

NATIONAL CREDIT  
UNION ADMINISTRATION  
ALEXANDRIA, VA 22314-3428  
OFFICIAL BUSINESS

# Call Report Form and Instructions

---

**TO THE BOARD OF DIRECTORS  
OF THE CREDIT UNION  
ADDRESSED:**

This booklet contains the Call Report, Form 5300. This form is effective March 31, 2017 until superseded. Please complete the Call Report using accounting and statistical information from your credit union's records as of reporting cycle date. Instructions for completing the form are available for separate download on the NCUA website, [www.ncua.gov](http://www.ncua.gov). The NCUA website provides the quarterly filing date. In addition, credit union contacts of record receive quarterly email notifications of the cycle highlights.

If you have any non-technical questions, please contact your National Credit Union Administration Regional Office or your state credit union supervisor, as appropriate. Please call NCUA Customer Service at 1-800-827-3255 with any technical

**NATIONAL  
CREDIT UNION  
ADMINISTRATION**



**CALL REPORT  
Form 5300  
Effective  
March 31, 2017  
Until Superseded**

### INSTRUCTIONS FOR REPORTING REQUIREMENTS

The Call Report contains three sections: a core section (pages 1 -11), PCA Net Worth Calculation Worksheet (Page 12), and supplementary schedules.

All credit unions must complete the core section every reporting period. The PCA Net Worth Calculation Worksheet requires no input unless you completed a merger or acquisition that qualifies for Business Combination Accounting or intend to use an optional Total Assets Election and/or Alternative Risk Based Net Worth calculation to compute your net worth ratio. In this case, you must input your financial information into the system.

The supplementary schedules A - E, pages 14 - 26, requires your input only if applicable. The table below reflects the supplementary schedules and applicable reporting requirements for each schedule.

SCHEDULE	REPORTING REQUIREMENT
A - Specialized Lending	Complete this schedule if your credit union: <ul style="list-style-type: none"> <li>● has indirect loans outstanding,</li> <li>● has real estate loans outstanding or real estate lending activity year to date,</li> <li>● has purchased loans from, or sold loans to, other financial institutions year to date,</li> <li>● has participation loans outstanding or participation lending activity year to date,</li> <li>● has Commercial/business loans outstanding or commercial lending activity year to date, or</li> <li>● has any Troubled Debt Restructured (TDR) loans outstanding or has approved TDR loans year-to-date.</li> <li>● has purchased or obtained credit impaired loans in a merger.</li> </ul>
B - Investments, Supplemental Information	Complete this schedule if your credit union: <ul style="list-style-type: none"> <li>● has investments classified as Trading, Available for Sale, or Held to Maturity,</li> <li>● has non-security investments that meet the requirements of Section 703.10(a),</li> <li>● has investments purchased under an investment pilot</li> <li>● has investment repurchase agreements,</li> <li>● has investments not authorized by the FCU Act or NCUA</li> <li>● has investments in brokered certificates of deposit or brokered share certificates.</li> </ul>
C - Credit Union Service Organization (CUSO) Information	Complete this schedule if your credit union has investments, loans, or an aggregate cash outlay in CUSOs.
D - Derivative Transactions Report	Complete this schedule if your credit union uses derivative contracts.
E - Bank Secrecy Act/Anti-Money Laundering Information	Complete this schedule if Money Services Businesses hold accounts at your credit union.

### INSTRUCTIONS FOR CORRECTING A SUBMITTED CALL REPORT

If a previously submitted Call Report contains errors, inaccurate information, or omissions, you must correct and resubmit it.

Credit Union Name: \_\_\_\_\_ Federal Charter/Certificate Number: \_\_\_\_\_

**CERTIFICATION OF NCUA 5300 CALL REPORT AS OF: \_\_\_\_\_**

By signing below, I hereby certify the information being submitted is complete and accurate to the best of my knowledge and has been certified by the person below. If submitted information is not accurate, I understand I am required to submit a corrected Call Report upon notification or the discovery of a need for correction. I understand false entries and reports or statements, including material omissions, with intent to injure or defraud the credit union, the National Credit Union Administration, its examiners, or other individuals or companies is punishable under 18 U.S.C. 1006.

