

**MANDATORY — CONFIDENTIAL\***

005 3

## SURVEY OF NEW FOREIGN DIRECT INVESTMENT IN THE UNITED STATES FORM BE-13C

**(Report for Acquisition of a U.S. Business Enterprise That is Merged With an Existing U.S. Affiliate)**

**Electronic filing:** Go to [www.bea.gov/efile](http://www.bea.gov/efile) for details

**Mail reports to:** U.S. Department of Commerce  
Bureau of Economic Analysis, BE-49(NI)  
Washington, DC 20230

**Deliver reports to:** U.S. Department of Commerce  
Bureau of Economic Analysis, BE-49(NI)  
Shipping and Receiving Section, M-100  
1441 L Street, NW  
Washington, DC 20005

**Fax reports to:** (202) 606-5631

**Assistance:** E-mail: [be13@bea.gov](mailto:be13@bea.gov)  
Telephone: (202) 606-5613  
Copies of form: [www.bea.gov/fdi](http://www.bea.gov/fdi)

### Name and mailing address of U.S. Business Enterprise

010	Company Name 1		
011	In Care Of 1		
012	Attention 1		
013	Street 1 1		
014	Street 2 1		
015	City 1	State 2	Zip 3
	Country 4		

**Due date:** No later than 45 days after the completion of the acquisition.

**Who must report:** A BE-13C report must be filed by an existing U.S. affiliate of a foreign parent when it acquires a U.S. business enterprise or segment that it then merges into its operations and the total cost to acquire the business enterprise is greater than \$3 million.

**INCLUDE:**

- Acquisition of a U.S. business enterprise or segment made directly by a foreign parent and then merged into an existing U.S. affiliate of that foreign parent.

**EXCLUDE:**

- Acquisition of voting interest in an existing U.S. affiliate in which a foreign entity already has a 10 percent voting interest. Report on form BE-13 Claim for Exemption.

**Alternate forms:**

Report on Form BE-13A if a foreign entity acquires a voting interest (directly, or indirectly through an existing U.S. affiliate) in an existing U.S. business enterprise, segment, or operating unit and (1) the total cost of the acquisition is greater than \$3 million, (2) the U.S. business enterprise will operate as a separate legal entity, and (3) by this acquisition, at least 10 percent of the voting interest in the acquired entity is now held (directly or indirectly) by the foreign entity.

Report on Form BE-13B if a foreign entity, or an existing U.S. affiliate of a foreign entity, establishes a new legal entity in the United States and (1) the projected total cost to establish the new legal entity is greater than \$3 million, and (2) the foreign entity owns 10 percent or more of the new business enterprise's voting interest (directly or indirectly).

Report on Form BE-13D if an existing U.S. affiliate of a foreign parent expands its operations to include a new facility where business is conducted and the projected total cost of the expansion is greater than \$3 million.

Report on Form BE-13E if the U.S. business enterprise previously filed a BE-13B or BE-13D indicating that the established or expanded entity is still under construction. This form will collect updated cost information and will be collected annually until construction is complete.

Report on Form BE-13 Claim for Exemption if the U.S. business enterprise (1) was contacted by BEA but does not meet the requirements for filing forms BE-13A, BE-13B, BE-13C, or BE-13D; or (2) whether or not contacted by BEA, met all requirements for filing on forms BE-13A, BE-13B, BE-13C, or BE-13D except the \$3 million reporting threshold.

## BE-13C – Instructions and Contact Information

### INSTRUCTIONS

\$ Bil. Mil. Thous. Dols.

**Monetary Values:** Report in U.S. dollars rounded to thousands (omitting 000).

EXAMPLE – If amount is \$1,334,891.00, report as .....

1		1	335	000
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**Percentages:** Report ownership percentages to a tenth of one percent. EXAMPLE .....

	9	8	7	%
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**Estimates:** If actual amounts are not available, supply estimates and specify in the notes section.

**Definitions:** Selected items are defined on page 9.

**Retention of copies:** Retain a copy of each BE-13 report for three years beyond the report's original due date.

Notes

### CONTACT INFORMATION

Provide information of person to consult about this report:

020	Name 1			
021	Street 1 1	Telephone Number 2 ( _ _ _ ) _ _ _ - _ _ _ _	Extension 3	
022	Street 2 1	Fax Number 2 ( _ _ _ ) _ _ _ - _ _ _ _		
023	City 1	State 2	Zip 3	E-mail Address 4

**NOTE:** BEA uses a Secure Messaging System to correspond with you via encrypted message to discuss questions relating to this form. We may use your e-mail address for survey-related announcements and to inform you about secure messages. When communicating with BEA by e-mail, please do not include any confidential business or personal information.

### CERTIFICATION

The undersigned official certifies that this report has been prepared in accordance with the applicable instructions, is complete, and is substantially accurate including estimates that may have been provided.

