye tuna to cooperate with implementation of the Program and to provide to the Commission data obtained from such implementation.
12. Implementation of this Program shall be in conformity with relevant international obligations.
13. At the initial stage of the Program, the statistical documents and the re-export certificates will be required for frozen bigeye products. Prior to implementing this Program for fresh products, several practical problems need to be solved, such as guidelines to ensure procedures to handle fresh products at customs.
14. The statistical documents for bigeye tuna caught by fishing vessels flying the flag of a Member State of the European Community may be validated by the competent authorities of the Member State whose flag the vessel flies or by those of a different Member State where the products are landed, provided the corresponding quantities of bigeye tuna are exported outside the Community from the territory of the Member State of landing.
15. Notwithstanding the provisions of paragraph 1, the Contracting Parties shall implement this resolution **by March 1, 2003** or as soon as possible thereafter in accordance with the regulatory procedures of each Contracting Party.

## Requirements Concerning the IATTC Bigeye Tuna Statistical Document

- 1 The sample form of the IATTC Bigeye Tuna Statistical Document shall be as in the Appendix.
- 2 Customs or other appropriate government officials will request and inspect all import documentation including the IATTC Bigeye Tuna Statistical Document for all bigeye tuna in the shipment. Those officials may also inspect the content of each shipment to verify the information on the document.
- 3 Only complete and valid documents will guarantee that shipments of bigeye tuna will be allowed to enter the territory of Contracting Parties.
- 4 Shipments of bigeye tuna that are accompanied by improperly documented Bigeye Tuna Statistical Documents (i.e., improperly documented means that the Bigeye Tuna Statistical Document is either missing from the shipment, incomplete, invalid or falsified) will be considered illegitimate shipments of bigeye tuna, that are contrary to IATTC conservation efforts, and their entry will be suspended (PENDING RECEIPT OF A PROPERLY COMPLETED DOCUMENT) into the territory of a Contracting Party or subject to administrative or other sanction.
- 5 The import of fish parts other than the meat, i.e., head, eyes, roe, guts, tails may be allowed without the document.



DOCUMENT NUMBER	IATTC BIGEYE TUNA STATISTICAL DOCUMENT		
<b>EXPORT SECTION</b>			
1.FLAG OF COUNTRY/ENTITY/FISHING ENTITY			
2.NAME OF VESSEL AND REGISTRATION NUMBER (when available)			
3.TRAPS (if applicable)			
4.POINT OF EXPORT (City, State / Province, Country / Entity / Fishing Entity)			
5.AREA OF CATCH (check one of the following) (a) EPO(East of 150°W) (b) the rest of Pacific (c) Atlantic (d)Indian * In case of (b), (c) or (d) checked, the item 6 and 7 below do not need to be filled out.			
6.DESCRPTION OF FISH			
Product Type (*1) F/FR                  D/GG/DR/FL/OT		Gear Code(*2)	Net Weight (Kg)
*1= F=Fresh, FR=Frozen, RD=Round, GG=Gilled and Guttred, DR=Dressed, FL=Fillet OT=Other, describe the type of product *2= When the Gear Code is OT, describe the type of gear,_____.			
7.EXPORTER CERTIFICATION <u>I certify that the above information is complete, true, and correct to the best of my knowledge and belief.</u>			
Name	Company name	Address	Signature      Date      License Number (if applicable)
8.GOVERNMENT VALIDATION <u>I validate that information listed above is complete, true, and correct to the best of my knowledge and belief.</u>			
Total weight of the shipment _____Kg			
Name & Title	Signature	Date	Government Seal
<b>IMPORT SECTION:</b>			
IMPORTER CERTIFICATION <u>I certify that the above information is complete, true, and correct to the best of my knowledge and belief.</u>			
Importer Certification (Intermediate Country / Entity / Fishing Entity)			
Name	Address	Signature	Date      License # (if applicable)
Importer Certification (Intermediate Country / Entity / Fishing Entity)			
Name	Address	Signature	Date      License # (if applicable)
Final Point of Import			
City	State/Province	Country / Entity / Fishing Entity	

NOTE: If a language other than English is used in completing this form. Please add the English translation on this document.

## INSTRUCTIONS

**DOCUMENT NUMBER:** Block for the issuing Country to designate a country coded Document Number.

**(1) FLAG COUNTRY/ENTITIES/FISHING ENTITIES:** Fill in the name of the country of the vessel that harvested the bigeye tuna in the shipment and issued this Document. According to the Resolution, only the flag state of the vessel that harvested the bigeye tuna in the shipment or, if the vessel is operating under a charter arrangement, the exporting state can issue this Document.

**(2) NAME OF VESSEL AND REGISTRATION NUMBER (when available):** Fill in the name and registration number of the vessel that harvested the bigeye tuna in the shipment.

**(3) TRAPS (if applicable):** Fill in the name of the trap that harvested the bigeye tuna in the shipment.

**(4) POINT OF EXPORT:** Identify the City, State or Province, and Country from which the bigeye tuna was exported.

**(5) AREA OF CATCH:** Check the area of catch. (If (b), (c) or (d) checked, items 6 and 7 below do not need to be filled out.)