**Certifying Official:**

Last Name: \_\_\_\_\_  
Please Print

First Name: \_\_\_\_\_  
Please Print

Last Name: \_\_\_\_\_  
(Signature)

First Name: \_\_\_\_\_  
(Signature)

Date: \_\_\_\_\_

Validation Date: \_\_\_\_\_

The instructions to prepare this form meet the requirement to provide guidance to small credit unions under Section 212 of the Small Business Regulatory Enforcement Fairness Act of 1996.

You are not required to provide the information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number.

Public reporting burden of this collection of information is estimated to average 6 hours per response, including the time for reviewing instructions, searching existing data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspects of this collection of information, including suggestions for reducing this burden to:

National Credit Union Administration  
Office of the Chief Information Officer  
1775 Duke Street  
Alexandria, VA 22314-3428

Credit Union Name: \_\_\_\_\_

Federal Charter/Certificate Number: \_\_\_\_\_

**STATEMENT OF FINANCIAL CONDITION AS OF: \_\_\_\_\_**  
 (ROUND CENTS TO THE NEAREST WHOLE DOLLAR. DO NOT REPORT CENTS.)

*This page must be completed by all credit unions.*

**ASSETS**

**CASH:**

	Acct Code	Amount
1. Cash on Hand (Coin and Currency).....	730A	
2. Cash on Deposit (Amounts Deposited in Financial Institutions)		Amount
a. Cash on Deposit in Corporate Credit Unions.....	730B1	
b. Cash on Deposit in Other Financial Institutions.....	730B2	
c. Total Cash on Deposit (Amounts Deposited in Financial Institutions).....	730B	
3. Cash Equivalents (Investments with <u>Original</u> Maturities of Three Months or Less).....	730C	

**INVESTMENTS: If your credit union reports amounts for items 4, 5, or 6 below, complete Schedule B - Investments, Supplemental Information.**

	A		B		C1		C2		D		E	
	<= 1 Year	Acct Code	> 1-3 Years	Acct Code	> 3-5 Years	Acct Code	> 5-10 Years	Acct Code	> 10 Years	Acct Code	TOTAL AMOUNT	Acct Code
4. Trading Securities.....		965A		965B		965C1		965C2		965D		965
5. Available for Sale Securities...		797A		797B		797C1		797C2		797D		797E
6. Held-to-Maturity Securities.....		796A		796B		796C1		796C2		796D		796E
7. Deposits in commercial banks, S&Ls, savings banks.....		744A		744B		744C1		744C2		744D		744C
8. Loans to and investments in natural person credit unions .....		672A		672B		672C1		672C2		672D		672C
9. Membership capital at corp. CUs/Nonperpetual Capital Account				769A1								769A
10. Paid-in capital at corp. CUs/Perpetual Contributed Capital				769B1								769B
11. All other investments in corporate credit unions.....		652A		652B		652C1		652C2		652D		652C
12. All other investments.....		766A		766B		766C1		766C2		766D		766E
13. TOTAL INVESTMENTS (Sum of Items 4-12).....		799A1		799B		799C1		799C2		799D		799I

**LOANS Held for Sale:** See Instructions.

	Amount	Acct
14. Loans Held for Sale.....		003

Credit Union Name: \_\_\_\_\_

Federal Charter/Certificate Number: \_\_\_\_\_

**STATEMENT OF FINANCIAL CONDITION AS OF: \_\_\_\_\_**

*This page must be completed by all credit unions.*

**ASSETS -- CONTINUED**

	Number of Loans	Acct Code	Amount	Acct Code
15. TOTAL LOANS & LEASES.....		025A		025B
16. Less: Allowance for Loan & Lease Losses.....				719

