**APPENDIX B**

**OMB SUBMISSION HISTORY**

[Federal Register: November 26, 1996 (Volume 61, Number 229)]

[Notices]

[Page 60105-60106]

From the Federal Register Online via GPO Access [wais.access.gpo.gov]

[DOCID:fr26no96-79]

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DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

Proposed Information Collection Activity; Comment Request

Proposed Projects

Title: **Head** **Start** Family and Child Experiences Survey (FACES).

OMB No.: New Collection.

Description: The Administration on Children, Youth and Families

(ACYF), Administration for Children and Families (ACF) of the

Department of Health and Human Services (DHHS) is requesting Office of

Management and Budget (OMB) clearance for interview instruments to be

used in the **Head** **Start** Family and Child Experience Survey (FACES). This

study is being conducted under contracts with Abt Associates Inc.

[[Page 60106]]

(with The CDM Group, Inc. as their subcontractor (#105-96-1930)) to

collect descriptive information on **Head** **Start** families, and Westat,

Inc. (with Ellsworth Associates as their subcontractor (#105-96-1912))

to collect information on **Head** **Start** performance measures. The design

calls for three rounds of data collection. A nationally representative

group of 2,400 families with children enrolled in approximately 160

centers in 40 **Head** **Start** programs will be identified in Spring, 1997.

At that time, **Head** **Start** staff and parents will be interviewed,

classroom observations will be completed, and children will be

assessed. The second data collection period will occur in Fall, 1997.

Again, staff and parents will be interviewed, and children will be

assessed and observed in their classrooms. At that time children from

the Spring, 1997 sample that left **Head** **Start** to enter kindergarten

following the 1996-97 **Head** **Start** year will be replaced by a

representative sample of children just entering **Head** **Start**. All

families, including those whose children entered kindergarten in Fall,

1997 will be tracked through the school year. The final data collection

effort will occur in Spring, 1998 and involve all families and children

identified in the earlier two data collection periods.

A subgroup of 120 families will be identified from the Spring and

Fall, 1997 samples for participation in the Validation Substudy. The

Validation Substudy data collection will require home visits to

participating families at each major data collection point and a series

of monthly contacts between data collections periods. The monthly

contacts will begin with the Spring, 1997 data collection and continue

through December, 1998.

This schedule of data collection is necessitated by the mandates of

the Government Performance and Results Act (GPRA) of 1993 (Public Law

103-62), which requires that the **Head** **Start** Bureau move expeditiously

toward development and testing of **Head** **Start** Performance Measures, and

by the 1994 reauthorization of **Head** **Start** (**Head** **Start** Act, as amended,

May 18, 1994, Section 649 (d)), which requires assessment of **Head**

**Start’**s quality and effectiveness.

Respondents: Federal Government, Individuals or Households, and

Not-for-profit institutions.

Annual Burden Estimates

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Number of Average

Number of responses burden Total

Instrument respondents per hours per burden

respondent response hours

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Spring, 1997.................................. 7,840 1 0.652 5,110

Fall, 1997.................................... 8,400 1 0.648 5,440

Spring, 1998................................. 11,460 1 0.654 7,500

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Estimated Total Annual Burden Hours: 9,025.

In compliance with the requirements of Section 3506(c)(2)(A) of the

Paperwork Reduction Act of 1995, the Administration for Children and

Families is soliciting public comment on the specific aspects of the

information collection described above. Copies of the proposed

collection of information can be obtained and comments may be forwarded

by writing to the Administration for Children and Families, Office of

Information Services, Division of Information Resource Management

Services, 370 L’Enfant Promenade, S.W., Washington, D.C. 20447, Attn:

ACF Reports Clearance Officer. All requests should be identified by the

title of the information collection.

The Department specifically requests comments on: (a) Whether the

proposed collection of information is necessary for the proper

performance of the functions of the agency, including whether the

information shall have practical utility; (b) the accuracy of the

agency’s estimate of the burden of the proposed collection of

information; (c) the quality, utility, and clarity of the information

to be collected; and (d) ways to minimize the burden of the collection

of information on respondents, including through the use of automated

collection techniques or other forms of information technology.

Consideration will be given to comments and suggestions submitted

within 60 days of this publication.

Dated: November 20, 1996.

Bob Sargis,

Acting Reports Clearance Officer.

[FR Doc. 96-30145 Filed 11-25-96; 8:45 am]

BILLING CODE 4184-01-M

[Federal Register: January 28, 1997 (Volume 62, Number 18)]

[Notices]

[Page 4053-4054]

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[DOCID:fr28ja97-71]

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DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

Submission for OMB Review; Comment Request

Title: **Head** **Start** Family and Child Experience Survey (FACES).

OMB No.: New Collection.

Description: The Administration on Children, Youth and Families

(ACYF), Administration for Children and Families (ACF) of the

Department of Health and Human Services (DHHS) is requesting Office of

Management and Budget (OMB) clearance for interview instruments to be

used in the **Head** **Start** Family and Child Experience Survey FACES. This

study is being conducted under contracts with Abt Associates Inc. (with

the CDM Group, Inc. as their subcontractor (#105-96-1930)) to collect

descriptive information on **Head** **Start** families, and Westat, Inc. (with

Ellsworth Associates as their subcontractor (#105-96-1912)) to collect

information on **Head** **Start** performance measures.

