



ATTACHMENT D

DEFINITIONS OF CODE VALUES

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THE PAPERWORK REDUCTION ACT OF 1995 (Pub. L. 104-13)

Public reporting burden for this collection of information is estimated to average 150 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

The NAMRS Code Values and Definitions serve as a reference to the Agency Component Data Specifications, Case Component Data Specifications, and Key Indicators Data Specifications. It provides definitions of code values found in each data component.

Table 1–Definitions of Code Values

Element	Code	Value	Value Definition
Report source			
	1	Substitute decision maker	Includes health care proxy, financial proxy, guardian or conservator of person or property, representative payee.
	2	In-home caregiver	Individuals who have the responsibility for the care of the client, providing in-home monitoring, management, supervision, etc.
	3	Nursing home staff	Employees of a nursing home or skilled nursing home, which provide services for residents who require medical or nursing care, or rehabilitative services.
	4	Residential care community staff	Employees of a residential care community including those providing room and board of at least 2 meals per day and help with personal care. These places include residential communities identified as assisted living residences, board and care homes, congregate care, enriched housing programs, personal care homes, homes serving primarily persons with disabilities, and homes serving primarily older adults.
	5	Education professional	Employees of a public or private educational institution or program; includes teachers, teacher assistants, administrators, and others directly associated with the delivery of educational services.
	6	Financial professional	Professionals managing finances or planning for future financial needs. Includes bank officials, financial planners, etc.
	7	Law enforcement, judicial, or legal professional	People employed by a local, state, tribal, or federal justice agency. This includes police, courts, district attorney's office, probation or other community corrections agency, and correctional facilities.
	8	Medical or health professional	People employed by a medical facility or practice. This includes physicians, physician assistants, nurses, emergency medical technicians, dentists, chiropractors, coroners, and dental assistants and technicians.
	9	Mental and behavioral health professional	Persons who offer services for the purpose of improving an individual's mental health or to treat mental illness. Includes psychiatric nurses, clinical psychologists, etc.
	10	Social services professional	Employees of public or private social services or social welfare agency, or other social worker or counselor who provides similar services including rehabilitative services.
	11	Other professional	Persons who encountered the client as part of their occupation. Includes landlords, housing authorities, clergy, etc.
	12	Relative	Persons related by blood, marriage, or adoption. Includes spouse, domestic partner, parent, foster parent, child, in-laws, etc.
	13	Neighbor, friend, other nonrelative, other nonprofessional	Other individual who is not a professional or person related to client by blood, marriage, or adoption. Includes friends, neighbors, etc.
	14	Self	Person allegedly being maltreated.
	15	No role identified	An individual who notifies an APS agency of suspected maltreatment without identifying his or her role.
Maltreatment setting			
	10	Own residence or private residence of relative or caregiver	Client's home or the client's relative or caregiver's home. Includes a house, apartment, mobile home or trailer, group of rooms, etc.
	20	Residential care community (non-specific)	Provides room and board of at least two meals per day and help with personal care. Includes residential communities identified as assisted living facilities, board and care homes, congregate care, enriched housing programs, personal care homes, homes serving primarily persons with disabilities, and homes serving primarily older adults.

