



## **ATTACHMENT B**

### **KEY INDICATORS COMPONENT DATA**

*Updated June 9, 2016*

THE PAPERWORK REDUCTION ACT OF 1995 (Pub. L. 104-13)

Public reporting burden for this collection of information is estimated to average 40 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

The Key Indicators Component data will be entered directly onto an online form on the NAMRS website on an annual basis. The reporting period is the federal fiscal year (October–September). This component includes aggregated counts of key statistics and is submitted only by those states that cannot submit the Case Component.

**Table 1–Investigations**

Element Category/No.	Element Name	Element Description/ Categories	Required	Field Entry Format	Instructions
<b>Investigations Closed</b>					
K1	Investigations Closed	Number of investigations closed during the reporting period.	Yes	Numeric - 10 integers	Investigation could have been received in previous reporting period, but must have been closed during current reporting period. Closed investigations include those completed and resulted in a finding (e.g., substantiated, unsubstantiated). Closed investigations also include those where the investigation was not completed due to reasons such as client refused services. Do not include investigation closed due to administrative reasons such as opened in error.
K1.1	Comment	Provide additional information on the number of investigations closed during the reporting period.	No	Text - 5,000 characters	

**Table 2–Clients**

Element Category/No.	Element Name	Element Description/ Categories	Required	Field Entry Format	Instructions
<b>Clients who received investigations</b>					Count each client in each investigation. If a client was the subject of two investigations, count twice.
K2	Clients who received an investigation	Number of clients involved in an investigation that was closed or completed during the reporting period.	Yes	Numeric - 10 integers	
K2.1	Comment	Provide additional information on how number of clients was determined.	No	Text - 5,000 characters	
<b>Clients who received interagency coordination</b>					Count each client once for each investigation in which he/she received one or more interagency coordination activities.

Element Category/No.	Element Name	Element Description/ Categories	Required	Field Entry Format	Instructions
K3	Clients who received interagency coordination	Number of clients referred to an agency in which an interagency coordination was part of the investigation.	No	Numeric - 10 integers	Examples of agencies include law enforcement or prosecutorial offices, protection and advocacy or Client Advocacy Program (CAP), state licensing agency, State Medicaid Fraud Control Unit (MFCU), and Long Term Care Ombudsman Program.
K3.1	Comment	Provide additional information on how number of clients who received interagency coordination was determined.	No	Text - 5,000 characters	
<b>Clients by case closure reason</b>					This includes the number of clients by case closure reason whose case was closed for a specific reason.  A client is counted for each case closure. There can only be one primary case closure reason per client per case.
K4.1		Investigation completed	No	Numeric - 10 integers	
K4.2		Investigation completed and protective services case completed	No	Numeric - 10 integers	
K4.3		Investigation unable to be completed (non-specific)	No	Numeric - 10 integers	
K4.3.1		Investigation unable to be completed due to death of client during investigation	No	Numeric - 10 integers	
K4.3.2		Investigation unable to be completed due to refusal of client	No	Numeric - 10 integers	
K4.4		Protective services case opened but not completed (non-specific)	No	Numeric - 10 integers	
K4.4.1		Protective services case closed due to death of client	No	Numeric - 10 integers	
K4.4.2		Protective services case closed due to client decision to not continue	No	Numeric - 10 integers	
K4.5		Other	No	Numeric - 10 integers	
K4.6		Unknown	No	Numeric - 10 integers	
K4.7	Comment	Provide additional information on how number of clients by case closure reason was determined.	No	Text - 5,000 characters	

**Table 3–Victims**

Element Category/No.	Element Name	Element Description/ Categories	Required	Field Entry Format	Instructions
<b>Clients found to be victims</b>					A victim is counted once for each investigation in which a maltreatment was substantiated, no matter how many maltreatments were substantiated.
K5	Clients found to be victims	Number of victims in which at least one maltreatment disposition was substantiated per investigation.	Yes	Numeric - 10 integers	
K5.1	Comment	Provide additional information on how number of victims was determined.	No	Text - 5,000 characters	
<b>Victims by Age</b>					The victim's age is computed by subtracting the client's date of birth from the investigation start date. An estimated age can be used.  The victim's age is counted once per each investigation in which the client was found to be a victim.  Age could differ between investigations.
K6.1		18-29 years	No	Numeric - 10 integers	
K6.2		30-39 years	No	Numeric - 10 integers	
K6.3		40-49 years	No	Numeric - 10 integers	
K6.4		50-59 years	No	Numeric - 10 integers	
K6.5		60-69 years	No	Numeric - 10 integers	
K6.6		70-74 years	No	Numeric - 10 integers	
K6.7		75-84 years	No	Numeric - 10 integers	
K6.8		85 and older	No	Numeric - 10 integers	
K6.9		Unknown	No	Numeric - 10 integers	
K6.10	Comment	Provide additional information on how age was computed.	No	Text - 5,000 characters	
<b>Victims by race</b>					A multiracial victim is counted for each race identified. For example, if the victim is Asian and White, the victim should be counted in both race categories. If specific races cannot be identified for the multiracial victim, the victim is counted in "other" category.
K7.1		American Indian or Alaska Native	No	Numeric - 10 integers	
K7.2		Asian	No	Numeric - 10 integers	

