NREL National Renewable Energy Laboratory



Application for Section 1603 - Payments for Specified Renewable Energy Property in Lieu of Tax Credits

switch user | main menu | user profile | application package control panel | sign off | contact us | navigation



Application for Section 1603: Payments for Specified Renewable Energy Property in Lieu of Tax Credits

ANNUAL PERFORMANCE REPORT AND CERTIFICATION OMB Control Number 1505-0221

The Annual Performance Report and Certification must be submitted annually, 21 calendar days after the date the property was placed in service, e.g., if the property was placed in service on January 10, 2011, the first report and certification are due January 31, 2012. The report covers a one-year period beginning on the placed in service date. Subsequent reports cover a one-year period beginning on the day after the last day covered by the prior year's report.

The Annual Performance Report and Certification is required on an annual basis for a period of five years after the energy property was placed in service.

Failure to supply the Annual Performance Report and Certification as required by the Terms and Conditions signed under penalty of perjury will result in recapture of the funds received.

Section 1 - Disposition of the Propert L.1. Has the energy property (for example: s No Yes		
No String to the second s	solar panels, wind turbine) been sold during this year?	
Vec		
2. Date of sale:		
A Federal State or local government or any	political subdivision, agency or instrumentality thereof;	
	c) of the Internal Revenue Code and exempt from tax under section 501(a) of such	h Code;
• Any entity referred to in paragraph (4) of S		
	tner (or other direct or indirect holder of an equity or profits interest) of which is a ency or instrumentality thereof, an organization described in section 501(c) of the	
	uch Code, or an entity referred to in paragraph (4) of Section 54(i) of the Internal	
D No		
9 Yes		
4. Did the purchaser agree in a written ag	reement to be jointly liable with the applicant for any recapture (see Gui	danco nago 20)2
No	reement to be jointly hable with the applicant for any recapture (see Gu	dance page 20)!
Yes		
163		
.5. Attach the written agreement:		
	Browse	
f you require more uploads than this form p Application Control Panel for this applicatior	provides, supplement these with the "Add/Modify Supporting Documenta	
	1 when finished.	ation" function under the
	1 when finished.	ation" function under the
Section 2 - Owner Information (This	n when finished. information is about the current owner of the energy proper	
Section 2 - Owner Information (This		
Section 2 - Owner Information (This 1 Current/New Owner Entity Business Name:	information is about the current owner of the energy proper Street address 1:	
Section 2 - Owner Information (This .1 Current/New Owner Entity	information is about the current owner of the energy proper	
Section 2 - Owner Information (This .1 Current/New Owner Entity Business Name: Phone: .J	information is about the current owner of the energy proper Street address 1: 000 000 0000 [()- Street address 2 (optional):	
Section 2 - Owner Information (This .1 Current/New Owner Entity Business Name: Phone: .1 Employer Identification Number	information is about the current owner of the energy proper Street address 1:	
Section 2 - Owner Information (This .1 Current/New Owner Entity Business Name: Phone: .7 Employer Identification Number (EIN):	information is about the current owner of the energy proper Street address 1: 000 000 0000 [()- Street address 2 (optional): 000000000 City:	
Section 2 - Owner Information (This .1 Current/New Owner Entity Business Name: Phone: .J Employer Identification Number (EIN): DUNS Number:	information is about the current owner of the energy proper Street address 1: 000 000 0000 [()- Street address 2 (optional): 000000000 City: 000000000 State:	rty.)
Section 2 - Owner Information (This 2.1 Current/New Owner Entity Business Name: Phone:	information is about the current owner of the energy proper Street address 1: 000 000 0000 [()- Street address 2 (optional): 000000000 City:	
Section 2 - Owner Information (This 2.1 Current/New Owner Entity Business Name: Phone: .J Employer Identification Number (EIN): DUNS Number:	information is about the current owner of the energy proper Street address 1: 000 000 0000 [()- Street address 2 (optional): 000000000 City: 000000000 State:	rty.)
Section 2 - Owner Information (This .1 Current/New Owner Entity Business Name: Phone: .1 Employer Identification Number (EIN): DUNS Number: Website address (optional):	information is about the current owner of the energy proper Street address 1: 000 000 0000 [()- Street address 2 (optional): 000000000 City: 000000000 State: Zip code:	r ty.)
Section 2 - Owner Information (This 1 Current/New Owner Entity Business Name: Phone: 	information is about the current owner of the energy proper Street address 1: 000 000 0000 [()- Street address 2 (optional): 000000000 City: 000000000 State:	r ty.)
Section 2 - Owner Information (This 2.1 Current/New Owner Entity Business Name: Phone: .7 Employer Identification Number: (EIN): DUNS Number: Website address (optional): 2.2. Has the entity that owns the energy pro No	information is about the current owner of the energy proper Street address 1: 000 000 0000 [()- Street address 2 (optional): 000000000 City: 000000000 State: Zip code:	r ty.)
Section 2 - Owner Information (This .1 Current/New Owner Entity Business Name: Phone: .7 Employer Identification Number (EIN): DUNS Number: Website address (optional): .2. Has the entity that owns the energy pro	information is about the current owner of the energy proper Street address 1: 000 000 0000 [()- Street address 2 (optional): 000000000 City: 000000000 State: Zip code:	r ty.)