**(6) DESCRIPTION OF FISH:** The exporter must provide, to the highest degree of accuracy, the following information. **NOTE:** One row should describe one product type

(1) Product Type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment.

(2) Gear Code: Identify the gear type which was used to harvest the bigeye tuna using the list below. For OTHER TYPE, describe the type of gear, including farming.

(3) Net product weight in kilograms.

**(7) EXPORTER CERTIFICATION:** The person or company exporting the bigeye tuna shipment must provide his/her name, company name, address, signature, date the shipment was exported, and dealer license number (if applicable).

**(8) GOVERNMENT VALIDATION:** Fill in the name and full title of the official signing the Document. The official must be employed by a competent authority of the flag state government of the vessel that harvested the bigeye tuna appearing on the Document or other individual or institution authorized by the flag state. When appropriate, this requirement is waived according validation of the document by a government official, or if the vessel is operating under a charter arrangement, by a government official or other authorized individual or institution of the exporting state. The total weight of the shipment shall also be specified in this block.

**(9) IMPORTER CERTIFICATION:** The person or company that imports bigeye tuna must provide his/her name, address, signature, date the bigeye was imported, license number (if applicable), and final point of import. This includes imports into intermediate countries. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

### GEAR CODE:

GEAR CODE	GEAR TYPE,
BB	BAITBOAT
GILL	GILLNET
HAND	HANDLINE
HARP	HARPOON
LL	LOGLINE
MWT	MID-WATER TRAWL
PS	PURSE SEINE
RR	ROD AND REEL
SPHL	SPORT HANDLINE
SPOR	SPORT FISHERIES UNCLASSIFIED
SURF	SURFACE FISHERIES UNCLASSIFIED
TL	TENDED LINE
TRAP	TRAP
TROL	TROLL
UNCL	UNSPECIFIED METHODS
OT	OTHER TYPE

**RETURN A COPY OF COMPLETED DOCUMENT TO:** (the name of the office of the competent authority of the flag state).

## Requirements Concerning the IATTC Bigeye Tuna Re-export Certificate

- 1 The sample form of the IATTC Bigeye Tuna Re-export Certificate shall be as in the Appendix.
- 2 Customs or other appropriate government officials will request and inspect all import documentation including the IATTC Bigeye Tuna Re-export Certificate for all bigeye tuna in the shipment. Those officials may also inspect the content of each shipment to verify the information on the document.
- 3 Only complete and valid documents will guarantee that shipments of bigeye tuna will be allowed to enter the territory of Contracting Parties.
- 4 A Contracting Party shall be free to validate IATTC Bigeye Tuna Re-export Certificates for bigeye tuna imported by that Contracting Party, to which IATTC Bigeye Tuna Statistical Documents or IATTC Bigeye Tuna Re-export Certificates are attached. IATTC Bigeye Tuna Re-export Certificates shall be validated by government organizations or by recognized institutions which are accredited by a Contracting Party's government to validate the IATTC Bigeye Tuna Statistical Document. A copy of the original Bigeye Tuna Statistical Document accompanying the imported bigeye tuna must be attached to an IATTC Bigeye Tuna Re-export Certificate. The copy of the original Bigeye Tuna Statistical Document so attached must be verified by that government organization or by that recognized institution accredited by a government which validated the IATTC Bigeye Tuna Statistical Document. When re-exported bigeye tuna is again re-exported, all copies of documents, including a verified copy of a Statistical Document and Re-export Certificate which accompanied that bigeye tuna upon importation, must be attached to a new Re-export Certificate to be validated by a re-exporting Contracting Party. All copies of the Documents to be attached to that new Re-export Certificate must be also be verified by a government organization or a recognized institution accredited by a government which validated the IATTC Bigeye Tuna Statistical Document.
- 5 Shipments of bigeye tuna that are accompanied by improperly documented Bigeye Tuna Re-export Certificate (i.e., improperly documented means that the Bigeye Tuna Re-export Certificate is either missing from the shipment, incomplete, invalid or falsified) will be considered illegitimate shipments of bigeye tuna, that are contrary to IATTC conservation efforts, and their entry will be suspended (PENDING RECEIPT OF A PROPERLY COMPLETED DOCUMENT) into the territory of a Contracting Party or subject to administrative or other sanction
- 6 IATTC Contracting Parties that validate Re-export Certificates in accordance with the procedure set forth in paragraph 4 shall require from the re-exporting bigeye dealer necessary documents (e.g. written sales contracts) which are to certify that the bigeye tuna to be re-exported corresponds to the imported bigeye tuna. Contracting Parties which validate Re-export Certificates shall provide flag states and importing states with evidence of this correspondence upon their request.
- 7 The import of fish parts other than the meat, i.e., head, eyes, roe, guts, tails may be allowed without the document.