**Other Assets:**

	Number of Loans	Acct Code	Amount	Acct Code		Amount	Acct Code
17. Foreclosed and Repossessed Assets							
a. Real Estate.....		798B1		798A1			
b. Automobiles.....		798B2		798A2			
c. Other.....		798B3		798A3			
d. Total Foreclosed and Repossessed Assets.....		798B		798A			
18. Land and Building.....							007
19. Other Fixed Assets.....							008
20. NCUA Share Insurance Capitalization Deposit.....							794
21. Intangible Assets			Amount	Acct Code			
a. Identifiable Intangible Assets.....				009D1			
b. Goodwill.....				009D2			
c. Total Intangible Assets.....				009D			
<b>22. Other Assets</b>			Amount	Acct Code			
a. Accrued Interest on Loans.....				009A			
b. Accrued Interest on Investments.....				009B			
c. All Other Assets.....				009C			
d. Non-Trading Derivative Assets, net.....				009E			
e. Total Other Assets.....				009			
<b>23. TOTAL ASSETS</b> (Sum of items 1, 2c, 3, 13, 14, 15 less 16, 17d, 18, 19, 20, 21c, and 22e).....							010

Credit Union Name: \_\_\_\_\_

Federal Charter/Certificate Number: \_\_\_\_\_

**STATEMENT OF FINANCIAL CONDITION AS OF: \_\_\_\_\_**  
*This page must be completed by all credit unions.*

<b>LIABILITIES:</b>	A. < 1 Year	Acct Code	B1. 1 - 3 Years	Acct Code	B2. > 3 Years	Acct Code	C. Total Amount	Acct Code
1. Draws Against Lines of Credit .....		883A		883B1		883B2		883C
2. Other Notes, Promissory Notes and Interest Payable.....		011A		011B1		011B2		011C
3. Borrowing Repurchase Transactions.....		058A		058B1		058B2		058C
4. Subordinated Debt.....		867A		867B1		867B2		867C
5. Subordinated Debt included in Net Worth.....				925A1		925A2		925A
6. TOTALS (each column).....		860A		860B1		860B2		860C
7. Non-Trading Derivative Liabilities, net.....								825A
8. Accrued Dividends & Interest Payable on Shares & Deposits.....								820A
9. Accounts Payable and Other Liabilities.....								825

<b>SHARES/DEPOSITS:</b>	Dividend Rate	Acct Code	Number of Accounts	Acct Code	A. < 1 Year	Acct Code	B1. 1 - 3 Years	Acct Code	B2. > 3 Years	Acct Code	C. Total Amount	Acct Code
10. Share Drafts.....		553		452		902A						902
11. Regular Shares.....		552		454		657A						657
12. Money Market Shares...		532		458		911A						911
13. Share Certificates...		547		451		908A		908B1		908B2		908C
14. IRA/KEOGH Accounts...		554		453		906A		906B1		906B2		906C
15. All Other Shares...		585		455		630A		630B1		630B2		630
16. TOTAL SHARES...				966		013A		013B1		013B2		013
17. Nonmember Deposits...		599		457		880A		880B1		880B2		880
18. TOTAL SHARES and DEPOSITS.....				460		018A		018B1		018B2		018

**Additional Shares/ Deposits (Included in the Shares/Deposits Listed Above):**

	Amount	Acct
19. Accounts Held by Member Government Depositors.....		631
20. Accounts Held by Nonmember Government Depositors.....		632
21. Employee Benefit Member Shares.....		633
22. Employee Benefit Nonmember Shares.....		634
23. 529 Plan Member Deposits.....		635
24. Non-dollar denominated deposits.....		636
25. Health Savings Accounts.....		637
26. Dollar Amount of Share Certificates = or > \$100,000 (Excluding brokered share certificates participated out by the broker in shares of less than \$100,000).....		638
27. Dollar Amount of IRA/Keogh Accounts = or > \$100,000.....		639
28. Dollar Amount of Share Drafts Swept to Regular Shares or Money Market Accts as part of Sweep Program		641
29. Dollar Amount of Commercial Share Accounts.....		643
30. Negative Shares Included in All Other Unsecured Loans/Lines of Credit on Page 2.....		644