2.2.1. Is the purchaser or transferee any of the following?

 Any organization described in section 5 Any entity referred to in paragraph (4) Any partnership or pass-thru entity any government or any political subdivision 	or any political subdivision, agency or instrument 501(c) of the Internal Revenue Code and exempt of Section 54(j) of the Internal Revenue Code; y partner (or other direct or indirect holder of an n, agency or instrumentality thereof, an organiza of such Code, or an entity referred to in paragra	t from tax under section 501(a) of such equity or profits interest) of which is a tion described in section 501(c) of the	a Federal, State or local Internal Revenue Code and
No			
Yes			
2.3. Current/New Owner Point of Contac First name:	ct of the specified energy property:	Last name:	
Organizational affiliation:		E-mail address:	
Phone:	000 000 0000 [()-	Fax:	000 000 0000 [()-
.]			
 2.4 Has the owner of the energy propert No Yes 2.4.1. Date bankruptcy filed: 	y filed for bankruptcy?		
2.4.2. Court and Docket Number:			
2.4.3. Describe the outcome or current s	status of the bankruptcy including dispositi	ion of the energy property, if appli	cable:
Limit to 1,000 characters			
Section 3 - Property Information		6	

 Section 3 - Property Information 3.1. Has a tax credit (section 45 or 48 of the Internal Reverpayment.) No Yes 	nue Code) been claimed for this energy property	? (This does not mean the 1603
3.2. Was the energy property located outside of the United ◎ No ◎ Yes	States except as described in section 168(g)(4)	of the IRC?
List the amount of time that the specified energy property was loc Alaska and Hawaii): Percentage of use outside U.S.:%	ated outside of the United States within the last year. (Jnited States means the contiguous states,
3.3. Has the name of the project changed from the one sho	own below?	
No Yes		
Project Name:		
Explain the change:		
Limit to 1,000 characters		
3.4. Has the location of the energy property changed from ○ No ○ Yes	the location shown below?	
Street Address 1:	*City:	
Street Address 2 (optional):	*County:	
State:	Zip Code:	00000[-0000]
Explain the change(s):		
Limit to 1,000 characters		
Section 4 - Energy Production 4.0 Has the energy property, as of the date of this report, s No	stopped producing energy?	

