

MEDICAL ASSESSMENT

SECTION 1 - Instructions

Some items on this form will not apply to you and you will not need to answer them. Based on your answer to a question, you may be told to skip to another item number, or even another section. Follow the instructions that tell you to "Go to" another item. These are designed to save you time and help you move through this Medical Assessment quickly, filling in only necessary information. If no "Go to" instructions are given, answer the next item in order. Do not skip any items unless directed to do so. Enter "NA" for not affected or "UNK" for unknown, as appropriate.

Please read the Important Notices on page 7.

SECTION 2 - Patient Identification

Railroad Retirement Claim Number	
Social Security Number	
Name	
Address	
Telephone Number	() -

SECTION 3 - General Information

1	Enter the date you began treating the patient.	Month	Day	Year	
2	Enter the date of the last examination.	Month	Day	Year	
3	Enter the patient's weight and height.	_____ Weight		_____ Height	

SECTION 4 - Musculoskeletal System

4	A	Enter an "X" in the appropriate box: Is the musculoskeletal system normal?	<input type="checkbox"/> YES - Go to Section 5 <input type="checkbox"/> NO - Go to Item 4B
	B	Describe the impairment. Attach a copy of any x-ray reports, MRI reports, CT scan reports, etc.	
5	A	Enter an "X" in the appropriate box: Is there a limitation of motion in the spine or any joints?	<input type="checkbox"/> YES - Check this box then go to Item 5B and enter either: • the range of motion or • an "N" for normal range of motion <input type="checkbox"/> NO - Check this box then go to Item 6

5	B		Normal Degrees	Actual Degrees		Normal Degrees	Actual Degrees			
		CERVICAL SPINE				DORSOLUMBAR SPINE				
		Flexion		45		Flexion		90		
		Extension		45		Extension		30		
		Right Lateral Flexion		45		Right Lateral Flexion		30		
		Left Lateral Flexion		45		Left Lateral Flexion		30		
		Right Rotation		60						
		Left Rotation		60						
		SHOULDER			Right	Left	HIP		Right	Left
		Abduction		150			Abduction		40	
		Forward Elevation		150			Adduction		20	
		Internal Rotation		80			Flexion		100	
		External Rotation		80			Extension		30	
		ELBOW				Internal Rotation		40		
		Flexion		150			External Rotation		50	
		Extension		0			KNEE			
		Supination		80			Flexion		150	
		Pronation		80			Extension		0	
		WRIST				ANKLE				
		Dorsi-Flexion		60			Dorsi-Flexion		20	
Palmar-Flexion		70			Plantar-Flexion		40			
6	Enter an "X" in the appropriate box: Are there paraspinal muscle spasm present on examination?				<input type="checkbox"/> YES <input type="checkbox"/> NO					
7	Describe muscle strength on a graded scale.									
8	Describe any sensory or reflex abnormalities.									
9	A	Describe, in detail, the patient's gait and station.								

9	B	Enter an "X" in the appropriate box: Does the patient walk with an assistive device?	<input type="checkbox"/> YES - Go to Item 9C <input type="checkbox"/> NO - Go to Item 10
	C	How far can the patient walk without using an assistive device?	
10	A	Enter an "X" in the appropriate box: Are there any abnormalities in the patient's hands or fingers?	<input type="checkbox"/> YES - Go to Item 10B <input type="checkbox"/> NO - Go to Section 5
	B	Describe any restrictions in the patient's ability to perform gross and fine manipulations. For example, can the patient pick up a pencil or turn a door knob, etc.? Quantify grip strength on a graded scale.	
SECTION 5 - Cardiovascular System			
11	A	Enter an "X" in the appropriate box: Is the cardiovascular system normal?	<input type="checkbox"/> YES - Go to Section 6 <input type="checkbox"/> NO - Go to Item 11B
11	B	Describe the impairment. Provide any signs of decompensation (edema, cyanosis), etc. Describe any chest pains including character, location, radiation, frequency, duration, precipitating factors, relieving factors, and associated symptoms. Attach a copy of any EKG tracings, x-ray reports, etc.	
12	Describe any signs of congestive heart failure.		

13	Describe any rhythm disturbances.
14	Describe any evidence of arterial or venous insufficiency (e.g., intermittent claudication, pulse deficits, brawny edema, etc.).

SECTION 6 - Respiratory System

15	A Enter an "X" in the appropriate box: Is the respiratory system normal?	<input type="checkbox"/> YES - Go to Section 7 <input type="checkbox"/> NO - Go to Item 15B
	B Provide detailed objective findings. Attach a copy of any pulmonary function test (including tracings), x-ray reports, or sputum culture results.	