<b>DOCUMENT NUMBER</b>	<b>IATTC BIGEYE TUNA RE-EXPORT CERTIFICATE</b>			
<b>RE-EXPORT SECTION:</b>				
1.RE-EXPORTING COUNTRY / ENTITY / FISHING ENTITY				
2.POINT OF RE-EXPORT				
3.DESRIPTION OF IMPORTED FISH				
F/FR	Product Type(*) RD/GG/DR/FL/OT	Net Weight (Kg)	Flag country/ Entity/Fishing Entity	Date of Import
4.DESRIPTION OF FISH FOR RE-EXPORT				
F/FR	Product Type(*) RD/GG/DR/FL/OT	Net Weight (Kg)		
* F=FRESH, FR=Frozen, RD=Round, GG=Gilled and Guttred, DR=Dressed, FL=Fillet OT=Other(Describe the type of product)				
5.RE-EXPORTER CERTIFICATION: <u>I certify that the above information is complete, true and correct to the best of my knowledge and belief.</u>				
Name/Company Name    Address    Signature    Date    License Number (if applicable)				
6.GOVERNMENT VALIDATION: <u>I validate that the above information is complete, true and correct to the best of my knowledge and belief.</u>				
Name & Title    Signature    Date    Government Seal				
<b>IMPORT SECTION:</b>				
7.IMPORTER CERTIFICATION: <u>I certify that the above information is complete, true and correct to the best of my knowledge and belief.</u>				
Importer Certification (Intermediate Country / Entity / Fishing Entity)				
Name    Address    Signature    Date    License # (if applicable)				
Importer Certification (Intermediate Country / Entity / Fishing Entity)				
Name    Address    Signature    Date    License # (if applicable)				
Importer Certification (Intermediate Country / Entity / Fishing Entity)				
Name    Address    Signature    Date    License # (if applicable)				
Final Point of Import				
City _____ State/Province _____ Country / Entity / Fishing Entity				

NOTE: If a language other than English is used in completing this form. Please add the English translation on this document.

## INSTRUCTIONS

**DOCUMENT NUMBER:** Block for the issuing Country/Entity/Fishing Entity to designate a Country/Entity/Fishing Entity coded document number.

### **(1) RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY**

Fill in the name of the Country/Entity/Fishing Entity which re-exports the bigeye tuna in the shipment and issued this Certificate. According to the Resolution, only the re-exporting Country/Entity/Fishing Entity can issue this Certificate.

### **(2) POINT OF RE-EXPORT**

Identify the City/State Province and Country/Entity/Fishing Entity from which the bigeye tuna was re-exported.

### **(3) DESCRIPTION OF IMPORTED FISH**

The exporter must provide, to the highest degree of accuracy, the following information: NOTE: One row should describe one product type. (1) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: Net product weight in kilograms. (3) Flag Country/Entity/Fishing Entity: the name of the Country/Entity/Fishing Entity of the vessel that harvested the bigeye tuna in the shipment. (4) Date of import: Imported date.

### **(4) DESCRIPTION OF FISH FOR RE-EXPORT**

The exporter must provide, to the highest degree of accuracy, the following information: NOTE: One row should describe one product type. (1) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: Net product weight in kilograms.

### **(5) RE-EXPORTER CERTIFICATION**

The person or company re-exporting the bigeye tuna shipment must provide his/her name, address, signature, date the shipment was re-exported, and re-exporter's license number (if applicable).

### **(6) GOVERNMENT VALIDATION**

Fill in the name and full title of the official signing the Certificate. The official must be employed by a competent government authority of the re-exporting Country/Entity/Fishing Entity appearing on the Certificate, or other individual or institution authorized to validate such certificates by the competent government authority.

### **(7) IMPORTER CERTIFICATION**

The person or company that imports bigeye tuna must provide his/her name, address, signature, date the bigeye tuna was imported, license number (if applicable) and re-exported final point of import. This includes imports into intermediate Countries/Entities/Fishing Entities. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

**RETURN A COPY OF THE COMPLETED CERTIFICATE TO:** (the name of the office of the competent authority of the re-exporting Country/Entity/Fishing Entity).

## REPORT OF THE IATTC BIGEYE TUNA STATISTICAL DOCUMENT

Period \_\_\_\_\_ to \_\_\_\_\_, \_\_\_\_\_ IMPORT COUNTRY/ENTITY/FISHING ENTITY  
 Month      Month      Year

Flag Country/Ent ity/Fishing Entity	Area Code	Gear Code	Point of Export	Product Type		Product Wt.(Kg)
				F/FR	RD/GG/DR/FL/ OT	

**Gear Code    Gear Type**

BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water trawl
PS	Purse seine
RR	Rod & reel
SPHL	Sport Handline
SPOR	Sport fisheries unclassified
SURF	Surface fisheries unclassified
TL	Tended line
TRAP	Trap
TROL	Troll
UNCL	Unclassified methods
OTH	Other type (Indicate the type of gear):

**Product type**

F	Fresh
FR	Frozen
RD	Round
GG	Gilled & gutted
DR	Dressed
FL	Fillet
OT	Other form, describe the type of products in the shipment

**Area Code**

EPO	Eastern Pacific Ocean
RPO	Rest of Pacific Ocean
AT	Atlantic
ID	Indian Ocean

**REPORT OF THE IATTC BIGEYE TUNA RE-EXPORT CERTIFICATE**

Period \_\_\_\_\_ to \_\_\_\_\_, \_\_\_\_\_ IMPORT COUNTRY/ENTITY/FISHING ENTITY  
 Month            Month    Year