The design calls for these rounds of data collection. A nationally

representative group of 2,400 families with children enrolled in

approximately 160 centers in 40 **Head** **Start** programs will be identified

in Spring, 1997. At that time, **Head** **Start** staff and parents will be

interviewed, classroom observations will be completed, and children

will be assessed. The second data collection period will occur in Fall,

1997. Again, staff and parents will be interviewed, and children will

be assessed and observed in their classrooms. At that time children

from the Spring, 1997 sample that left **Head** **Start** to enter kindergarten

following the 1996-97 **Head** **Start** year will be replaced by a

representative sample of children just entering **Head** **Start**. All

families, including those whose children entered kindergarten in Fall,

1997 will be tracked through the school year. The final data collection

effort will occur in Spring, 1998 and involve all families and children

identified in the earlier two data collection periods. A subgroup of

120 families will be identified from the Spring and Fall, 1997 samples

for participation in the Validation Substudy. The Validation Substudy

data collection will require home visits to participating families at

each major data collection point and a series of monthly contacts

between data collections periods. The monthly contacts will begin with

the Spring, 1997 data collection and continue through December, 1998.

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This schedule of data collection is necessitated by the mandates of

the Government Performance and Results Act (GPRA) of 1993 (Pub. L. 103-

62), which requires that the **Head** **Start** Bureau move expeditiously

toward development and testing of **Head** **Start** Performance Measures, and

by the 1994 reauthorization of **Head** **Start** (**Head** **Start** Act, as amended,

May 18, 1994, Section 649 (d)), which requires assessment of **Head**

**Start**’s quality and effectiveness.

Respondents: Federal Government, Individuals or Households, and

Not-for-profit institutions.

Annual Burden Estimates

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Number of Average

Number of responses burden Total

Instrument respondents per hours per burden

respondent response hours

-----------------------------------------------------------------------------------------------------------------

Spring, 1997.................................. 7,840 1 0.652 5,110

Fall, 1997.................................... 8,400 1 0.648 5,440

Spring, 1998................................. 11,460 1 0.654 7,500

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Estimated Total Annual Burden Hours: 9,025.

Note: The 9,025 annual hours is based on an average of 1997 and

1998 estimated burden hours.

Additional Information: Copies of the proposed collection may be

obtained by writing to The Administration for Children and Families,

Office of Information Services, Division of Information Resource

Management Services, 370 L’Enfant Promenade, SW., Washington, DC 20447, Attn: ACF Reports Clearance Officer.

OMB Comment: OMB is required to make a decision concerning the

collection of information between 30 and 60 days after publication of

this document in the Federal Register. Therefore, a comment is best

assured of having its full effect if OMB receives it within 30 days of

publication. Written comments and recommendations for the proposed

information collection should be sent directly to the following: Office

of Management and Budget, Paperwork Reduction Project, 725 17th Street,

NW., Washington, DC 20503, Attn: Ms. Wendy Taylor.

Dated: January 22, 1997.

Bob Sargis,

Acting Reports Clearance Officer.

[FR Doc. 97-1944 Filed 1-27-97; 8:45 am]

BILLING CODE 4184-01-M

[Federal Register: July 31, 2000 (Volume 65, Number 147)]

[Notices]

[Page 46720-46721]

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DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

Proposed Information Collection Activity; Comment Request

Proposed Project

Title: Head Start Family and Child Experiences Survey (FACES).

OMB No.: Revision of a currently approved collection (OMB No. 0970-

0151).

Description: The Administration on Children, Youth and Families

(ACYF), Administration for Children and Families (ACF) of the

Department of Health and Human Services (DHHS) is requesting comments

on plans to extend the Head Start Family and Child Experience Survey

(FACES). This study is being conducted under contract with Westat, Inc.

(with Elsworth Associates and the CDM Group as their subcontractors)

(#105-96-1912) to collect information on Head Start performance

measures. This revision is intended to extend the current design to a

national probability sample of 43 additional Head Start programs in

order to ascertain what progress has been made since 1997 in meeting

Head Start program performance goals.

FACES currently involves seven phases of data collection. The first

phase was a Spring 1997 Field test in which approximately 2400 parents

and children were studies in a nationally stratified random sample of

40 Head Start programs. The second and third phases occurred in Fall

1997 (Wave 1) and Spring 1998 (Wave 2) when data were collected on a

sample of 3200 children and families in the same 40 programs. Spring

1998 data collection included assessments of both Head Start children

completing kindergarten (kindergarten field test) as well as interviews

with their parents and ratings by their kindergarten teachers. In the

fourth and fifth phases, follow-up continued for a second program year,

plus a kindergarten follow-up. The sixth and seventh waves of data

collection involve data collection in spring of the first-grade year

for both cohorts of children, those competing kindergarten in spring

1999, and those completing kindergarten in spring 2000. The current

plan is to extend data collection to a new cohort of 2825 children and

families in a new sample of 43 Head Start programs.

This schedule of data collection is necessitated by the mandates of

the Government Performance and Results Act (GPRA) of 1993 (Pub. L. 103-

62), which requires that the Head Start Bureau move expeditiously

toward development and testing of Head Start Performance Measures, and

by the 1994 reauthorization of Head Start (Head Start Act, as amended,

May 18, 1994, Section 649 (d)), which requires periodic assessments of

Head Start’s quality and effectiveness.

