

**APPENDIX E**  
**OMB SUBMISSION HISTORY**



[Federal Register: November 26, 1996 (Volume 61, Number 229)]  
[Notices]  
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DEPARTMENT OF HEALTH AND HUMAN SERVICES  
Administration for Children and Families

Proposed Information Collection Activity; Comment Request

Proposed Projects

Title: **Head Start** Family and Child Experiences Survey (FACES).

OMB No.: New Collection.

Description: The Administration on Children, Youth and Families (ACYF), Administration for Children and Families (ACF) of the Department of Health and Human Services (DHHS) is requesting Office of Management and Budget (OMB) clearance for interview instruments to be used in the **Head Start** Family and Child Experience Survey (FACES). This study is being conducted under contracts with Abt Associates Inc.

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(with The CDM Group, Inc. as their subcontractor (#105-96-1930)) to collect descriptive information on **Head Start** families, and Westat, Inc. (with Ellsworth Associates as their subcontractor (#105-96-1912)) to collect information on **Head Start** performance measures. The design calls for three rounds of data collection. A nationally representative group of 2,400 families with children enrolled in approximately 160 centers in 40 **Head Start** programs will be identified in Spring, 1997. At that time, **Head Start** staff and parents will be interviewed, classroom observations will be completed, and children will be assessed. The second data collection period will occur in Fall, 1997. Again, staff and parents will be interviewed, and children will be assessed and observed in their classrooms. At that time children from the Spring, 1997 sample that left **Head Start** to enter kindergarten following the 1996-97 **Head Start** year will be replaced by a representative sample of children just entering **Head Start**. All families, including those whose children entered kindergarten in Fall, 1997 will be tracked through the school year. The final data collection effort will occur in Spring, 1998 and involve all families and children identified in the earlier two data collection periods.

A subgroup of 120 families will be identified from the Spring and Fall, 1997 samples for participation in the Validation Substudy. The Validation Substudy data collection will require home visits to participating families at each major data collection point and a series of monthly contacts between data collections periods. The monthly contacts will begin with the Spring, 1997 data collection and continue through December, 1998.

This schedule of data collection is necessitated by the mandates of the Government Performance and Results Act (GPRA) of 1993 (Public Law 103-62), which requires that the **Head Start** Bureau move expeditiously toward development and testing of **Head Start** Performance Measures, and by the 1994 reauthorization of **Head Start** (**Head Start** Act, as amended, May 18, 1994, Section 649 (d)), which requires assessment of **Head Start**'s quality and effectiveness.

Respondents: Federal Government, Individuals or Households, and Not-for-profit institutions.

Annual Burden Estimates

Instrument	Number of respondents	Number of responses per respondent	Average burden hours per response	Total burden hours
Spring, 1997.....	7,840	1	0.652	5,110
Fall, 1997.....	8,400	1	0.648	5,440
Spring, 1998.....	11,460	1	0.654	7,500

Estimated Total Annual Burden Hours: 9,025.

In compliance with the requirements of Section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, the Administration for Children and Families is soliciting public comment on the specific aspects of the information collection described above. Copies of the proposed collection of information can be obtained and comments may be forwarded by writing to the Administration for Children and Families, Office of Information Services, Division of Information Resource Management Services, 370 L'Enfant Promenade, S.W., Washington, D.C. 20447, Attn: ACF Reports Clearance Officer. All requests should be identified by the title of the information collection.

The Department specifically requests comments on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted within 60 days of this publication.

Dated: November 20, 1996.  
Bob Sargis,  
Acting Reports Clearance Officer.  
[FR Doc. 96-30145 Filed 11-25-96; 8:45 am]  
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DEPARTMENT OF HEALTH AND HUMAN SERVICES  
Administration for Children and Families

Submission for OMB Review; Comment Request

Title: **Head Start** Family and Child Experience Survey (FACES).

OMB No.: New Collection.

Description: The Administration on Children, Youth and Families (ACYF), Administration for Children and Families (ACF) of the Department of Health and Human Services (DHHS) is requesting Office of Management and Budget (OMB) clearance for interview instruments to be used in the **Head Start** Family and Child Experience Survey FACES. This study is being conducted under contracts with Abt Associates Inc. (with the CDM Group, Inc. as their subcontractor (#105-96-1930)) to collect descriptive information on **Head Start** families, and Westat, Inc. (with Ellsworth Associates as their subcontractor (#105-96-1912)) to collect information on **Head Start** performance measures.

The design calls for these rounds of data collection. A nationally representative group of 2,400 families with children enrolled in approximately 160 centers in 40 **Head Start** programs will be identified in Spring, 1997. At that time, **Head Start** staff and parents will be interviewed, classroom observations will be completed, and children will be assessed. The second data collection period will occur in Fall, 1997. Again, staff and parents will be interviewed, and children will be assessed and observed in their classrooms. At that time children from the Spring, 1997 sample that left **Head Start** to enter kindergarten following the 1996-97 **Head Start** year will be replaced by a representative sample of children just entering **Head Start**. All families, including those whose children entered kindergarten in Fall, 1997 will be tracked through the school year. The final data collection effort will occur in Spring, 1998 and involve all families and children identified in the earlier two data collection periods. A subgroup of 120 families will be identified from the Spring and Fall, 1997 samples for participation in the Validation Substudy. The Validation Substudy data collection will require home visits to participating families at each major data collection point and a series of monthly contacts between data collections periods. The monthly contacts will begin with the Spring, 1997 data collection and continue through December, 1998.

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This schedule of data collection is necessitated by the mandates of the Government Performance and Results Act (GPRA) of 1993 (Pub. L. 103-62), which requires that the **Head Start** Bureau move expeditiously toward development and testing of **Head Start** Performance Measures, and by the 1994 reauthorization of **Head Start** (**Head Start** Act, as amended, May 18, 1994, Section 649 (d)), which requires assessment of **Head Start**'s quality and effectiveness.

Respondents: Federal Government, Individuals or Households, and Not-for-profit institutions.