Element	Code	Value	Value Definition
	21	Licensed residential care community	Licensed, registered, listed, certified, or otherwise regulated by the state.
	22	Unlicensed residential care community	Not licensed, registered, listed, certified, or otherwise regulated by the state.
	30	Nursing home (non-specific)	Nursing home or skilled nursing home that provides services for residents who require medical or nursing care, or rehabilitative services.
	31	Licensed nursing home	Licensed, registered, listed, certified, or otherwise regulated by the state.
	32	Unlicensed nursing home	Not licensed, registered, listed, certified, or otherwise regulated by the state.
	40	Adult day services center (non-specific)	Includes adult day care, adult day health services centers. Day care services for adults are those services or activities provided to adults who require care and supervision in a protective setting for a portion of a 24-hour day.
	41	Licensed adult day services center	Licensed, registered, listed, certified, or otherwise regulated by the state.
	42	Unlicensed adult day services center	Not licensed, registered, listed, certified, or otherwise regulated by the state.
	50	Place of business or other services	Includes place of employment, sheltered workshops, hospitals, schools, banks, other offices, shelters, places of worship, etc.
	60	Other	A setting not included in categorizations provided, including recreational areas and other public spaces.
Case closure reason			
	10	Investigation completed	The case was closed after a finding was made on the allegation of maltreatment, the investigation was closed, and no ongoing protective services case was opened.
	20	Investigation completed and protective services case completed	The case was closed after the investigation was completed, additional protective services were provided, and the protective services case was closed.
	30	Investigation unable to be completed (non-specific)	A finding was not able to be made on the allegations of maltreatment for an unspecified reason and the case was closed.
	31	Investigation unable to be completed due to death of client during investigation	The client died during the investigation, the investigation was terminated without a finding, and the case was closed.
	32	Investigation unable to be completed due to refusal of client	The client refused to cooperate with the investigation worker, the investigation was terminated without a finding, and the case was closed.
	40	Protective services case opened but not completed (non-specific)	The protective services case was terminated prematurely; reason not specified.
	41	Protective services case closed due to death of client	The client died during the case and the case was closed.
	42	Protective services case closed due to client decision to not continue	The client decided not to continue work with the protective services agency, and the case was closed.
	50	Other	Case was closed for a reason not included in the categorizations provided.
Gender identity			
	1	Male	Male gender assigned at birth matches one's gender identity of male.
	2	Female	Female gender assigned at birth matches one's gender identity of female.
	3	Transgender	Gender identity, gender expression, and biological sex do not all align (e.g. man, masculine, and male).
Sexual orientation			
	1	Straight	Sexual or romantic attractions and behaviors focus exclusively or mainly on members of the other sex.
	2	Gay/lesbian	Sexual or romantic attractions and behaviors focus exclusively or mainly on members of the same sex.
	3	Bisexual	Sexual or romantic attractions and behaviors are directed at members of both sexes to a significant degree.
	4	Questioning	Exploring one's own sexual orientation, investigating influences that may come from family, religious upbringing, and internal motivations.

Element	Code	Value	Value Definition
	5	Other	A sexual orientation not included in the categorizations provided.
Race			
	10	American Indian or Alaska Native	Having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment.
	20	Asian (non-specific)	Having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent, including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.
	21	Asian Indian	Having origins of Asian Indian.
	22	Chinese	Having origins of Chinese.
	23	Filipino	Having origins of Filipino.
	24	Japanese	Having origins of Japanese.
	25	Korean	Having origins of Korean.
	26	Vietnamese	Having origins of Vietnamese.
	27	Other Asian	Having Asian origins other than those listed.
	30	Black or African American	Having origins in any of the black racial groups of Africa.
	40	Native Hawaiian or Other Pacific Islander (non-specific)	Having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.
	41	Native Hawaiian	Having origins of Native Hawaiian.
	42	Guamanian or Chamorro	Having origins of Guamanian or Chamorro.
	43	Samoan	Having origins of Samoan.
	44	other Pacific Islander	Having Pacific Islander origins other than those listed.
	50	White	Having origins in any of the original peoples of Europe, the Middle East, or North Africa.
	60	Other	Having origins not included in the categorizations provided.
Ethnicity			
	10	Yes, Hispanic or Latino/a, or Spanish origin (non-specific)	Of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.
	11	Mexican, Mexican American, Chicano/a	Having origins of Mexican, Mexican American, Chicano/a, regardless of race.
	12	Puerto Rican	Having origins of Puerto Rican, regardless of race.
	13	Cuban	Having origins of Cuban, regardless of race.
	14	Other Hispanic, Latino/a, or Spanish origin	Having Hispanic, Latino/a, or Spanish origins other than those listed, regardless of race.
	20	No, not Hispanic or Latino/a, or Spanish origin	Not of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.
Primary language			
	1	Arabic	Primary language is Arabic.
	2	Chinese	Primary language is Chinese.
	3	English	Primary language is English.
	4	French	Primary language is French.
	5	German	Primary language is German.
	6	Korean	Primary language is Korean.
	7	Russian	Primary language is Russian.
	8	Spanish or Spanish Creole	Primary language is Spanish or Spanish Creole.
	9	Tagalog	Primary language is Tagalog.
	10	Vietnamese	Primary language is Vietnamese.
	11	Sign language	Primary language is sign language.
	12	Assistive technology	Assistive, adaptive, and rehabilitative devices for people with disabilities.
	13	Other	A language not included in the categorizations provided.
Marital status			
	1	Never married	Refers to persons who report themselves as never married.
	2	Married	Refers to persons who are currently legally married.
	3	Domestic partner, including civil union	In a committed relationship with another adult, including both same sex and opposite-sex relationships

