

CDC Requested Data on Regulated Imports: Live Nonhuman Primates (42 CFR 71.53)

This chapter presents records that provide data to government agencies that will participate with modernization of the automated cargo systems.

Partner Government Agency Record Set

PGA-4

An overview of the future universal record sets for providing data to Partner Government Agencies.

RECORD DESCRIPTIONS

Record Identifier OI (Input)	PGA-6
A mandatory PGA line item description input record that provides the commercial description of the item.	
Record Identifier PG01 (Input)	PGA-7
A mandatory PGA input record that provides data pertaining to the PGA Line Number, Agency, Globally Unique Product Identification Code, Intended Use Code, Intended Use Description, and Disclaimer.	
Record Identifier PG02 (Input)	PGA-9
A conditional input record used when there are codes other than UPC or GTIN.	
Record Identifier PG04 (Input)	PGA-11
A conditional PGA input record that provides data related to the Constituent Element.	
Record Identifier PG05 (Input)	PGA-12
A conditional PGA input record that provides data pertaining to Scientific Names and the FWS Description Code.	
Record Identifier PG06 (Input)	PGA-13
A conditional PGA Record that provides data pertaining to Source Type, Country Code, Geographic Location, Range of Processing Dates, Processing Type, and Processing Description.	
Record Identifier PG07 (Input)	PGA-15
A conditional PGA input record that provides data pertaining to Trade or Brand Name, Model, Manufacture Year, and Commodity Identifiers.	
Record Identifier PG08 (Input)	PGA-16
A conditional PGA input record that provides data pertaining to reporting multiple Commodity Identifiers.	
Record Identifier PG10 (Input)	PGA-17

A conditional PGA input record that provides data pertaining to the Commodity and its Characteristics.

Record Identifier PG13 (Input)..... PGA-18

A conditional PGA input record that provides data pertaining to Licenses, Permits, Certificates, or Other (LPCO) issued by PGA and other government entities.

Record Identifier PG14 (Input)..... PGA-19

A conditional PGA input record (mandatory if PG13 is sent) that provides data pertaining to Licenses, Permits, Certificates or Other (LPCO) issued by PGA and other government entities.

Record Identifier PG17 (Input)..... PGA-20

A conditional PGA input record that provides data pertaining to the specific and general common names.

Record Identifier PG18 (Input)..... PGA-21

A conditional PGA record (mandatory if the cargo is hazardous) that provides data pertaining to hazardous material.

Record Identifier PG19 (Input)..... PGA-22

A conditional PGA input record used to identify the entities and facilities (foreign & domestic) associated to this shipment.

Record Identifier PG20 (Input)..... PGA-23

A conditional PGA input record that provides data pertaining to entity identification.

Record Identifier PG21 (Input)..... PGA-24

A conditional PGA input record that provides additional data related to the entity (the party) in the preceding PG19 record.

Record Identifier PG22 (Input)..... PGA-25

A conditional PGA input record that provides data pertaining to Importers Substantiating Documents, and Conformance Declarations.

Record Identifier PG23 (Input)..... PGA-27

A conditional PGA Record that provides data pertaining to Affirmation of Compliance.

Record Identifier PG24 (Input)..... PGA-28

An optional PGA input record that provides data pertaining to Remarks.

Record Identifier PG25 (Input)..... PGA-25

A conditional PGA input record that provides data pertaining to Storage Temperature, Lot Number, Production Start/End Dates, PGA Line and Unit Value.

Record Identifier PG26 (Input)..... PGA-30

A conditional PGA Record that provides data pertaining to Packaging information including Unit of Measure, and Package Material.

Record Identifier PG27 (Input)..... PGA-32

This is a conditional PGA input record that provides data pertaining to Container Number.

Record Identifier PG28 (Input)..... PGA-33

A conditional PGA Record that provides data pertaining to Can Dimensions and Package Tracking Numbers.

Record Identifier PG29 (Input)..... PGA-34

A conditional PGA Record that provides data pertaining to the net or gross unit of measure of the commodity.

