**Appendix: International Principles, Guidelines, Tools, and Global Initiatives Related to Business and Human Rights**

This page contains information relating to international principles and guidelines and global initiatives relating to business and human rights. It is provided as a resource for the consideration of businesses in their efforts to operate in a manner that maintains respect for human rights. The listing of principles, guidelines, and initiatives on this page is a sample of such initiatives, should not be taken as comprehensive and does not signify an endorsement of those principles, guidelines, or initiatives by the U.S. Government.

**Principles, Guidelines, and Tools**

|  |  |  |
| --- | --- | --- |
| Initiative | Sector | Website |
| Earth Rights Checklist: U.S. Investment in Myanmar: Specific Reporting on Policies and Procedures | All industry sectors | [www.earthrights.org/publications](http://www.earthrights.org/publications) |
| UN Declaration of Human Rights | All industry sectors | [www.un.org/en/documents/udhr](http://www.un.org/en/documents/udhr)  |
|  |  |  |
| Business & Human Rights Resource Centre: Selected Company Reports | All industry sectors | [www.business-humanrights.org/Categories/Companypolicysteps/Reporting/Selectedcompanyreports](http://www.business-humanrights.org/Categories/Companypolicysteps/Reporting/Selectedcompanyreports) |
| European Commission: A guide to human rights for small and medium-sized enterprises | All industry sectors | <http://ec.europa.eu/enterprise/policies/sustainable-business/files/csr-sme/human-rights-sme-guide-final_en.pdf> |
| Global Compact Business Guide for Conflict Impact Assessment and Risk Management | All industry sectors | http://www.unglobalcompact.org/docs/issues\_doc/Peace\_and\_Business/BusinessGuide.pdf |
| Guidance on Responsible Business in Conflict-Affected and High-Risk Areas | All industry sectors | http://www.unglobalcompact.org/docs/issues\_doc/Peace\_and\_Business/Guidance\_RB.pdf |
| International Finance Corporation (IFC) Performance Standards  | All industry sectors | [www1.ifc.org/wps/wcm/connect/Topics\_Ext\_Content/IFC\_External\_Corporate\_Site/IFC+Sustainability/Sustainability+Framework/Sustainability+Framework+-+2012/Performance+Standards+and+Guidance+Notes+2012](http://www1.ifc.org/wps/wcm/connect/Topics_Ext_Content/IFC_External_Corporate_Site/IFC%2BSustainability/Sustainability%2BFramework/Sustainability%2BFramework%2B-%2B2012/Performance%2BStandards%2Band%2BGuidance%2BNotes%2B2012)  |
| IFC Handbook for Preparing a Resettlement Action Plan | All industry sectors | [http://www.ifc.org/ifcext/enviro.nsf/AttachmentsByTitle/p\_resettle/$FILE/ResettlementHandbook.PDF](http://www.ifc.org/ifcext/enviro.nsf/AttachmentsByTitle/p_resettle/%24FILE/ResettlementHandbook.PDF) |
| International Labor Organization Declaration on Fundamental Principles and Rights at Work | All industry sectors | [www.ilo.org/declaration/lang—en/index.htm](http://www.ilo.org/declaration/lang--en/index.htm) |
| International Labor Organization Tripartite Declaration of Principles Concerning Multinational Enterprises and Social Policy | All industry sectors | [www.ilo.org/empent/units/multinational-enterprises/lang—en/index.htm](http://www.ilo.org/empent/units/multinational-enterprises/lang--en/index.htm) |
| OECD Guidelines for Multinational Enterprises | All industry sectors | [www.oecd.org/document/28/0,3746,en\_2649\_34889\_2397532\_1\_1\_1\_1,00.html](http://www.oecd.org/document/28/0%2C3746%2Cen_2649_34889_2397532_1_1_1_1%2C00.html)  |
| OECD’s Common Approaches for Officially Supported Export Credits and Environmental and Social Due Diligence | All industry sectors | <http://search.oecd.org/officialdocuments/displaydocumentpdf/?cote=tad/ecg(2012)5&doclanguage=en> |
| OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas | Mining industry | [www.oecd.org/dataoecd/62/30/46740847.pdf](http://www.oecd.org/dataoecd/62/30/46740847.pdf)  |
| OECD Risk Awareness Tool for Multinational Enterprises in Weak Governance Zones | All sectors | http://www.oecd.org/investment/investmentpolicy/36885821.pdf |
| Reducing Child Labor and Forced Labor: A Toolkit for Responsible Businesses (U.S. Department of Labor Toolkit) | All sectors | <http://www.dol.gov/ChildLaborBusinessToolkit> |
| Report of the Consultative Group to Eliminate the Use of Child Labor and Forced Labor in Imported Agricultural Products | Agriculture sector | <http://www.fas.usda.gov/info/Child_labor/CGDraftRPTRECS%20-%20final%2012%2015.pdf> |
| Rights Compatible Grievance Mechanisms: A Guidance Tool for Companies and their Stakeholders | All industry sectors | [www.hks.harvard.edu/m-rcbg/CSRI/publications/Workingpaper\_41\_Rights-Compatible%20Grievance%20Mechanisms\_May2008FNL.pdf](http://www.hks.harvard.edu/m-rcbg/CSRI/publications/Workingpaper_41_Rights-Compatible%20Grievance%20Mechanisms_May2008FNL.pdf) |
| Stakeholder Engagement: A Good Practice Handbook for Companies Doing Business in Emerging Markets | All industry sectors | <http://www1.ifc.org/wps/wcm/connect/938f1a0048855805beacfe6a6515bb18/IFC_StakeholderEngagement.pdf?MOD=AJPERES&CACHEID=938f1a0048855805beacfe6a6515bb18> |
| The Global Sullivan Principles of Social Responsibility | All industry sectors | [www.thesullivanfoundation.org/about/global-sullivan-principles](http://www.thesullivanfoundation.org/about/global-sullivan-principles) |
| UN Declaration on the Rights of Indigenous Peoples | All industry sectors | <http://www.un.org/esa/socdev/unpfii/documents/DRIPS_en.pdf> |
| UN Guiding Principles on Business and Human Rights | All industry sectors | [www.business-humanrights.org/SpecialRepPortal/Home/Protect-Respect-Remedy-Framework/GuidingPrinciples](http://www.business-humanrights.org/SpecialRepPortal/Home/Protect-Respect-Remedy-Framework/GuidingPrinciples)  |
| UNEP Finance Initiative Guidance Tool for the Finance Sector | Financial industry | [www.unepfi.org/humanrightstoolkit/index.php](http://www.unepfi.org/humanrightstoolkit/index.php) |
| Voluntary Guidelines on the Responsible Governance of Tenure of Land, Fisheries and Forests in the Context of National Food Security | All industry sectors | [www.fao.org/fileadmin/user\_upload/nr/land\_tenure/pdf/VG\_Final\_May\_2012.pdf](http://www.fao.org/fileadmin/user_upload/nr/land_tenure/pdf/VG_Final_May_2012.pdf) |