**STATEMENT OF FINANCIAL CONDITION AS OF: \_\_\_\_\_**

*This page must be completed by all credit unions.*

EQUITY:	Amount	Acct code
31. Undivided Earnings.....		940
32. Regular Reserves.....		931
33. Appropriation for Non-Conforming Investments (State Credit Unions ONLY).....		668
34. Other Reserves.(Appropriations of Undivided Earnings).....		658
35. Equity Acquired in Merger.....		658A
36. Miscellaneous Equity .....		996
37. Accumulated Unrealized Gains (Losses) on Available for Sale Securities.....		945
38. Accumulated Unrealized Losses for OTTI (Due to Other Factors) on HTM Debt Securities.....		945C
39. Accumulated Unrealized Net Gains (Losses) on Cash Flow Hedges.....		945A
40. Other Comprehensive Income (unless already included in item 37-39).....		945B
41. Net Income (unless this amount is already included in Undivided Earnings).....		602
<b>42. TOTAL LIABILITIES, SHARES, AND EQUITY</b> (Sum items 6-9, 18, and 31-41; must equal line 23, P.2.).....		<b>014</b>

*If the credit union has any unfunded commitments or loans sold or swapped with recourse, complete Pg 11 - Liquidity, Commitments and Sources.*

**NCUA INSURED SAVINGS COMPUTATION**

(ROUND CENTS TO THE NEAREST WHOLE DOLLAR. DO NOT REPORT CENTS.)

*This section must be completed by all credit unions.*

(See instructions for the following line items.)		
	Uninsured Amount	Acct Code
A. Uninsured IRA and KEOGH Member Shares and Deposits		065A1
A1. Uninsured KEOGH Member Shares and Deposits for Employee Benefit Plans		065A3
B. Uninsured Employee Benefit Member Shares and Deposits		065B1
C. Uninsured Member 529 Plan Deposits		065C1
D. Uninsured Member Accts Held by Government Depositors		065D1
E. Other Uninsured Member Shares and Deposits		065E1
<b>F. TOTAL UNINSURED MEMBER SHARES AND DEPOSITS (A+A1+B+C+D+E)</b>		<b>065A4</b>
G. Uninsured Nonmember Employee Benefit Shares and Deposits		067A1
H. Uninsured Nonmember Accts Held by Government Depositors		067B1
I. Other Uninsured Nonmember Shares and Deposits		067C1
<b>J. TOTAL UNINSURED NONMEMBER SHARES AND DEPOSITS (G+H+I)</b>		<b>067A2</b>
<b>K. TOTAL UNINSURED SHARES AND DEPOSITS (F+J)</b>		<b>068A</b>
<b>L. TOTAL INSURED SHARES AND DEPOSITS (item 18 from page 3 less item K)</b>		<b>069A</b>

**STATEMENT OF INCOME AND EXPENSE**  
*This page must be completed by all credit unions.*

**REPORT YEAR-TO-DATE NUMBERS FOR THE CYCLE**

INTEREST INCOME YEAR-TO-DATE: JANUARY 1, _____ to _____	Amount	Acct Code
1. Interest on Loans (Gross-before interest refunds).....		110
2. (Less) Interest Refunded.....		119
3. Income from Investments (Including Interest and Dividends).....		120
4. Trading Profits and Losses (Realized and Unrealized Gains/Losses).....		124
5. TOTAL INTEREST INCOME (Sum of items 1-4).....		115

<b>INTEREST EXPENSE YEAR-TO-DATE: JANUARY 1, _____ to _____</b>		
6. Dividends on Shares (Includes dividends earned during current period).....		380
7. Interest on Deposits (Total interest expense for deposit accounts) (State Credit Union ONLY)...		381
8. Interest on Borrowed Money.....		340
9. TOTAL INTEREST EXPENSE (Sum of items 6-8).....		350
10. Provision for Loan & Lease Losses.....		300
11. NET INTEREST INCOME AFTER PROVISION FOR LOAN AND LEASE LOSSES (Item 5 less item 9 less item 10).....		116