- Record Identifier PG30 (Input)**..... **PGA-36**
A conditional PGA input record provides data pertaining to inspections.
- Record Identifier PG31 (Input)**..... **PGA-37**
A conditional PGA input record pertaining to the vessel used to harvest the commodity.
- Record Identifier PG32 (Input)**..... **PGA-38**
A conditional PGA input record pertaining to the commodity routing information.
- Record Identifier PG33 (Input)**..... **PGA-39**
A conditional PGA input record that provides data pertaining to the geographic area or description of commodity routing.
- Record Identifier PG34 (Input)**..... **PGA-40**
A conditional PGA input record pertaining to travel documentation.
- Record Identifier PG35 (Input)**..... **PGA-41**
A conditional PGA input record pertaining to DOT conformance bonds.

Partner Government Agencies

This PGA Message set is designed to convey the Partner Government Agency (PGA) data needed to satisfy PGA reporting requirements. This data set will be used as the single record layout for all input from the trade for PGA data and will replace the Other Government Agency (OGA) data sets such as the FD, DT, and FC records. This set does not stand alone and can only be submitted as part of another transmission such as an ACE Entry Summary Certified for Release or a Simplified Entry. At a minimum, if there is a PGA filing requirement for an HTS entry line, there would be one instance of the PGA Message set records submitted.

There are several instances which require a new PGA line (and associated PGA records) to be transmitted. For example, when there is a commodity change (commercial description change), a new Record Identifier OI and PGA line number should be transmitted. In addition, there are three data elements that require a new PGA line number to be transmitted. These elements are agency, globally unique product identification code, and intended use. Changes to any of these elements will cause the resubmission of the records PG01 to PG35, while the OI might remain the same. For example, if a commodity is covered by more than one PGA and the data required for each agency is different, a filer is expected to submit a new set of records for each additional agency. A fourth data element, Product Code, may cause a PGA line change. See the header in PG02 for details.

In terms of an AE (Entry Summary), there will be a 50 record followed by the PGA Record set. For the SE (Simplified Entry) there will be a 60 record followed by the PGA Record set.

In general, the following guidance is provided for reporting data using this PGA Message Set. Many data elements are marked as conditional since not all PGAs require all data elements or records. PGA-specific guidance will determine which data elements are mandatory to fulfill specific PGA reporting requirements. Please refer to PGA-specific guidance for data reporting requirements, such as for the Lacey Act. Please also refer to CBP's "PGA Message Set Relationship Guidelines" document, for more information on how records relate to each other.

Processing

General Rules for Data Submission

Unused fields, which are defined as numeric, should not be zero-filled. These fields should be space filled or data errors may result.

Data should not be placed in fields defined as filler. If data is present in filler fields, an error message may be system generated.

Transmit ONLY uppercase alphabetic characters A through Z. While some applications may not return specific error messages when detecting lower-case letters, CBP cannot guarantee the consistency of processing results for data transmitted in lower case.

Data Elements

The data element length/class descriptors are defined as:

Code Description

- A** The data element is alphabetic only (uppercase ONLY).
- AN** The data element is alphanumeric only (uppercase ONLY, for characters A through Z).
- N** The data element is numeric only.
- X** The data element is alphanumeric or special characters (uppercase ONLY for characters A through Z).

All data elements are in fixed-length format. If all positions are not filled, the formatting rules are:

Code Description

- A** Left justify and Space fill.
- AN** Left justify and Space fill.
- N** Right justify and zero-fill.
- X** Left justify and Space fill.

Note: If there is no data to insert in a field, Space fill.

Status codes are important to ensure that appropriate data is transmitted. Codes must be used where indicated or errors will occur.

Code Description

- M** Mandatory data element - must always be present.
- O** Optional data element - generate data if available.
- C** Conditional data element - data is provided if the conditions warrant.

Partner Government Agency Correction Capability

Correction capabilities are to be determined.