Flag Country/E ntity/Fishi ng Entity	Re-export Country/En tity/Fishing Entity	Point of Re-export	Product Type		Product Wt.(Kg)
			F/FR	RD/GG/DR/FL/OT	

**Product type**

- F      Fresh
- FR     Frozen
- RD     Round
- GG     Gilled & gutted
- DR     Dressed
- FL     Fillet
- OT     Other form, describe the type of products in the shipment

**INFORMATION ON VALIDATION OF IATTC STATISTICAL DOCUMENTS**

1 Flag

2 Government/Authority Organization(s) accredited to validate Statistical Documents

Organization Name	Organization Address	Sample Seal

NOTE: For each organization, attach a list with the names, titles and addresses of the individuals authorized to validate Documents.

3 Other institutions accredited by the government/authority to validate Statistical Documents

Organization Name	Organization Address	Sample Seal

NOTE: For each organization, attach a list with the names, titles and addresses of the individuals authorized to validate Documents.

**Instructions**

Contracting Parties, non-Contracting Parties, Entities, Fishing Entities having vessels that harvest species whose international trade must be accompanied by Statistical Documents are requested to submit the information on this sheet to the Director of the IATTC\*, and to ensure that any changes to the above are also transmitted to the Secretary on a timely fashion.

\*IATTC: 8604 La Jolla Shores Drive, La Jolla CA 92037-1508, USA



**CCSBT**  
**Southern Bluefin Tuna Statistical Document Program**

**1. Principle/General**

- 1.1 For importation into the territory of a Member, all southern bluefin tuna shall be accompanied by a CCSBT Southern Bluefin Tuna Statistical Document. There is no waiver of this requirement.
- 1.2 Implementation of this Program shall be in conformity with relevant international obligations.
- 1.3 The Commission will review the implementation of this Program periodically in a timely manner to ensure the effectiveness and practicability of this Program.
- 1.4 The Commission requests the appropriate authorities of exporting countries/fishing entities to make the requirements under this Program known to their exporters.

**2. Information Required**

- 2.1 A standard CCSBT Southern Bluefin Tuna Statistical Document form along with its Instruction Sheet is at **Annex 1**. Countries/Fishing Entities are requested to use this form. While minimal modifications such as addition of translations may be made, the standard form should be adopted as far as possible and no information item may be omitted from the standard form.
- 2.2 The import of farmed tuna should be treated in the following way:
  - (a) The Southern Bluefin Tuna Statistical Document shall be validated by the authority of the country/fishing entity where the tuna was raised;
  - (b) In reporting import data collected by the Program under 5.2 to Members, the Executive Secretary shall record the amount of imported farmed fish separately from the rest of the imports for each country/fishing entity;
  - (c) When completing the CCSBT Southern Bluefin Tuna Statistical Document for farmed tuna, the name of the export country/fishing entity shall be indicated in item 1 of Export Section of each Document instead of “Flag Country/Fishing Entity of Capture Vessel”, and the name of the Tuna Farm shall be indicated in item 2 of Export Section of each Document instead of “Name of Vessel and Registration Number”; for item 6 (Description of Fish) of Export Section of each Document, “Gear Code” shall be “Farmed”, “Net Weight” shall be filled in with the raised products’ weight, and “Time of Harvest” and “Area of Catch” are not necessary to be filled in while other columns shall be filled in as in the case of the normal Document;

- (d) Members shall maintain aggregated information on their tuna farms which raise exported tuna. The following information will be recorded: the name of the fishing vessel which caught the tuna for farming, the flag country/fishing entity of that fishing vessel, the gear code, the amount of original catch, the area of catch, the date of reception of tuna, and growth rate and mortality rate of fish through farming.
- (e) Members shall submit such information to the Secretariat every six months on average. Urgent requests for information outside this framework should be substantiated to the Commission by the requesting Member in order to minimise the burden of such requests.

### **3. Validation**

- 3.1 The CCSBT Southern Bluefin Tuna Statistical Document shall be validated, in principle, by an official of the flag country/fishing entity of the vessel that harvested the tuna.
- 3.2 The requirement for validation of the Southern Bluefin Tuna Statistical Document by an official under 3.1 with respect to any member of the Commission may be met by an entity duly delegated by the authority of the flag country/fishing entity. The Member which utilizes a delegated entity should submit a certified copy of such delegation to the Executive Secretary.

### **4. Exchange of Information**

- 4.1 If a Member modifies the standard Southern Bluefin Tuna Statistical Document form for its use, it shall provide to the Executive Secretary a copy of such modified form. The Executive Secretary shall provide the modified form to other Members and non-Members fishing and exporting southern bluefin tuna to Members.
- 4.2 Each Member shall provide to the Executive Secretary information on validation (e.g. type of validation, name of the organization which validates the documents, title of officials who validate the documents, sample impression of stamp or seal) and inform him of any change in a timely fashion. The Executive Secretary shall request information on validation from all non-Members fishing and exporting southern bluefin tuna to Members, and request them to inform him in a timely fashion of any change in the information provided.
- 4.3 The Executive Secretary shall maintain and update information specified in 4.1 and 4.2, and provide it to all Members and promptly circulate any changes.