Annual Burden Estimates

Instrument	Number of respondents	Number of responses per respondent	Average burden hours per response	Total burden hours
Spring, 1997.....	7,840	1	0.652	5,110
Fall, 1997.....	8,400	1	0.648	5,440
Spring, 1998.....	11,460	1	0.654	7,500

Estimated Total Annual Burden Hours: 9,025.

Note: The 9,025 annual hours is based on an average of 1997 and 1998 estimated burden hours.

Additional Information: Copies of the proposed collection may be obtained by writing to The Administration for Children and Families, Office of Information Services, Division of Information Resource Management Services, 370 L'Enfant Promenade, SW., Washington, DC 20447, Attn: ACF Reports Clearance Officer.

OMB Comment: OMB is required to make a decision concerning the collection of information between 30 and 60 days after publication of this document in the Federal Register. Therefore, a comment is best assured of having its full effect if OMB receives it within 30 days of publication. Written comments and recommendations for the proposed information collection should be sent directly to the following: Office of Management and Budget, Paperwork Reduction Project, 725 17th Street, NW., Washington, DC 20503, Attn: Ms. Wendy Taylor.

Dated: January 22, 1997.  
 Bob Sargis,  
 Acting Reports Clearance Officer.  
 [FR Doc. 97-1944 Filed 1-27-97; 8:45 am]

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[Federal Register: July 31, 2000 (Volume 65, Number 147)]

[Notices]

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DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

Proposed Information Collection Activity; Comment Request

Proposed Project

Title: Head Start Family and Child Experiences Survey (FACES).

OMB No.: Revision of a currently approved collection (OMB No. 0970-0151).

Description: The Administration on Children, Youth and Families (ACYF), Administration for Children and Families (ACF) of the Department of Health and Human Services (DHHS) is requesting comments on plans to extend the Head Start Family and Child Experience Survey (FACES). This study is being conducted under contract with Westat, Inc. (with Elsworth Associates and the CDM Group as their subcontractors) (#105-96-1912) to collect information on Head Start performance measures. This revision is intended to extend the current design to a national probability sample of 43 additional Head Start programs in order to ascertain what progress has been made since 1997 in meeting Head Start program performance goals.

FACES currently involves seven phases of data collection. The first phase was a Spring 1997 Field test in which approximately 2400 parents and children were studied in a nationally stratified random sample of 40 Head Start programs. The second and third phases occurred in Fall 1997 (Wave 1) and Spring 1998 (Wave 2) when data were collected on a sample of 3200 children and families in the same 40 programs. Spring 1998 data collection included assessments of both Head Start children completing kindergarten (kindergarten field test) as well as interviews with their parents and ratings by their kindergarten teachers. In the fourth and fifth phases, follow-up continued for a second program year, plus a kindergarten follow-up. The sixth and seventh waves of data collection involve data collection in spring of the first-grade year



for both cohorts of children, those completing kindergarten in spring 1999, and those completing kindergarten in spring 2000. The current plan is to extend data collection to a new cohort of 2825 children and families in a new sample of 43 Head Start programs.

This schedule of data collection is necessitated by the mandates of the Government Performance and Results Act (GPRA) of 1993 (Pub. L. 103-62), which requires that the Head Start Bureau move expeditiously toward development and testing of Head Start Performance Measures, and by the 1994 reauthorization of Head Start (Head Start Act, as amended, May 18, 1994, Section 649 (d)), which requires periodic assessments of Head Start's quality and effectiveness.

Respondents: Federal Government, Individuals or Households, and Not-for-profit institutions.

Estimated Response Burden for Respondents to the Head Start Family  
and Child Experience Survey (FACES 2000)--Fall 2000, Spring 2001,  
Spring 2002, Spring 2003.

Annual Burden Estimates

Instrument	Number of respondents	Number of responses per respondent	Average burden hours per response	Total burden hours
Year 1 (2000):				
Head Start Parents.....	2825	1	1.00	2825
Head Start Children.....	2825	1	0.66	1865
Head Start Teachers (child ratings)	195	14	0.25	706
Center Directors.....	172	1	1.00	172
Education Coordinators.....	172	1	0.75	129
Classroom Teachers.....	195	1	1.00	195
Year 2 (2001):				
Head Start Parents.....	2400	1	0.75	1800
Head Start Children.....	2400	1	0.66	1584
Head Start Teachers (child ratings)	195	12	0.25	600
Family Services Coordinators.....	172	1	0.75	129
Year 3 (2002):				
Head Start Parents.....	800	1	0.75	600
Head Start Children.....	800	1	0.66	528
Head Start Teachers (child ratings)	65	12	0.25	200
Kindergarten Parents.....	1600	1	0.75	1200
Kindergarten Children.....	1600	1	0.75	1200
Kindergarten Teachers.....	1600	1	0.50	800
Year 4 (2003):				
Kindergarten Parents.....	800	1	0.75	600
Kindergarten Children.....	800	1	0.75	600
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Kindergarten Teachers.....	800	1	0.50	400

Annualized Totals: Year 1, 5892

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DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

Proposed Information Collection Activity; Comment Request

Proposed Projects

Title: Head Start Family and Child Experiences Survey (FACES)/  
Quality Research Center Consortium.

OMB No.: Revision of a currently approved collection (#0970-0151).

Description: The Administration on Children, Youth and Families (ACYF), Administration for Children and Families (ACF) of the Department of Health and Human Services (DHHS) is requesting comments on plans to amend the Head Start Family and Child Experiences Survey (FACES) data collection. This study is being conducted under contract with Westat, Inc. (with Ellsworth Associates and the CDM Group as their subcontractors) (#105-96-1912) to collect information on Head Start performance measures. The current revision is intended to include 8 additional sites participating in the Head Start Quality Research Center Consortium. These Head Start program-university researcher partnerships, funded under cooperative agreements with ACYF, will be conducting evaluations of interventions designed to enhance the school readiness of Head Start children in such areas as literacy and social-emotional development, through improvements in curriculum, training, and assessment practices. This amendment will include use of subsections of the FACES instrument battery to obtain information about classroom quality and child performance both before and after the implementation of the interventions. Data from local sites can then be compared to the data available from the FACES national sample.