Record Identifier OI (Input)

This is a mandatory Partner Government Agency line item description input record that provides the description of the item. This record precedes the Record Identifiers for the PGA Message set. The OI record is a generic description record. The Record Identifier OI does not have to be repeated with each set of the PGA Record Identifiers as long as the reporting agency has not changed and the description has not changed.

Record Identifier OI (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal OI.	
Filler	8AN	3-10	M	Space fill.	
Commercial Description	70X	11-80	M	A clear description of the commercial line item in English. Broad, generalized language is unacceptable, as are tariff descriptions. This description is not the same as the Harmonized Tariff description. Provide the description according to other agency instructions.	

Record Identifier PG01 (Input)

This is a mandatory PGA input record that provides data pertaining to the PGA Line Number, Agency Code, Globally Unique Product Identification Code, Intended Use Code, Intended Use Description, and Disclaimer.

Record Identifier PG01 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 01.	
PGA Line Number	3N	5-7	M	Number required by PGAs beginning with 001 within a CBP line and sequentially incremented on subsequent PG01 records, if applicable.	
Government Agency Code	3AN	8-10	M	Code that identifies the PGA. Refer to Appendix Q of this publication for valid codes.	
Government Agency Program Code	3X	11-13	C	Code indicating an agency's program for which the PGA data set is related. For example, a code might indicate the data is for EPA's Ozone Depleting Substances reporting requirements. Refer to Appendix T of this publication for valid codes.	
Electronic Image Submitted	1A	14	C	A code of Y (Yes) indicating electronic image(s) for PGA use were submitted. No other code is accepted.	
Confidential Information Indicator	1A	15	C	A code of Y (Yes) indicating information should be treated as confidential, per the agency's regulations. No other code is accepted.	
Globally Unique Product Identification Code Qualifier	4AN	16-19	C	Code indicating the type of globally unique number used to identify the commercial product or commodity, i.e., Global Trade Item Number (GTIN) or Universal Product Code (UPC). Use the PG02 record to provide a non-globally unique product identity number such as a Stock Keeping Unit (SKU) number. Use the PG07 and PG08 to provide numbers that identify items by such things as serial numbers or vehicle identification numbers. Valid codes are listed in Appendix T of this publication.	
Globally Unique Product Identification Code	19X	20-38	C	The number used to uniquely identify the commercial product or commodity in the global supply chain, i.e., the GTIN or UPC Code number.	

Record Identifier PG01 (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Intended Use Code	16X	39-54	C	The code indicating the intended use base code of the product followed by the intended use subcode. Refer to Appendix R of this publication for valid codes.	
Intended Use Description	25X	55-79	C	If “For Other Use” (980.000-000) code is used, then a free text description of the intended use is mandatory.	
Disclaimer	1A	80	C	A code of Y (Yes) indicating there is no agency declaration requirement. No other code is accepted.	

Record Identifier PG05 (Input)

This is a conditional PGA input record that provides data pertaining to Scientific Genus Names, Scientific Species, Scientific Sub Species Name, Scientific Species Code, and FWS Description Code. This record may be used in conjunction with the PG06 to describe the relationship between the genus/species and country of origin, as necessary.

For the Lacey Act, the filer must submit a corresponding genus/species (PG05/PG06) for each Country of Harvest.

A common name for the product/component may be provided if the scientific name is unknown. This should be provided using the PG17.

Record Identifier PG05 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 05.	
Scientific Genus Name	22X	5-26	C	Scientific Genus Name of the merchandise being entered.	1
Scientific Species Name	22X	27-48	C	Scientific Species Name of the merchandise being entered.	1
Scientific Sub Species Name	18X	49-66	C	Scientific Sub Species Name of the merchandise being entered.	
Scientific Species Code	7AN	67-73	C	This includes Fish and Wildlife Service (FWS) Wildlife Category Codes. See Appendix T (FWS Wildlife Category Codes) of this publication for valid codes.	
FWS Description Code	7AN	74-80	C	FWS Description Codes assigned by FWS. See Appendix T (FWS Description Codes) of this publication for valid codes.	