<b>NON-INTEREST INCOME YEAR-TO-DATE: JANUARY 1, _____ to _____</b>		
12. Fee Income.....		131
13. Other Operating Income (Includes unconsolidated CUSO Income and Gain (Loss) associated with the Hedged Item (Non-Investment Assets) in a Non-Trading, Fair Value (FV) Derivatives Hedge).....		659
14. Gain (Loss) on Investments (DO NOT include Gain or Loss on Trading Securities).....		420
a. Total Other-Than-Temporary Impairment (OTTI) Losses...	420A	
b. Less: Portion OTTI Losses in Other Comprehensive Income..	420B	
c. OTTI Losses Recognized in Earnings (Include in Item 14)...	420C	
d. Gain (Loss) associated with the Hedged Item (Investments) in a Non-Trading, FV Derivatives Hedge (Include in Item 14)	420D	
15. Gain (Loss) on Non-Trading Derivatives.....		421
16. Gain (Loss) on Disposition of Fixed Assets.....		430
17. Gain from Bargain Purchase (Merger).....		431
18. Other Non-operating Income (Expense).....		440
19. TOTAL NON-INTEREST INCOME (Sum of items 12-18).....		117

<b>NON-INTEREST EXPENSE YEAR-TO-DATE: JANUARY 1, _____ to _____</b>		
20. Total Employee Compensation and Benefits.....		210
21. Travel and Conference Expense.....		230
22. Office Occupancy Expense.....		250
23. Office Operations Expense.....		260
24. Educational and Promotional Expenses.....		270
25. Loan Servicing Expense.....		280
26. Professional and Outside Services.....		290
27. Member Insurance	Amount	Acct Code
a. NCUSIF Premium Expense.....		311A
b. Temporary Corporate CU Stabilization Fund Assessment...		311
c. Other Member Insurance Expense.....		310A
d. Total Member Insurance.....		310
28. Operating Fees (Examination and/or supervision fees).....		320
29. Miscellaneous Operating Expenses.....		360
30. TOTAL NON-INTEREST EXPENSE (Sum of items 20-29).....		671
31. NET INCOME (LOSS) (line 11 plus line 19 less line 30).....		661A

<b>RESERVE TRANSFERS YEAR-TO-DATE: JANUARY 1, _____ to _____</b>		
32. Transfer to Regular Reserves.....		393

<b>OTHER CALCULATIONS</b>		
33. NET INCOME (LOSS) EXCLUDING NCUSIF PREMIUMS AND TEMPORARY CORPORATE CU STABILIZATION FUND ASSESSMENT REPORTED ON LINES 27a & 27b (Item 31 + Item 27a+ Item 27b).....		660A



Credit Union Name: \_\_\_\_\_

Federal Charter/Certificate Number: \_\_\_\_\_

**LOANS AS OF: \_\_\_\_\_**

*This page must be completed by all credit unions.*

**LOANS & LEASES:** Report the interest rate, number, and amount of credit union loans on lines 1 through 13 below. The Number (Account Code 025A1) and Amount (Account Code 025B1) reported on Line 14 should equal the Number (Account Code 025A) and Amount (Account Code 025B) reported on Page 2 Line 15. Report participation loans (loans purchased by the credit union) or indirect loans in the appropriate category within this section. Also, please complete Schedule A - Specialized Lending, if your credit union has any indirect loans, real estate loans, commercial loans, member business loans, troubled debt restructured, or purchased credit impaired loans outstanding or if the credit union originated any real estate loans, commercial, or member business loans during the reporting period.

