Board of Governors of the Federal Reserve System



The Capital and Asset Report for Foreign Banking Organizations—FR Y-7Q

This Report is required by law: Section 5(c) of the Bank Holding Company Act (12 U.S.C. § 1844(c)) and sections 8(c) and 13 of the International Banking Act (12 U.S.C. §§ 3106(c) and 3108).

The Capital and Asset Report for Foreign Banking Organizations is to be prepared in accordance with the instructions provided by the

Federal Reserve System. The Federal Reserve may not conduct or sponsor, and an organization is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

NOTE: The Capital and Asset Report for Foreign Banking Organizations must be signed by an authorized officer of the foreign banking organization.	Date of Report:	Month / Day / Year (FBOQ 99	999)		
I, the undersigned officer of the foreign banking organization attest that the FR Y-7Q report for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and is true and correct to the best of my knowledge and belief.					
Printed Name of Officer (FBOQ C490)	Legal Name of Foreign Banking Organization (TEXT 9010)				
Title of Officer (FBOQ C491)	Mailing Address of Foreign Banking Organization (TEXT 9110)				
Signature of Officer	City (TEXT 9130)				
Date of Signature (MM/DD/YYYY) (FBOQ J196)	Country (TEXT 9005	5)	Postal Code (TEXT 9220)		
	Person in the U directed:	.S. to whom questions a	about this report should be		
	Name / Title (FBOQ	8901)			
For Federal Reserve Bank Use Only	Area Code / Phone i	Number (FBOQ 8902)			
Top-tier FBO RSSD ID	Area Code / FAX Number (FBOQ 9116)				
C.I S.F F.C	E-mail Address of Co	ontact (FBOQ 4086)			

Public reporting burden for this information collection is estimated to average 1.75 hours for quarterly reporters and 1.5 hours for annual reporters, including the time to gather and maintain data in the required form and to review instructions and complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0125), Washington, DC 20503.

For Federal Reserve Bank Use Only Top-tier FBO RSSD ID		FR Y-7Q Page 2 of 3	
C.I	S.F	F.C	

C116

8.

0=No FBOQ

Part 1. Capital and Asset Information for the Top-tier Foreign Banking Organization

8. Enter the as-of date for the financial data provided above.....

1. Is the foreign banking organization required by its home-country supervisor to calculate its capital ratios		0=No	FBOQ		
using a risk-adjusted framework consistent with the Basel Capital Accord?		1=Yes	B162		
If the answer to line item 1 is "Yes," provide capital and asset information in U.S. dollars using the procedu	re con	sisten	t with	the	
risk-based framework required by the home-country supervisor. If the answer to line item 1 is "No," then pr		,	ganiza	tion's	
best approximation under the Basel Capital Accord of the capital and asset information requested in U.S. d	lollars.				
LLC Dellar Amounta in Milliana	FROO	Tell	Dil	NA:I	
U.S. Dollar Amounts in Millions	FBOQ	Tril	Bil	Mil	
2. Tier 1 capital	8274				2
3. Total risk-based capital	3792				(
4. Risk-weighted assets	A223				4
5. Total consolidated assets at the end of the reporting period	2170				į
6. Total combined assets of U.S. operations, net of intercompany balances and transactions	FBOD				
between U.S. domiciled affiliates, branches, and agencies	2170				6
7. Total U.S. non-branch assets	S292				7
	l .	Month		Year	
	FBOQ	(MM)	(DD)	(YYYY)	

Part 2. Capital and Asset Information for the Lower-tier FBOs Operating a Branch, or Agency, or Owning an Edge or Agreement Corporation, or a Commercial Lending Company Subsidiary in the United States (where any FBO in the Organization's tiered structure has effectively elected to be a financial holding company)1

General Information

Legal title of lower-tier FBO operating a branch, or an agency, or owning an Edge/ Agreement Corporation, or a commercial lending company subsidiary in the United States

Country of lower-tier FBO operating a branch, or an agency, or owning an Edge/ Agreement corporation, or a commercial lending company subsidiary in the United

1. Is the reporting lower-tier FBO required by its home-country supervisor to calculate its capital ratios using a risk-adjusted framework consistent with the Basel Capital Accord?

0=No	FBOQ	
1=Yes	B162	

1.

If the answer to line item 1 is "Yes," provide capital and asset information in U.S. dollars using the procedure consistent with the risk-based framework required by the home-country supervisor. If the answer to line item 1 is "No," then provide the organization's best approximation under the Basel Capital Accord of the capital and asset information requested in U.S. dollars.

U.S. Dollar Amounts in Millions	FBOQ	Tril	Bil	Mil	
2. Tier 1 capital	8274				2.
3. Total risk-based capital	3792				3.
4. Risk-weighted assets	A223				4.
5. Total consolidated assets at the end of the reporting period	2170				5.
				Year	
	FBOQ	(MM)	(DD)	(YYYY)	1
6. Enter the as-of date for the financial data provided above	C116				6.

^{1.} A separate version of Part 2 should be submitted for each of the top-tier reporter's lower-tier FBOs that meet these reporting requirements.