Respondents: Federal Government, Individuals or Households, and

Not-for-profit institutions.

Estimated Response Burden for Respondents to the Head Start Family

and Child Experience Survey (FACES 2000)--Fall 2000, Spring 2001,

Spring 2002, Spring 2003.

Annual Burden Estimates

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Number of Average

Number of responses burden Total

Instrument respondents per hours per burden

respondent response hours

-----------------------------------------------------------------------------------------------------------------

Year 1 (2000):

Head Start Parents....................... 2825 1 1.00 2825

Head Start Children...................... 2825 1 0.66 1865

Head Start Teachers (child ratings) 195 14 0.25 706

Center Directors......................... 172 1 1.00 172

Education Coordinators...................172 1 0.75 129

Classroom Teachers....................... 195 1 1.00 195

Year 2 (2001):

Head Start Parents....................... 2400 1 0.75 1800

Head Start Children...................... 2400 1 0.66 1584

Head Start Teachers (child ratings). 195 12 0.25 600

Family Services Coordinators......... 172 1 0.75 129

Year 3 (2002):

Head Start Parents....................... 800 1 0.75 600

Head Start Children...................... 800 1 0.66 528

Head Start Teachers (child ratings). 65 12 0.25 200

Kindergarten Parents..................... 1600 1 0.75 1200

Kindergarten Children.................... 1600 1 0.75 1200

Kindergarten Teachers.................... 1600 1 0.50 800

Year 4 (2003):

Kindergarten Parents..................... 800 1 0.75 600

Kindergarten Children.................... 800 1 0.75 600

[[Page 46721]]

Kindergarten Teachers.....................800 1 0.50 400

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Annualized Totals: Year 1, 5892

[Federal Register: June 12, 2001 (Volume 66, Number 113)]

[Notices]

[Page 31656-31657]

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DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

Proposed Information Collection Activity; Comment Request

Proposed Projects

Title: Head Start Family and Child Experiences Survey (FACES)/

Quality Research Center Consortium.

OMB No.: Revision of a currently approved collection (#0970-0151).

Description: The Administration on Children, Youth and Families

(ACYF), Administration for Children and Families (ACF) of the

Department of Health and Human Services (DHHS) is requesting comments

on plans to amend the Head Start Family and Child Experiences Survey

(FACES) data collection. This study is being conducted under contract

with Westat, Inc. (with Ellsworth Associates and the CDM Group as their

subcontractors) (#105-96-1912) to collect information on Head Start

performance measures. The current revision is intended to include 8

additional sites participating in the Head Start Quality Research

Center Consortium. These Head Start program-university researcher

partnerships, funded under cooperative agreements with ACYF, will be

conducting evaluations of interventions designed to enhance the school

readiness of Head Start children in such areas as literacy and social-

emotional development, through improvements in curriculum, training,

and assessment practices. This amendment will include use of

subsections of the FACES instrument battery to obtain information about

classroom quality and child performance both before and after the

implementation of the interventions. Data from local sites can then be

compared to the data available from the FACES national sample.

Respondents: Federal Government, Individuals or Households, and

Not-for-profit institutions.

[[Page 31657]]

Estimated Response Burden for Respondents to the Quality Research Center Consortium

FACES Data Collection, 2001, 2002, 2003

Annual Burden Estimates

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Number of Average

Number of responses burden Total

Instrument respondents per hours per burden

respondent response hours

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Year 1 (Fall 2001):

Head Start Children...................... 520 1 0.66 343

Head Start Teachers (child ratings).40 13 0.25 130

Center Directors......................... 8 1 1.00 8

Education Coordinators.................. 8 1 0.75 6

Classroom Teachers....................... 40 1 1.00 40

Year 2 (Spring 2002):

Head Start Children...................... 520 1 0.66 343

Head Start Teachers (child ratings). 40 13 0.25 130

Classroom Teachers....................... 40 1 1.00 40

(Fall 2002):

Head Start Children...................... 800 1 0.66 528

Head Start Teachers (child ratings). 80 13 0.25 260

Center Directors......................... 16 1 1.00 16

Education Coordinators.................. 16 1 0.75 13

Classroom Teachers....................... 80 1 1.00 80

Year 3 (Spring 2003):

Head Start Children...................... 800 1 0.66 528

Head Start Teachers (child ratings). 80 13 0.25 260

Classroom Teachers....................... 80 1 1.0 80

Annualized Totals:

Year 1................................... ….. ..... ..... 527

Year 2................................... ….. ..... ..... 1410

Year 3................................... ….. ..... ..... 868

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Estimated Average Annual Burden Hours: 935.

Note: The 935 Estimated Average Burden Hours is based on an

average of 2001, 2002, and 2003 estimated burden hours:

In compliance with the requirements of Section 3506(c)(2)(A) of the

Paperwork Reduction Act of 1995, the Administration for Children and

Families is soliciting public comment on the specific aspects of the

information collection described above. Copies of the proposed

collection of information can be obtained and comments may be forwarded

by writing to the Administration for Children and Families, Office of

Information Services, 370 L’Enfant Promenade, SW., Washington, DC

20447, Attn: ACF Reports Clearance Officer. All requests should be

identified by the title of the information collection.