	Interest Rate	Acct Code	Number of Loans	Acct Code	Amount	Acct Code
1. Unsecured Credit Card Loans.....		521		993		396
2. Payday Alternative Loans (PAL loans) (Federal CU Only).....		522A		994A		397A
3. Non-Federally Guaranteed Student Loans.....		595A		963A		698A
4. All Other Unsecured Loans/Lines of Credit.....		522		994		397
5. New Vehicle Loans.....		523		958		385
6. Used Vehicle Loans.....		524		968		370
7. Leases Receivable.....		565		954		002
8. All Other Secured Non-Real Estate Loans/Lines of Credit.....		595B		963C		698C
9. Total Loans/Lines of Credit Secured by 1st Lien 1-4 Family Residential Properties.....		563A		959A		703A
10. Total Loans/Lines of Credit Secured by Junior Lien 1-4 Family Residential Properties .....		562A		960A		386A
11. All Other Real Estate Loans/Lines of Credit.....		562B		960B		386B
12. Commercial Loans/Lines of Credit Real Estate Secured.....		525		900K4		718A5
13. Commercial Loans/Lines of Credit Not Real Estate Secured...		526		900P		400P
14. TOTAL LOANS & LEASES (Sum of items 1-13 must equal total loans and leases, Page 2.).....				025A1		025B1

	Number	Acct Code	Amount	Acct Code
15. Loans Granted Year-to-Date.....		031A		031B
a. Payday Alternative Loans (PAL loans) Granted Year-to-Date (also include amount in Line 15) (Federal CU Only)		031C		031D
16. Non-Federally Guaranteed Student Loans in Deferred Status.....		963B		698B
17. Loans Outstanding to Credit Union Officials and Senior Executive Staff.....		995		956

  

	Number	Acct Code	Outstanding Balance	Acct Code	Guaranteed Portion	Acct Code
18. Government Guaranteed Non-Commercial Loans.....		1060		1060A		1060B

Credit Union Name: \_\_\_\_\_

Federal Charter/Certificate Number: \_\_\_\_\_

**MISCELLANEOUS INFORMATION AS OF: \_\_\_\_\_**

*This page must be completed by all credit unions.*

		Acct Code
1. Does your credit union maintain share/deposit insurance coverage other than the NCUSIF? (Do not include Life Savings and Borrowers' Protection Insurance or Surety Bond Coverage.)	Yes/No	875
a. If so, indicate the name of the insurance company.....		876
b. Dollar amount of shares and/or deposits insured by the company named above.....		877
2. Number of current members (not number of accounts).....		083
3. Number of potential members.....		084
4. Number of credit union employees who are:		
a. Full-Time (26 hours or more per week).....		564A
b. Part-Time (25 hours or less per week).....		564B
5. Provide the aggregate of all capital and operating lease payments on fixed assets, without discounting commitments for future payments to present value.....		980
6. Has the credit union completed a merger or acquisition that qualifies for Business Combination Accounting on or after January 1, 2009? <b>If this answer is "Yes" please complete line 7 on Page 12.</b>	Yes/No	1003
7. If you have a transactional world wide website, how many members use it.....		892B
8. Does the credit union plan to add any new branches or expand existing facilities in the next 12 months?.....	Yes/No	566B
9. Uninsured Secondary Capital (Low-Income Designated CUs Only).....		
	1 - 3 Years	Acct Code
	> 3 Years	Acct Code
	Total Amount	Acct Code
	925B1	925
	925B2	925
10. Amount of Grants Awarded to Your Credit Union Year-to-Date.....		926
11. Amount of Grants Received by Your Credit Union Year-to-Date.....		927
12. Number of International Remittances Originated Year-to-Date.....		928

