OMB Control Number 0970-XXXX

Expiration Date: XX/XX/XXXX

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**ATTACHMENT B**

**KEY INDICATORS COMPONENT DATA**

*Updated June 9, 2016*

THE PAPERWORK REDUCTION ACT OF 1995 (Pub. L. 104-13)

Public reporting burden for this collection of information is estimated to average 40 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

*The Key Indicators Component data will be entered directly onto an online form on the NAMRS website on an annual basis. The reporting period is the federal fiscal year (October–September). This component includes aggregated counts of key statistics and is submitted only by those states that cannot submit the Case Component.*

**Table 1–Investigations**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Element Category/No.** | **Element Name** | **Element Description/ Categories** | **Required** | **Field Entry Format** | **Instructions** |
| **Investigations Closed** | empty | empty | empty | empty | empty |
| K1 | Investigations Closed | Number of investigations closed during the reporting period. | Yes | Numeric - 10 integers | Investigation could have been received in previous reporting period, but must have been closed during current reporting period. Closed investigations include those completed and resulted in a finding (e.g., substantiated, unsubstantiated). Closed investigations also include those where the investigation was not completed due to reasons such as client refused services. Do not include investigation closed due to administrative reasons such as opened in error. |
| K1.1 | Comment | Provide additional information on the number of investigations closed during the reporting period. | No | Text - 5,000 characters | empty |

**Table 2–Clients**

| **Element Category/No.** | **Element Name** | **Element Description/ Categories** | **Required** | **Field Entry Format** | **Instructions** |
| --- | --- | --- | --- | --- | --- |
| **Clients who received investigations** | Empty | Empty | Empty | empty | Count each client in each investigation. If a client was the subject of two investigations, count twice. |
| K2 | Clients who received an investigation | Number of clients involved in an investigation that was closed or completed during the reporting period. | Yes | Numeric - 10 integers | Empty |
| K2.1 | Comment | Provide additional information on how number of clients was determined. | No | Text - 5,000 characters | Empty |
| **Clients who received interagency coordination** | Empty | Empty | Empty | empty | Count each client once for each investigation in which he/she received one or more interagency coordination activities. |
| K3 | Clients who received interagency coordination | Number of clients referred to an agency in which an interagency coordination was part of the investigation. | No | Numeric - 10 integers | Examples of agencies include law enforcement or prosecutorial offices, protection and advocacy or Client Advocacy Program (CAP), state licensing agency, State Medicaid Fraud Control Unit (MFCU), and Long Term Care Ombudsman Program. |
| K3.1 | Comment | Provide additional information on how number of clients who received interagency coordination was determined. | No | Text - 5,000 characters | Empty |
| **Clients by case closure reason** | Empty | Empty | Empty | empty | This includes the number of clients by case closure reason whose case was closed for a specific reason.  A client is counted for each case closure. There can only be one primary case closure reason per client per case. |
| K4.1 | Empty | Investigation completed | No | Numeric - 10 integers | Empty |
| K4.2 | Empty | Investigation completed and protective services case completed | No | Numeric - 10 integers | Empty |
| K4.3 | Empty | Investigation unable to be completed (non-specific) | No | Numeric - 10 integers | Empty |
| K4.3.1 | Empty | Investigation unable to be completed due to death of client during investigation | No | Numeric - 10 integers | Empty |
| K4.3.2 | Empty | Investigation unable to be completed due to refusal of client | No | Numeric - 10 integers | Empty |
| K4.4 | Empty | Protective services case opened but not completed (non-specific) | No | Numeric - 10 integers | Empty |
| K4.4.1 | Empty | Protective services case closed due to death of client | No | Numeric - 10 integers | Empty |
| K4.4.2 | Empty | Protective services case closed due to client decision to not continue | No | Numeric - 10 integers | Empty |
| K4.5 | Empty | Other | No | Numeric - 10 integers | Empty |
| K4.6 | Empty | Unknown | No | Numeric - 10 integers | Empty |
| K4.7 | Comment | Provide additional information on how number of clients by case closure reason was determined. | No | Text - 5,000 characters | Empty |

