

RESTORE Act Direct Component Application Narrative  
Department of the Treasury

OMB Approval No. 1505-0250

|  |                               |                                       |  |
|--|-------------------------------|---------------------------------------|--|
| <b>The Direct Component Funding Opportunity Announcements describe in detail the content and information required for your narrative. Please refer to the relevant Funding Opportunity Announcement when completing this form.</b> |                               |                                       |  |
| <b>GENERAL INFORMATION: (This section must be completed)</b>   |                               |                                       |  |
| Applicant Name:  |                               |                                       |  |
| Point of Contact (POC) for this Application:   | POC Name:                     |                                       |  |
|  | POC Title:                    |                                       |  |
|  | POC Email:                    |                                       |  |
|  | POC Phone:                    |                                       |  |
| Descriptive Title of Applicant's Project: (refer to SF 424)  |                               |                                       |  |
| Activity Title from Multiyear Plan Matrix, Column #6   |                               |                                       |  |
| Funding Opportunity Announcement #:  |                               |                                       |  |
| <b>A. STATUTORY QUESTIONS</b>  |                               |                                       |  |
| <b>1. Qualifying eligible activity:</b><br>Please select the primary eligible activity in the first column, and select all other eligible activities that apply in the second column.  | Select<br>Primary<br>Activity | Select All<br>Others<br>That<br>Apply | Qualifying Eligible Activity   |
|  | <input type="checkbox"/>      | <input type="checkbox"/>              | 1. Restoration and protection of the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches and coastal wetlands of the Gulf Coast Region |
|  | <input type="checkbox"/>      | <input type="checkbox"/>              | 2. Mitigation of damage to fish, wildlife and natural resources  |
|  | <input type="checkbox"/>      | <input type="checkbox"/>              | 3. Implementation of a federally approved marine, coastal, or comprehensive conservation management plan, including fisheries monitoring                           |
|  | <input type="checkbox"/>      | <input type="checkbox"/>              | 4. Workforce development and job creation  |
|  | <input type="checkbox"/>      | <input type="checkbox"/>              | 5. Improvements to or on State parks located in coastal areas affected by the Deepwater Horizon oil spill  |
|  | <input type="checkbox"/>      | <input type="checkbox"/>              | 6. Infrastructure projects benefitting the economy or ecological resources, including port infrastructure  |
|  | <input type="checkbox"/>      | <input type="checkbox"/>              | 7. Coastal flood protection and related infrastructure   |
|  | <input type="checkbox"/>      | <input type="checkbox"/>              | 8. Planning assistance   |
|  | <input type="checkbox"/>      | <input type="checkbox"/>              | 9. Promotion of tourism in the Gulf Coast Region, including recreational fishing   |
|  | <input type="checkbox"/>      | <input type="checkbox"/>              | 10. Promotion of the consumption of seafood harvested from the Gulf Coast Region   |

*According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1505-0250. Comments concerning the time required to complete this information collection, including the time to review instructions, search existing data resources, gathering and maintaining the data needed, and completing and reviewing the collection of information, should be directed to the Department of the Treasury, Office of Gulf Coast Restoration, 1500 Pennsylvania Ave., NW, Washington, DC 20220.*

|  |                |     |    |
|--|----------------|-----|----|
| 2. Was this proposed activity included in any claim for compensation paid out by the Oil Spill Liability Trust Fund after July 6, 2012?<br><b>If "Yes," this activity is not eligible for a Direct Component grant.</b>  |                | Yes | No |
| 3. Location<br><b>(a)</b> Please provide the actual location for the activity as street address, nearest intersection, or note boundaries on a submitted map. If there is more than one location for the activity, attach a list of the additional locations, city/town, county/parish, state, and zip code. | Location:      |     |    |
|  | City/Town:     |     |    |
|  | County/Parish: |     |    |
|  | State:         |     |    |
|  | Zip code:      |     |    |
| <b>(b)</b> Describe how the proposed activity will be carried out in the Gulf Coast Region as defined in 31 CFR 34.2. Attach a map to support your response.   |                |     |    |

**B. DISCUSSION OF SPECIFIC ACTIVITY**  
***IF APPLICABLE, PLEASE FOLLOW SPECIFIC DIRECTIONS FOR NON-FEDERAL SHARE OF ANOTHER FEDERALLY FUNDED ACTIVITY and ACTIVITIES APPROVED PRIOR TO JULY 6, 2012 IN THE DIRECT COMPONENT APPLICATION GUIDANCE.***

**1. Proposed Scope of Work**

**(a) Directions:** Provide a detailed scope of work that fully describes the project or program for which funding is requested, including:

- Need, purpose, and objectives;
- How the project/program meets the identified primary activity designated in A1;
- Specific tasks, milestones and related timeframes (also captured in Milestones Report); and
- Description of all funding sources included on the SF-424.