Yes

4.0.1 Are any actions being taken to cause the energy property to resume producing energy? \bigcirc $_{\rm No}$

Yes

imit to 1,000 characters						
		al annual production in nan 0.1 MMBTU/hr), so Mechanical			tt hours, MMBTUs, or hor	sepower, as applicable.
			kW 🔘 hp 🔘			
Annual production	kWh	MMBTU		MMBTU		
 I.1.1. Is this year's No Yes 	annual production	less than the estima	ited annual produc	tion entered in section	4D of the application?	
xplain the reduced a	nnual production this	/ear:				
imit to 1,000 characters						
vind farm is a sing nstallations on diff	le electricity-genera ferent buildings (for a unit of property.	ting wind turbine, it	ts tower, and its su	pporting pad. For sola	ioses of this question a r property, if this TAN i irtment complex) or at	included solar
						r -
imit to 1,000 characters						
I.2. Have there bee	en any interruptions	in production durin	g the year, other t	han routine maintenan	ce?	
Yes						
	planation:					
O Yes	planation:					
Yes Provide dates and exp	planation:					- -
O Yes	planation:					
Yes Yrovide dates and exp mit to 1,000 characters A.3. Attach support innual metered net el	ing documentation	ed during the year by t			pporting documentation a nowing energy production	
Yes Yes Yrovide dates and exp imit to 1,000 characters 3.3. Attach support Innual metered net el roduction, a compari	ing documentation lectric energy generate ison with previous yea	ed during the year by t	he specified energy p			
Yes Yrovide dates and exp imit to 1,000 characters 3.3. Attach support innual metered net el roduction, a compari Attached is supporting CCCEPTED FILE TYPE ize of all files to 100 f you require more	ting documentation lectric energy generate ison with previous yea g documentation that g S: Office (doc, docx, x MB or less. e uploads than this f	ed during the year by t r's electric bills. provides evidence of ar Browse Is, xlsx), comma separ	he specified energy p nual production: ated value (csv), Add ement these with	roperty, operation logs sł be Portable Document Fo		, utility bills verifying er (txt) formats. Limit to
Yes Provide dates and exp imit to 1,000 characters I.3. Attach support innual metered net el roduction, a compari Attached is supporting Attached is supporting ize of all files to 100 if you require more Application Packag	Eing documentation lectric energy generate ison with previous yea g documentation that g S: Office (doc, docx, x MB or less. e uploads than this f e Control Panel for	ed during the year by t r's electric bills. provides evidence of ar Browse ls, xlsx), comma separ form provides, suppl this application whe	he specified energy p nual production: ated value (csv), Ado ement these with n finished.	roperty, operation logs sł be Portable Document Fo	nowing energy production	, utility bills verifying er (txt) formats. Limit to
Yes Provide dates and exp imit to 1,000 characters I.3. Attach support innual metered net el roduction, a compari Attached is supporting Attached is supporting CCEPTED FILE TYPE ize of all files to 100 f you require more Application Packag Section 5 - Certi	ting documentation lectric energy generate ison with previous yea g documentation that g S: Office (doc, docx, x MB or less. e uploads than this f e Control Panel for iffication and Sig	ed during the year by t r's electric bills. provides evidence of ar Browse ls, xlsx), comma separ form provides, suppl this application whe	he specified energy p nual production: ated value (csv), Add ement these with n finished.	roperty, operation logs sh be Portable Document Fo the "Add/Modify Supp o	nowing energy production	, utility bills verifying er (txt) formats. Limit to function under the
Yes Provide dates and exp imit to 1,000 characters A.3. Attach support innual metered net el roduction, a compari Attached is supporting ACCEPTED FILE TYPE ize of all files to 100 f you require more typplication Packag Section 5 - Certi certify, under penalt complete. Further, I a	ting documentation lectric energy generate ison with previous yea g documentation that g S: Office (doc, docx, x MB or less. e uploads than this f e Control Panel for iffication and Sig ies of perjury, that the igree the information of	ed during the year by t r's electric bills. provides evidence of ar Browse ls, xlsx), comma separation form provides, supplication whe this application whe nature of Owner, e information provided	he specified energy p nual production: ated value (csv), Add ement these with n finished. /Preparer in the Annual Perforr	noperty, operation logs sh be Portable Document Fo the "Add/Modify Support nance Report to the best the Internal Revenue Serv	nowing energy production rmat (pdf), and plain text prting Documentation" of my knowledge and belia ice.	, utility bills verifying er (txt) formats. Limit to function under the