**Table 3–Victims**

| **Element Category/No.** | **Element Name** | **Element Description/ Categories** | **Required** | **Field Entry Format** | **Instructions** |
| --- | --- | --- | --- | --- | --- |
| **Clients found to be victims** | Empty | Empty | Empty | empty | A victim is counted once for each investigation in which a maltreatment was substantiated, no matter how many maltreatments were substantiated. |
| K5 | Clients found to be victims | Number of victims in which at least one maltreatment disposition was substantiated per investigation. | Yes | Numeric - 10 integers | Empty |
| K5.1 | Comment | Provide additional information on how number of victims was determined. | No | Text - 5,000 characters | Empty |
| **Victims by Age** | Empty | Empty | Empty | empty | The victim’s age is computed by subtracting the client's date of birth from the investigation start date. An estimated age can be used.  The victim’s age is counted once per each investigation in which the client was found to be a victim.  Age could differ between investigations. |
| K6.1 | Empty | 18-29 years | No | Numeric - 10 integers | Empty |
| K6.2 | Empty | 30-39 years | No | Numeric - 10 integers | Empty |
| K6.3 | Empty | 40-49 years | No | Numeric - 10 integers | Empty |
| K6.4 | Empty | 50-59 years | No | Numeric - 10 integers | Empty |
| K6.5 | Empty | 60-69 years | No | Numeric - 10 integers | Empty |
| K6.6 | Empty | 70-74 years | No | Numeric - 10 integers | Empty |
| K6.7 | Empty | 75-84 years | No | Numeric - 10 integers | Empty |
| K6.8 | Empty | 85 and older | No | Numeric - 10 integers | Empty |
| K6.9 | Empty | Unknown | No | Numeric - 10 integers | Empty |
| K6.10 | Comment | Provide additional information on how age was computed. | No | Text - 5,000 characters | Empty |
| **Victims by race** | Empty | Empty | Empty | Empty | A multiracial victim is counted for each race identified. For example, if the victim is Asian and White, the victim should be counted in both race categories. If specific races cannot be identified for the multiracial victim, the victim is counted in “other” category. |
| K7.1 | Empty | American Indian or Alaska Native | No | Numeric - 10 integers | Empty |
| K7.2 | Empty | Asian | No | Numeric - 10 integers | Empty |
| K7.3 | Empty | Black or African American | No | Numeric - 10 integers | Empty |
| K7.4 | Empty | Native Hawaiian or Other Pacific Islander | No | Numeric - 10 integers | Empty |
| K7.5 | Empty | White | No | Numeric - 10 integers | Empty |
| K7.6 | Empty | Other | No | Numeric - 10 integers | Empty |
| K7.7 | Empty | Unknown | No | Numeric - 10 integers | Empty |
| K7.8 | Comment | Provide additional information on how number of victims by race was determined. | No | Text - 5,000 characters | Empty |
| **Victims by ethnicity** | Empty | Empty | Empty | empty | Count each victim once per investigation. |
| K8.1 | Empty | Hispanic, Latino/a, or Spanish | No | Numeric -10 integers | Empty |
| K8.2 | Empty | Not Hispanic, Latino/a, or Spanish | No | Numeric - 10 integers | Empty |
| K8.3 | Empty | Unknown | No | Numeric - 10 integers | Empty |
| K8.4 | Comment | Provide additional information on how number of victims by ethnicity was determined. | No | Text - 5,000 characters | Empty |
| **Victims by gender identity** | Empty | Empty | Empty | empty | Count each victim once per investigation. |
| K9.1 | Empty | Male | No | Numeric - 10 integers | Empty |
| K9.2 | Empty | Female | No | Numeric - 10 integers | Empty |
| K9.3 | Empty | Transgender | No | Numeric - 10 integers | Empty |
| K9.4 | Empty | Unknown | No | Numeric - 10 integers | Empty |
| K9.5 | Comment | Provide additional information on how number of victims by gender was determined. | No | Text - 5,000 characters | Empty |
| **Victims receiving benefits** | Empty | Empty | empty | Empty | A victim is counted once per investigation in which he/she received one or more benefits. |
| K10 | Victims who received one or more benefits | Number of victims who received one or more federal and/or state benefits. | No | Numeric - 10 integers | The types of benefits include but are not limited to: Medicaid, Medicare, public subsidized housing, Social Security Disability Insurance, Social Security retirement benefits, Supplemental Security Income, Temporary Assistance for Needy Families, and veterans' disabled benefits. |