Respondents: Federal Government, Individuals or Households, and Not-for-profit institutions.

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Estimated Response Burden for Respondents to the Quality Research Center Consortium  
FACES Data Collection, 2001, 2002, 2003

Annual Burden Estimates

Instrument	Number of respondents	Number of responses per respondent	Average burden hours per response	Total burden hours
<b>Year 1 (Fall 2001):</b>				
Head Start Children.....	520	1	0.66	343
Head Start Teachers (child ratings).	40	13	0.25	130
Center Directors.....	8	1	1.00	8
Education Coordinators.....	8	1	0.75	6
Classroom Teachers.....	40	1	1.00	40
<b>Year 2 (Spring 2002):</b>				
Head Start Children.....	520	1	0.66	343
Head Start Teachers (child ratings).	40	13	0.25	130
Classroom Teachers.....	40	1	1.00	40
<b>(Fall 2002):</b>				
Head Start Children.....	800	1	0.66	528
Head Start Teachers (child ratings).	80	13	0.25	260
Center Directors.....	16	1	1.00	16
Education Coordinators.....	16	1	0.75	13
Classroom Teachers.....	80	1	1.00	80
<b>Year 3 (Spring 2003):</b>				
Head Start Children.....	800	1	0.66	528
Head Start Teachers (child ratings).	80	13	0.25	260
Classroom Teachers.....	80	1	1.0	80
<b>Annualized Totals:</b>				
Year 1.....	.....	.....	.....	527
Year 2.....	.....	.....	.....	1410
Year 3.....	.....	.....	.....	868

Estimated Average Annual Burden Hours: 935.

Note: The 935 Estimated Average Burden Hours is based on an average of 2001, 2002, and 2003 estimated burden hours:

In compliance with the requirements of Section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, the Administration for Children and Families is soliciting public comment on the specific aspects of the information collection described above. Copies of the proposed collection of information can be obtained and comments may be forwarded by writing to the Administration for Children and Families, Office of

Information Services, 370 L'Enfant Promenade, SW., Washington, DC 20447, Attn: ACF Reports Clearance Officer. All requests should be identified by the title of the information collection.

The Department specifically requests comments on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) the quality, utility, and clarity of the information

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DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

Proposed Information Collection Activity; Comment Request

Proposed Projects:

Title: Head Start Family and Child Experiences Survey (FACES) and Quality Research Centers.

OMB No.: Revision of a currently approved collection (OMB No. 0970-0151).

Description: The Administration for Children and Families (ACF) of the Department of Health and Human Services (DHHS) is requesting comments on plans to collect data on a new cohort for the Head Start Family and Child Experiences Survey (FACES). This study is being conducted under contract with Westat, Inc. (with Xtria, LCC and the CDM Group as their subcontractors) (contract GS23F8144H; order 03Y00318101D) to collect information on Head Start performance measures.

FACES will involve four waves of data collection. The first wave will occur in Fall 2003. Data will be collected on a sample of approximately 2,600 children and families from about 260 classrooms

across 70 programs. Data collection will include assessments of Head Start children, interviews with their parents, and ratings by their Head Start teachers. Further, site visitors will interview Head Start teachers and make observations of the types and quality of classroom activities.

The second wave, which will be a repeat of the Fall 2003 data collection, will occur in Spring 2004 when the sample children are at the end of their first year of Head Start.

The third wave will occur in Spring 2005, and will involve follow-up with children who at this time are either completing a second year in Head Start, or completing kindergarten. For those children who are still attending Head Start, data collection will follow the same procedures as in Spring 2004. For those children attending kindergarten, data collection will include assessments of Head Start children, an “update” survey of the information collected from the parent interview, and ratings of the children's academic progress and school adjustment by kindergarten teachers.

The fourth wave of data collection will occur in Spring 2006. Children who attended kindergarten the previous year will not be included in this wave. The procedures for this effort will be the same as for kindergartners in Spring 2005.

For the Head Start Quality Research Centers, 100 children in eight sites will be followed during each of two program years, 2003-2004 and 2004-2005. FACES procedures will be carried out, including child assessments, parent and teacher interviews, and observations of types and quality of classroom activities.

This schedule of data collection is necessitated by the mandates of the Government Performance and Results Act (GPRA) of 1993 (Pub. L. 103-62), which requires that the Head Start Bureau move expeditiously toward development and testing of Head Start Performance Measures, and by the 1994 reauthorization of Head Start (Head Start Act, as amended, May 18, 1994, Section 649 (d)), which requires periodic assessments of Head Start's quality and effectiveness.

Respondents: Federal Government, Individuals or Households, and Not-for-profit institutions.

**Estimated Response Burden for Respondents to the Head Start Family  
and Child Experiences Survey (FACES 2003)--Fall 2003, Spring 2004,  
Spring 2005, Spring 2006.**

**Annual Burden Estimates**

Instrument	Number of respondents	Number of responses per respondent	Average burden hours per response	Total burden hours
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Year 1 (2003)				
Head Start Parent Interview.....	2,600	1	1.00	2,600
Head Start Child Assessment.....	2,600	1	0.66	1,716
Teacher Child Rating.....	260	10	0.25	650
Program Director Interview.....	70	1	1.00	70
Center Director Interview.....	130	1	1.00	130
Education Coordinator Interview.....	130	1	0.75	98
Teacher Interview.....	260	1	1.00	260
Year 2 (2004)				
Head Start Parent Interview.....	2,210	1	0.75	1,658
Head Start Child Assessment.....	2,210	1	0.66	1,459
Teacher Child Rating.....	260	8	0.25	520
Family Service Coordinator Interview	130	1	0.75	173
Year 3 (2005)				
Head Start Parent Interview.....	730	1	0.75	548
Head Start Child Assessment.....	730	1	0.66	482
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DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

Proposed Information Collection Activity; Comment Request

Proposed Projects:

Title: Head Start Family and Child Experiences Survey (FACES)

OMB No. Revision of a currently approved collection (OMB No. 0970-0151).