Yes Provide dates and exp imit to 1,000 characters A.3. Attach support innual metered net el roduction, a compari Attached is supporting ACCEPTED FILE TYPE ize of all files to 100 f you require more typplication Packag Section 5 - Certi certify, under penalt complete. Further, I a	ing documentation lectric energy generate ison with previous yea g documentation that g S: Office (doc, docx, x MB or less. e uploads than this f e Control Panel for ification and Sig ies of perjury, that the	ed during the year by t r's electric bills. provides evidence of ar Browse ls, xlsx), comma separation form provides, supplication whe this application whe nature of Owner, e information provided	he specified energy p nual production: ated value (csv), Add ement these with n finished. /Preparer in the Annual Perforr	roperty, operation logs sh be Portable Document Fo the "Add/Modify Suppo nance Report to the best o	nowing energy production rmat (pdf), and plain text prting Documentation" of my knowledge and belia ice.	, utility bills verifying er (txt) formats. Limit to function under the ef is true, correct, and
Yes Provide dates and exp imit to 1,000 characters A.3. Attach support innual metered net el roduction, a compari Attached is supporting ACCEPTED FILE TYPE ize of all files to 100 f you require more typplication Packag Section 5 - Certi certify, under penalt complete. Further, I a	ting documentation lectric energy generate ison with previous yea g documentation that g S: Office (doc, docx, x MB or less. e uploads than this f e Control Panel for iffication and Sig ies of perjury, that the igree the information of	ed during the year by t r's electric bills. provides evidence of ar Browse ls, xlsx), comma separation form provides, supplication whe this application whe nature of Owner, e information provided	he specified energy p nual production: ated value (csv), Add ement these with n finished. /Preparer in the Annual Perforr	roperty, operation logs sh be Portable Document Fo the "Add/Modify Support nance Report to the best of the Internal Revenue Serv Last Na	owing energy production rmat (pdf), and plain text orting Documentation" of my knowledge and beli- ice. me:	, utility bills verifying er (txt) formats. Limit to function under the
Yes Provide dates and exp imit to 1,000 characters A.3. Attach support innual metered net el roduction, a compari Attached is supporting ACCEPTED FILE TYPE ize of all files to 100 f you require more typplication Packag Section 5 - Certi certify, under penalt complete. Further, I a	ing documentation lectric energy generate ison with previous yea g documentation that g S: Office (doc, docx, x MB or less. e uploads than this f e Control Panel for ification and Sig ises of perjury, that the gree the information of First Name:	ed during the year by t r's electric bills. provides evidence of ar Browse ls, xlsx), comma separation form provides, supplication whe this application whe nature of Owner, e information provided	he specified energy p nual production: ated value (csv), Add ement these with n finished. /Preparer in the Annual Perforr	roperty, operation logs sh be Portable Document Fo the "Add/Modify Suppo nance Report to the best the Internal Revenue Serv Last Na Signature (enterna	rmat (pdf), and plain text prting Documentation" of my knowledge and beli- ice. me: one: 0000 [()] your	, utility bills verifying er (txt) formats. Limit to function under the ef is true, correct, and
Yes Provide dates and exp imit to 1,000 characters A.3. Attach support innual metered net el roduction, a compari Attached is supporting ACCEPTED FILE TYPE ize of all files to 100 f you require more typplication Packag Section 5 - Certi certify, under penalt complete. Further, I a	ting documentation lectric energy generate ison with previous yea g documentation that g S: Office (doc, docx, x MB or less. e uploads than this f e Control Panel for iffication and Sig ies of perjury, that the igree the information of First Name: Title:	ed during the year by t r's electric bills. provides evidence of ar Browse ls, xlsx), comma separation form provides, supplication whe this application whe nature of Owner, e information provided	he specified energy p nual production: ated value (csv), Add ement these with n finished. /Preparer in the Annual Perforr can be disclosed to t	roperty, operation logs sh be Portable Document Fo the "Add/Modify Support nance Report to the best of the Internal Revenue Serv Last Na Pho Signature (enter y passwo	nowing energy production rmat (pdf), and plain text prting Documentation" of my knowledge and beli- ice. me: 0000 [()] your rd):	, utility bills verifying er (txt) formats. Limit to function under the ef is true, correct, and