| K10.1 | Comment | Provide additional information on how number of victims by receipt of benefits was determined. | No | Text - 5,000 characters | Empty |
| **Victims with disabilities** | Empty | Empty | Empty | empty | A victim is counted once per investigation in which he/she was identified as having one or more disabilities. |
| K11 | Victims with one or more disabilities | Number of victims with one or more disabilities. | No | Numeric - 10 integers | Empty |
| K11.1 | Comment | Provide additional information on how number of victims with one or more disabilities was determined. | No | Text - 5,000 characters | Empty |
| **Victims with behavioral conditions** | Empty | Empty | Empty | Empty | A victim is counted once per investigation in which he/she was screened as having one or more behavioral conditions. |
| K12 | Victims with one or more screened or diagnosed behavioral conditions | Number of victims with one or more screened or diagnosed behavioral conditions. | No | Numeric - 10 integers | The types of diagnoses include, but are not limited to: alcohol use disorder, anxiety, bipolar disorder, dementia, depression, schizophrenia and other psychotic disorders, substance use disorder, and traumatic brain injury. |
| K12.1 | Comment | Provide additional information on how number of victims with a behavioral condition was determined. | No | Text - 5,000 characters | Empty |
| **Victims by maltreatment type** | Empty | Empty | Empty | Empty | A victim is counted each time he/she was substantiated as a victim of a specific maltreatment type.  For example, if a person was found to be a victim of neglect in two investigations, the count for neglect would be two for that victim. |
| K13.1 | Empty | Abandonment | No | Numeric - 10 integers | Empty |
| K13.2 | Empty | Emotional abuse | No | Numeric - 10 integers | Empty |
| K13.3 | Empty | Exploitation (non-specific) | No | Numeric - 10 integers | Empty |
| K13.3.1 | Empty | Financial exploitation | No | Numeric - 10 integers | Empty |
| K13.3.2 | Empty | Other exploitation | No | Numeric - 10 integers | Empty |
| K13.4 | Empty | Neglect | No | Numeric - 10 integers | Empty |
| K13.5 | Empty | Physical abuse | No | Numeric - 10 integers | Empty |
| K13.6 | Empty | Sexual abuse | No | Numeric - 10 integers | Empty |
| K13.7 | Empty | Suspicious death | No | Numeric - 10 integers | Empty |
| K13.8 | Empty | Self-neglect | No | Numeric - 10 integers | Empty |
| K13.9 | Empty | Other | No | Numeric - 10 integers | Empty |
| K13.10 | Empty | Unknown | No | Numeric - 10 integers | Empty |
| K13.11 | Comment | Provide additional information on how number of victims by maltreatment type was determined. | No | Text - 5,000 characters | Empty |
| **Victims with guardian or conservator** | Empty | Empty | Empty | Empty | A victim is counted once per investigation in which he/she was screened as having one or more guardians or conservators. |
| K14 | Victims with guardian or conservator at start of investigation | Number of victims with guardian or conservator at start of investigation. | No | Numeric - 10 integers | Empty |
| K14.1 | Comment | Provide additional information on how number of victims by one or more guardians or conservators was determined. | No | Text - 5,000 characters | Empty |
| **Victims who received or were referred for services** | Empty | Empty | Empty | Empty | A victim is counted once per investigation in which he/she received or was referred for one or more services.  If a client received multiple services and also was referred for multiple services in one investigation, he/she is counted only once. |
| K15 | Victims who received services or were referred for services by APS | Number of victims who received services or were referred for services by APS. | No | Numeric - 10 integers | Empty |
| K15.1 | Comment | Provide additional information on how number of victims by services was determined. | No | Text - 5,000 characters | Empty |