SECTION 7 - Neurological System

16	A Enter an "X" in the appropriate box: Is there a neurological impairment?	<input type="checkbox"/> YES - Go to Item 16B <input type="checkbox"/> NO - Go to Section 8
	B Describe, in detail, any abnormal neurological findings.	

17	Describe the character, the frequency of attack and the response to medication of any convulsive or seizure disorder.
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SECTION 8 - Vision/Hearing/Speech

18	A	Enter an "X" in the appropriate box: Is the patient's vision, hearing, and speech normal?	<input type="checkbox"/> YES - Go to Section 9 <input type="checkbox"/> NO - Go to Item 18B
	B	If there is a vision impairment , provide information about any deficiency in central visual acuity (before and after correction), peripheral visual fields, or other function. Attach a copy of the visual field charts.	
	C	If there is a hearing impairment , describe the limitations in the patient's hearing. Attach a copy of any audiometric charts.	
	D	If there is a speech impairment , describe any abnormalities in the patient's speech.	

SECTION 9 - Mental Functions

19	A	Enter an "X" in the appropriate box: Does the patient have a severe mental impairment?	<input type="checkbox"/> YES - Go to Item 19B <input type="checkbox"/> NO - Go to Section 10
	B	Describe the impairment, including emotional reactions, conduct disturbances, orientation, insight, judgment, hallucinations, delusions, memory for recent and remote events, and evidence of mental deterioration. Note any changes in the patient's normal activities of daily living. List medication(s) and response.	

SECTION 10 - Other Systems and Impairments

20	A	Enter an "X" in the appropriate box:	<input type="checkbox"/> YES - Go to Item 20B
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		Are there any impairments in other systems?	<input type="checkbox"/> NO - Go to Section 11
	B	Describe the impairment and provide any relevant findings.	

SECTION 11 - Exertional Restrictions

21	A	Enter an "X" in the appropriate box: Are there any exertional restrictions?	<input type="checkbox"/> YES - Go to Item 21B <input type="checkbox"/> NO - Go to Section 12
	B	Describe, in detail, any type of exertional restriction (e.g., limitations on lifting, standing, walking, sitting, stooping, crouching, climbing, etc.)	

SECTION 12 - Environmental Restrictions

22	A	Enter an "X" in the appropriate box: Are there any environmental restrictions?	<input type="checkbox"/> YES - Go to Item 22B <input type="checkbox"/> NO - Go to Section 13
	B	Describe any environmental restrictions (e.g., can the patient work around heights, around machinery, walk on uneven terrain, be exposed to dust, fumes, noise, vibration, temperature extremes etc.?).	

SECTION 13 - Certification

With the understanding that section 13 of the Railroad Retirement Act (45 U.S.C. 2311) provides that anyone who makes false or fraudulent statements or claims for the purpose of causing an award or payment under

the Railroad Retirement Act is subject to a fine of up to \$10,000, or imprisonment of up to one year, or both, I certify that the information I have furnished is correct to the best of my knowledge.

Signature *(This report must be signed. A stamped signature is not acceptable)*

Date

Printed Name and Title

National Provider Identifier

Address and Daytime Telephone Number

Area Code

Telephone Number

Please return this form along with copies of your office records to:

RAILROAD RETIREMENT BOARD

<Office Name>

<Office Address>

<Office, City, State and ZIP Code>

IMPORTANT NOTICES

PAPERWORK REDUCTION ACT AND PRIVACY ACT NOTICES

The information requested on this form is authorized by Section 7(b)(6) of the Railroad Retirement Act. While you are not required to respond, your cooperation is needed to provide information necessary to complete processing for the claimant named and to determine the claimant's entitlement to disability benefits under the Railroad Retirement Act.

We estimate this form takes an average of 30 minutes per response to complete, including time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Federal agencies may not conduct or sponsor, and respondents are not required to respond to, a collection of information unless it displays a valid OMB number. If you wish, send comments regarding the accuracy of our estimate or any other aspect of this form, including suggestions for reducing completion time, to Chief of Information Resources Management, Railroad Retirement Board, 844 N. Rush Street, Chicago, IL 60611-2092.

COMPUTER MATCHING AND PRIVACY PROTECTION ACT NOTICES

The Computer Matching and Privacy Protection Act of 1988 (P.L. 100-503) requires the Railroad Retirement Board to advise you that information you have provided may be used, without your consent, in automated matching programs. These matching programs are a computer comparison of RRB records with records kept by other Federal, state, or local governmental agencies. Information from the programs can be used to establish or verify a person's eligibility for federally funded or administered benefit programs and for repayment of payments or delinquent debts under these programs.