

Change Request
2017 National Youth Tobacco Survey (NYTS)
(OMB no. 0920-0621, approved 01/16/2015, exp. date 01/31/2018)

Date of Request: September 9, 2016
OMB approval is requested by: 12/09/2016

Summary

The NYTS is the only nationally representative survey of middle and high school students that focuses exclusively on tobacco use patterns and associated factors. The NYTS has been conducted periodically since 1999 and annually starting in 2011. Information collection employs a repeat cross-sectional design to develop national estimates of tobacco use and its correlates, including exposure to pro- and anti-tobacco influences among youth. The survey is administered by CDC's Office on Smoking and Health (OSH) in collaboration with FDA's Center for Tobacco Products (CTP). NYTS data are principally used to generate tobacco-related measures that inform (1) CDC's public health programs and activities, and (2) CTP's regulatory activities. The content of the NYTS questionnaire is largely consistent, however in a given year a subset of questions may be added and others removed to reflect changes in the tobacco product landscape as well as to address emergent data needs.

We request the following:

OMB approval of revised NYTS questionnaire content for 2017. See **Attachment I-1**, National Youth Tobacco Survey 2017 Questionnaire. Changes relative to the 2016 version of the questionnaire are summarized in **Attachment I-8**, Crosswalk of Non-substantive changes to 2017 NYTS.

There are no changes to the sampling plan, recruitment methods, estimated burden per response, or total estimated burden hours.

Background and Justification

The NYTS is a paper and pencil questionnaire administered to U.S. middle and high school students, grades 6-12. A probability based, nationally representative sample is used to select schools; within selected schools, classes are randomly selected and all students in the selected classed are eligible to participate. In order to minimize the burden on the schools and students the NYTS is completed in one class period.

To comply with the terms of clearance for the currently approved ICR, CDC is requesting approval for non-substantive changes to the 2016 NYTS that will modify the instrument to maintain relevance with emerging tobacco use behaviors among youth. If approved, the proposed modified instrument will be implemented in 2017 to collect comprehensive information that will inform public health and regulatory activities. The changes proposed mainly reflect incremental improvements to existing wording on the approved instrument in a manner such that the overall content remains consistent with topics already covered. New areas of emphasis for the 2017 NYTS include reinstating questions on awareness of tobacco advertisements; reinstating questions to capture data on emerging products (e.g., susceptibility to tobacco product use, curiosity); modifying the response options for question on other tobacco use and use of other substances in e-cigarettes; simplifying the question on use of other substances in e-

cigarettes; cycling out questions on e-cigarette brand and type, estimation of use of cigarettes, hookah, and e-cigarettes among peers; and adding previously tested questions on warning labels and on exposure to The Real Cost media campaign. The requested changes, deletions, and additions provide the opportunity for new survey content and incremental improvements to existing wording on the approved instrument in a manner such that the overall content remains consistent with topics covered in previous versions of the instrument. The proposed changes will improve the quality of the survey and relevance with emerging tobacco use behaviors and control policies.

In summary, the request includes the addition of 4 new questions and the reinstatement of 6 questions from previous cycles of the NYTS (2012 and 2015) accompanied by the deletion of 5 questions from the 2016 NYTS. The addition and reinstatement of the 10 questions increase the actual number of questions by N=5; however, it is estimated that the time to take the total questionnaire is the same as in previous administrations of the survey (under one class period) and the respondent burden has been kept at the same level. The 4 new questions are essential to evaluate the impact of the recent expansion of FDA's regulatory authority over tobacco products.

The 2017 NYTS questionnaire is provided as Attachment I-1.
Changes from the 2016 version of the questionnaire are summarized in Attachment I-8.

Inter-agency Coordination and Agency Points of Contact

In the fall of 2011, OSH and CTP entered into a collaboration to conduct the NYTS on an annual basis, with each agency funding alternate years. OSH and CTP agreed that in order to minimize unnecessary duplication and redundancy, they would collaborate to leverage the NYTS to meet both agencies' goals. The collaboration agreement between OSH and CTP is that the content of NYTS will be decided collaboratively to meet the needs of both agencies. The agreement was described in the Supporting Statement for the current NYTS clearance (see Section A.4, "Typically, NYTS instrument content in odd years will reflect an emphasis on information needed to inform CDC's non-regulatory public health approaches, and NYTS instrument content in even years will reflect an emphasis on information needed to inform FDA's regulatory activities. Thus, the survey is specifically being designed to avoid duplication while meeting the missions of both agencies.").

HHS established a working group on tobacco to improve the coordination, efficiency, and usability of information collected for surveillance, research, regulatory action, and program management. The working group includes representation from ASPE, CDC, FDA, NIH, and SAMSHA. In 2015, CDC and FDA collaborated on the development of the 2016 NYTS Questionnaire. The draft instrument was circulated for comment to the HHS working group and additional partners including NCHS and the CDC/YRBS program. The 2017 NYTS Questionnaire submitted for OMB approval reflects CDC and FDA priorities and maintains the recommendations made by the HHS working group for the 2016 NYTS survey.

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Requested OMB Approval Date and Rationale

OMB approval is requested by 12/09/2016. Approval by this date will support logistical preparations for conducting a paper-and-pencil survey in a school-based setting. High participation rates are important because the sampling frame does not allow for replacement of schools that choose not to participate. Therefore lead time is needed to:

- (a) Finalize, print, and distribute the NYTS questionnaires and supplementary materials (e.g., permission forms). CDC is unable to schedule the print order until questionnaire content is finalized and approved by OMB. In addition, production and distribution may be complicated by end-of-year holidays or inclement weather. Adequate lead time will allow CDC and the data collection contractor to coordinate survey logistics in an efficient and cost-effective manner.
- (b) The production schedule for NYTS materials also impacts school participation, as many schools are unable to confirm their participation without guaranteed survey administration dates.

Estimated Timeline

12/09/2016	Target date for receipt of OMB approval
12/16/2016	Submit print order for NYTS materials to CDC/MASO with print due date of mid-January 2017
Mid-January 2017	Initiate distribution of printed materials to selected schools and survey administrators
Mid-February 2017	Initiate information collection for selected schools
June 2017	Complete information collection