Attachment A

Focus Group Protocol

OMB No. 0930-0196

Expiration Date: 9/30/19

**UAD PSA Concept Testing - Center for Substance Abuse Prevention (CSAP) Underage Drinking and Substance Use Prevention: Parent Focus Group Protocol**

**Description**

Parents and caregivers will participate in eight Focus Groups Discussions (FGDs) to pretest four different concepts during the process of development of a Public Service Announcement (PSA) aiming to reach parents of children 9 to 15 years old and increasing conversations about underage drinking and substance use prevention (with a focus on opioids, marijuana, and naloxone). To that end the PSA concepts will: harness the power of parents by modeling conversations and bringing the topics of underage drinking and substance use prevention in community conversations; spread awareness about underage drinking and substance use prevention, dispel misperceptions and provide ideas for keeping conversations ongoing; and provide ideas and overall structure for dialogue and engagement about underage drinking and substance use prevention issues.

The concept testing will determine if the PSA concept is resonating with the target population in terms of relevance, comprehension, memorability, identification, and appeal. The focus groups will also explore about questions, topics and issues that parents want to know about underage drinking and substance use prevention.

**TARGET AUDIENCE:**  Parents/caregivers of children ages 9–15

1. **GOAL**

Pretest four PSA concepts developed to increase conversations among parents/caregivers and children 9 to 15 to prevent underage drinking and other substance use.

1. **OBJECTIVES**

Conduct eight FGDs with racial and ethnic diverse groups of parents and caregivers, to:

* Explore opinions, suggestions and concerns of the target populations (TAs) about four proposed PSA concepts, and provide recommendations to make them more relevant
* Obtain participant reactions to the specific proposals, and gauge ideas to make the concepts more relevant, identifiable, understandable, memorable, and appealing.
* Select two PSA concepts based on TA feedback, and further develop and pretest them to increase identification, relevance, and effectiveness of the message.

1. **METHODOLOGY**

* Eighty screened and recruited individuals, divided into 8 groups of 10 participants each, will participate in 90-minute FG sessions.
* Participants that include parents and caregivers of children 9 to 15 will review the four concepts presented through the use of animatics, to assess the message’s effectivity in increasing parent-to-child conversations about underage drinking and substance use prevention.
* FGDs will take place in the following locations: Virginia; Colorado; Washington; South Carolina; West Virginia; Florida; New Hampshire; New Mexico.
* Screener and recruitment questionnaires (Attachment B) will be provide to the market research vendors on each city to guarantee the desired composition of each group.
* FGDs will be conducted preferably after work hours, based on potential participants’ availability.
* The FGD sessions will be facilitated by a skilled moderator.
* Before the FG session, participants will complete a release/consent form of use of the information provided (see Attachment C).
* An approved FGD guide (see Attachment D) will be used to facilitate all groups.
* After concluding the FGD session, participants will receive a gift card for the value of $50.
* Each group will last 90 minutes, and all sessions will be digitally recorded in order to reconstruct conversations and capture all ideas.
* At each site, a note taker will record salient points throughout the session.
* Recordings and notes will be listened to and reviewed after each session to create “extended notes.”
* After each session, a top-line report of findings will be drafted and sent to SAMHSA for review.
* Documents with extended notes will be produced to facilitate the coding process.
* Information obtained during the FGDs will be coded and analyzed.
* A FGD findings report will be developed and submitted.

1. **TIMETABLE**

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| **TASK** | **ACTIVITY** | **DATE** |
| **Design OMB package** | * Synergy develops first draft of the focus group plan, including protocol and data collection instruments * Circulate draft for internal feedback and comments | August 28 – September 1, 2016-  September 5, 2017 |
| **Submit OMB package for approval** | * Final OMB package summited to SAMHSA * SAMHSA summit OMB package to HHS * OMB receive approval | September 8, 2017  September 15, 2017  November 1, 2017 |
| **Conduct FGDs** | * Synergy identify and hire research market vendor in each city * Vendors conducts screening of potential participants * Virginia   + Washington   + Colorado   + South Carolina   + West Virginia   + New Hampshire   + New Mexico   + Florida * Vendors recruitment focus group participants:   + Virginia   + Washington   + Colorado   + South Carolina   + West Virginia   + New Hampshire   + New Mexico   + Florida * Synergy conducts a FG dry run at SAMHA (via phone or in person)      * Synergy conducts focus groups:   + Virginia   + Washington   + Colorado   + South Carolina   + West Virginia   + New Hampshire   + New Mexico   + Florida * Synergy produces top-line reports | August/September 2017  October 2017  November 2017  November 2017  December 2017  Late January 2018 |
| **Present Topline/highlights** | * Synergy present topline highlights to SAMHSA | February, 2018 |
| **Analyze FGDs Results** | * Synergy creates extended notes * Coding information * Conducting analysis of the focus groups results * Delivers a final report | Late February, 2018 |