024	Signature of Authorized Official	Date	Telephone Number 1 ( _ _ _ ) _ _ _ - _ _ _ _	Extension 2
025	Name 1	Title 2	Fax Number 3 ( _ _ _ ) _ _ _ - _ _ _ _	

## BE-13C – Acquisition Information

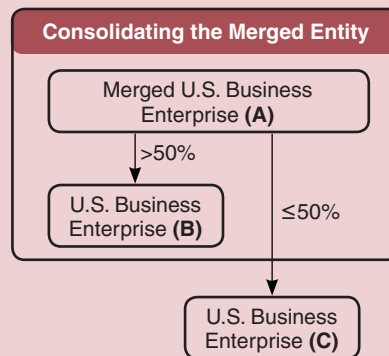
**Consolidated reporting by a merged entity (see EXAMPLE 1):** A merged U.S. business enterprise (A) must file Form BE-13C on a fully consolidated domestic U.S. basis, including in the consolidation all U.S. business enterprises (B) in which it directly or indirectly owns more than 50 percent of the outstanding voting interest.

**Exclude from the full consolidation** all minority-owned U.S. business enterprises (C) and all foreign business enterprises owned by this U.S. business enterprise; however, include them on an equity basis.

- Minority-owned U.S. business enterprises must file a Form BE-13 Claim for Exemption if the minority-owned U.S. business has not previously filed a BE-13, BE-605, BE-12, or BE-15.

Hereinafter the consolidated U.S. businesses that were acquired by the existing U.S. affiliate are considered to be the 'merged entity'.

### EXAMPLE 1.



### ACQUISITION INFORMATION

**Foreign parent** is the FIRST entity outside the United States, proceeding up a chain of ownership, that has a 10 percent or more **voting** interest in the merged entity.

**Voting interest** is the percent of ownership in the voting securities of an incorporated business enterprise, or an equivalent interest in an unincorporated business enterprise, including a branch or partnership.

Month    Day    Year

**1** What date was the merged entity acquired? ..... 101 1

**2** What was the total cost to all foreign parents' affiliated foreign groups (see page 6 EXAMPLE 6) and their U.S. affiliates for the acquisition that occurred on the date reported in **1**? Include the cost of voting and non-voting equity interests. Exclude the cost to any U.S. entity that is not affiliated with any of the foreign parents. .... 102

\$    Bil.    Mil.    Thous.    Dols.

1  000

**3** What is the name of the merged entity?

105 Name

EIN

**4** What is the employer identification number (EIN) of the merged entity used to file income and payroll taxes? ..... 109 1

**5** Are there any U.S. business enterprises owned by the merged U.S. business enterprise?

120  1 Yes

2 No — SKIP to **7**

**6** What are the names, employer identification numbers, and percent of voting interest, to a tenth of one percent, of each of the U.S. business enterprises owned by the merged U.S. business enterprise? Include all U.S. business enterprises (B) and (C) in EXAMPLE 1.

	Name of Each U.S. Business Enterprise	Employer Identification Number	Percent of Voting Interest
121	1 <input style="width: 350px; height: 20px;" type="text"/>	2 <input style="width: 300px; height: 20px;" type="text"/>	3 <input style="width: 100px; height: 20px;" type="text"/> %
122	1 <input style="width: 350px; height: 20px;" type="text"/>	2 <input style="width: 300px; height: 20px;" type="text"/>	3 <input style="width: 100px; height: 20px;" type="text"/> %
123	1 <input style="width: 350px; height: 20px;" type="text"/>	2 <input style="width: 300px; height: 20px;" type="text"/>	3 <input style="width: 100px; height: 20px;" type="text"/> %
124	1 <input style="width: 350px; height: 20px;" type="text"/>	2 <input style="width: 300px; height: 20px;" type="text"/>	3 <input style="width: 100px; height: 20px;" type="text"/> %
125	1 <input style="width: 350px; height: 20px;" type="text"/>	2 <input style="width: 300px; height: 20px;" type="text"/>	3 <input style="width: 100px; height: 20px;" type="text"/> %

List additional entries on a separate page, if necessary.

Number of Foreign Parents

**7** How many foreign parents does the merged entity have? ..... 180 1

# BE-13C – Identifying Foreign Parent Number   of   and Its Ultimate Beneficial Owner

**NOTE:** If there is more than one foreign parent (direct and/or indirect) complete **8** through **27** for each additional foreign parent on separate pages. Additional copies of these pages may be downloaded from [www.bea.gov/fdi](http://www.bea.gov/fdi).

## IDENTIFYING THE FOREIGN PARENT

**8 What is the name of this foreign parent holding a direct or indirect voting interest in the merged entity?**

If the foreign parent is an individual, write "individual" instead of the individual's name.