Description: The Administration for Children and Families (ACF) of the Department of Health and Human Services (DHHS) is requesting comments on plans to collect data on a new cohort for the Head Start Family and Child Experience Survey (FACES). This study is being conducted to collect information on Head Start performance measures under contract with Mathematica Policy Research, Inc. (with Juarez and Associates and Educational Testing Service as their subcontractors) (contract #HHSP23320052905YC).

FACES will involve four waves of data collection. The first wave will occur in Fall 2006. Data will be collected on a sample of approximately 2,800 children and families from about 350 classrooms across 60 Head Start programs. Data collection will include assessments of Head Start children, interviews with their parents, and ratings by their Head Start teachers. Further, site visitors will interview Head Start teachers and make observations of the types and quality of classroom activities.

The second wave in spring 2007, will be very similar to the Fall 2006 data collection, except that we will not repeat interviews with the Head Start staff interviewed in the fall, and will add an interview of the family services coordinator. The children in the second wave will be at the end of their first year of Head Start.

The third wave will occur in Spring 2008, and will involve follow-ups with children who at this time are either completing a second year in Head Start, or completing kindergarten. For those children who are still attending Head Start, data collection will follow the same procedures as in Spring 2007. For those children attending kindergarten, data collection will include assessments of children, an "update" survey of the information collected from the parent interview, and ratings of the children's academic progress and school adjustment by kindergarten teachers.

The fourth wave of data collection will occur in Spring 2009. Children who attended kindergarten the previous year will not be included in this wave. The procedures for this effort will be the same as for kindergartners in Spring 2008.



This schedule of data collection is necessitated by the mandates of the Government Performance and Results Act (GPRA) of 1993 (Pub. L. 103-62), which requires that the Head Start Bureau move expeditiously toward development and testing of Head Start Performance Measures, and by the 1994 reauthorization of Head Start (Head Start Act, as amended, May 18, 1994, Section 649 (d)), which requires periodic assessments of Head Start's quality and effectiveness.

Respondents: Federal Government, Individuals or Households, and Not-for-profit institutions.

## ANNUAL BURDEN ESTIMATES

Estimated Response Burden for Respondents to the Head Start Family and Child Experiences Survey (FACES 2006)--Fall 2006, Spring 2007, Spring 2008, Spring 2009.

Instrument	Number of Respondents	Number of Responses per Respondent	Average Burden Hours per Response	Total Burden Hours
<b>Year 1 (2006)</b>				
Head Start Parent Interview	2,800	1	1.00	2,800
Head Start Child Assessment	2,800	1	0.66	1,848
Teacher Child Rating	350	8	0.25	700
Program Director Interview	60	1	1.00	60
Center Director Interview	120	1	1.00	120
Education Coordinator Interview	120	1	0.75	90
Teacher Interview	350	1	1.00	350
<b>Year 1 Total</b>	<b>6,600</b>			<b>5,968</b>
<b>Year 2 (2007)</b>				
Head Start Parent Interview	2,400	1	0.75	1,800
Head Start Child Assessment	2,400	1	0.66	1,584
Teacher Child Rating	350	6.75	0.25	591
Family Service Coordinator Interview	120	1	0.75	90
<b>Year 2 Total</b>	<b>5,270</b>			<b>4,065</b>
<b>Year 3 (2008)</b>				
Head Start Parent Interview	850	1	0.75	638
Head Start Child Assessment	850	1	0.66	561
Teacher Child Rating	175	4.5	0.25	197
Kindergarten Parent Interview	1,110	1	0.75	832
Kindergarten Child Assessment	1,110	1	0.75	832
Kindergarten Teacher Questionnaire	1,110	1	0.50	555
<b>Year 3 Total</b>	<b>5,205</b>			<b>3,615</b>
<b>Year 4 (2009)</b>				
Kindergarten Parent Interview	725	1	0.75	544
Kindergarten Child Assessment	725	1	0.75	544
Kindergarten Teacher Questionnaire	725	1	0.50	362
<b>Year 4 Total</b>	<b>2,175</b>			<b>1,450</b>
<b>Estimated Total Burden Hours:</b>				<b>15,098</b>
<b>Estimated Total Annual Burden Hours (average of 4 years)</b>				<b>3,775</b>

In compliance with the requirements of Section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, the Administration for Children and Families is soliciting public comment on the specific aspects of the information collection described above. Copies of the proposed collection of information can be obtained and comments may be forwarded by writing to the Administration for Children and Families, Office of Administration, Office of Information Services, 370 L'Enfant Promenade, SW., Washington, DC 20447, Attn: ACF Reports Clearance Officer. E-mail address: rsargis@acf.hhs.gov. All requests should be identified by the title of the information collection.

The Department specifically requests comments on: (a) whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted within 60 days of this publication.

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Robert Sargis  
Reports Clearance Officer

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

Submission for OMB Review; Comment Request

Title: Head Start Family and Child Experiences Survey (FACES)

OMB No.: 0970-0151

Description: The Administration for Children and Families (ACF) of the Department of Health and Human Services (HHS) is requesting comments on plans to collect data on a new cohort for the Head Start Family and Child Experiences Survey (FACES). ACF is conducting this study to collect information on Head Start performance measures and has contracted with Mathematica Policy Research, Inc. (with Juarez and Associates and Educational Testing Service as their subcontractors) (contract #HHSP23320052905YC) for this purpose.

FACES will involve five waves of data collection. The first wave will occur in fall 2006. Data will be collected on a sample of approximately 3,650 3- and 4-year-old children and their families from about 350 classrooms across 60 Head Start programs. Data collection will include assessments of Head Start children, interviews with their parents, and ratings by their Head Start teachers. Furthermore, site visitors will interview Head Start teachers and management staff.