**Table 4–Perpetrators**

| **Element Category/No.** | **Element Name** | **Element Description/ Categories** | **Required** | **Field Entry Format** | **Instructions** |
| --- | --- | --- | --- | --- | --- |
| **Perpetrators by age** | Empty | Empty | Empty | Empty | The perpetrator age is computed by subtracting the person's date of birth from the investigation start date. An estimated age can be used.  Count each perpetrator once per client per investigation.  If a person was the perpetrator of two clients in one investigation, the person is counted twice. |
| K16.1 | Empty | 17 and younger | No | Numeric - 10 integers | Empty |
| K16.2 | Empty | 18-29 years | No | Numeric - 10 integers | Empty |
| K16.3 | Empty | 30-39 years | No | Numeric - 10 integers | Empty |
| K16.4 | Empty | 40-49 years | No | Numeric - 10 integers | Empty |
| K16.5 | Empty | 50-59 years | No | Numeric - 10 integers | Empty |
| K16.6 | Empty | 60-69 years | No | Numeric - 10 integers | Empty |
| K16.7 | Empty | 70-74 years | No | Numeric - 10 integers | Empty |
| K16.8 | Empty | 75-84 years | No | Numeric - 10 integers | Empty |
| K16.9 | Empty | 85 and older | No | Numeric - 10 integers | Empty |
| K16.10 | Empty | Unknown | No | Numeric - 10 integers | Empty |
| K16.11 | Comment | Provide additional information on how number of perpetrators by age was determined. | No | Text - 5,000 characters | Empty |
| **Perpetrators by gender identity** | Empty | Empty | Empty | empty | Empty |
| K17.1 | Empty | Male | No | Numeric - 10 integers | Empty |
| K17.2 | Empty | Female | No | Numeric - 10 integers | Empty |
| K17.3 | Empty | Transgender | No | Numeric - 10 integers | Empty |
| K17.4 | Empty | Unknown | No | Numeric - 10 integers | Empty |
| K17.5 | Comment | Provide additional information on how number of perpetrators by gender was determined. | No | Text - 5,000 characters | Empty |
| **Perpetrators with kinship relationship** | Empty | Empty | Empty | Empty | Count each perpetrator once per client per investigation.  If a person was the perpetrator of two clients in one investigation and is related to one victim and not the second, the perpetrator is counted only once. |
| K18 | Perpetrators who had a kinship relationship to the victim | Number of perpetrators related to the victim by affinity (blood, adoption, marriage, etc.) | No | Numeric - 10 integers | Empty |
| K18.1 | Comment | Provide additional information on how number of perpetrators by kinship relationship was determined. | No | Text - 5,000 characters | Empty |
| **Perpetrators with association to victim** | Empty | Empty | Empty | Empty | Count each perpetrator once per client per investigation.  If a person was the perpetrator of two clients in one investigation and has one or more caregiving relationships to one victim and not the second, the perpetrator is counted only once. |
| K19 | Perpetrators who had one or more associations to victim | Number of perpetrators with one or more caregiving relationships to the victim. | No | Numeric - 10 integers | Empty |
| K19.1 | Comment | Provide additional information on how number of perpetrators by association to the victim was determined. | No | Text - 5,000 characters | Empty |
| **Perpetrators with legal remedy recommendations** | Empty | Empty | Empty | Empty | Count each perpetrator once per client per investigation.  If a person was the perpetrator of two clients in one investigation and had one or more legal remedies recommended or sought related to one victim and none with the second, the perpetrator is counted only once. |
| K20 | Perpetrators for whom one or more legal remedies on behalf of the victim were recommended or sought | Number of perpetrators for whom at least one legal remedy was recommended or sought. | No | Numeric - 10 integers | Empty |
| K20.1 | Comment | Provide additional information on how number of perpetrators by legal remedy was determined. | No | Text - 5,000 characters | Empty |