201 Name

Country of Foreign Parent

**9 What is the country of incorporation of this foreign parent?**

If the foreign parent is an individual or government, provide the country of residence.

1  
202

**10 What is the industry code of this foreign parent?** Select the category below, **1** best describes the PRIMARY activity of the SINGLE named as the foreign parent. DO NOT base the code on the worldwide sales of all consolidated subsidiaries of the foreign parent.

203 1

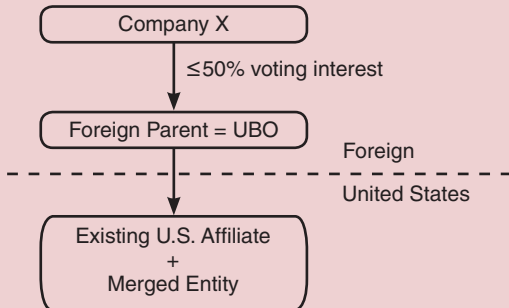
## IDENTIFYING THE ULTIMATE BENEFICIAL OWNER (UBO)

**11 Is this foreign parent also the ultimate beneficial owner (UBO)?** (See EXAMPLES 2 and 3)

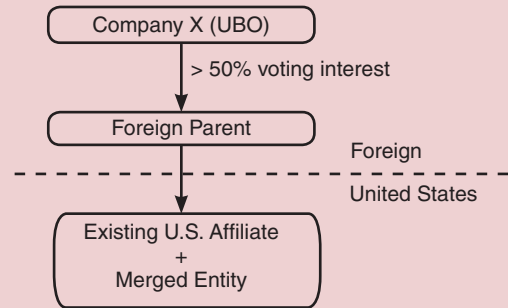
- The UBO is the entity, proceeding up the ownership chain, which is not more than 50 percent owned or controlled by another entity.
- If the foreign parent is owned or controlled more than 50 percent by another entity, then the foreign parent is NOT the UBO.

210 1 1 Yes — SKIP to **14**  
1 2 No

**EXAMPLE 2.**  
Foreign Parent is UBO



**EXAMPLE 3.**  
Foreign Parent is Not UBO



**12 What is the name of the ultimate beneficial owner?**

If the UBO is an individual, write "individual" instead of the individual's name.

211 Name

Country of UBO

**13 What is the country of incorporation of the ultimate beneficial owner?**

If the UBO is an individual or government, provide the country of residence. . . . .

1  
212

**14 What is the industry code of the ultimate beneficial owner?** Select the category below, **1** best describes the PRIMARY activity of the SINGLE named as the UBO, including all majority-owned subsidiaries.

213 1

**IDENTIFYING THE EXISTING U.S. AFFILIATE**

**15** What are the name and address of the existing U.S. affiliate that acquired the entity that was merged?

221	Name 1		
222	Street 1 1	Street 2 2	
223	City 1	State 2	Zip 3

**16** Is the existing U.S. affiliate, named in **15**, DIRECTLY owned by this foreign parent? (See EXAMPLE 4)

225 <sup>1</sup>  Yes — SKIP to **18**  
<sup>1</sup>  No

**17** What are the name and address of the U.S. affiliate that is DIRECTLY owned by this foreign parent?

226	Name 1		
227	Street 1 1	Street 2 2	
228	City 1	State 2	Zip 3

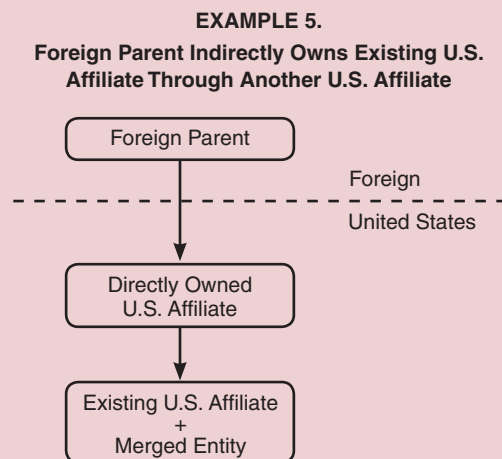
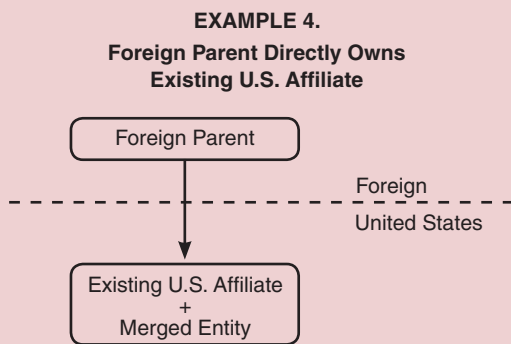
**18** What percent of voting interest, to a tenth of one percent, does this foreign parent have in the DIRECTLY owned U.S. affiliate? .....