The Department specifically requests comments on: (a) Whether the

proposed collection of information is necessary for the proper

performance of the functions of the agency, including whether the

information shall have practical utility; (b) the accuracy of the

agency’s estimate of the burden of the proposed collection of

information; (c) the quality, utility, and clarity of the information

[Federal Register: June 5, 2003 (Volume 68, Number 108)]

[Notices]

[Page 33715-33716]

From the Federal Register Online via GPO Access [wais.access.gpo.gov]

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DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

Proposed Information Collection Activity; Comment Request

Proposed Projects:

Title: Head Start Family and Child Experiences Survey (FACES) and

Quality Research Centers.

OMB No.: Revision of a currently approved collection (OMB No. 0970-

0151).

Description: The Administration for Children and Families (ACF) of

the Department of Health and Human Services (DHHS) is requesting

comments on plans to collect data on a new cohort for the Head Start

Family and Child Experiences Survey (FACES). This study is being

conducted under contract with Westat, Inc. (with Xtria, LCC and the CDM

Group as their subcontractors) (contract GS23F8144H; order

03Y00318101D) to collect information on Head Start performance

measures.

FACES will involve four waves of data collection. The first wave

will occur in Fall 2003. Data will be collected on a sample of

approximately 2,600 children and families from about 260 classrooms

across 70 programs. Data collection will include assessments of Head

Start children, interviews with their parents, and ratings by their

Head Start teachers. Further, site visitors will interview Head Start

teachers and make observations of the types and quality of classroom

activities.

The second wave, which will be a repeat of the Fall 2003 data

collection, will occur in Spring 2004 when the sample children are at

the end of their first year of Head Start.

The third wave will occur in Spring 2005, and will involve follow-

up with children who at this time are either completing a second year in

Head Start, or completing kindergarten. For those children who are

still attending Head Start, data collection will follow the same

procedures as in Spring 2004. For those children attending

kindergarten, data collection will include assessments of Head Start

children, an “update” survey of the information collected from the

parent interview, and ratings of the children's academic progress and

school adjustment by kindergarten teachers.

The fourth wave of data collection will occur in Spring 2006.

Children who attended kindergarten the previous year will not be

included in this wave. The procedures for this effort will be the same

as for kindergartners in Spring 2005.

For the Head Start Quality Research Centers, 100 children in eight

sites will be followed during each of two program years, 2003-2004 and

2004-2005. FACES procedures will be carried out, including child

assessments, parent and teacher interviews, and observations of types

and quality of classroom activities.

This schedule of data collection is necessitated by the mandates of

the Government Performance and Results Act (GPRA) of 1993 (Pub. L. 103-

62), which requires that the Head Start Bureau move expeditiously

toward development and testing of Head Start Performance Measures, and

by the 1994 reauthorization of Head Start (Head Start Act, as amended,

May 18, 1994, Section 649 (d)), which requires periodic assessments of

Head Start’s quality and effectiveness.

Respondents: Federal Government, Individuals or Households, and

Not-for-profit institutions.

**Estimated Response Burden for Respondents to the Head Start Family**

**and Child Experiences Survey (FACES 2003)--Fall 2003, Spring 2004,**

**Spring 2005, Spring 2006.**

**Annual Burden Estimates**

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Number of Average

Number of responses burden Total

Instrument respondents per hours per burden

respondent response hours

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Year 1 (2003)

Head Start Parent Interview................ 2,600 1 1.00 2,600

Head Start Child Assessment............. 2,600 1 0.66 1,716

Teacher Child Rating........................ 260 10 0.25 650

Program Director Interview............... 70 1 1.00 70

Center Director Interview.................. 130 1 1.00 130

Education Coordinator Interview....... 130 1 0.75 98

Teacher Interview............................ 260 1 1.00 260

Year 2 (2004)

Head Start Parent Interview.............. 2,210 1 0.75 1,658

Head Start Child Assessment.............. 2,210 1 0.66 1,459

Teacher Child Rating......................... 260 8 0.25 520

Family Service Coordinator Interview 130 1 0.75 173

Year 3 (2005)

Head Start Parent Interview.................730 1 0.75 548

Head Start Child Assessment...............730 1 0.66 482

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4184‑01

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

Proposed Information Collection Activity; Comment Request

Proposed Projects:

Title: Head Start Family and Child Experiences Survey (FACES)

OMB No. Revision of a currently approved collection (OMB No. 0970-0151).

Description: The Administration for Children and Families (ACF) of the Department of Health and Human Services (DHHS) is requesting comments on plans to collect data on a new cohort for the Head Start Family and Child Experience Survey (FACES). This study is being conducted to collect information on Head Start performance measures under contract with Mathematica Policy Research, Inc. (with Juarez and Associates and Educational Testing Service as their subcontractors) (contract #HHSP23320052905YC).

FACES will involve four waves of data collection. The first wave will occur in Fall 2006. Data will be collected on a sample of approximately 2,800 children and families from about 350 classrooms across 60 Head Start programs. Data collection will include assessments of Head Start children, interviews with their parents, and ratings by their Head Start teachers. Further, site visitors will interview Head Start teachers and make observations of the types and quality of classroom activities.

The second wave in spring 2007, will be very similar to the Fall 2006 data collection, except that we will not repeat interviews with the Head Start staff interviewed in the fall, and will add an interview of the family services coordinator. The children in the second wave will be at the end of their first year of Head Start.