### **5. Record and Report**

- 5.1 Members which import southern bluefin tuna shall retain all original Southern Bluefin Tuna Statistical Documents received by them. They shall forward to the Executive Secretary on a quarterly basis copies of all such Documents, but may omit the Import Section other than the final point of import and the date of import.

The Executive Secretary shall compile the raw data from those Documents into an electronic database.

- 5.2 The Executive Secretary shall ensure the confidentiality of the raw data in its database and release to any country/fishing entity only the raw data relating to the Statistical Documents it validated. If a country/fishing entity requests raw data relating to another country/fishing entity, the Executive Secretary may release those data only with the latter's agreement.
- 5.3 The Executive Secretary shall report to the Commission on and circulate to all Members the data collected by the Program each year by 1 June for the period of 1 July - 31 December of the preceding year and by 1 December for the period of 1 January - 30 June of the current year. The formats of the reports are attached as **Annex 2** and **Annex 2a**. The Executive Secretariat shall provide an electronic copy of the report to a designated authority of each Member.
- 5.4 On request by the Scientific Committee or another subsidiary body of the Commission, the Executive Secretary shall, with the approval of the Commission, provide to that body data collected by the Program more frequently or at a greater level of detail than specified in 5.3.
- 5.5 Upon receiving the import data mentioned in 5.3, Members that export SBT shall check their export statistics against them and report the results to the Commission. Where necessary, an exporting Member may obtain from the Secretariat a copy of any Statistical Document it validated, as forwarded to the Secretariat by the importing Member in accordance with 5.1.
- 5.6 The Commission instructs the Executive Secretary to request non-Members which are major importing countries/fishing entities of southern bluefin tuna to cooperate with implementation of the Program and to provide to the Commission data obtained from such implementation.
- 5.7 Subject to 5.9, exporting countries/fishing entities shall keep an electronic record of all SDP documents that they issue. For each SDP document issued, the electronic record will contain the:
  - Unique document number;
  - Whether the document was for captured or farmed SBT;
  - Date of export;
  - Total net weight of SBT exported;
  - Destination (import) country
  - It would also be useful to record the range of capture dates (e.g. Jan 2002 to Aug 2002) for captured SBT to provide more timely data for stock assessment purposes.
- 5.8 Subject to 5.9, exporting countries/fishing entities shall provide an electronic copy of the record from 5.7 to the Executive Secretary. The record shall be provided by 1 May for all exports for the period of 1 July to 31 December of the preceding year and by 1 October for all exports for the period of 1 January to 30 June of the current year.

- 5.9 Exporting countries/fishing entities shall be exempted from 5.7 and 5.8 if they fax copies of all SDP documents to the Executive Secretary. Faxed copies of SDP documents shall be sent within 30 days of the export.
- 5.10 The Executive Secretary shall compare data provided in 5.8 and 5.9 against SDP data received from importing members. The Executive Secretary shall notify the importing members of any missing documents and the importing Member shall attempt to locate and provide those documents. The Executive Secretary shall also notify the exporting country/fishing entity of any discrepancies identified during the comparison of data and the exporting country/fishing entity will attempt to resolve the discrepancies.

## **6. Re-export**

- 6.1 A Member may validate a CCSBT Southern Bluefin Tuna Re-export Certificate (a standard form is attached as **Annex 3**) for southern bluefin tuna imported by that Member, to which a CCSBT Southern Bluefin Tuna Statistical Document or CCSBT Southern Bluefin Tuna Re-export Certificate is attached. The CCSBT Southern Bluefin Tuna Re-export Certificate shall be validated by an official or by an entity duly delegated by the authority of a Member to validate the CCSBT Southern Bluefin Tuna Statistical Document under 3.2. A copy of the original Southern Bluefin Tuna Statistical Document accompanying the imported southern bluefin tuna must be attached to CCSBT Southern Bluefin Tuna Re-export Certificate. The copy of original Southern Bluefin Tuna Statistical Document so attached must be verified by that official or by that entity duly delegated by the authority of a Member which validate the CCSBT Southern Bluefin Tuna Statistical Document. When re-exported southern bluefin tuna is again re-exported, all copies of documents, including verified copy of a Statistical Document and Re-export Certificate which accompanied that southern bluefin tuna upon importation, must be attached to a new Re-export Certificate to be validated by a re-exporting Member. All copies of the Documents to be attached to that new Re-export Certificate must also be verified by an official or an entity duly delegated by the authority of a Member which validated the CCSBT Southern Bluefin Tuna Statistical Document.
- 6.2 Members which import southern bluefin tuna shall accept the Re-export Certificate set forth in 6.1 with attachments of all verified copies as required in 6.1.
- 6.3 Members that validate a Re-export Certificate in accordance with the procedure set forth in 6.1 shall require from the re-exporting southern bluefin tuna dealer necessary documents (e.g. written sales contracts) which are to certify that the southern bluefin tuna to be re-exported corresponds to the imported southern bluefin tuna. Members which validate a Re-export Certificate shall provide the flag country/fishing entity and importing country with evidence of this correspondence upon their request.
- 6.4 The Executive Secretary shall report on and circulate to all Members the data obtained from Re-export Certificates each year by 1 April for the period of 1 July - 31 December of the preceding year and by 1 October for the period of 1 January

- 30 June of the current year. The format of the report is attached as **Annex 4**.