The second wave in spring 2007 will be very similar to the fall 2006 data collection, except that we will not repeat interviews with the Head Start staff interviewed in the fall. The children in the second wave will be at the end of their first year of Head Start. Trained staff will also do observations of children's Head Start classrooms.

The third wave will occur in fall 2007 and will involve follow-ups with children who at this time are starting their second year in Head Start. Data collection will follow the same procedures as in fall 2006.

The fourth wave will occur in spring 2008 and will involve follow-ups with children who at this time are either completing a second year in Head Start or completing kindergarten. For those children who are still attending Head Start, data collection will follow the same procedures as in spring 2007. For those children attending kindergarten, data collection will include assessments of children, an "update" survey of the information collected from the parent interview, and ratings of the children's academic progress and school adjustment by kindergarten teachers.

The fifth wave of data collection will occur in spring 2009. Children who attended kindergarten the previous year will not be included in this wave. The procedures for this effort will be the same as for kindergartners in spring 2008.

This schedule of data collection is necessitated by the mandates of the Government Performance and Results Act (GPRA) of 1993 (Pub. L. 103-62), which requires that the Head Start Bureau move expeditiously toward development and testing of Head Start Performance Measures and, by the 1994 reauthorization of Head Start (Head Start Act, as amended, May 18, 1994, Section 649 (d)), which requires periodic assessments of Head Start’s quality and effectiveness.

Respondents: Federal Government, Individuals or Households, and Not-for-Profit Institutions.

## ANNUAL BURDEN ESTIMATES

Estimated Response Burden for Respondents to the Head Start Family and Child Experiences Survey (FACES 2006)—Fall 2006, Spring 2007, Fall 2007, Spring 2008, Spring 2009.

Instrument	Number of Respondents	Number of Responses per Respondent	Average Burden Hours per Response	Total Burden Hours
<b>Year 1</b>				
<b>Fall 2006</b>				
Head Start Parent Interview	3,464	1	1.00	3,464
Head Start Child Assessment	3,464	1	0.66	2,286
Teacher Child Rating	350	9.4	0.25	823
Program Director Interview	60	1	0.25	15
Center Director Interview	120	1	0.80	96
Education Coordinator Interview	120	1	0.75	90
Teacher Interview	350	1	1.00	350
<b>Spring 2007</b>				
Head Start Parent Interview	2,789	1	0.75	2,092
Head Start Child Assessment	2,882	1	0.66	1,902
Teacher Child Rating	370	8.2	0.25	759
Head Start Teacher-new	70	1	1.00	70
Head Start Teacher-continuing	300	1	0.50	150
<b>Year 2</b>				
<b>Fall 2007</b>				
Head Start Parent Interview	1,333	1	0.40	533
Head Start Child Assessment	1,425	1	0.66	941
Teacher Child Rating	200	7.5	0.25	375
<b>Spring 2008</b>				
Head Start Parent Interview	1,172	1	0.75	879
Head Start Child Assessment	1,282	1	0.66	846
Teacher Child Rating	200	6.7	0.25	335
Head Start Teacher Interview	200	1	200	200
Kindergarten Parent Interview	1,171	1	0.75	878
Kindergarten Child Assessment	1,102	1	0.75	827
Kindergarten Teacher Questionnaire and Child Rating	964	1	0.50	482

Instrument	Number of Respondents	Number of Responses per Respondent	Average Burden Hours per Response	Total Burden Hours
<b>Year 3</b>				
<b>Spring 2009</b>				
Kindergarten Parent Interview	1,172	1	0.75	879
Kindergarten Child Assessment	1,103	1	0.75	827
Kindergarten Teacher Questionnaire and Child Rating	965	1	0.50	483
<b>Estimated Total Burden Hours:</b>				<b>20,582</b>
<b>Estimated Total Annual Burden Hours (average of 3 years)</b>				<b>6,861</b>

Additional Information:

Copies of the proposed collection may be obtained by writing to the Administration for Children and Families, Office of Information Services, 370 L'Enfant Promenade, S.W., Washington, D.C. 20447, Attn: ACF Reports Clearance Officer. Email address: [infocollection@acf.hhs.gov](mailto:infocollection@acf.hhs.gov)

OMB Comment:

OMB is required to make a decision concerning the collection of information between 30 and 60 days after publication of this document in the *Federal Register*. Therefore, a comment is best assured of having its full effect if OMB receives it within 30 days of publication. Written comments and recommendations for the proposed information collection should be sent directly to the following:

Office of Management and Budget  
 Paperwork Reduction Project  
 725 17th Street, N.W.  
 Washington, D.C. 20503  
 Attn: Desk Officer for ACF

DATED: \_\_\_\_\_

\_\_\_\_\_  
 Bob Sargis  
 Reports Clearance Officer

4184-01

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

Proposed Information Collection Activity; Comment Request

Proposed Project:

Title: Head Start Family and Child Experiences Survey (FACES)

OMB No.: 0970-0151

Description: The Administration for Children and Families (ACF), U.S. Department of Health and Human Services, is planning to collect data on a new cohort for the Head Start Family and Child Experiences Survey (FACES). FACES is a longitudinal study of a nationally representative sample of Head Start programs and children that will collect information for Head Start performance measures. Data for FACES will be collected annually through interviews with Head Start parents, teachers, program directors and other Head Start staff, as well as direct child assessments and observations of Head Start classrooms.

Information will be collected on a sample of approximately 3,500 children and families from 60 Head Start programs. The methods of data collection will include assessments of Head Start children, interviews with their parents, and ratings by their Head Start teachers. Site visitors will interview Head Start teachers in approximately 350 classrooms and make observations of the types and quality of classroom activities. Interviews will also be conducted with Head Start program directors and other staff. A follow-up for children in Kindergarten will include child assessments, parent interviews, and teacher questionnaires and child ratings.

Respondents: Parents of Head Start Children, Head Start Children, Head Start Teachers, Head Start Program Directors and Staff, and Kindergarten Teachers of former Head Start enrollees.