Element	Code	Value	Value Definition
	4	Divorced	Refers to those whose marriages were legally dissolved.
	5	Separated	A termination of cohabitation of spouses either by mutual agreement or, in the case of judicial separation, under the decree of a court.
	6	Widowed	Refers to those whose marriages were terminated through death of their spouse and have not remarried.
	7	Other	A marital status not included in the categorizations provided.
Schooling level			
	1	Less than high school	Did not receive a high school diploma or equivalent, such as GED.
	2	High school diploma or equivalent	Received high school diploma or equivalent, such as GED.
	3	Associate's degree or bachelor's degree	Associate's degree: granted after a two-year course of study, especially by a community or junior college. Bachelor's degree: earned for an undergraduate course of study that nominally requires three to five years of study, depending on institution and field of study.
	4	Advanced degree	A postgraduate degree, such as a master's degree or a doctorate.
Employment status			
	1	Employed	Persons who are working or temporarily not working due to illness or other reasons.
	2	Unemployed	Persons who are not employed and actively looking for work and available to start a job.
	3	Not in labor force	Students, homemakers, retired workers, seasonal workers not looking for work, persons residing in institutions, persons doing only incidental unpaid family work.
	4	Other	Employment status not included in the categorizations provided. Includes sheltered workshop participants.
Benefits			
	1	Medicaid	The United States Medicaid program provides health coverage to persons including eligible low-income adults, children, pregnant women, elderly adults, and people with disabilities.
	2	Medicare	The United States Medicare program is a health insurance program for people age 65 and older, people under age 65 with certain disabilities, and people of all ages with End-Stage Renal Disease (permanent kidney failure requiring dialysis or a kidney transplant).
	3	Publicly-subsidized housing	Government sponsored economic assistance aimed toward alleviating housing costs and expenses for people in need with low to moderate incomes. Includes direct housing subsidies, non-profit housing, rent supplements, and some forms of co-operative and private sector housing.
	4	Social Security Disability Insurance (SSDI)	SSDI provides benefits to disabled or blind persons who are "insured" by workers' contributions to the Social Security trust fund.
	5	Social Security retirement benefits	Benefits received by retired workers who have paid into the Social Security system during their working years. Paid out on a monthly basis to retired workers and their surviving spouses.
	6	Supplemental Security Income (SSI)	SSI makes cash assistance payments to aged, blind, and disabled persons (including children) who have limited income and resources.
	7	Temporary Assistance for Needy Families (TANF)	Temporary financial assistance through TANF program, which helps pregnant women and families with one or more dependent children pay for food, shelter, utilities, and expenses other than medical.
	8	Veterans' disabled benefits	Disability compensation paid to Veterans because of injuries or diseases that were incurred in or aggravated during active duty, active duty training, or inactive duty training.
	9	Other	Public benefit other than those specified in the categorizations provided.
Veteran status			
	1	Veteran	Person who has served in the United States Armed Forces or is otherwise classified as a veteran by the United States Department of Veteran Affairs.