**Global Initiatives and Certifications**

|  |  |  |
| --- | --- | --- |
| Initiative | Sector | Website |
| Business Social Compliance Initiative | Retail and importing industries  | [www.bsci-intl.org](http://www.bsci-intl.org)  |
| Diamond Development Initiative | Diamond industry | <http://www.ddiglobal.org>  |
| Electronics Industry Citizenship Coalition | Electronics industry | [www.eicc.info](http://www.eicc.info)  |
| Ethical Trading Initiative | Retail and suppliers industries | [www.ethicaltrade.org](http://www.ethicaltrade.org) |
| Equator Principles | Financial industry | [www.equator-principles.com](http://www.equator-principles.com)  |
| Extractive Industries Transparency Initiative | Extractives industry | [www.eiti.org](http://www.eiti.org)  |
| Fair Labor Association | Footwear, clothing manufacturing, agriculture and electronics industries | [www.fairlabor.org](http://www.fairlabor.org)  |
| Fair Wear Foundation | Garments Industry | [www.fairwear.org](http://www.fairwear.org) |
| Forest Stewardship Council | Forestry industry  | [www.fsc.org](http://www.fsc.org) |
| Global Network Initiative | Information and communications technology industry | [www.globalnetworkinitiative.org](http://www.globalnetworkinitiative.org)  |
| International Cocoa Initiative | Cocoa Industry | [www.cocoainitiative.org](http://www.cocoainitiative.org)  |
| International Code of Conduct for Private Security Service Providers | Private security service providers industry | [www.icoc-psp.org](http://www.icoc-psp.org)  |
| Initiative for Responsible Mining Assurance | Mining industry | [www.responsiblemining.net](http://www.responsiblemining.net)  |
| Kimberley Process | Diamond industry | [www.kimberleyprocess.com](http://www.kimberleyprocess.com)  |
| Roundtable on Sustainable Bio-fuels | Bio-fuel industry | [www.rsb.epfl.ch](http://www.rsb.epfl.ch)  |
| Public Private Alliance for Responsible Minerals Trade | Industries that source or use tin, tungsten, tantalum and gold | <http://www.resolv.org/site-ppa/> |
| Roundtable on Sustainable Palm Oil | Palm oil industry | [www.rspo.org](http://www.rspo.org) |
| Roundtable on Responsible Soy | Soy industry | [www.responsiblesoy.org](http://www.responsiblesoy.org) |
| SA8000 | All industry sectors | <http://www.sa-intl.org/index.cfm?fuseaction=Page.ViewPage&PageID=937> |
| UN Global Compact | All industry sectors | [www.unglobalcompact.org](http://www.unglobalcompact.org) |
| UN Global Compact/Maplecroft Human Rights and Business Dilemmas Forum | All industry sectors | [www.human-rights.unglobalcompact.org](http://www.human-rights.unglobalcompact.org)  |
| Voluntary Principles on Security & Human Rights | Extractives industry | [www.voluntaryprinciples.org](http://www.voluntaryprinciples.org)  |
| Worker Rights Consortium | Footwear and apparel manufacturing industries | <http://www.workersrights.org/> |