ANNUAL BURDEN ESTIMATES

Instrument	Annual Number of Respondents	Number of Responses per Respondent	Average Burden Hour per Response	Estimated Annual Burden Hours
Head Start Parent Interview	2,589	1	0.83	2,149
Head Start Child Assessment	2,589	1	0.75	1,942
Head Start Teacher Interview	300	1	0.83	249
Head Start Teacher Child Rating	300	8.6	0.25	645
Head Start Program Director Interview	20	1	0.25	5
Head Start Center Director Interview	40	1	0.75	30
Head Start Education Coordinator Interview	40	1	0.75	30
Kindergarten Parent Interview	771	1	0.75	578
Kindergarten Child Assessment	771	1	0.75	578
Kindergarten Teacher Questionnaire and Child Rating	643	1.2	0.50	386

ESTIMATED TOTAL BURDEN HOURS: 6,592

In compliance with the requirements of Section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, the Administration for Children and Families is soliciting public comment on the specific aspects of the information collection described above. Copies of the proposed collection of information can be obtained and comments may be forwarded by writing to the Administration for Children and Families, Office of Planning, Research and Evaluation, 370 L'Enfant Promenade, SW., Washington, DC 20447, Attn: ACF Reports Clearance Officer. E-mail address: [OPREinfocollection@acf.hhs.gov](mailto:OPREinfocollection@acf.hhs.gov). All requests should be identified by the title of the information collection.

The Department specifically requests comments on (a) whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted within 60 days of this publication.

DATED: \_\_\_\_\_

\_\_\_\_\_  
 Steven M. Hanmer  
 OPRE Reports Clearance Officer

4184-01

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

Submission for OMB Review; Comment Request

Proposed Project:

Title: Head Start Family and Child Experiences Survey (FACES 2009)

OMB No.: 0970-0151

Description: The Administration for Children and Families (ACF), U.S. Department of Health and Human Services, is planning to collect data on a new cohort for the Head Start Family and Child Experiences Survey (FACES). FACES is a longitudinal study of a nationally representative sample of Head Start programs and children that will collect information for Head Start performance measures. Data for FACES will be collected annually through interviews with Head Start parents, teachers, program directors and other Head Start staff, as well as direct child assessments and observations of Head Start classrooms.

Data will be collected on a sample of approximately 3,400 children and families from 60 Head Start programs. Data collection will include assessments of Head Start children, interviews with their parents, and ratings by their Head Start teachers. Site visitors will interview Head Start teachers in approximately 405 classrooms and make observations of the types and quality of classroom activities. Interviews will also be conducted with Head Start program directors and other staff. A follow-up for children in Kindergarten will include child assessments, parent interviews, and teacher questionnaires and child ratings.



The purpose of this data collection is to fulfill the requirements of the Government Performance and Results Act (GPRA) of 1993 (Public Law 103-62), and by the 1994 reauthorization of the Head Start program(Head Start Act, as amended, May 18, 1994, Section 649 (d)), which call for periodic assessments of Head Start’s quality and effectiveness.

Respondents: Parents of Head Start Children, Head Start Children, Head Start Teachers, Head Start Program Directors and Staff, and Kindergarten Teachers of former Head Start enrollees.

### ANNUAL BURDEN ESTIMATES

Instrument	Annual Number of Respondents	Number of Responses per Respondent	Average Burden Hour per Response	Estimated Annual Burden Hours
Parent Interview	3,185	1.0	0.81	2,564
Child Assessment	3,245	1.0	0.75	2,434
Head Start Teacher Interview	405	1.0	0.50	203
Head Start Teacher Child Rating	405	9.0	0.17	620
Program Director Interview	20	1.0	0.50	10
Center Director Interview	40	1.0	0.50	20
Education Coordinator Interview	20	1.0	0.50	10
Kindergarten Teacher Questionnaire	1,128	1.3	0.50	733
Kindergarten Teacher Child Rating	1,128	1.3	0.17	249

TOTAL ANNUAL BURDEN HOURS: 6,843

#### Additional Information:

Copies of the proposed collection may be obtained by writing to the Administration for Children and Families, Office of Planning, Research and Evaluation, 370 L’Enfant Promenade, SW., Washington, DC 20447, Attn: OPRE Reports Clearance Officer. All requests should be identified by the title of the information collection. E-mail address:

OPREinfocollection@acf.hhs.gov.



OMB Comment:

OMB is required to make a decision concerning the collection of information between 30 and 60 days after publication of this document in the Federal Register. Therefore, a comment is best assured of having its full effect if OMB receives it within 30 days of publication. Written comments and recommendations for the proposed information collection should be sent directly to the following:

Office of Management and Budget  
Paperwork Reduction Project  
Fax: 202-395-6974  
Attn: Desk Officer for the Administration for Children and Families

DATED: \_\_\_\_\_

\_\_\_\_\_  
Brendan C. Kelly  
OPRE Reports Clearance Officer

**DEPARTMENT OF HEALTH AND HUMAN SERVICES**  
**Administration for Children and Families**  
**Proposed Information Collection Activity; Comment Request**

*Title:* Head Start Family and Child Experiences Survey (FACES).  
*OMB No.:* 0970-0151.

*Description:* The Office of Planning, Research and Evaluation (OPRE), Administration for Children and Families (ACF), U.S. Department of Health and Human Services (HHS), is proposing to collect data for a new round of the Head Start Family and Child Experiences Survey (FACES). Featuring a new “Core Plus” Study design, FACES 2014–2018 will provide data on a set of key indicators, including information for performance measures, more rapidly and with greater frequency (Core Studies) and serve as a vehicle for studying more complex issues and topics in greater detail and with increased efficiency (Plus Studies). In fall 2014 and spring 2015, FACES will assess the school readiness skills of 2,400 Head Start children, survey their parents, and ask their Head Start teachers to rate children’s social and emotional skills. In spring 2015 and again in spring 2017, the number of programs in the FACES sample will increase from the 60 that are used to collect data on children’s school readiness outcomes to 180 for the purpose of conducting observations in 720 Head Start classrooms. Program director, center director, and teacher surveys will also be conducted in the spring. Plus features include additional survey content of policy or programmatic interest, which may include more programs being sampled.