Element	Code	Value	Value Definition
	2	Non-veteran	Person who has not served in the United States Armed Forces or is otherwise not classified as a veteran by the United States Department of Veteran Affairs.
Disabilities			
	1	Ambulatory difficulty	Having serious difficulty walking or climbing stairs.
	2	Cognitive difficulty	Because of a physical, mental, or emotional problem, having difficulty remembering, concentrating, or making decisions.
	3	Communication difficulty	Because of a physical, mental, or emotional problem, having difficulty with speech or language.
	4	Hearing difficulty	Deaf or having serious difficulty hearing.
	5	Independent living difficulty	Because of a physical, mental, or emotional problem, having difficulty doing errands alone such as visiting a doctor's office or shopping.
	6	Self-care difficulty	Having difficulty bathing or dressing.
	7	Vision difficulty	Blind or having serious difficulty seeing, even when wearing glasses.
	8	Other	Disabilities other than those specified in the categorizations provided.
	9	None	Assessed, but no disability determined.
ADL score			
	N	Total score	Indicates the client's total score on the Katz Index of Independence in Activities of Daily Living (ADL). Permissible values are 0-6.
IADL score			
	N	Total score	Indicates the client's total score on the Lawton Instrumental Activities of Daily Living (IADL). Permissible values are 0-8.
Behavioral health screenings or diagnoses			
	1	Alcohol use disorder	The consumption of alcohol that impacts the ability to conduct daily activities including quantity and frequency of alcohol consumption as well as feelings of not being able to stop drinking, of remorse about drinking, not being able to remember due to drinking, needing a drink early in the day, etc. Screening tools, such as the Alcohol Use Disorders Identification Test (AUDIT) or others, may be used. Diagnosis depends upon clinical training.
	2	Anxiety	An affective disorder that makes it difficult to conduct daily activities including feelings of nervousness, not being able to stop or control worrying, worrying too much about different things, being restless so it is hard to sit still, becoming easily annoyed or irritable and feeling afraid. Screening tools, such as the Generalized Anxiety Disorder 7 Item Scale (GAD-7) or others, may be used. Diagnosis depends upon clinical training.
	3	Bipolar disorder	A mood disorder characterized by alternating moods of mania and depression which can last for long periods of time and which may impact the ability to plan, schedule, and complete daily activities. Screening tools, such as the Mood Disorder Questionnaire or others, may be used. Diagnosis depends upon clinical training.
	4	Dementia	The progressive decline of cognitive ability which impacts daily activities. Alzheimer's is one type of dementia. Dementia may be associated with memory loss, difficulty performing familiar tasks, problems with language, disorientation to time and place, poor or decreased judgment, problems with abstract thinking, loss of initiative and changes in personality, mood, or behavior. Screening tools, such as the Alzheimer's Association Ten Warning Signs or others, may be used. Diagnosis depends upon clinical training.
	5	Depression	A loss of interest in daily activities including feelings of hopelessness, little energy, poor appetite or overeating, feeling bad about oneself, trouble concentrating and thoughts of suicide. Screening tools, such as the Patient Health Questionnaire (PHQ-9) or others, may be used. Diagnosis depends upon clinical training.