Percent of Voting Interest

<sup>1</sup>  %

230

Please provide an organizational chart if there are any U.S. businesses in the ownership chain between an existing U.S. affiliate and its corresponding directly-owned U.S. affiliate.



**PRIOR OWNERSHIP**

**19** Did this foreign parent or its existing U.S. affiliates hold a direct voting interest in the merged entity immediately **prior** to the acquisition date reported in **1** ?

- 300 1  Yes  
 1 2  No — SKIP to **23**

Percent of Voting Interest

**20** What was the percent of direct voting interest, to a tenth of one percent, that this foreign parent or its existing U.S. affiliates held in the merged entity prior to the acquisition date reported in **1** ? 301  %

Month Day Year  
 1  /  /

**21** What was the date that the voting interest reported in **20** was acquired? 302

**22** What was the value of the investment this foreign parent or its existing U.S. affiliates had in the merged entity prior to the acquisition date reported in **1** ? Exclude the cost of the acquisition that took place on the date reported in **1** . 303 \$ Bil. Mil. Thous. Dols.  000

**TRANSACTION INFORMATION**

**23** What was the total cost to this foreign parent’s affiliated foreign group (see EXAMPLE 6) and its U.S. affiliates for the acquisition that occurred on the date reported in **1** ? Include the cost of voting and non-voting equity interests. Equals sum of **24** and **25** . 320 \$ Bil. Mil. Thous. Dols.  000

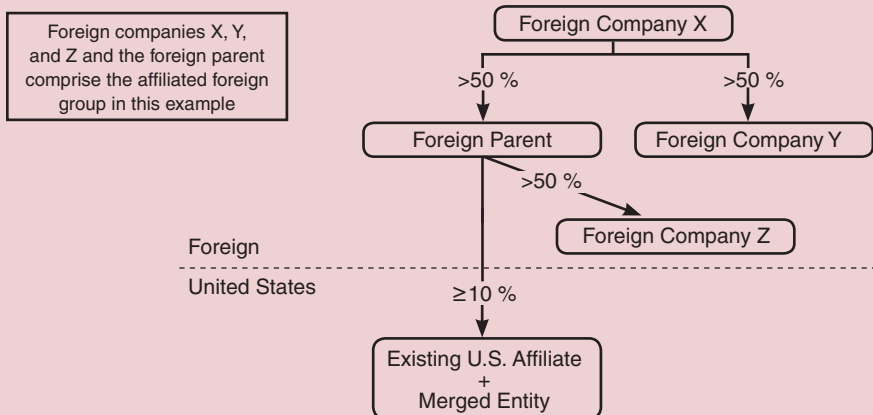
**24** What portion of the cost reported in **23** was provided by existing U.S. affiliates of the foreign parent? . 321  000

**25** What portion of the cost reported in **23** was provided by the affiliated foreign group? Include funds they borrowed in the United States and abroad. Equals sum of **26** and **27** . 371  000

**26** What portion of **25** was in the form of equity? . 372  000

**27** What portion of **25** was in the form of debt? . 373  000

**EXAMPLE 6. Affiliated Foreign Group**



**Affiliated Foreign Group** means (i) the foreign parent, (ii) any foreign person, proceeding up the foreign parent’s ownership chain, which owns more than 50 percent of the person below it, up to and including that person which is not owned more than 50 percent by another foreign person, and (iii) any foreign person, proceeding down the ownership chain(s) of each of these members, which is owned more than 50 percent by the person above it. (“Person” is used in the broad legal sense and includes companies.)

**NOTE:** If there is more than one foreign parent (direct and/or indirect) complete **8** through **27** for each additional foreign parent on separate pages. Additional copies of these pages may be downloaded from [www.bea.gov/fdi](http://www.bea.gov/fdi).

# BE-13C – Financial and Operating Information

## FINANCIAL AND OPERATING INFORMATION

Report financial and operating information on the merged entity for the most recently completed fiscal year. If this information is not available, estimates are acceptable but must be indicated in the notes section on page 2. Do not include amounts that relate to the existing U.S. affiliate.