The third wave will occur in Spring 2008, and will involve follow-ups with children who at this time are either completing a second year in Head Start, or completing kindergarten. For those children who are still attending Head Start, data collection will follow the same procedures as in Spring 2007. For those children attending kindergarten, data collection will include assessments of children, an “update” survey of the information collected from the parent interview, and ratings of the children’s academic progress and school adjustment by kindergarten teachers.

The fourth wave of data collection will occur in Spring 2009. Children who attended kindergarten the previous year will not be included in this wave. The procedures for this effort will be the same as for kindergartners in Spring 2008.

This schedule of data collection is necessitated by the mandates of the Government Performance and Results Act (GPRA) of 1993 (Pub. L. 103-62), which requires that the Head Start Bureau move expeditiously toward development and testing of Head Start Performance Measures, and by the 1994 reauthorization of Head Start (Head Start Act, as amended, May 18, 1994, Section 649 (d)), which requires periodic assessments of Head Start’s quality and effectiveness.

Respondents: Federal Government, Individuals or Households, and Not-for-profit institutions.

ANNUAL BURDEN ESTIMATES

Estimated Response Burden for Respondents to the Head Start Family and Child Experiences Survey (FACES 2006)--Fall 2006, Spring 2007, Spring 2008, Spring 2009.

| Instrument | Number of Respondents | Number of Responses per Respondent | Average Burden Hours per Response | Total Burden Hours |
| --- | --- | --- | --- | --- |
| **Year 1 (2006)** | | | | |
| Head Start Parent Interview | 2,800 | 1 | 1.00 | 2,800 |
| Head Start Child Assessment | 2,800 | 1 | 0.66 | 1,848 |
| Teacher Child Rating | 350 | 8 | 0.25 | 700 |
| Program Director Interview | 60 | 1 | 1.00 | 60 |
| Center Director Interview | 120 | 1 | 1.00 | 120 |
| Education Coordinator Interview | 120 | 1 | 0.75 | 90 |
| Teacher Interview | 350 | 1 | 1.00 | 350 |
| **Year 1 Total** | **6,600** |  |  | **5,968** |
| **Year 2 (2007)** | | | | |
| Head Start Parent Interview | 2,400 | 1 | 0.75 | 1,800 |
| Head Start Child Assessment | 2,400 | 1 | 0.66 | 1,584 |
| Teacher Child Rating | 350 | 6.75 | 0.25 | 591 |
| Family Service Coordinator Interview | 120 | 1 | 0.75 | 90 |
| **Year 2 Total** | **5,270** |  |  | **4,065** |
| **Year 3 (2008)** | | | | |
| Head Start Parent Interview | 850 | 1 | 0.75 | 638 |
| Head Start Child Assessment | 850 | 1 | 0.66 | 561 |
| Teacher Child Rating | 175 | 4.5 | 0.25 | 197 |
| Kindergarten Parent Interview | 1,110 | 1 | 0.75 | 832 |
| Kindergarten Child Assessment | 1,110 | 1 | 0.75 | 832 |
| Kindergarten Teacher Questionnaire | 1,110 | 1 | 0.50 | 555 |
| **Year 3 Total** | **5,205** |  |  | **3,615** |
| **Year 4 (2009)** | | | | |
| Kindergarten Parent Interview | 725 | 1 | 0.75 | 544 |
| Kindergarten Child Assessment | 725 | 1 | 0.75 | 544 |
| Kindergarten Teacher Questionnaire | 725 | 1 | 0.50 | 362 |
| **Year 4 Total** | **2,175** |  |  | **1,450** |
| **Estimated Total Burden Hours:** | | |  | **15,098** |
| **Estimated Total Annual Burden Hours (average of 4 years)** | | |  | **3,775** |

In compliance with the requirements of Section 3506(c)(2)(A) of

the Paperwork Reduction Act of 1995, the Administration for

Children and Families is soliciting public comment on the

specific aspects of the information collection described above.

Copies of the proposed collection of information can be obtained

and comments may be forwarded by writing to the Administration

for Children and Families, Office of Administration, Office of

Information Services, 370 L’Enfant Promenade, SW., Washington,

DC 20447, Attn: ACF Reports Clearance Officer. E-mail address:

rsargis@acf.hhs.gov. All requests should be identified by the

title of the information collection.

The Department specifically requests comments on: (a) whether the

proposed collection of information is necessary for the proper

performance of the functions of the agency, including whether the

information shall have practical utility; (b) the accuracy of the

agency’s estimate of the burden of the proposed collection of

information; (c) the quality, utility, and clarity of the

information to be collected; and (d) ways to minimize the burden

of the collection of information on respondents, including

through the use of automated collection techniques or other forms

of information technology. Consideration will be given to

comments and suggestions submitted within 60 days of this

publication.

DATED: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Robert Sargis

Reports Clearance Officer

4184-01

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

Submission for OMB Review; Comment Request

Title: Head Start Family and Child Experiences Survey (FACES)

OMB No.: 0970-0151

Description: The Administration for Children and Families (ACF) of the Department of Health and Human Services (HHS) is requesting comments on plans to collect data on a new cohort for the Head Start Family and Child Experiences Survey (FACES). ACF is conducting this study to collect information on Head Start performance measures and has contracted with Mathematica Policy Research, Inc. (with Juarez and Associates and Educational Testing Service as their subcontractors) (contract #HHSP23320052905YC) for this purpose.