Element	Code	Value	Value Definition
	6	Schizophrenia and other psychotic disorders	An affective disorder characterized by having delusions and hallucinations that may impact daily activities by causing difficulties in interacting with others. Screening tools, such as the Schizophrenia Test and Early Psychosis Indicator (STEP1) or others, may be used. Diagnosis depends upon clinical training.
	7	Substance use disorder	The use of drugs, including over the counter drugs, prescriptions drugs, cannabis (marijuana, hashish), solvents, tranquilizers, barbiturates, cocaine, stimulants, hallucinogens or narcotics that impact the ability to conduct daily activities including the quantity and frequency of use of such drugs, and feelings of being unable to stop when wanting to, of guilt or being neglectful, of withdrawal symptoms or having blackouts or flashbacks due to drug usage. Screening tools, such as the Drug Abuse Screening Test (DAST 10) or others, may be used. Diagnosis depends upon clinical training.
	8	Traumatic brain injury	The result of a violent blow or injury to the head. Physical damage to the brain may result in long term complications that impact daily activities due to difficulties in sleeping, dizziness, vision, memory concentration, and mood changes. Screening tool is usually the result of self-reporting of the injury or symptoms. Diagnosis depends upon clinical training.
	9	Other	Behavioral conditions not included in the value list of behavioral health that are due to brain damage, disease or unknown causes which impact the ability to conduct daily activities. Examples include amnesia, delirium, behavioral syndromes such as eating disorders, sleep disorders, and other personality disorders. Screening may use a range of tools. Diagnosis depends upon clinical training.
	10	None	No behavioral health conditions have been determined.
Living setting			
	10	Own residence or residence of relative or caregiver	Client's home or the client's relative or caregiver's home. Includes a house, apartment, mobile home or trailer, group of rooms, etc.
	20	Residential care community (non-specific)	Provides room and board of at least two meals per day and help with personal care. Includes residential communities identified as assisted living residences, board and care homes, congregate care, enriched housing programs, personal care homes, homes serving primarily persons with disabilities, and homes serving primarily older adults.
	21	Licensed residential care community	Licensed, registered, listed, certified, or otherwise regulated by the state.
	22	Non-licensed residential care community	Not licensed, registered, listed, certified, or otherwise regulated by the state.
	30	Nursing home (non-specific)	Nursing home or skilled nursing home that provides services for residents who require medical or nursing care, or rehabilitative services.
	31	Licensed nursing home	Licensed, registered, listed, certified, or otherwise regulated by the state.
	32	Non-licensed nursing home	Not licensed, registered, listed, certified, or otherwise regulated by the state.
	40	Other	A setting not included in categorizations provided, including shelters, correctional facilities, or no fixed residence.
Substitute decision makers			
	10	Health care proxy in effect	The appointment of a person to communicate the clients' wishes as to medical treatment in terminal illness or injury situations and to communicate for them in the event they cannot communicate for themselves. A document also known as a durable power of attorney for health care, medical power of attorney, or appointment of a healthcare agent, allows an individual to appoint another person (a proxy or agent) to express the individual's wishes and make health care decisions for the individual if he or she cannot speak for him or herself. Depending on the state, these documents are known as living wills, medical directives, health care proxies, or advance health care directives.

Element	Code	Value	Value Definition
	20	Financial proxy in effect	Authorization, by a written document, that one individual may act in another's place as agent or attorney-in-fact with respect to some or all legal and financial matters. The scope of authority granted is specified in the document and may be limited by state statute. A power of attorney terminates on the death of the person granting the power (unless "coupled with an interest") and may terminate on the subsequent disability of the person granting the power (unless the power is "durable" under the instrument or state law).
	30	Guardianship or conservatorship (non-specific)	A relationship created by state law in which a court determines that an adult individual lacks capacity to make decisions about self-care or property, and appoints another individual or entity as a conservator, or by a similar term, as a surrogate decision maker. It becomes the duty and power of that individual to make personal and/or property decisions for another.
	31	Guardianship or conservatorship of person	If the individual has a critical need for someone to act on their behalf due to mental or physical disability.
	32	Guardianship or conservatorship of property	(Or Estate): if the person is incapable of managing his or her own financial affairs.
	40	Representative payee	An individual or organization appointed by SSA to receive Social Security and/or SSI benefits for someone who cannot manage or direct someone else to manage his or her money. The main responsibilities of a payee are to use the benefits to pay for the current and foreseeable needs of the beneficiary and properly save any benefits not needed to meet current needs. A payee must also keep records of expenses. When SSA requests a report, a payee must provide an accounting to SSA of how benefits were used or saved.
	50	None	Perpetrator has no authorizations in relation to the client.
Services			
	1	Care/case management services	Development and implementation of a service plan to mobilize the formal and informal resources and services identified in the assessment to meet the needs of the client. Includes the development and oversight of a plan to ensure the safety and well-being of the client; developing a safety plan with a person's support network; referring and arranging support services, etc.
	2	Caregiver support services	Assistance to family and other informal caregivers to improve or sustain capacity for caring for the older adult or adult with disabilities. Includes counseling, support groups, training, respite, etc.
	3	Community day services	Services or activities provided to adults who require care and supervision in a protective setting for a portion of a 24-hour day. Includes out of home supervision, health care, recreation, and/or independent living skills training offered in centers most commonly known as Adult Day, Adult Day Health, Senior Centers, and Disability Day Programs.
	4	Education, employment, and training services	Services and activities to assist people in achieving or maintaining economic self-support. Includes training programs, job coaches, supported employment, senior employment programs, and public school individualized education plans.
	5	Emergency assistance and material aid services	Providing, arranging for, or facilitating the provision of medical, social service, economic, emergency, or support services. Includes financial assistance or referral for food, clothing, energy/utility assistance, and home repairs/modifications; environmental clean-up of client's home including but not limited to removal of trash, extermination services and cleaning; relocation assistance; urgent medical expenses not typically covered by insurance (eye glasses, dentures); emergency housing/shelter; etc.
	6	Financial planning services	Services or activities to assist in managing finances or planning for future financial needs. Includes meeting with bank officials, financial planning, estate planning, money management, and retirement income planning.