This notice is specific to the data collection activities needed to recruit Head Start programs and centers into FACES 2014–2018. A future notice will provide information about data collection for the Core and Plus studies.

A total of 230 Head Start programs and 460 Head Start centers will be selected to participate in FACES 2014– 2018. The Core Study will include a nationally representative sample of 180 programs, with up to 50 additional programs potentially selected for Plus studies. For the Core, the 60 programs participating in the Core child-level data collection will be contacted and recruited for the study in spring 2014. In fall 2014, the remaining 120 programs will be contacted. All 180 programs will be contacted a second time in fall 2016 to confirm their continued participation in the Core spring 2017 data collection. The 50 Plus study programs would be recruited at a similar time as the Core study programs (i.e., spring 2014 or fall 2014/2016) depending on the nature of the study being conducted.

The method of data collection for recruitment of all programs will include telephone conversations with program directors and on-site coordinators who serve as liaisons between the FACES study team and the Head Start centers. These calls will inform program staff about the purpose of the study and will gather lists of centers in each program in order to compile the center sampling frame.

The purpose of this data collection is to support the 2007 reauthorization of the Head Start program (Pub. L. 110-134), which calls for periodic assessments of Head Start's quality and effectiveness.

*Respondents:* Head Start Program Directors and Staff.

### ANNUAL BURDEN ESTIMATES

Instrument	Total Number of Respondents	Number of Responses per Respondent	Average Burden Hour per Response	Estimated Total Burden Hours	Estimated Annual Burden Hours
Telephone script for program directors	230	2	1	460	153
Telephone script for on-site coordinators	230	2	.75	345	115
Total				1491	497

In compliance with the requirements of Section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, the Administration for Children and Families is soliciting public comment on the specific aspects of the information collection described above. Copies of the proposed collection of information can be obtained and comments may be forwarded by writing to the Administration for Children and Families, Office of Planning, Research and Evaluation, 370 L'Enfant Promenade SW., Washington, DC 20447, Attn: OPRE Reports Clearance Officer. Email address: [OPREinfocollection@acf.hhs.gov](mailto:OPREinfocollection@acf.hhs.gov). All requests should be identified by the title of the information collection.

The Department specifically requests comments on (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted within 60 days of this publication.

**Karl Koerper,**  
*OPRE Reports Clearance Officer.*

[FR Doc. 2013-29668 Filed 12-11-13; 8:45 am]  
**BILLING CODE 4184-22-P**

**DEPARTMENT OF HEALTH AND HUMAN SERVICES**  
**Administration for Children and Families**  
**Submission for OMB Review; Comment Request**

*Title:* Head Start Family and Child Experiences Survey (FACES)  
*OMB No.:* 0970–0151

*Description:* The Office of Planning, Research and Evaluation (OPRE), Administration for Children and Families (ACF), U.S. Department of Health and Human Services (HHS), is proposing to collect data for a new round of the Head Start Family and Child Experiences Survey (FACES). Featuring a new “Core Plus” study design, FACES will provide data on a set of key indicators, including information for performance measures. The design allows for more rapid and frequent data reporting (Core studies) and serves as a vehicle for studying more complex issues and topics in greater detail and with increased efficiency (Plus studies).

In fall 2014 and spring 2015, the FACES Core study will assess the school readiness skills of Head Start children, survey their parents, and ask their Head Start teachers to rate children’s social and emotional skills. In spring 2015 and again in spring 2017, the number of programs in the FACES Core study sample will increase from the 60 that are used to collect data on children’s school readiness outcomes to 180 for the purpose of conducting observations in 720 Head Start classrooms. Program director, center director, and teacher surveys will also be conducted at these time points. FACES Plus studies include additional survey content of policy or programmatic interest, and may involve more programs being sampled. This notice is specific to the data collection activities needed to recruit Head Start programs and centers into FACES. A future notice will provide information about data collection for the Core and Plus studies.

The method of data collection for recruitment of all programs (180 for the FACES Core and up to 50 additional programs for FACES Plus studies) will include telephone conversations with program directors and on-site coordinators who serve as liaisons between the FACES study team and the Head Start centers. These calls will inform program staff about the purpose of the study and will be used to identify the number of centers in each program in order to compile the center sampling frame.

The purpose of this data collection is to support the 2007 reauthorization of the Head Start program (Pub.L. 110–134), which calls for periodic assessments of Head Start’s quality and effectiveness.

*Respondents:* Head Start Program Directors and Staff.

## ANNUAL BURDEN ESTIMATES

Instrument	Total Number of Respondents	Annual Number of Respondents	Number of Responses per Respondent	Average Burden Hour per Response	Estimated Annual Burden Hours
Telephone script for program directors	230	77	2	1	154
Telephone script for on-site coordinators	230	77	2	.75	116
Total					270

*Additional Information:* Copies of the proposed collection may be obtained by writing to the Administration for Children and Families, Office of Planning, Research and Evaluation, 370 L'Enfant Promenade SW., Washington, DC 20447, Attn: OPRE Reports Clearance Officer. All requests should be identified by the title of the information collection. Email address: [OPREinfocollection@acf.hhs.gov](mailto:OPREinfocollection@acf.hhs.gov).

*OMB Comment:* OMB is required to make a decision concerning the collection of information between 30 and 60 days after publication of this document in the **Federal Register**. Therefore, a comment is best assured of having its full effect if OMB receives it within 30 days of publication. Written comments and recommendations for the proposed information collection should be sent directly to the following: Office of Management and Budget, Paperwork Reduction Project, Fax: 202-395-6974, Attn: Desk Officer for the Administration for Children and Families.

**Karl Koerper**

*OPRE Reports Clearance Officer.*

[FR Doc. 2014-02949 Filed 2-11-14; 8:45 am]

**BILLING CODE 4184-22-P**



**DEPARTMENT OF HEALTH AND HUMAN SERVICES**  
**Administration for Children and Families**  
**Proposed Information Collection Activity; Comment Request**

*Title:* Head Start Family and Child Experiences Survey (FACES).