Element Category/No.	Element Name	Element Description/ Categories	Required	Field Entry Format	Instructions
K7.3		Black or African American	No	Numeric - 10 integers	
K7.4		Native Hawaiian or Other Pacific Islander	No	Numeric - 10 integers	
K7.5		White	No	Numeric - 10 integers	
K7.6		Other	No	Numeric - 10 integers	
K7.7		Unknown	No	Numeric - 10 integers	
K7.8	Comment	Provide additional information on how number of victims by race was determined.	No	Text - 5,000 characters	
<b>Victims by ethnicity</b>					Count each victim once per investigation.
K8.1		Hispanic, Latino/a, or Spanish	No	Numeric - 10 integers	
K8.2		Not Hispanic, Latino/a, or Spanish	No	Numeric - 10 integers	
K8.3		Unknown	No	Numeric - 10 integers	
K8.4	Comment	Provide additional information on how number of victims by ethnicity was determined.	No	Text - 5,000 characters	
<b>Victims by gender identity</b>					Count each victim once per investigation.
K9.1		Male	No	Numeric - 10 integers	
K9.2		Female	No	Numeric - 10 integers	
K9.3		Transgender	No	Numeric - 10 integers	
K9.4		Unknown	No	Numeric - 10 integers	
K9.5	Comment	Provide additional information on how number of victims by gender was determined.	No	Text - 5,000 characters	
<b>Victims receiving benefits</b>					A victim is counted once per investigation in which he/she received one or more benefits.
K10	Victims who received one or more benefits	Number of victims who received one or more federal and/or state benefits.	No	Numeric - 10 integers	The types of benefits include but are not limited to: Medicaid, Medicare, public subsidized housing, Social Security Disability Insurance, Social Security retirement benefits, Supplemental Security Income, Temporary Assistance for Needy Families, and veterans' disabled benefits.
K10.1	Comment	Provide additional information on how number of victims by receipt of benefits was determined.	No	Text - 5,000 characters	

Element Category/No.	Element Name	Element Description/ Categories	Required	Field Entry Format	Instructions
<b>Victims with disabilities</b>					A victim is counted once per investigation in which he/she was identified as having one or more disabilities.
K11	Victims with one or more disabilities	Number of victims with one or more disabilities.	No	Numeric - 10 integers	
K11.1	Comment	Provide additional information on how number of victims with one or more disabilities was determined.	No	Text - 5,000 characters	
<b>Victims with behavioral conditions</b>					A victim is counted once per investigation in which he/she was screened as having one or more behavioral conditions.
K12	Victims with one or more screened or diagnosed behavioral conditions	Number of victims with one or more screened or diagnosed behavioral conditions.	No	Numeric - 10 integers	The types of diagnoses include, but are not limited to: alcohol use disorder, anxiety, bipolar disorder, dementia, depression, schizophrenia and other psychotic disorders, substance use disorder, and traumatic brain injury.
K12.1	Comment	Provide additional information on how number of victims with a behavioral condition was determined.	No	Text - 5,000 characters	
<b>Victims by maltreatment type</b>					A victim is counted each time he/she was substantiated as a victim of a specific maltreatment type.  For example, if a person was found to be a victim of neglect in two investigations, the count for neglect would be two for that victim.
K13.1		Abandonment	No	Numeric - 10 integers	
K13.2		Emotional abuse	No	Numeric - 10 integers	
K13.3		Exploitation (non-specific)	No	Numeric - 10 integers	
K13.3.1		Financial exploitation	No	Numeric - 10 integers	
K13.3.2		Other exploitation	No	Numeric - 10 integers	
K13.4		Neglect	No	Numeric - 10 integers	
K13.5		Physical abuse	No	Numeric - 10 integers	
K13.6		Sexual abuse	No	Numeric - 10 integers	
K13.7		Suspicious death	No	Numeric - 10 integers	
K13.8		Self-neglect	No	Numeric - 10 integers	
K13.9		Other	No	Numeric - 10 integers	