Projects designed to protect or restore natural resources must be based on best available science. Include a description of the methods to be used to achieve the protection or restoration objective(s). (Also complete Question B.6.)

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**(b)** If the proposed project is part of a larger project outside the scope of this application, describe the larger project and the proposed project's relationship to it.

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**2. Budget Justification (See OMB Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards)**

Directions: Explain in detail how the proposed budget supports the proposed scope of work. The budget justification should relate each budget category listed in the SF-424A and SF-424C to the specific tasks discussed in the response to B1. Provide specific justification for ALL budget categories that apply, including an explanation of the necessity, allowability, reasonableness, and allocability of proposed costs. Please refer to the relevant Funding Opportunity Announcement for descriptions of the budget categories.

**3. The Applicant's Selection and Oversight of Contractors, if applicable**

Directions: Indicate if the applicant plans to contract out any work described under the Budget Justification (see B2) including construction. If so, the applicant must describe the following:

(a) For State applicants:

- Nature of the work to be contracted out and the expected number of contracts to be awarded;
- State procurement method(s) that will be used for the procurement of the contractor(s); and
- Applicant's plan for monitoring contractor performance and compliance.

If a contractor already has been selected, also include the following:

- Name of each contractor;
- DUNS number of each contractor;
- Date the applicant executed each contract; and
- Amount of each contract award.

(b) For non-State applicants:

- Nature of the work to be contracted out and the expected number of contracts to be awarded;
- Procurement method(s) allowable under 2 CFR 200.320 that will be used for the procurement of the contractor(s);
- Justification under 2 CFR 200.320(f) for sole source procurement, if applicable; and
- Applicant's plan for monitoring contractor performance and compliance.

If a contractor already has been selected, also include the following:

- Name of each contractor;
- DUNS number of each contractor;
- Date the applicant executed each contract;
- Amount of each contract award;
- Procurement method allowable under 2 CFR 200.320 that was used for the procurement of each contract;
- Description of the procurement process, as implemented; and
- Justification under 2 CFR 200.320(f) for sole source procurement, if applicable.

**4. The Applicant's Selection and Oversight of Subrecipients and Inclusion of Special Provisions relating to Subawards, if applicable**

Directions: Indicate whether the applicant plans to issue subawards for activities proposed in this application. If so, the applicant must provide ALL of the following:

- Description of how the applicant selected or plans to select subrecipient(s);
- Description of the applicant's subrecipient monitoring and management plan that implements the requirements for pass-through entities at 2 CFR 200.331; and
- The means by which the applicant will assess each subrecipient's level of risk and monitor each subrecipient's progress, including any required reports.

If a subrecipient already has been selected, also include the following:

- Name of each subrecipient;
- DUNS number of each subrecipient;
- Date the applicant selected each subrecipient; and
- Amount of funds to be provided to each subrecipient.

5. Public Input for this Proposed Activity

Directions: Describe how the applicant considered the meaningful public input from individuals, businesses, Indian tribes, and nonprofit organizations relating to this proposed project, including input received during the preparation of the Multiyear Plan.

6. Best Available Science, if applicable

Directions: If the answer to the following question is “yes” complete this section. Is the proposed activity designed to protect or restore natural resources? Yes No

The RESTORE Act requires activities designed to protect or restore natural resources to be based on the ‘best available science,’ which is defined in the Act as science that (a) maximizes the quality, objectivity, and integrity of information, including statistical information; (b) uses peer-reviewed and publicly available data; and (c) clearly documents risks and uncertainties in the scientific basis for such projects.

The applicant must make a determination that a project designed to protect or restore natural resources is based on the best available science. In order to support this determination, the applicant must clearly state the protection or restoration objective(s) of the project, describe the methods that will be used to achieve the objective(s), and explain how these methods are based on best available science. The response must be sufficiently detailed for Treasury to evaluate the reasonableness of the applicant’s determination that the project is based on best available science. In addressing the three-pronged test in the definition of ‘best available science,’ the applicant must cite, when available, peer-reviewed, objective, methodologically sound literature sources that support the conclusion that the proposed scope of work is an effective way to achieve the stated objectives.