**28** What is the ending date for the most recently completed fiscal year that ended on, or before, the acquisition date reported in **1**? ..... 400

Month Day Year  
 1 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

**AS OF THE DATE REPORTED IN 28:**

		\$ Bil.	Mil.	Thous.	Dols.
<b>29</b> What were the total assets of the merged entity? ..... 401	1				000
<b>30</b> What were the total liabilities of the merged entity? ..... 402	1				000
<b>31</b> What were the total annual sales, or gross operating revenues, of the merged entity? ..... 403	1				000
<b>32</b> What was the annual net income (loss) of the merged entity after provision for U.S. federal, state, and local income taxes? ..... 404	1				000

**33** What was the total number of employees of the merged entity? Include all full-time and part-time employees on the payroll. Exclude contract workers and other workers not carried on the payroll..... 405

Number of Employees  
 1 \_\_\_\_\_

**34** What are the major activities of the merged entity? Check all that apply.

420 1  1 Producer of goods  
 2  2 Seller of goods it does not produce  
 3  3 Producer or distributor of information  
 4  4 Provider of services  
 5  5 Real estate  
 6  6 Other—Specify: 7 \_\_\_\_\_

**35** What are the major products and/or services involved in the activities identified in **34**?  
 If a product, also state what is done to it, i.e., whether it is mined, manufactured, sold at wholesale, transported, packaged, etc.

421 1 \_\_\_\_\_

**36** In what state is the merged entity located? If the merged entity operates in more than one state, then select the state where the largest number of employees are based. If there are no employees, then select the state of incorporation..... 422

1 \_\_\_\_\_

**37** What is the merged entity's four-digit industry classification? (See page F or go to [www.bea.gov/NAICS2012](http://www.bea.gov/NAICS2012))

423 1 \_\_\_\_\_

**38** Does the merged entity conduct research and development activities?

424 1  1 Yes  
 2  2 No

**RETURN TO COVER PAGE FOR FILING INSTRUCTIONS**

## SURVEY INFORMATION

**Purpose:** This survey collects data on the acquisition or establishment of U.S. business enterprises by foreign investors and the expansion of existing U.S. affiliates of foreign companies to establish a new production facility. The data collected on the survey are used to measure the amount of new foreign direct investment in the United States, assess the impact on the U.S. economy, and based on this assessment, make informed policy decisions regarding foreign direct investment in the United States.

**Authority:** This survey is being conducted under the International Investment and Trade in Services Survey Act (P.L. 94-472, 90 Stat. 2059, 22 U.S.C. 3101-3108, as amended) hereinafter "the Act", and the filing of reports is MANDATORY pursuant to Section 5(b)(2) of the Act (22 U.S.C. 3104). The implementing regulations are contained in Title 15, CFR, Part 801.

**Penalties:** Whoever fails to report may be subject to a civil penalty of not less than \$2,500, and not more than \$32,500, and to injunctive relief commanding such person to comply, or both. Whoever willfully fails to report shall be fined not more than \$10,000 and, if an individual, may be imprisoned for not more than one year, or both. Any officer, director, employee, or agent of any corporation who knowingly participates in such violation, upon conviction, may be punished by a like fine, imprisonment, or both. (22 U.S.C. 3105.) Civil penalties are subject to inflationary adjustments. Those adjustments are found in 15 CFR 6.4.

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

**Respondent burden:** Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Office of Management and Budget, Paperwork Reduction Project (0608-0035), Washington, DC 20503.

**Confidentiality:** The Act provides that your report to this Bureau is CONFIDENTIAL and may be used only for analytical or statistical purposes. Without your prior written permission, the information filed in your report CANNOT be presented in a manner that allows it to be individually identified. Your report CANNOT be used for purposes of taxation, investigation, or regulation. Copies retained in your files are immune from legal process.

## FOREIGN PARENT AND UBO INDUSTRY CODES

Note: "ISI codes" are International Surveys Industry codes, as given in the *Guide to Industry Classifications for International Surveys, 2012*, available at [www.bea.gov/naics2012](http://www.bea.gov/naics2012). See the Summary of Industry Classifications on page 10 of this form.