Note 1

For the Lacey Act, APHIS has compiled a chart of Special Use Designations (SUDs) to address some exceptions. Instructions governing the use of these Designations can be found here: http://www.aphis.usda.gov/plant_health/lacey_act/downloads/lacey-act-SUDs.pdf

Record Identifier PG06 (Input)

This is a conditional PGA input record that provides data pertaining to Source Type (Origin) other than the CBP Country of Origin, in addition to Processing dates, Processing Type and Processing Description. This record may be used in conjunction with the PG05 to describe the relationship between the genus/species and country of origin, as necessary.

For the Lacey Act, the filer must submit a corresponding genus/species (PG05/PG06) for each Country of Harvest.

Record Identifier PG06 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 06.	
Source Type Code	3AN	5-7	M	This code identifies what role the country had regarding a product, for example, harvested, grown, produced, processed, sold, or the source. For Lacey Act, use the code HRV = Harvested. For non-Lacey Act, see Appendix T (Source Type Code) of this publication for valid codes.	
Country Code	2X	8-9	C	A two-letter code that identifies where the harvest, growth, production, processing sale, or catch took place or raw material came from. Valid International Organization for Standardization (ISO) Country and Currency Code codes are in Appendix B of this publication. For Lacey Act, if the list of possible countries of harvest is 10 or more, enter two asterisks (**) in lieu of the ISO Code. For shipments of wildlife (sea turtles, fish, etc) that are landed (introduced) in the US after harvest on the high seas, enter ZZ.	1
Geographic Location	20X	10-29	C	The state, province, or geographical location (example, North Atlantic Sea) where the harvest, growth, production, sale, or catch took place, or the source. For ocean geographic area location, see Appendix T of this publication for valid codes. For State or Province location, enter the appropriate code from Appendix B of this publication. Otherwise, enter the location.	

Record Identifier PG06 (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Processing Start Date	8N	30-37	C	The date when the processing for the product started. This includes the harvesting vessel trip begin date. A numeric date in MMDDCCYY (month, day, century, year) format.	
Processing End Date	8N	38-45	C	The date when the processing for the product ended. This includes the harvesting vessel trip end date. A numeric date in MMDDCCYY (month, day, century, year) format.	
Processing Type Code	5AN	46-50	C	The code identifying the method of processing used to make the product. This includes the harvesting vessel's fishing gear. See Appendix T (Processing Type Codes) of this publication for valid codes	
Processing Description	30X	51-80	C	Text describing the processing methods. Mandatory if "Processing Type Code 017 - Other" is used	

Note 1

For the Lacey Act, Country of Harvest means the Country in which the plant was taken, harvested, cut, logged or removed. This is NOT necessarily the Country of Origin or manufacture.

Record Identifier PG13 (Input)

This is a conditional PGA input record that provides data pertaining to Licenses, Permits, Certificates or Other (LPCO). The data elements included in this record are Issuer and location of issuer of the LPCO. There may be more than one LPCO required for each PGA per product. If using this record, a PG14 is mandatory. This record is repeatable in combination with the PG14.

Record Identifier PG13 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 13.	
Issuer of LPCO	35X	5-39	C	Identifies the entity that issued the LPCO	
LPCO Issuer - Government Geographic Code Qualifier	3A	40-42	C	The code relating to the location of the issuer of the LPCO. Select one: Canadian Province = PR Country Code = ISO European Union = EU Mexican State = MS US State = US	
Location (Country/State/Province) of Issuer of the LPCO	3A	43-45	C	Identifies the location of the issuer of the LPCO (ex: the US, Mexico or Canadian Province/State code or Foreign Country Code). Enter the appropriate code from Appendix B.	
Regional description of location of Agency Issuing the LPCO	25X	46-70	C	Free form regional description of the location within a country, of the agency issuing the LPCO.	
Filler	10X	71-80	M	Space fill	

Record Identifier PG14 (Input)

This is a conditional PGA input record (mandatory if the PG13 is sent) that provides data pertaining to Licenses, Permits, Certificates or Other (LPCO) issued by PGA and other government entities. The data elements included in this record are LPCO Type, LPCO Number, and LPCO Quantity. There may be more than one LPCO required for each PGA per product. This record may be repeated.