FACES will involve five waves of data collection. The first wave will occur in fall 2006. Data will be collected on a sample of approximately 3,650 3- and 4-year-old children and their families from about 350 classrooms across 60 Head Start programs. Data collection will include assessments of Head Start children, interviews with their parents, and ratings by their Head Start teachers. Furthermore, site visitors will interview Head Start teachers and management staff.

The second wave in spring 2007 will be very similar to the fall 2006 data collection, except that we will not repeat interviews with the Head Start staff interviewed in the fall. The children in the second wave will be at the end of their first year of Head Start. Trained staff will also do observations of children’s Head Start classrooms.

The third wave will occur in fall 2007 and will involve follow-ups with children who at this time are starting their second year in Head Start. Data collection will follow the same procedures as in fall 2006.

The fourth wave will occur in spring 2008 and will involve follow-ups with children who at this time are either completing a second year in Head Start or completing kindergarten. For those children who are still attending Head Start, data collection will follow the same procedures as in spring 2007. For those children attending kindergarten, data collection will include assessments of children, an “update” survey of the information collected from the parent interview, and ratings of the children’s academic progress and school adjustment by kindergarten teachers.

The fifth wave of data collection will occur in spring 2009. Children who attended kindergarten the previous year will not be included in this wave. The procedures for this effort will be the same as for kindergartners in spring 2008.

This schedule of data collection is necessitated by the mandates of the Government Performance and Results Act (GPRA) of 1993 (Pub. L. 103-62), which requires that the Head Start Bureau move expeditiously toward development and testing of Head Start Performance Measures and, by the 1994 reauthorization of Head Start (Head Start Act, as amended, May 18, 1994, Section 649 (d)), which requires periodic assessments of Head Start’s quality and effectiveness.

Respondents: Federal Government, Individuals or Households, and Not-for-Profit Institutions.

ANNUAL BURDEN ESTIMATES

Estimated Response Burden for Respondents to the Head Start Family and Child Experiences Survey (FACES 2006)—Fall 2006, Spring 2007, Fall 2007, Spring 2008, Spring 2009.

| Instrument | Number of Respondents | Number of Responses per Respondent | Average Burden Hours per Response | Total Burden Hours |
| --- | --- | --- | --- | --- |
| **Year 1** | | | | |
| **Fall 2006** |  |  |  |  |
| Head Start Parent Interview | 3,464 | 1 | 1.00 | 3,464 |
| Head Start Child Assessment | 3,464 | 1 | 0.66 | 2,286 |
| Teacher Child Rating | 350 | 9.4 | 0.25 | 823 |
| Program Director Interview | 60 | 1 | 0.25 | 15 |
| Center Director Interview | 120 | 1 | 0.80 | 96 |
| Education Coordinator Interview | 120 | 1 | 0.75 | 90 |
| Teacher Interview | 350 | 1 | 1.00 | 350 |
|  |  |  |  |  |
| **Spring 2007** |  |  |  |  |
| Head Start Parent Interview | 2,789 | 1 | 0.75 | 2,092 |
| Head Start Child Assessment | 2,882 | 1 | 0.66 | 1,902 |
| Teacher Child Rating | 370 | 8.2 | 0.25 | 759 |
| Head Start Teacher-new | 70 | 1 | 1.00 | 70 |
| Head Start Teacher-continuing | 300 | 1 | 0.50 | 150 |
|  |  |  |  |  |
| **Year 2** | | | | |
| **Fall 2007** |  |  |  |  |
| Head Start Parent Interview | 1,333 | 1 | 0.40 | 533 |
| Head Start Child Assessment | 1,425 | 1 | 0.66 | 941 |
| Teacher Child Rating | 200 | 7.5 | 0.25 | 375 |
|  |  |  |  |  |
| **Spring 2008** |  |  |  |  |
| Head Start Parent Interview | 1,172 | 1 | 0.75 | 879 |
| Head Start Child Assessment | 1,282 | 1 | 0.66 | 846 |
| Teacher Child Rating | 200 | 6.7 | 0.25 | 335 |
| Head Start Teacher Interview | 200 | 1 | 200 | 200 |
| Kindergarten Parent Interview | 1,171 | 1 | 0.75 | 878 |
| Kindergarten Child Assessment | 1,102 | 1 | 0.75 | 827 |
| Kindergarten Teacher Questionnaire and Child Rating | 964 | 1 | 0.50 | 482 |
|  |  |  |  |  |
| **Year 3** | | | | |
| **Spring 2009** |  |  |  |  |
| Kindergarten Parent Interview | 1,172 | 1 | 0.75 | 879 |
| Kindergarten Child Assessment | 1,103 | 1 | 0.75 | 827 |
| Kindergarten Teacher Questionnaire and Child Rating | 965 | 1 | 0.50 | 483 |
| **Estimated Total Burden Hours:** | | |  | **20,582** |
| **Estimated Total Annual Burden Hours (average of 3 years)** | | |  | **6,861** |

Additional Information:

Copies of the proposed collection may be obtained

by writing to the Administration for Children and Families,

Office of Information Services, 370 L’Enfant Promenade, S.W.,

Washington, D.C. 20447, Attn: ACF Reports Clearance Officer.

Email address: infocollection@acf.hhs.gov

OMB Comment:

OMB is required to make a decision concerning the collection of

information between 30 and 60 days after publication of this

document in the *Federal Register*. Therefore, a comment is best

assured of having its full effect if OMB receives it within 30

days of publication. Written comments and recommendations for

the proposed information collection should be sent directly to

the following:

Office of Management and Budget

Paperwork Reduction Project

725 17th Street, N.W.