Element	Code	Value	Value Definition
	7	Housing and relocation services	Services or activities designed to assist in the obtaining of suitable or safe housing and living arrangements. Includes tenant counseling; helping to identify and correct substandard housing conditions; making moving arrangements and minor renovations to personal residence; and relocating to institutional care or facility care.
	8	In-home assistance services	Services or activities provided to clients to achieve or maintain self-sufficiency. Includes homemakers and home health aides; visiting and telephone reassurance; chore maintenance; personal care services, etc.
	9	Legal services	Legal counsel and representation provided by an attorney to address civil matters such as housing issues and advance care planning, and criminal matters.
	10	Medical and dental services	Services and activities designed to assist individuals and families to attain and maintain a favorable condition of health; includes evaluations.
	11	Medical rehabilitation services	Services and activities for persons with developmental or physical disabilities, or persons with visual or auditory impairments, are services or activities to maximize the potential of persons with disabilities, help alleviate the effects of physical, mental or emotional disabilities, and to enable these persons to live in the least restrictive environment possible. Includes training in mobility, communication skills, the use of special aids and appliances, self-sufficiency skills for people with disabilities, occupational therapy, physical therapy, speech and language pathology, early intervention and other therapies that help people learn the skills they need to live, learn, work and play in their communities.
	12	Mental health services	Services and activities, including commitment, assessment, and evaluations, for people with behavioral health conditions characterized by dysregulation of mood, thought, and/or behavior, as recognized by the DSM-5. Includes services and activities that apply therapeutic processes to personal, family, situational, or occupational problems in order to bring about a positive resolution of the problem or improved individual or family functioning or circumstances.
	13	Nutrition	Services and activities, including appeals and applications, to provide food to a client lacking recommended daily nutritional requirements and/or Activities of Daily Living (ADL) limitations. Includes home delivered meals, nutritional counseling, congregate meals, senior farmers' market program, etc.
	14	Public assistance benefits	Services and assistance to provide a safety net for disadvantaged individuals who lack the resources to provide basic necessities for themselves and their families. Includes such programs as financial aid (TANF), nutrition (SNAP), energy assistance (LIHEAP), health care (Medicaid), etc.
	15	Substance use services	Services and activities primarily designed to deter, reduce, or eliminate substance abuse or chemical dependence. Includes a comprehensive range of personal and family counseling methods, methadone treatment for opiate abusers, or detoxification treatment for alcohol abusers. Services and activities may be provided in alternative living arrangements such as institutional settings and community-based halfway houses.
	16	Transportation	Services or activities that provide or arrange for the travel, including travel costs, of individuals in order to access services, or obtain medical care or employment.
	17	Victim services	Services and activities provided to, or on behalf of, victims at any stage of the criminal justice process, including post sentencing services and support. Includes programs supporting victims of domestic violence, sexual assault, abuse of older women, violence against women, and general crimes which are being handled by the police or prosecutors' offices.
	18	Other services	Client receives services and activities not included in the categorizations provided.
	19	None	Client receives or was referred to no services.