*OMB No.:* 0970–0151.

*Description:* The Office of Planning, Research and Evaluation (OPRE), Administration for Children and Families (ACF), U.S. Department of Health and Human Services (HHS), is proposing to collect data for the Head Start Family and Child Experiences Survey (FACES). Featuring a new “Core Plus” study design, FACES will provide data on a set of key indicators, including information for performance measures. This design also allows for more rapid and frequent data reporting (Core study) and serves as a vehicle for studying more complex issues and topics in greater detail and with increased efficiency (Plus studies).

The FACES Core study will assess the school readiness skills of Head Start children, survey their parents, and ask their Head Start teachers to rate children’s social and emotional skills. In addition, FACES will include observations in Head Start classrooms, and program director, center director, and teacher surveys. FACES Plus studies include additional survey content of policy or programmatic interest, and may include additional programs or respondents beyond those participating in the Core FACES study.

Previous notices provided the opportunity for public comment on the proposed Head Start program recruitment and center selection process (FR V.78, pg. 75569 12/12/2013; FR V.79, pg. 8461 02/12/2014). This notice describes the planned data collection activities for the FACES Core study and Plus studies. Direct child assessments, parent surveys, and teacher child reports for the Core study are included in this clearance package. Additionally, we describe instruments to support the Core study at the program and classroom levels and the Plus studies anticipated for future submission. Since these instruments will be informed by initial findings of FACES and emerging policy needs, they cannot be fully specified at this time. However, we describe the respondents and data collection methods, estimated respondent burden, and how the information will be used to the extent possible at this time. Subsequently, when fully developed in a manner consistent with the description provided in this 60-day notice and prior to use, we will submit these materials for a 30-day public comment period under the Paperwork Reduction Act.

Methods for Core data collection start with site visits in fall 2014 to 60 Head Start programs to directly assess the school readiness skills of 2,400 children sampled to participate in FACES. Parents of sampled children will complete surveys on the Web or by telephone about their children and family background. Head Start teachers will rate each sampled child (approximately 10 children per classroom) using the Web or paper-and pencil forms. These activities will occur a second time in spring 2015. Additionally, the program sample size will increase to 180 programs in the spring to collect program- and classroom-level data. The methods of data collection for this phase will feature site visitors conducting observations of the types and quality of classroom activities. Head Start program directors, center directors, and teachers will complete surveys about themselves and the services and instruction at Head Start. The program- and classroom-level data collection will occur a second time in spring 2017.

Plus study data collection will parallel the Core design in many ways, including recruitment and data collection procedures, to add new respondents, include new populations, or expand on the

information gathered in the Core study. Additional early care and education administrators or providers (such as Education Coordinators or Family Service Staff) may be sampled. Plus studies may involve data collection in additional programs, such as programs serving different populations or programs implementing specific interventions. Data collection for these Plus studies may include child assessments, parent surveys, teacher child reports, and staff surveys. Plus studies may also feature topical modules to gather information in greater depth on particular topics (for example, parent engagement or program functioning). The methods of data collection will involve new methodologies such as qualitative interviews and supplemental surveys with expanded content.

The purpose of the Core data collection is to support the 2007 reauthorization of the Head Start program (P.L. 110–134), which calls for periodic assessments of Head Start’s quality and effectiveness. Plus data collection will further support understanding Head Start functioning for a broader set of programs or in more depth for particular topics.

### Annual Burden Estimates

*Respondents:* Head Start children, parents of Head Start children, and Head Start teachers, directors, and other early care and education program staff.

Instrument	Total Number of Respondents	Annual Number of Respondents	Number of Responses per Respondent	Average Burden Hour per Response	Estimated Annual Burden Hours
Core Study					
Head Start core child assessment	2,400	800	2	0.75	1,200
Head Start core parent survey	2,400	800	2	0.25	400
Head Start fall parent supplemental survey	2,400	800	1	0.08	64
Head Start spring parent supplemental survey	2,400	800	1	0.08	64
Head Start core teacher child report	240	80	20	0.17	272
Head Start core teacher survey	720	240	2	0.50	240
Head Start core program director survey	180	60	2	0.25	30
Head Start core center director survey	360	120	2	0.25	60
Plus Studies					
Head Start parent qualitative interview	400	133	2	1.00	267
Head Start staff qualitative interview	300	100	2	1.00	200
Head Start child assessment for plus study	1,350	450	2	0.75	675
Head Start parent survey for plus study	1,350	450	2	0.25	225
Head Start parent	1,350	450	2	0.08	72

Instrument	Total Number of Respondents	Annual Number of Respondents	Number of Responses per Respondent	Average Burden Hour per Response	Estimated Annual Burden Hours
supplemental survey for plus study					
Head Start teacher child report for plus study	150	50	20	0.17	170
Head Start teacher survey for plus study	150	50	2	0.50	50
Head Start program director survey for plus study	50	17	2	0.25	8
Head Start center director survey for plus study	100	33	2	0.25	17
Early care and education administrators plus survey	600	200	2	0.50	200
Early care and education providers plus survey	900	300	2	0.50	300
Total					4,514

In compliance with the requirements of Section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, the Administration for Children and Families is soliciting public comment on the specific aspects of the information collection described above. Copies of the proposed collection of information can be obtained and comments may be forwarded by writing to the Administration for Children and Families, Office of Planning, Research and Evaluation, 370 L'Enfant Promenade, SW., Washington, DC 20447, Attn: OPRE Reports Clearance Officer. Email address: [OPREinfocollection@acf.hhs.gov](mailto:OPREinfocollection@acf.hhs.gov). All requests should be identified by the title of the information collection.

The Department specifically requests comments on (a) whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted within 60 days of this publication.

**Karl Koerper,**

*OPRE Reports Clearance Officer.*

[FR Doc. 2014-04400 Filed 2-27-14; 8:45 am]

**BILLING CODE 4184-22-P**