Washington, D.C. 20503

Attn: Desk Officer for ACF

DATED:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Bob Sargis

Reports Clearance Officer

4184‑01

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

Proposed Information Collection Activity; Comment Request

Proposed Project:

Title: Head Start Family and Child Experiences Survey (FACES)

OMB No.: 0970-0151

Description: The Administration for Children and Families (ACF), U.S. Department of Health and Human Services, is planning to collect data on a new cohort for the Head Start Family and Child Experiences Survey (FACES). FACES is a longitudinal study of a nationally representative sample of Head Start programs and children that will collect information for Head Start performance measures. Data for FACES will be collected annually through interviews with Head Start parents, teachers, program directors and other Head Start staff, as well as direct child assessments and observations of Head Start classrooms.

Information will be collected on a sample of approximately 3,500 children and families from 60 Head Start programs. The methods of data collection will include assessments of Head Start children, interviews with their parents, and ratings by their Head Start teachers. Site visitors will interview Head Start teachers in approximately 350 classrooms and make observations of the types and quality of classroom activities. Interviews will also be conducted with Head Start program directors and other staff. A follow-up for children in Kindergarten will include child assessments, parent interviews, and teacher questionnaires and child ratings.

Respondents: Parents of Head Start Children, Head Start Children, Head Start Teachers, Head Start Program Directors and Staff, and Kindergarten Teachers of former Head Start enrollees.

ANNUAL BURDEN ESTIMATES

| Instrument | Annual  Number of Respondents | Number of Responses per Respondent | Average Burden Hour per Response | Estimated Annual Burden Hours |
| --- | --- | --- | --- | --- |
| Head Start Parent Interview | 2,589 | 1 | 0.83 | 2,149 |
| Head Start Child Assessment | 2,589 | 1 | 0.75 | 1,942 |
| Head Start Teacher Interview | 300 | 1 | 0.83 | 249 |
| Head Start Teacher Child Rating | 300 | 8.6 | 0.25 | 645 |
| Head Start Program Director Interview | 20 | 1 | 0.25 | 5 |
| Head Start Center Director Interview | 40 | 1 | 0.75 | 30 |
| Head Start Education Coordinator Interview | 40 | 1 | 0.75 | 30 |
| Kindergarten Parent Interview | 771 | 1 | 0.75 | 578 |
| Kindergarten Child Assessment | 771 | 1 | 0.75 | 578 |
| Kindergarten Teacher Questionnaire and Child Rating | 643 | 1.2 | 0.50 | 386 |

ESTIMATED TOTAL BURDEN HOURS: 6,592

In compliance with the requirements of Section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, the Administration for Children and Families is soliciting public comment on the specific aspects of the information collection described above. Copies of the proposed collection of information can be obtained and comments may be forwarded by writing to the Administration for Children and Families, Office of Planning, Research and Evaluation, 370 L’Enfant Promenade, SW., Washington, DC 20447, Attn: ACF Reports Clearance Officer.   
E-mail address: [OPREinfocollection@acf.hhs.gov](mailto:OPREinfocollection@acf.hhs.gov). All requests should be identified by the title of the information collection.

The Department specifically requests comments on (a) whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted within 60 days of this publication.

DATED: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Steven M. Hanmer

OPRE Reports Clearance Officer

4184‑01

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

Submission for OMB Review; Comment Request

Proposed Project:

Title: Head Start Family and Child Experiences Survey (FACES 2009)

OMB No.: 0970-0151

Description: The Administration for Children and Families (ACF), U.S. Department of Health and Human Services, is planning to collect data on a new cohort for the Head Start Family and Child Experiences Survey (FACES). FACES is a longitudinal study of a nationally representative sample of Head Start programs and children that will collect information for Head Start performance measures. Data for FACES will be collected annually through interviews with Head Start parents, teachers, program directors and other Head Start staff, as well as direct child assessments and observations of Head Start classrooms.

Data will be collected on a sample of approximately 3,400 children and families from 60 Head Start programs. Data collection will include assessments of Head Start children, interviews with their parents, and ratings by their Head Start teachers. Site visitors will interview Head Start teachers in approximately 405 classrooms and make observations of the types and quality of classroom activities. Interviews will also be conducted with Head Start program directors and other staff. A follow-up for children in Kindergarten will include child assessments, parent interviews, and teacher questionnaires and child ratings.

The purpose of this data collection is to fulfill the requirements of the Government Performance and Results Act (GPRA) of 1993 (Public Law 103-62), and by the 1994 reauthorization of the Head Start program(Head Start Act, as amended, May 18, 1994, Section 649 (d)), which call for periodic assessments of Head Start’s quality and effectiveness.

Respondents: Parents of Head Start Children, Head Start Children, Head Start Teachers, Head Start Program Directors and Staff, and Kindergarten Teachers of former Head Start enrollees.