- 6.5 Members may accept a Re-export Certificate validated by a non-Member which has established a scheme substantially identical to the CCSBT Southern Bluefin Tuna Statistical Document Program and implements it in accordance with the requirements of the Program.
- 6.6 The provisions of 5.1 and 5.2 apply also to any Re-export Certificate required to be attached to a Southern Bluefin Tuna Statistical Document.

**Annex 1**

DOCUMENT NUMBER	CCSBT SOUTHERN BLUEFIN TUNA STATISTICAL DOCUMENT					
	? Captuer		? Farmed			
<b>EXPORT SECTION:</b>						
1. FLAG COUNTRY/FISHING ENTITY OF CAPTURE VESSEL						
2. NAME OF VESSEL AND REGISTRATION NUMBER (when available)						
3. INFORMATION ON OTHER FORMS OF CAPTURE (eg. Trap)						
4. PROCESSING ESTABLISHMENT (if applicable) NAME AND ADDRESS						
5. POINT OF EXPORT (CITY, STATE OR PROVINCE AND COUNTRY/FISHING ENTITY)						
6. DESCRIPTION OF FISH						
Product (a) F/FR	Type (b) RD/GG/DR/FL/OT	Time of Harvest (mm/yy)	Gear Code (c)	Area of catch (d)	Net Weight (kg)	No. of Fish (when RD, GG or DR)
(a): F=Fresh, FR=Frozen						
(b): RD=Round, GG=Gilled and Guttred, DR=Dressed, FL=Fillet, OT=Other (describe the type of product; _____.)						
(c): If the Gear Code is OT, describe the type of gear; _____.						
(d): Statistical Area of SBT (1 to 10) or Other Areas (11 to 13)						
7. EXPORTER CERTIFICATION I certify that the above information is complete, true, and correct to the best of my knowledge and belief.						
Name	Address		Signature	Date	Licence Number (if applicable)	
8. VALIDATION BY AUTHORITY I validate that the information listed above is complete, true, and correct to the best of my knowledge and belief.						
Name & Title			Signature	Date	Official Seal	
<b>IMPORT SECTION:</b>						
IMPORT SECTION I certify that the above information is complete, true, and correct to the best of my knowledge and belief.						
Importer Certification (Intermediate Country) (if applicable)						
Name	Address		Signature	Date	Licence No (if applicable)	
Importer Certification (Intermediate Country) (if applicable)						
Name	Address		Signature	Date	Licence No (if applicable)	
Importer Certification (Final Destination of Shipment)						
Name	Address		Signature	Date	Licence No (if applicable)	
Final Point of Import: City _____ State or Province _____ Country/Fishing Entity _____						

NOTE1 : If a language other than English is used in completing this form, please add the English translation on this document.  
 NOTE 2: In case of farmed fish, please refer to the italic part in the instruction sheet.

## Southern Bluefin Tuna Statistical Document Instruction Sheet

If a language other than English is used in completing the form, please add the English translation on the Document.

### Document Number

Fill in the document number allocated by the exporting country/fishing entity.

### Title

Tick the appropriate box.

### Export Section

#### 1. Flag Country/Fishing Entity of Capture Vessel

Fill in the name of the country/fishing entity of the registration of the vessel that harvested the southern bluefin tuna in the shipment. This should be the same country/fishing entity as issued this Document. *In case of farmed fish, the name of exporting country/fishing entity should be filled in instead of the name of the country/fishing entity of the vessel.*

#### 2. Name of Vessel and Registration Number (when available)

Fill in the name and registration number of the vessel that harvested the southern bluefin tuna in the shipment. *In case of farmed fish, the name of tuna farm should be filled in instead of the name and registration number of the vessel.*

#### 3. Information of Other Forms of Capture (eg. Trap)

If the southern bluefin tuna in the shipment was caught by means other than the vessel (eg. Trap), fill in the means.

#### 4. Processing Establishment

Fill in the name and address of the processing establishment which processed the southern bluefin tuna in the shipment (if applicable). If it is the same as the exporter, write "same as exporter".

#### 5. Point of Export (City, State or Province and Country/Fishing Entity)

Identify the City, State or Province and Country/Fishing Entity from which the southern bluefin tuna was exported.

#### 6. Description of Fish

The exporter must provide, to the highest degree of accuracy, the following information. NOTE: One row should describe one product type.

