Supporting Statement for Form SSA-3033 Work Activity Questionnaire 20 CFR 404.1574(a)(1)-(3) OMB No. 0960-0483

A. Justification

1. Introduction/Authoring Law and Regulations

Under the Social Security Disability Insurance (SSDI) and Supplemental Security Income (SSI) programs, claimants qualify for payments when a verified physical or mental impairment prevents them from working. When a SSDI beneficiary returns to work after the onset of the disability, the Social Security Administration (SSA) must evaluate the work effort to determine if the disability claimant still qualifies for payments. SSA uses form SSA-3033 to collect information necessary to evaluate work activity. Sections 221, 223(d)(4), 1612(b)(4)(B), and 1614(a)(3) (D) of the Social Security Act, and Section 20 CFR 404.1574(a)(1)-(3) of the Code of Federal Regulations authorizes SSA to collect this information.

2. **Description of Collection**

SSDI beneficiaries and SSI recipients qualify for payments when a verified physical or mental impairment prevents them from working. If disability claimants attempt to return to work after receiving payments, but are unable to continue working, they submit Form SSA-3033, Employee Work Activity Questionnaire, so SSA can evaluate their work attempt. SSA also uses this form to evaluate unsuccessful subsidy work and determine SSDI and SSI applicants' continuing eligibility for disability payments. The respondents are employers of SSDI beneficiaries and SSI recipients who unsuccessfully attempted to return to work.

3. Use of Information Technology to Collect the Information

SSA did not create an electronic version of Form SSA-3033 under the agency's Government Paperwork Elimination Act (GPEA) plan because only 25,000 respondents complete the form. This is less than the GPEA cut-off of 50,000. The form is available in print-only, fillable PDF on SSA's website. SSA does not collect the employer information via an in-office interview.

4. Why We Cannot Use Duplicate Information

The nature of the information we collect and the manner in which we collect it preclude duplication. SSA does not use another collection instrument to obtain similar data.

5. **Minimizing Burden on Small Respondents**

This collection does not significantly affect small businesses or other small entities.

6. **Consequence of Not Collecting Information or Collecting it Less Frequently** If we did not use Form SSA-3033, we would have difficulty in properly evaluating unsuccessful work attempts and subsidized work, which could result in improper payments. Because we collect the information on an as needed basis, we cannot collect it less frequently. There are no technical or legal obstacles to burden reduction.

7. **Special Circumstances**

There are no special circumstances that would cause SSA to conduct this information collection in a manner inconsistent with 5 *CFR* 1320.5.

8. Solicitation of Public Comment and Other Consultations with the Public

The 60-day advance Federal Register Notice published on January 12, 2017, at 82 FR 3838, and we received no public comments. The 30-day FRN published on March 28, 2017 at 82 FR 15412. If we receive any comments in response to this Notice, we will forward them to OMB.

9. Payment or Gifts to Respondents

SSA does not provide payments or gifts to the respondents.

10. Assurances of Confidentiality

SSA protects and holds confidential the information it collects in accordance with 42 *U.S.C.* 1306, 20 *CFR* 401 and 402, 5 *U.S.C.* 552 (Freedom of Information Act), 5 *U.S.C.* 552a (Privacy Act of 1974), and OMB Circular No. A-130.

11. Justification for Sensitive Questions

The information collection does not contain any questions of a sensitive nature.

12. Estimates of Public Reporting Burden

Modality of Completion	Number of Respondents	Frequency of Response	Average Burden per Response (minutes)	Estimated Total Annual Burden (hours)
SSA-3033	15,000	1	15	3,750

The total burden for this ICR is **3,750** hours. This figure represents burden hours, and we did not calculate a separate burden cost.

13. Annual Cost to the Respondents (Other)

This collection does not impose a known cost burden on the respondents.

14. Annual Cost To Federal Government

The annual cost to the Federal Government for this collection is approximately \$46,200. This estimate is a projection of the costs for printing and distributing the

collection instrument and for collecting the information.

15. **Program Changes or Adjustments to the Information Collection Request** There are no changes to the public reporting burden.

16. **Plans for Publication Information Collection Results** SSA will not publish the results of the information collection.

17. **Displaying the OMB Approval Expiration Date**

OMB granted SSA an exemption from the requirement to print the OMB expiration date on its program forms. SSA produces millions of public-use forms with life cycles exceeding those of an OMB approval. Since SSA does not periodically revise and reprint its public-use forms (e.g., on an annual basis), OMB granted this exemption so we would not have to destroy stocks of otherwise useable forms with expired OMB approval dates, avoiding Government waste.

18. Exceptions to Certification Statement

SSA is not requesting an exception to the certification requirements at *5 CFR 1320.9* and related provisions at *5 CFR 1320.8*(*b*)(*3*).

B. <u>Collections of Information Employing Statistical Methods</u>

SSA does not use statistical methods for this information collection.