Element Category/No.	Element Name	Element Description/ Categories	Required	Field Entry Format	Instructions
K13.10		Unknown	No	Numeric - 10 integers	
K13.11	Comment	Provide additional information on how number of victims by maltreatment type was determined.	No	Text - 5,000 characters	
<b>Victims with guardian or conservator</b>					A victim is counted once per investigation in which he/she was screened as having one or more guardians or conservators.
K14	Victims with guardian or conservator at start of investigation	Number of victims with guardian or conservator at start of investigation.	No	Numeric - 10 integers	
K14.1	Comment	Provide additional information on how number of victims by one or more guardians or conservators was determined.	No	Text - 5,000 characters	
<b>Victims who received or were referred for services</b>					A victim is counted once per investigation in which he/she received or was referred for one or more services.  If a client received multiple services and also was referred for multiple services in one investigation, he/she is counted only once.
K15	Victims who received services or were referred for services by APS	Number of victims who received services or were referred for services by APS.	No	Numeric - 10 integers	
K15.1	Comment	Provide additional information on how number of victims by services was determined.	No	Text - 5,000 characters	

**Table 4–Perpetrators**

Element Category/No.	Element Name	Element Description/ Categories	Required	Field Entry Format	Instructions
<b>Perpetrators by age</b>					The perpetrator age is computed by subtracting the person's date of birth from the investigation start date. An estimated age can be used.  Count each perpetrator once per client per investigation.  If a person was the perpetrator of two clients in one investigation, the person is counted twice.
K16.1		17 and younger	No	Numeric - 10 integers	

Element Category/No.	Element Name	Element Description/ Categories	Required	Field Entry Format	Instructions
K16.2		18-29 years	No	Numeric - 10 integers	
K16.3		30-39 years	No	Numeric - 10 integers	
K16.4		40-49 years	No	Numeric - 10 integers	
K16.5		50-59 years	No	Numeric - 10 integers	
K16.6		60-69 years	No	Numeric - 10 integers	
K16.7		70-74 years	No	Numeric - 10 integers	
K16.8		75-84 years	No	Numeric - 10 integers	
K16.9		85 and older	No	Numeric - 10 integers	
K16.10		Unknown	No	Numeric - 10 integers	
K16.11	Comment	Provide additional information on how number of perpetrators by age was determined.	No	Text - 5,000 characters	
<b>Perpetrators by gender identity</b>					
K17.1		Male	No	Numeric - 10 integers	
K17.2		Female	No	Numeric - 10 integers	
K17.3		Transgender	No	Numeric - 10 integers	
K17.4		Unknown	No	Numeric - 10 integers	
K17.5	Comment	Provide additional information on how number of perpetrators by gender was determined.	No	Text - 5,000 characters	
<b>Perpetrators with kinship relationship</b>					
K18	Perpetrators who had a kinship relationship to the victim	Number of perpetrators related to the victim by affinity (blood, adoption, marriage, etc.)	No	Numeric - 10 integers	Count each perpetrator once per client per investigation.  If a person was the perpetrator of two clients in one investigation and is related to one victim and not the second, the perpetrator is counted only once.
K18.1	Comment	Provide additional information on how number of perpetrators by kinship relationship was determined.	No	Text - 5,000 characters	



Element Category/No.	Element Name	Element Description/ Categories	Required	Field Entry Format	Instructions
<b>Perpetrators with association to victim</b>					Count each perpetrator once per client per investigation. If a person was the perpetrator of two clients in one investigation and has one or more caregiving relationships to one victim and not the second, the perpetrator is counted only once.
K19	Perpetrators who had one or more associations to victim	Number of perpetrators with one or more caregiving relationships to the victim.	No	Numeric - 10 integers	
K19.1	Comment	Provide additional information on how number of perpetrators by association to the victim was determined.	No	Text - 5,000 characters	
<b>Perpetrators with legal remedy recommendations</b>					Count each perpetrator once per client per investigation. If a person was the perpetrator of two clients in one investigation and had one or more legal remedies recommended or sought related to one victim and none with the second, the perpetrator is counted only once.
K20	Perpetrators for whom one or more legal remedies on behalf of the victim were recommended or sought	Number of perpetrators for whom at least one legal remedy was recommended or sought.	No	Numeric - 10 integers	
K20.1	Comment	Provide additional information on how number of perpetrators by legal remedy was determined.	No	Text - 5,000 characters	