- (1) product: Identify the type of product being shipped as either FRESH (F) or FROZEN (FR),
- (2) type: Identify the type of product being shipped as either ROUND (RD), GILLED AND GUTTED (GG), DRESSED (DR), FILLET (FL) or OTHER form (OT); for OTHER, describe the type of products in the shipment,
- (3) time of harvest: Fill in the time of harvest (in month and year) of the southern bluefin tuna in the shipment; *in case of farmed fish, it is not necessary to fill in this column,*

- (4) gear code: Identify the gear type which was used to harvest the southern bluefin tuna using the list below; for OTHER TYPE, describe the type of gear; *in case of farmed fish, write "Farmed"*,

<u>GEAR CODE</u>	<u>GEAR TYPE</u>
BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water Trawl
PS	Purse Seine
RR	Rod and Reel
SPHL	Sport Handline
SPOR	Sport Fisheries Unclassified
SURF	Surface Fisheries Unclassified
TL	Tended Line
TRAP	Trap
TROL	Troll
UNCL	Unspecified Method
OT	Other Type

- (5) area of catch: Identify the area in which the southern bluefin tuna was harvested using numbers from 1 to 13 (see the map attached); *in case of farmed fish, it is not necessary to fill in this column,*
- (6) net weight: Net product weight in kilograms; *in case of farmed fish, fill in the raised product's weight,*
- (7) no. of fish: If type is RD, GG or DR, fill in the number of fish.

#### 7. Exporter Certification

The person or company exporting the southern bluefin tuna shipment must provide his/her/its name, address, signature, date the shipment was exported, and dealer licence number (if applicable).

#### 8. Validation by Authority

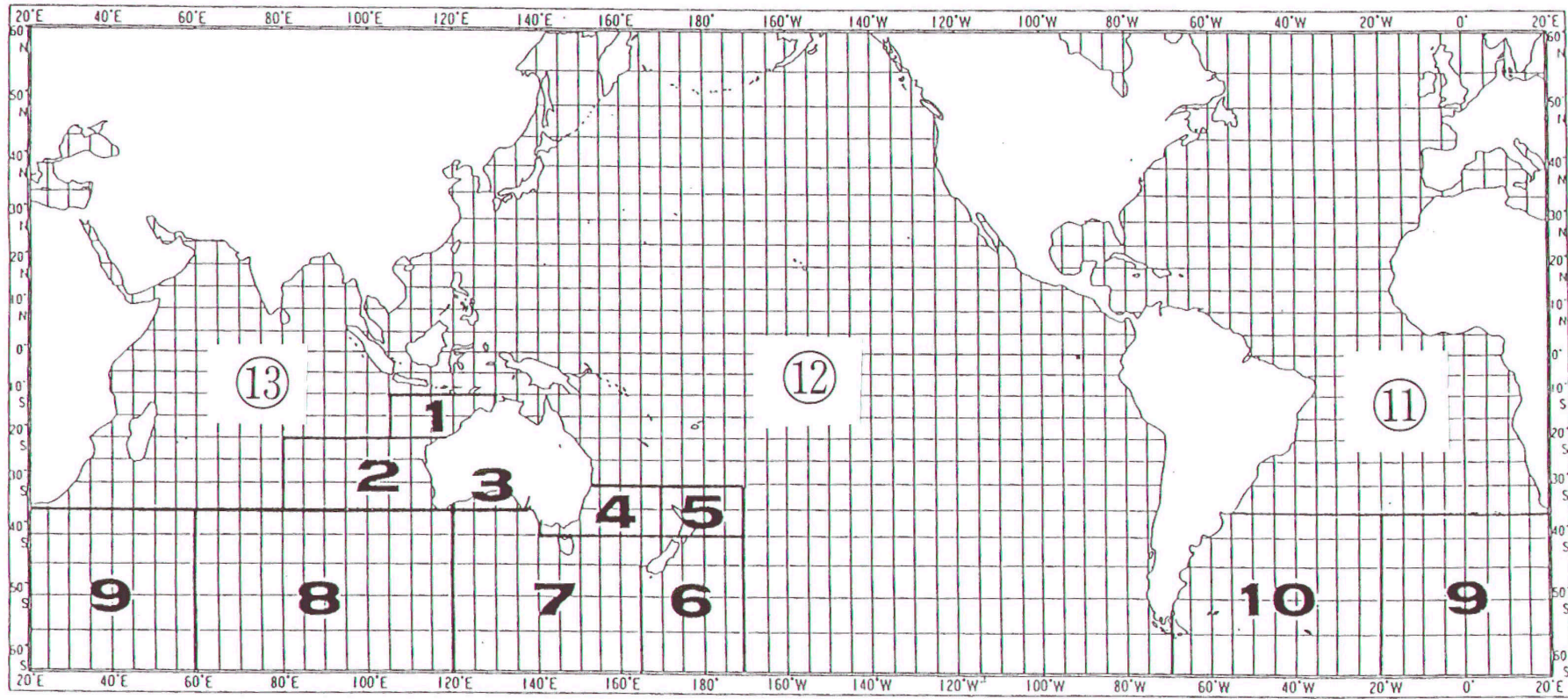
Fill in the name and full title of the official signing the Document. The official must be in the employment of the competent authority of the flag state/fishing entity of the vessel that harvested the southern bluefin tuna appearing on the Document. This requirement with respect to any Member of the Commission may be met by an entity duly delegated by the authority of the flag country/fishing entity. The Member which utilizes a delegated entity should submit a certified copy of such delegation to the Executive Secretary.