Yes Provide dates and exp imit to 1,000 characters I.3. Attach support innual metered net el roduction, a compari ittached is supporting ittached is supporting ittached is supporting ittached is supporting CCEPTED FILE TYPE ize of all files to 100 if you require more ittached is supporting Section 5 - Certi certify, under penalt complete. Further, I a	ting documentation lectric energy generation ison with previous yea g documentation that p S: Office (doc, docx, x MB or less. e uploads than this f e Control Panel for fification and Sig ies of perjury, that the igree the information of First Name: Title: Email:	ed during the year by t r's electric bills. provides evidence of ar Browse ls, xlsx), comma separation form provides, supplication whe this application whe nature of Owner, e information provided	he specified energy p nual production: ated value (csv), Add ement these with n finished. //Preparer in the Annual Perforr can be disclosed to to a be disclosed to to be disclosed to	roperty, operation logs sh be Portable Document Fo the "Add/Modify Support nance Report to the best of the Internal Revenue Serv Last Na Pho Signature (enter y passwo	rmat (pdf), and plain text prting Documentation" of my knowledge and beli- ice. me: one: 0000 [()] your	, utility bills verifying er (txt) formats. Limit to function under the ef is true, correct, and 000 000
Yes Provide dates and exp imit to 1,000 characters I.3. Attach support innual metered net el production, a compari ittached is supporting (CCEPTED FILE TYPE ize of all files to 100 if you require more supplication Packag Section 5 - Certi certify, under penalt icomplete. Further, I a IOTE: We strongly su	ting documentation lectric energy generate ison with previous yea g documentation that y S: Office (doc, docx, x MB or less. e uploads than this t e Control Panel for fification and Sig lies of perjury, that the agree the information of First Name: Title: Email: Email:	ed during the year by t r's electric bills. provides evidence of ar Browse Is, xlsx), comma separ- form provides, supplication whe mature of Owner, e information provided contained in this report his form prior to submit	he specified energy p nual production: ated value (csv), Add ement these with n finished. /Preparer in the Annual Perforr can be disclosed to t can be disclosed to t finiting	roperty, operation logs sh be Portable Document Fo the "Add/Modify Support nance Report to the best of the Internal Revenue Serv Last Na Pho Signature (enter y password has the sa	nowing energy production rmat (pdf), and plain text prting Documentation" of my knowledge and beli- ice. me: 0000 [()] your rd):	, utility bills verifying er (txt) formats. Limit to function under the ef is true, correct, and 000 000
Yes Provide dates and exp imit to 1,000 characters I.3. Attach support innual metered net el production, a compari ittached is supporting CCEPTED FILE TYPE ize of all files to 100 if you require more typlication Packag Section 5 - Certi certify, under penalt complete. Further, I a IOTE: We strongly su pmit Annual Report	Eing documentation Iscric energy generate Son with previous yea g documentation that g S: Office (doc, docx, x MB or less. e uploads than this f e Control Panel for ification and Sig ies of perjury, that the gree the information of First Name: Title: Email: Uggest that you print tl Print without submit	ed during the year by the sector of an analysis of an arrowides evidence of an Browse Is, xlsx), comma separation provides, supplication where this application where the sector of Owner and the sector of the s	he specified energy p nual production: ated value (csv), Add ement these with n finished. /Preparer in the Annual Perforr can be disclosed to the can be disclosed to the entiting	roperty, operation logs sh be Portable Document Fo the "Add/Modify Support hance Report to the best of the Internal Revenue Serv Last Na Pho Signature (enter y password aring your password has the sa ts)	rmat (pdf), and plain text rmat (pdf), and plain text prting Documentation" of my knowledge and beli- ice. me: 000 [()] your rd): ame legal effect as your handw	, utility bills verifying er (txt) formats. Limit to function under the ef is true, correct, and 000 000 written signature.
Yes Provide dates and exp imit to 1,000 characters I.3. Attach support innual metered net el production, a compari ittached is supporting CCEPTED FILE TYPE ize of all files to 100 if you require more typlication Packag Section 5 - Certi certify, under penalt complete. Further, I a IOTE: We strongly su pmit Annual Report	ting documentation lectric energy generate ison with previous yea g documentation that g S: Office (doc, docx, x MB or less. e uploads than this f e Control Panel for fification and Sig ies of perjury, that the gree the information of First Name: Title: Email: Email: Uggest that you print the Print without submit I Renewable Energy La	ed during the year by the second seco	he specified energy p nual production: ated value (csv), Add ement these with n finished. /Preparer in the Annual Perforr can be disclosed to t can be disclosed to t tting d edits, restore defaul echnical assistance for	roperty, operation logs sh be Portable Document Fo the "Add/Modify Support nance Report to the best of the Internal Revenue Serv Last Na Pho Signature (enter y password aring your password has the sa ts) or Section 1603 application	owing energy production rmat (pdf), and plain text prting Documentation" of my knowledge and beli- ice. me: 0000 [()] your rd):	, utility bills verifying er (txt) formats. Limit to function under the ef is true, correct, and 000 000 written signature.