Element	Code	Value	Value Definition
Interagency coordination			
	1	Law enforcement or prosecutorial offices	Agencies responsible for enforcing laws and maintaining public order and safety, including government units staffed by police, sheriffs, district attorneys, etc.
	2	Protection and Advocacy or Client Advocacy Program (CAP)	Agencies under the Protection and Advocacy for Persons with Mental Illness Act that have the authority to provide legal representation and other advocacy services, under all federal and state laws, to all people with disabilities, along with those agencies that provide information and assistance to individuals seeking or receiving vocational rehabilitation services under the Rehabilitation Act.
	3	State licensing agency	Government units responsible for the licensing of facilities and agencies serving older adults and adults with disabilities.
	4	State Medicaid Fraud Control Unit (MFCU)	State agencies that investigate and prosecute Medicaid fraud as well as patient abuse and neglect in health care facilities.
	5	Long-Term Care Ombudsman Program	State program that addresses complaints and advocates for people in the long-term care system.
	6	Other	Client was referred to a type of agency not included in the categorizations provided.
	7	None	The client was not referred to any other agencies.
Maltreatment type			
	10	Abandonment	The desertion of a person by an individual who has assumed responsibility for providing care for that person, or by an individual with physical custody of another person.
	20	Emotional abuse	The infliction of anguish, pain, or distress through verbal or nonverbal acts. This includes but is not limited to verbal assaults, insults, threats, intimidation, humiliation, and harassment.
	30	Exploitation (non-specific)	The illegal or improper use of an individual or of an individual's funds, property, or assets for another's profit or advantage.
	31	Financial exploitation	The illegal or improper use of an individual's funds, property, or assets for another person's profit or advantage.
	32	Other exploitation	The illegal or improper use of an individual for another person's profit or advantage, including exploitation of person, servitude, etc.
	40	Neglect	The failure of a caregiver or fiduciary to provide the goods or services necessary to maintain the health or safety of a person. Includes acts of omission and of commission; includes willful deprivation, etc.
	50	Physical abuse	The use of force or violence resulting in bodily injury, physical pain, or impairment. Excludes sexual abuse.
	60	Sexual abuse	Non-consensual sexual contact of any kind, including sexual contact with any person incapable of giving consent.
	70	Suspicious death	An unexpected fatality or one in which circumstances or cause are medically or legally unexplained.
	80	Self-neglect	A person's inability, due to physical or mental impairment or diminished capacity, to perform essential self-care tasks including obtaining essential food, clothing, shelter, and medical care; obtaining goods and services necessary to maintain physical health, mental health, or general safety; or managing one's own financial affairs. Includes hoarding.
	90	Other	A type of maltreatment not included in the categorizations provided.
Maltreatment disposition			
	1	Substantiated	The finding that the allegation of maltreatment is supported under state law and policy.
	2	Inconclusive	The finding that there is insufficient information to either support or not support the allegation of maltreatment, but there is a reason to suspect maltreatment.
	3	Unsubstantiated	The finding that the allegation of maltreatment is not supported under state law and policy.

Element	Code	Value	Value Definition
	4	Other	Disposition not included in categorizations provided. Includes inappropriate allegations that were investigated.
Kinship relationship			
	10	Yes (non-specific)	Kinship relationship exists
	11	Spouse	Partner in a marriage
	12	Domestic partner, including civil union	Adults in a committed relationship with another adult, including both same sex and opposite-sex relationships
	13	Parent	The birth mother or father, adoptive mother or father, or stepmother or stepfather of the client.
	14	Child	Biological son or daughter, adoptive son or daughter, or step-son or daughter
	15	Sibling	Children or offspring have one or both parents in common; a brother or sister (by blood, adoption, marriage)
	16	Grandparent	Parent of one's father or mother; a grandmother or grandfather (by blood, adoption, marriage)
	17	Grandchild	A child of one's son or daughter (by blood, adoption, marriage)
	18	Other relative	Another family member, including in-laws and kinship foster parents
	20	None	No kinship relationship, includes non-kinship foster parents
Perpetrator association			
	10	Nursing home staff	An employee of a nursing home, including a skilled nursing home. Nursing homes primarily provide skilled nursing, rehabilitation, and long term care.
	20	Residential care community staff	An employee of a residential care community including those providing room and board of at least 2 meals per day and help with personal care. These places include residential communities identified as assisted living residences, board and care homes, congregate care, enriched housing programs, personal care homes, homes serving primarily persons with disabilities, and homes serving primarily older adults.
	30	Relative caregiver (non-specific)	An individual related to the client by blood, adoption, marriage, etc. who has the responsibility for the care of the client, providing in-home monitoring, management, supervision, etc.
	31	Paid relative caregiver	An individual related to the client by blood, adoption, marriage, etc. who has the responsibility for the care of the client, and receives payment for providing in-home monitoring, management, supervision, etc.
	32	Unpaid relative caregiver	An individual related to the client by blood, adoption, marriage, etc. who has the responsibility for the care of the client, and does not receive payment for providing in-home monitoring, management, supervision, etc.
	40	Nonrelative caregiver (non-specific)	An individual not related to the client by blood, adoption, marriage, etc. who has the responsibility for the care of the client, providing in-home monitoring, management, supervision, etc.
	41	Paid nonrelative caregiver	An individual not related to the client by blood, adoption, marriage, etc. who has the responsibility for the care of the client, and receives payment for providing in-home monitoring, management, supervision, etc.
	42	Unpaid nonrelative caregiver	An individual not related to the client by blood, adoption, marriage, etc. who has the responsibility for the care of the client, and does not receive payment for providing in-home monitoring, management, supervision, etc.
	50	Other relationship	Another trusted person providing care, not included in the categorizations provided.
	60	None	The perpetrator has no caregiving association to the client.
Substitute decision makers			