#### **Import Section**

The person or company that imports southern bluefin tuna must provide his/her/its name, address, signature, date on which the southern bluefin tuna was imported, licence number (if applicable) and final point of import. This includes imports into intermediate country (if applicable). For fresh and chilled product, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

Note: Instructions relating to farmed fish are written in italic letters.





Half-yearly Report of the CCSBT Southern Bluefin Tuna Statistical Document

Period \_\_\_\_ to \_\_\_\_, \_\_\_\_ Import Country \_\_\_\_\_  
 month month year

Flag Country/ Fishing Entity	Area Code	Time of Harvest	Gear Code	Point of Export	Product F/FR	Type RD/GG/DR/FL/OT	Product Weight (kg)	No. of Fish

<u>GEAR CODE</u>	<u>GEAR TYPE</u>	<u>Product</u>	
BB	Baitboat	F	Fresh
GILL	Gillnet	FR	Frozen
HAND	Handline		
HARP	Harpoon		
LL	Longline	<u>Type</u>	
MWT	Mid-water Trawl	RD	Round
PS	Purse Seine	GG	Gilled and Guttet
RR	Rod and Reel	DR	Dressed
SPHL	Sport Handline	FL	Filet
SPOR	Sport Fisheries Unclassified	OT	Other
SURF	Surface Fisheries Unclassified		
TL	Tended Line	<u>Area Code</u>	
TRAP	Trap	1 to 10	SBT Statistical Areas
TROL	Troll	11 to 13	Other areas (the Atlantic, the Pacific and Indian Ocean, respectively)
UNCL	Unspecified Method		
OT	Other Type (indicate the type of gear): _____		

**Supplimental half-yearly Report of the Southern Bluefin Tuna Statistical Document**

Export Period: \_\_\_\_ to \_\_\_\_, \_\_\_\_  
 month month, year

Import Country: \_\_\_\_\_

Flag Country/ Fishing Entity	Captured or Farmed	<b>Net Weight</b> <i>as per SDP</i> <i>document from importers</i>	<b>Net Weight</b> <i>as per SDP</i> <i>records from exporters</i>	<b>Estimated Net Weight</b> <i>SDP documents from</i> <i>importers + weights from</i> <i>exporters where import</i> <i>documentation is not yet</i> <i>available</i>

DOCUMENT NUMBER	CCSBT SOUTHERN BLUEFIN TUNA RE-EXPORT CERTIFICATE			
<b>RE-EXPORT SECTION:</b>				
1. RE-EXPORTING COUNTRY/FISHING ENTITY				
2. POINT OF EXPORT (CITY, STATE OR PROVINCE AND COUNTRY/FISHING ENTITY)				
3. PROCESSING ESTABLISHMENT (if applicable)				
NAME AND ADDRESS				
4. DESCRIPTION OF IMPORTED FISH				
Product (a)	Type (b)	Weight	Flag Country/ Fishing Entity	Imported Date
F/FR	RD/GG/DR/FL/OT	(kg)		
(a) F=Fresh, FR=Frozen				
(b) RD=Round, GG=Gilled and Gutted, DR=Dressed, FL=Fillet, OT=Other (describe the type of product; _____).				
5. DESCRIPTION OF RE-EXPORTING FISH				
Product (a)	Type (b)	Weight		
F/FR	RD/GG/DR/FL/OT	(kg)		
(a) F=Fresh, FR=Frozen				
(b) RD=Round, GG=Gilled and Gutted, DR=Dressed, FL=Fillet, OT=Other (describe the type of product; _____).				
6. RE-EXPORTER CERTIFICATION I certify that the above information is complete, true, and correct to the best of my knowledge and belief.				
Name	Address	Signature	Date	Licence Number (if applicable)
7. VALIDATION BY AUTHORITY I validate that the information listed above is complete, true, and correct to the best of my knowledge and belief.				
Name & Title	Signature	Date	Official Seal	
<b>IMPORT SECTION:</b>				
IMPORT SECTION I certify that the above information is complete, true, and correct to the best of my knowledge and belief.				
Importer Certification (Intermediate Country) (if applicable)				
Name	Address	Signature	Date	Licence no (if applicable)
Importer Certification (Intermediate Country) (if applicable)				
Name	Address	Signature	Date	Licence No (if applicable)
Importer Certification (Final Destination of Shipment)				
Name	Address	Signature	Date	Licence No (if applicable)
Final Point of Import: City _____ State or Province _____ Country/Fishing Entity _____				

NOTE 1: The organization/person which validates the Re-export Certificate should verify the copy of original CCSBT Southern Bluefin Tuna Statistical Document. Such a verified copy of original Southern Bluefin Tuna Statistical Document must be attached to the Re-export Certificate. When southern bluefin tuna is re-exported more than twice, all verified copies of concerned Re-export Certificates must be also attached to a Re-export Certificate.

NOTE 2: If a language other than English is used in completing this form, please add the English translation on this Document.

Half-yearly Report of the CCSBT Southern Bluefin Tuna Re-export Certificate

Period \_\_\_\_ to \_\_\_\_, \_\_\_\_  
month month year

Import Country \_\_\_\_\_

Flag Country/ Fishing Entity	Re-export Country	Point of Export	Product F/FR	Type RD/GG/DR/FL/OT	Product Weight (kg)