For each literature source cited, the applicant must provide sufficient citations, including:

- Title;
- Journal in which the literature source appeared, if applicable;
- Publication date;
- Author(s); and

##### Web address if downloaded or available online.

The applicant must provide written answers to all of the following:

(Submission of source materials will not satisfy the requirements for answering this question.)

- A summary of the peer-reviewed information that justifies the proposed objectives, including methods used for the proposed activity. If peer-reviewed literature sources are unavailable, the applicant must explicitly state this and provide a brief explanation of what alternative scientific information sources were used. If the applicant relied on publicly available data, the applicant must cite the source of the data, the date of collection, and the size of the data set. Whenever possible, the applicant should use publicly available data from sources such as state agencies and federal agencies, for example the U.S. Census Bureau, U.S. Fish and Wildlife Service, Environmental Protection Agency, National Oceanic and Atmospheric Administration. The applicant must provide a link to each publicly available data source used.
- A summary of the literature sources' conclusions and any uncertainties or risks in the scientific basis that would apply to the proposed activity, including any uncertainties or risks that were identified by the public or by a Gulf Coast Ecosystem Restoration Council member.
- A summary of how the applicant's methods reasonably support and are adaptable to Gulf Coast Region if the information supporting the proposed activity does not directly pertain to the Gulf Coast Region.
- A summary of an evaluation of uncertainties and risks in achieving the project's best available science objectives over the longer term; e.g., is there an uncertainty or risk that in 5-10 years the project/program will be obsolete or not function as planned given projections of sea level rise or other environmental change such as in freshwater inflows to estuaries?

#### 7. Key Personnel

Directions: Key personnel should include the applicant's Authorizing Official who is authorized to sign the grant application and award, the Project Director who is responsible for the project, and the Financial Officer who is responsible for maintaining the accounting and financial records of the grant. The Direct Component Standard Terms and Conditions require the applicant to notify Treasury if there are any changes in key personnel.

#### 8. Consistency with the Applicant's Multiyear Plan

Directions: Describe whether the proposed scope of work differs from the corresponding project/program identified in the applicant's Multiyear Plan accepted by Treasury. If no differences exist, make an affirmative statement as to their consistency.

**9. Possible Material Risks to Implement and Maintain the Proposed Activity**

Directions: List the possible material risks, e.g., operational, legal, regulatory, budgetary, or ecological risks, with a brief discussion of mitigation strategies that the applicant may need to address in order to implement and/or maintain the proposed activity. If the applicant determines that there are no material risks to implement and maintain the proposed activity, then put "None" in the Risk column below.

| Risk | Mitigation Strategy |
|------|---------------------|
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**10. Permits, Land Acquisition, Construction, and Relocation Assistance**

Directions: Answer the following items concerning permits, construction, land acquisition, and relocation assistance, if applicable.

**(a) Permits**

Does the proposed activity require any federal, tribal, state, or local permits? For potential federal permits needed, see: <http://www.permits.performance.gov/permit-inventory>

Yes                      No

If yes, list the specific federal, tribal, state, or local permits required for this project and the status of the permits:

**(b) Other Activities**

Will land be improved?                      Yes      No      If yes, answer questions i-vi

Will land or interest in land be acquired?      Yes      No      If yes, answer questions i-vii

i.      What are the legal rights that will be acquired?

|           |          |                         |  |
|-----------|----------|-------------------------|--|
| Fee Title | Easement | Other (please explain): |  |
|-----------|----------|-------------------------|--|

ii.      If an easement, what is the life of the easement?     

iii.      Will the applicant hold title to the land?      Yes                      No

iv.      What is the total acreage of the proposed property interest to be acquired (easement or fee title)?

v. Has the applicant obtained a recent certified appraisal of the property?  
If yes, attach a copy of the appraisal. Yes No

vi. Has the applicant obtained a recent title opinion or certificate?  
If yes, attach a copy of the title opinion or certificate. Yes No

vii. Attach a signed statement from the seller(s) that he/she is a willing seller and has not been coerced into selling or conveying the property interest.

viii. Attach the legal description of the property and the tax parcel number.

**(c) Relocation Assistance**

Will the proposed project cause the displacement of any persons, businesses, or farm operations? Yes No  
If yes, as required by Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, explain: the number of displaced persons, including businesses and farm operations; what fair and reasonable relocation payments and advisory services will be provided to any displaced persons; and what provisions will be made to ensure that safe, decent, and sanitary replacement dwellings will be available to such persons within a reasonable period of time prior to displacement.