**DELINQUENT LOANS BY COLLATERAL TYPE AS OF: \_\_\_\_\_**

*This page must be completed by all credit unions.*

**Report Number Only**

**TOTAL NUMBER OF DELINQUENT LOANS BY COLLATERAL TYPE**

	30- 59 days	Reportable Delinquency				Total Number of Reportable Delinquent Loans	
		60-179 days	180-359 days	>=360 days			
1a. Unsecured Credit Card Loans.....	024A	026A	027A	028A		045A	
2a. Payday Alternative Loans (PAL loans) (Federal CU Only).....	089A	127A	128A	129A		130A	
3a. Non-Federally Guaranteed Student Loans.....	053A	053B	053C	053D		053E	
4a. New Vehicle Loans.....	035A1	035B1	035C1	035D1		035E1	
5a. Used Vehicle Loans.....	035A2	035B2	035C2	035D2		035E2	
6a. 1st Mortgage Real Estate Loans/Lines of Credit							
1. Fixed Rate (incl. Hybrid/Balloon > 5 yrs).....	029A	029B	029C	029D		029E	
2. Adjustable Rate (incl. Hybrid/Balloon 5 yrs or less)	030A	030B	030C	030D		030E	
7a. Other Real Estate Loans/Lines of Credit							
1. Fixed Rate/Hybrid/Balloon.....	032A	032B	032C	032D		032E	
2. Adjustable Rate.....	033A	033B	033C	033D		033E	
8a. Leases Receivable.....	034A	034B	034C	034D		034E	
9a. All Other Loans (See Instructions).....	035A	035B	035C	035D		035E	
10a. <b>TOTAL NUMBER OF DELINQUENT LOANS</b>	<b>020A</b>	<b>021A</b>	<b>022A</b>	<b>023A</b>		<b>041A</b>	

**Report Amount Only**

**TOTAL AMOUNT OF DELINQUENT LOANS BY COLLATERAL TYPE**

	30- 59 days	Reportable Delinquency				Total Amount of Reportable Delinquent Loans	
		60-179 days	180-359 days	>=360 days			
1b. Unsecured Credit Card Loans.....	024B	026B	027B	028B		045B	
2b. Payday Alternative Loans (PAL loans) (Federal CU Only).....	089B	127B	128B	129B		130B	
3b. Non-Federally Guaranteed Student Loans.....	020T	021T	022T	023T		041T	
4b. New Vehicle Loans.....	020C1	021C1	022C1	023C1		041C1	
5b. Used Vehicle Loans.....	020C2	021C2	022C2	023C2		041C2	
6b. 1st Mortgage Real Estate Loans/Lines of Credit							
1. Fixed Rate (incl. Hybrid/Balloon > 5 yrs).....	751	752	753	754		713A	
2. Adjustable Rate (incl. Hybrid/Balloon 5 yrs or less)	771	772	773	774		714A	
7b. Other Real Estate Loans/Lines of Credit							
1. Fixed Rate/Hybrid/Balloon.....	755	756	757	758		715A	
2. Adjustable Rate.....	775	776	777	778		716A	
8b. Leases Receivable.....	020D	021D	022D	023D		041D	
9b. All Other Loans (See Instructions).....	020C	021C	022C	023C		041C	
10b. <b>TOTAL AMOUNT OF DELINQUENT LOANS</b>	<b>020B</b>	<b>021B</b>	<b>022B</b>	<b>023B</b>		<b>041B</b>	

**ADDITIONAL DELINQUENCY INFORMATION AS OF: \_\_\_\_\_**  
 (Included in the delinquent loan information reported on Page 8)  
*This page must be completed by all credit unions.*

		<b>Reportable Delinquency</b>				<b>Total Number of Reportable Delinquent Loans</b>	
30-59 days		60-179 days	180-359 days	>=360 days			
11a. Indirect Loans.....	036A	036B	036C	036D		036E	
12a. Participation Loans.....	037A	037B	037C	037D		037E	
13a. Interest Only & Payment Option 1st Mortgage Loans	038A	038B	038C	038D		038E	
14a. Interest Only & Payment Option Other RE/LOC Loans	039A	039B	039C	039D		039E	
15a. Residential Construction excluding Commercial Purpose	040A1	040B2	040C1	040D1		040E1	
16a. Member Commercial Loans Secured by Real Estate	043A3	043B3	043C3	043D3		043E3	
17a. Member Commercial Loans <b>NOT</b> Secured by Real Estate	043A4	043B4	043C4	043D4		043E4	
18a. Nonmember Commercial Loans Secured by Real Estate	046A3	046B3	046C3	046D3		046E3	
19a. Nonmember Commercial Loans <b>NOT</b> Secured By Real Estate	046A4	046B4	046C4	046D4		046E4	
20a. Agricultural Loans.....	044A1	044B1	044C1	044D1		044E1	
21a. Commercial Construction & Development Loans.....	047A1	047B1	047C1	047D1		047E1	
22a. TDR Loans Secured by First Mortgages	054A	054B	054C	054D		054E	
23a. TDR Loans Secured by Other RE/LOCs	055A	055B	055C	055D		055E	
24a. TDR RE Loans Also Reported as Commercial Loans	056A1	056B1	056C1	056D1		056E1	
25a. TDR Consumer Loans <b>NOT</b> Secured by Real Estate	057A	057B	057C	057D		057E	
26a. TDR Commercial Loans <b>NOT</b> Secured by Real Estate	059A1	059B1	059C1	059D1		059E1	
27a. Loans Held for Sale.....	060A	060B	060C	060D		060E	