Record Identifier PG14 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 14.	
LPCO Transaction Type	1N	5	C	Identifies the transaction type. 1 = single use 2 = continuous 3 = general	
LPCO Type	3AN	6-8	C	Identifies the type of license, permit, certificate or other. See Appendix T (LPCO Type Code) of this publication for valid codes.	
LPCO Number (or Name)	33X	9-41	C	Identifies the number assigned to the LPCO. This is also used to record prior notification numbers issued by FDA as well as the E-DECS numbers issued by Fish & Wildlife Service. The name of the LPCO issued by a foreign entity can also be reported.	
LPCO Date Qualifier	1N	42	C	Valid dates are: 1 = Expiration Date 2 = Effective Date 3 = Date Issued or Signed 4 = Date Application Received	
LPCO Date	8N	43-50	C	Identifies the date of the LPCO in MMDDCCYY (month, day, century, year) format.	
LPCO Quantity	16N	51-66	C	Identifies the quantity from the LPCO. Four decimal places are implied.	
LPCO Unit of Measure	5AN	67-71	C	Valid Unit of Measure codes are listed in Appendix C of this publication.	
Exemption Code	9X	72-80	C	Indicates the reason for exemption from LPCO. See Appendix T of this publication for valid codes.	

Record Identifier PG17 (Input)

This is a conditional PGA input record that provides data pertaining to the specific and general common name. This record may be repeated.

Record Identifier PG17 (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 17.	
Common Name (Specific)	30X	5-34	C	The specific portion of the common name of the item being imported.	
Common Name (General)	30X	35-64	C	The general portion of the common name of the item being imported.	
Live Venomous Wildlife Code	1A	65	C	Code of "Y" (Yes) indicating the shipment of live wildlife contains venomous species. No other code is accepted. Leave blank if not used.	
Cartons Containing Wildlife	5N	66-70	C	Indicate the quantity of cartons in the entire shipment containing wildlife.	
Filler	10X	71-80	M	Space fill	

Record Identifier PG22 (Input)

This is a conditional PGA input record that provides data pertaining to Importers Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications. This record can be repeated if more than one Document Identifier or Conformance Declaration is needed.

Record Identifier PG22 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 22.	
Importers Substantiating Signed Document /Signed Confirmation Letter	1A	5	C	A code of Y (yes) indicating that the importer has a copy of a document (contract, PGA permission letter, etc.) needed to import a product that is under a government agency jurisdiction. No other code is accepted.	
Document Identifier	7AN	6-12	C	This code indicates the type of document or the specific PGA form number being certified. See Appendix T (Document Identifier) of this publication for valid codes.	
Conformance Declaration	5X	13-17	C	This number corresponds to the box number from the PGA form. (Example, if DOT HS-7 box 2B is checked, enter "2B"). If no box numbers exist, use the Compliance Description field starting in position 51 of this record.	
Entity Role Code	3AN	18-20	C	Identifies the entity making the declaration certification. If using this Data Element ensure that PG19, PG20, and PG21 are completed with appropriate name, address, and contact information, as required. For valid codes, use the Entity Role Codes from PG19 (See Appendix T of this publication.)	
Declaration Code	4AN	21-24	C	Code indicating the declaration/conformance criteria of an item. See Appendix T (Declaration Code) of this publication for valid codes.	
Declaration Certification	1A	25	C	A code of Y (yes) indicating that the entity certifies the data or the signature is on file. No other code is accepted.	
Date of Signature	8N	26-33	C	Date of the signature in MMDDCCYY (month, day, century, year) format.	
Invoice Number	17X	34-50	C	The invoice number as issued by the supplier.	

Customs and Trade Automated Interface Requirements

Record Identifier PG22 (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Compliance Description	30X	51-80	C	Text describing the information required by the PGA.	