- |  |   |
|--|---|
| <b>01</b> Government and government-owned or -sponsored enterprise, or quasi-government organization or agency                           | <b>17</b> Information (ISI codes 5111–5191)   |
| <b>02</b> Pension fund — Government run  | <b>18</b> Professional, scientific, and technical services (ISI codes 5411–5419)  |
| <b>03</b> Pension fund — Privately run   | <b>19</b> Other services (ISI codes 1150, 2132, 2133, 5321, 5329, and 5611–8130)  |
| <b>04</b> Estate, trust, or nonprofit organization   | <b>Manufacturing, including fabricating, assembling, and processing of goods:</b>   |
| <b>05</b> Individual   | <b>20</b> Food (ISI codes 3111–3119)  |
| <b>Private business enterprise, investment organization, or group engaged in:</b>  | <b>21</b> Beverages and tobacco products (ISI codes 3121 and 3122)  |
| <b>06</b> Insurance (ISI codes 5242, 5243, 5249)   | <b>22</b> Pharmaceuticals and medicine (ISI code 3254)  |
| <b>07</b> Agriculture, forestry, fishing and hunting (ISI codes 1110–1140)   | <b>23</b> Other chemicals (ISI codes 3251–3259, except 3254)  |
| <b>08</b> Mining (ISI codes 2111–2127)   | <b>24</b> Nonmetallic mineral products (ISI codes 3271–3279)  |
| <b>09</b> Construction (ISI codes 2360–2380)   | <b>25</b> Primary and fabricated metal products (ISI codes 3311–3329)   |
| <b>10</b> Transportation and warehousing (ISI codes 4810–4939)   | <b>26</b> Computer and electronic products (ISI codes 3341–3346)  |
| <b>11</b> Utilities (ISI codes 2211–2213)  | <b>27</b> Machinery (ISI codes 3331–3339)   |
| <b>12</b> Wholesale and retail trade (ISI codes 4231–4540)   | <b>28</b> Electrical equipment, appliances and components (ISI codes 3351–3359)   |
| <b>13</b> Banking, including bank holding companies (ISI codes 5221 and 5229)  | <b>29</b> Motor vehicles and parts (ISI codes 3361–3363)  |
| <b>14</b> Holding companies, excluding bank holding companies (ISI codes 5512 and 5513)  | <b>30</b> Other transportation equipment (ISI codes 3364–3369)  |
| <b>15</b> Other finance (ISI codes 5223, 5224, 5231, 5238, that part of ISI code 5252 that is not estates and trusts, and ISI code 5331) | <b>31</b> Other manufacturing (ISI codes 3130–3231, 3261, 3262, 3370–3399)  |
| <b>16</b> Real estate (ISI code 5310)  | <b>32</b> Petroleum manufacturing, including integrated petroleum and petroleum refining without extraction (ISI codes 3242–3244) |



## DEFINITIONS

**Affiliate** means a business enterprise located in one country that is directly or indirectly owned or controlled by an entity of another country to the extent of 10 percent or more of its voting stock for an incorporated business or an equivalent interest for an unincorporated business, including a branch.

**Affiliated foreign group** means (i) the foreign parent, (ii) any foreign entity, proceeding up the foreign parent's ownership chain, that owns more than 50 percent of the entity below it up to and including that entity which is not owned more than 50 percent by another foreign entity, and (iii) any foreign entity, proceeding down the ownership chain(s) of each of these members, which is owned more than 50 percent by the entity above it.

**Associated group** means two or more persons who, by the appearance of their actions, by agreement, or by an understanding, exercise their voting privileges in a concerted manner to influence the management of a business enterprise. Each of the following are deemed to be an associated group:

- (1) Members of the same family,
- (2) A business enterprise and one or more of its officers and directors,
- (3) Members of a syndicate or joint venture, or
- (4) A corporation and its domestic subsidiaries.

**Branch** means the operations or activities conducted by an entity in a different location in its own name rather than through an incorporated entity.

**Business enterprise** means any organization, association, branch, or venture that exists for profit making purposes or to otherwise secure economic advantage, and any ownership of any real estate.

**Employees** should reflect normal operations of the U.S. business enterprise.

- (1) If a strike, or other interruption of operations, was in progress at the end of the year, report a number that represents normal operation.
- (2) If the business enterprises' activity involves large seasonal variations, report a number that represents an average for the year.

**Entity** (as used here, "entity" is synonymous with "person," as that term is used in the broad legal sense) means any individual, branch, partnership, associated group, association, estate, trust, corporation, or other organization (whether or not organized under the laws of any State), and any government (including a foreign government, the United States Government, a State or local government, and any agency, corporation, financial institution, or other entity or instrumentality thereof, including a government-sponsored agency).

**Foreign** when used in a geographic sense, means that which is situated outside the United States or which belongs to or is characteristic of a country other than the United States.

**Foreign direct investment in the United States** means the ownership or control, directly or indirectly, by one foreign investor of 10 percent or more of the voting securities of an incorporated U.S. business enterprise or an equivalent interest in an unincorporated U.S. business enterprise, including a branch or partnership.

**Foreign parent** means each and every foreign entity that directly holds a voting interest of 10 percent or more in the U.S. business enterprise identified as the U.S. affiliate.

**Foreign residence** for individuals who do not reside in their country of citizenship is determined using the following guidelines:

- (1) Individuals who reside, or expect to reside, outside their country of citizenship for less than one year are considered to be residents of their country of citizenship.
- (2) Individuals who reside, or expect to reside, outside their country of citizenship for one year or more are considered to be residents of the country in which they are residing, except as provided in 3 below.
- (3) If an owner or employee of a business enterprise resides outside the country of location of the enterprise for one year or more for the purpose of furthering the business of the enterprise, and the country of the business enterprise is the country of citizenship of the owner or employee, then the owner or employee is considered a resident of the country of citizenship provided he or she intends to return within a reasonable period of time.
- (4) Individuals and members of their immediate families who reside outside their country of citizenship as a result of employment by the government of that country – diplomats, consular officials, members of the armed forces, etc. – are considered to be residents of their country of citizenship.