ANNUAL BURDEN ESTIMATES

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Instrument | Annual Number of Respondents | Number of Responses per Respondent | Average Burden Hour per Response | Estimated Annual Burden Hours |
| Parent Interview | 3,185 | 1.0 | 0.81 | 2,564 |
| Child Assessment | 3,245 | 1.0 | 0.75 | 2,434 |
| Head Start Teacher Interview | 405 | 1.0 | 0.50 | 203 |
| Head Start Teacher Child Rating | 405 | 9.0 | 0.17 | 620 |
| Program Director Interview | 20 | 1.0 | 0.50 | 10 |
| Center Director Interview | 40 | 1.0 | 0.50 | 20 |
| Education Coordinator Interview | 20 | 1.0 | 0.50 | 10 |
| Kindergarten Teacher Questionnaire | 1,128 | 1.3 | 0.50 | 733 |
| Kindergarten Teacher Child Rating | 1,128 | 1.3 | 0.17 | 249 |

TOTAL ANNUAL BURDEN HOURS: 6,843

Additional Information:

Copies of the proposed collection may be obtained by writing to the Administration for Children and Families, Office of Planning, Research and Evaluation, 370 L’Enfant Promenade, SW., Washington, DC 20447, Attn: OPRE Reports Clearance Officer. All requests should be identified by the title of the information collection. E-mail address: OPREinfocollection@acf.hhs.gov.

OMB Comment:

OMB is required to make a decision concerning the collection of information between 30 and 60 days after publication of this document in the Federal Register. Therefore, a comment is best assured of having its full effect if OMB receives it within 30 days of publication. Written comments and recommendations for the proposed information collection should be sent directly to the following:

Office of Management and Budget

Paperwork Reduction Project

Fax: 202-395-6974

Attn: Desk Officer for the Administration for Children and Families

DATED: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Brendan C. Kelly

OPRE Reports Clearance Officer

**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**Administration for Children and Families**

**Proposed Information Collection Activity; Comment Request**

*Title:* Head Start Family and Child Experiences Survey (FACES).

*OMB No.:* 0970–0151.

*Description:* The Office of Planning, Research and Evaluation (OPRE), Administration for Children and Families (ACF), U.S. Department of Health and Human Services (HHS), is

proposing to collect data for a new round of the Head Start Family and Child Experiences Survey (FACES). Featuring a new ‘‘Core Plus’’ Study design, FACES 2014–2018 will provide data on a set of key indicators, including information for performance measures, more rapidly and with greater frequency (Core Studies) and serve as a vehicle for studying more complex issues and topics in greater detail and with increased efficiency (Plus Studies). In fall 2014 and spring 2015, FACES will assess the school readiness skills of 2,400 Head Start children, survey their parents, and ask their Head Start teachers to rate children’s social and emotional skills. In spring 2015 and again in spring 2017, the number of programs in the FACES sample will increase from the 60 that are used to collect data on children’s school readiness outcomes to 180 for the purpose of conducting observations in 720 Head Start classrooms. Program director, center director, and teacher surveys will also be conducted in the spring. Plus features include additional survey content of policy or programmatic interest, which may include more programs being sampled.

This notice is specific to the data collection activities needed to recruit Head Start programs and centers into FACES 2014–2018. A future notice will provide information about data collection for the Core and Plus studies.

A total of 230 Head Start programs and 460 Head Start centers will be selected to participate in FACES 2014– 2018. The Core Study will include a nationally representative sample of 180 programs, with up to 50 additional programs potentially selected for Plus studies. For the Core, the 60 programs participating in the Core child-level data collection will be contacted and recruited for the study in spring 2014. In fall 2014, the remaining 120 programs will be contacted. All 180 programs will be contacted a second time in fall 2016 to confirm their continued participation in the Core spring 2017 data collection. The 50 Plus study programs would be recruited at a similar time as the Core study programs (i.e., spring 2014 or fall 2014/2016) depending on the nature of the study being conducted.

The method of data collection for recruitment of all programs will include telephone conversations with program directors and on-site coordinators who serve as liaisons between the FACES study team and the Head Start centers. These calls will inform program staff about the purpose of the study and will gather lists of centers in each program in order to compile the center sampling frame.

The purpose of this data collection is to support the 2007 reauthorization of the Head Start program (Pub. L. 110–134), which calls for periodic assessments of Head Start’s quality and effectiveness.

*Respondents:* Head Start Program Directors and Staff.

ANNUAL BURDEN ESTIMATES

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Instrument | Total Number of Respondents | Number of Responses per Respondent | Average Burden Hour per Response | Estimated Total Burden Hours | Estimated Annual Burden Hours |
| Telephone script for program directors | 230 | 2 | 1 | 460 | 153 |
| Telephone script for  on-site coordinators | 230 | 2 | .75 | 345 | 115 |
| Total |  |  |  | 1491 | 497 |

In compliance with the requirements of Section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, the Administration for Children and Families is soliciting public comment on the specific aspects of the information collection described above. Copies of the proposed collection of information can be obtained and comments may be forwarded by writing to the Administration for Children and Families, Office of Planning, Research and Evaluation, 370 L’Enfant Promenade SW., Washington, DC 20447, Attn: OPRE Reports Clearance Officer. Email address: *OPREinfocollection@ acf.hhs.gov.* All requests should be identified by the title of the information collection.

The Department specifically requests comments on (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency’s estimate of the burden of the proposed collection of information; (c) the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted within 60 days of this publication.

**Karl Koerper,**

*OPRE Reports Clearance Officer.*

[FR Doc. 2013–29668 Filed 12–11–13; 8:45 am]

**BILLING CODE 4184–22–P**