Element	Code	Value	Value Definition
	10	Health care proxy in effect	The appointment of a person to communicate the clients' wishes as to medical treatment in terminal illness or injury situations and to communicate for them in the event they cannot communicate for themselves. A document also known as a durable power of attorney for health care, medical power of attorney, or appointment of a healthcare agent, allows an individual to appoint another person (a proxy or agent) to express the individual's wishes and make health care decisions for the individual if he or she cannot speak for him or herself. Depending on the state, these documents are known as living wills, medical directives, health care proxies, or advance health care directives.
	20	Financial proxy in effect	Authorization, by a written document, that one individual may act in another's place as agent or attorney-in-fact with respect to some or all legal and financial matters. The scope of authority granted is specified in the document and may be limited by state statute. A power of attorney terminates on the death of the person granting the power (unless "coupled with an interest") and may terminate on the subsequent disability of the person granting the power (unless the power is "durable" under the instrument or state law).
	30	Guardianship or conservatorship (non-specific)	A relationship created by state law in which a court determines that an adult individual lacks capacity to make decisions about self-care or property, and appoints another individual or entity as a conservator, or by a similar term, as a surrogate decision maker. It becomes the duty and power of that individual to make personal and/or property decisions for another.
	31	Guardianship or conservatorship of person	If the individual has a critical need for someone to act on their behalf due to mental or physical disability.
	32	Guardianship or conservatorship of property	(Or Estate): if the person is incapable of managing his or her own financial affairs.
	40	Representative payee	An individual or organization appointed by SSA to receive Social Security and/or SSI benefits for someone who cannot manage or direct someone else to manage his or her money. The main responsibilities of a payee are to use the benefits to pay for the current and foreseeable needs of the beneficiary and properly save any benefits not needed to meet current needs. A payee must also keep records of expenses. When SSA requests a report, a payee must provide an accounting to SSA of how benefits were used or saved.
	50	None	The perpetrator has no authorization in relation to the client.
Legal Remedy Recommendations			
	1	Removal of guardianship rights	The termination of a relationship, created by state law and determined by a court, in which an individual or entity acts as a conservator, or by a similar term, as a surrogate decision maker for another person who lacks capacity to make decisions about self-care or property. The individual no longer has duty and power to make personal and/or property decisions for the other.
	2	Restraining order on perpetrator regarding the client	A court order issued to prohibit an individual from carrying out a particular action, especially approaching or contacting a specified person.
	3	Eviction of perpetrator	The act of expelling someone, usually a tenant, from a property.
	4	Restitution by perpetrator	Compensation paid to client by perpetrator for the losses or injuries incurred as a result of a criminal offense.
	5	Other legal remedy	Legal remedy recommendations not included in the categorizations provided.
	6	None	No legal remedies were recommended or sought on behalf of the client.