**Real estate** ownership is a business enterprise unless the real estate is held exclusively for personal use. See the BE-13 Claim for Not Filing for more specific information.

**U.S. affiliate** means an affiliate located in the United States in which a foreign entity has a direct investment.

**U.S. corporation** means a business enterprise incorporated in the United States.

**Unites States** when used in a geographical sense, means the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, and all territories and possessions of the United States.

**Voting interest** is the percent of ownership in the voting securities of an incorporated business enterprise or an equivalent interest in an unincorporated business enterprise, including a branch or partnership.

## SUMMARY OF INDUSTRY CLASSIFICATIONS (ISI codes)

### Agriculture, Forestry, Fishing, and Hunting

1110 Crop production  
 1120 Animal production  
 1130 Forestry and logging  
 1140 Fishing, hunting, and trapping  
 1150 Support activities for agriculture and forestry

### Mining

2111 Oil and gas extraction  
 2121 Coal  
 2123 Nonmetallic minerals  
 2124 Iron ores  
 2125 Gold and silver ores  
 2126 Copper, nickel, lead, and zinc ores  
 2127 Other metal ores  
 2132 Support activities for oil and gas operations  
 2133 Support activities for mining, except for oil and gas operations

### Utilities

2211 Electric power generation, transmission, and distribution  
 2212 Natural gas distribution  
 2213 Water, sewage, and other systems

### Construction

2360 Construction of buildings  
 2370 Heavy and civil engineering construction  
 2380 Specialty trade contractors

### Manufacturing

3111 Animal foods  
 3112 Grain and oilseed milling  
 3113 Sugar and confectionery products  
 3114 Fruit and vegetable preserving and specialty foods  
 3115 Dairy products  
 3116 Meat products  
 3117 Seafood product preparation and packaging  
 3118 Bakeries and tortillas  
 3119 Other food products  
 3121 Beverages  
 3122 Tobacco  
 3130 Textile mills  
 3140 Textile product mills  
 3150 Apparel  
 3160 Leather and allied products  
 3210 Wood products  
 3221 Pulp, paper, and paperboard mills  
 3222 Converted paper products  
 3231 Printing and related support activities  
 3242 Integrated petroleum refining and extraction  
 3243 Petroleum refining without extraction  
 3244 Asphalt and other petroleum and coal products  
 3251 Basic chemicals  
 3252 Resins, synthetic rubbers, and artificial and synthetic fibers and filaments  
 3253 Pesticides, fertilizers, and other agricultural chemicals  
 3254 Pharmaceuticals and medicines  
 3255 Paints, coatings, and adhesives  
 3256 Soap, cleaning compounds, and toilet preparations  
 3259 Other chemical products and preparations  
 3261 Plastics products  
 3262 Rubber products  
 3271 Clay products and refractories  
 3272 Glass and glass products  
 3273 Cement and concrete products  
 3274 Lime and gypsum products  
 3279 Other nonmetallic mineral products  
 3311 Iron and steel mills and ferroalloys  
 3312 Steel products from purchased steel  
 3313 Alumina and aluminum production and processing  
 3314 Nonferrous metal (except aluminum) production and processing  
 3315 Foundries  
 3321 Forging and stamping  
 3322 Cutlery and handtools  
 3323 Architectural and structural metals  
 3324 Boilers, tanks, and shipping containers  
 3325 Hardware  
 3326 Spring and wire products  
 3327 Machine shops; turned products; and screws, nuts, and bolts  
 3328 Coating, engraving, heat treating, and allied activities  
 3329 Other fabricated metal products  
 3331 Agriculture, construction, and mining machinery  
 3332 Industrial machinery  
 3333 Commercial and service industry machinery

3334 Ventilation, heating, air-conditioning, and commercial refrigeration equipment  
 3335 Metalworking machinery  
 3336 Engines, turbines, and power transmission equipment  
 3339 Other general purpose machinery  
 3341 Computer and peripheral equipment  
 3342 Communications equipment  
 3343 Audio and video equipment  
 3344 Semiconductors and other electronic components  
 3345 Navigational, measuring, electromedical, and control instruments  
 3346 Manufacturing and reproducing magnetic and optical media  
 3351 Electric lighting equipment  
 3352 Household appliances  
 3353 Electrical equipment  
 3359 Other electrical equipment and components  
 3361 Motor vehicles  
 3362 Motor vehicle bodies and trailers  
 3363 Motor vehicle parts  
 3364 Aerospace products and parts  
 3365 Railroad rolling stock  
 3366 Ship and boat building  
 3369 Other transportation equipment  
 3370 Furniture and related products  
 3391 Medical equipment and supplies  
 3399 Other miscellaneous manufacturing

### Wholesale Trade, Durable Goods

4231 Motor vehicles and motor vehicle parts and supplies  
 4232 Furniture and home furnishing  
 4233 Lumber and other construction materials  
 4234 Professional and commercial equipment and supplies  
 4235 Metal and mineral (except petroleum)  
 4236 Electrical and electronic goods  
 4237 Hardware, and plumbing and heating equipment and supplies  
 4238 Machinery, equipment, and supplies  
 4239 Miscellaneous durable goods

### Wholesale Trade, Nondurable Goods

4241 Paper and paper product  
 4242 Drugs and druggists' sundries  
 4243 Apparel, piece goods, and notions  
 4244 Grocery and related product  
 4245 Farm product raw material  
 4246 Chemical and allied products  
 4247 Petroleum and petroleum products  
 4248 Beer, wine, and distilled alcoholic beverage  
 4249 Miscellaneous nondurable goods

### Wholesale Trade, Electronic Markets and Agents and Brokers

4251 Wholesale electronic markets and agents and brokers

### Retail Trade

4410 Motor vehicle and parts dealers  
 4420 Furniture and home furnishings  
 4431 Electronics and appliance  
 4440 Building material and garden equipment and supplies dealers  
 4450 Food and beverage  
 4461 Health and personal care  
 4471 Gasoline stations  
 4480 Clothing and clothing accessories  
 4510 Sporting goods, hobby, book, and music  
 4520 General merchandise  
 4530 Miscellaneous store retailers  
 4540 Nonstore retailers

### Transportation and Warehousing

4810 Air transportation  
 4821 Rail transportation  
 4833 Petroleum tanker operations  
 4839 Other water transportation  
 4840 Truck transportation  
 4850 Transit and ground passenger transportation  
 4863 Pipeline transportation of crude oil, refined petroleum products, and natural gas  
 4868 Other pipeline transportation  
 4870 Scenic and sightseeing transportation  
 4880 Support activities for transportation  
 4920 Couriers and messengers  
 4932 Petroleum storage for hire  
 4939 Other warehousing and storage

### Information

5111 Newspaper, periodical, book, and directory publishers  
 5112 Software publishers  
 5121 Motion picture and video industries  
 5122 Sound recording industries  
 5151 Radio and television broadcasting

5152 Cable and other subscription programming  
 5171 Wired telecommunications carriers  
 5172 Wireless telecommunications carriers, except satellite  
 5174 Satellite telecommunications  
 5179 Other telecommunications  
 5182 Data processing, hosting, and related services  
 5191 Other information services

### Finance and Insurance

5221 Depository credit intermediation (Banking)  
 5223 Activities related to credit intermediation  
 5224 Nondepository credit intermediation  
 5229 Nondepository branches and agencies  
 5231 Securities and commodity contracts intermediation and brokerage  
 5238 Other financial investment activities and exchanges  
 5242 Agencies, brokerages, and other insurance related activities  
 5243 Insurance carriers, except life insurance carriers  
 5249 Life insurance carriers  
 5252 Funds, trusts, and other financial vehicles

### Real Estate and Rental and Leasing

5310 Real estate  
 5321 Automotive equipment rental and leasing  
 5329 Other rental and leasing services  
 5331 Lessors of nonfinancial intangible assets, except copyrighted works

### Professional, Scientific, and Technical Services

5411 Legal services  
 5412 Accounting, tax preparation, bookkeeping, and payroll services  
 5413 Architectural, engineering, and related services  
 5414 Specialized design services  
 5415 Computer systems design and related services  
 5416 Management, scientific, and technical consulting services  
 5417 Scientific research and development services  
 5418 Advertising, public relations, and related services  
 5419 Other professional, scientific, and technical services

### Management of Companies and Enterprises

5512 Holding companies, except bank holding companies  
 5513 Corporate, subsidiary, and regional management offices

### Administrative and Support and Waste Management and Remediation Services

5611 Office administrative services  
 5612 Facilities support services  
 5613 Employment services  
 5614 Business support services  
 5615 Travel arrangement and reservation services  
 5616 Investigation and security services  
 5617 Services to buildings and dwellings  
 5619 Other support services  
 5620 Waste management and remediation services

### Educational Services

6110 Educational services

### Health Care and Social Assistance

6210 Ambulatory health care services  
 6220 Hospitals  
 6230 Nursing and residential care facilities  
 6240 Social assistance

### Arts, Entertainment, and Recreation

7110 Performing arts, spectator sports, and related industries  
 7121 Museums, historical sites, and similar institutions  
 7130 Amusement, gambling, and recreation industries

### Accommodation and Food Services

7210 Accommodation  
 7220 Food services and drinking places

### Other Services

8110 Repair and maintenance  
 8120 Personal and laundry services  
 8130 Religious, grantmaking, civic, professional, and similar organizations

### Public Administration

9200 Public administration