		<b>Reportable Delinquency</b>				<b>Total Amount of Reportable Delinquent Loans</b>	
30- 59 days		60-179 days	180-359 days	>=360 days			
11b. Indirect Loans.....	020E	021E	022E	023E		041E	
12b. Participation Loans.....	020F	021F	022F	023F		041F	
13b. Interest Only & Payment Option 1st Mortgage Loans	020I	021I	022I	023I		041I	
14b. Interest Only & Payment Option Other RE/LOC Loans	020M	021M	022M	023M		041M	
15b. Residential Construction excluding Commercial Purpose	020N1	021N1	022N1	023N1		041N1	
16b. Member Commercial Loans Secured by Real Estate	020G3	021G3	022G3	023G3		041G3	
17b. Member Commercial Loans <b>NOT</b> Secured by Real Estate	020G4	021G4	022G4	023G4		041G4	
18b. Nonmember Commercial Loans Secured by Real Estate	020P3	021P3	022P3	023P3		041P3	
19b. Nonmember Commercial Loans <b>NOT</b> Secured By Real Estate	020P4	021P4	022P4	023P4		041P4	
20b. Agricultural Loans.....	020H1	021H1	022H1	023H1		041H1	
21b. Commercial Construction & Development Loans.....	020Q1	021Q1	022Q1	023Q1		041Q1	
22b. TDR Loans Secured by First Mortgages	020U	021U	022U	023U		041U	
23b. TDR Loans Secured by Other RE/LOCs	020V	021V	022V	023V		041V	
24b. TDR RE Loans Also Reported as Commercial Loans	020W1	021W1	022W1	023W1		041W1	
25b. TDR Consumer Loans <b>NOT</b> Secured by Real Estate	020X	021X	022X	023X		041X	
26b. TDR Commercial Loans NOT Secured by Real Estate	020Y1	021Y1	022Y1	023Y1		041Y1	
27b. Loans Held for Sale.....	071F	071G	071H	071I		071J	

**LOAN CHARGE OFFS AND RECOVERIES AS OF: \_\_\_\_\_**  
*This page must be completed by all credit unions.*

**LOAN LOSS INFORMATION**

- 1. Unsecured Credit Card Loans.....
- 2. Payday Alternative Loans (PAL loans) (Federal CU Only)...
- 3. Non-Federally Guaranteed Student Loans.....
- 4. New Vehicle Loans.....
- 5. Used Vehicle Loans.....
- 6. Total 1st Mortgage Real Estate Loans/Lines of Credit
- 7. Total Other Real Estate Loans/Lines of Credit.....
- 8. Leases Receivable.....
- 9. All Other Loans (See Instructions).....
- 10. **Total Charge Offs and Recoveries**.....

YTD Charge Offs	Acct Code	YTD Recoveries	Acct Code
	680		681
	136		137
	550T		551T
	550C1		551C1
	550C2		551C2
	548		607
	549		608
	550D		551D
	550C		551C
	550		551

**ADDITIONAL LOAN LOSS INFORMATION**  
*(Included in the loan losses reported above.)*

- 11. Indirect Loans.....
- 12. Participation Loans.....
- 13. Interest Only & Payment Option 1st Mortgage Loans
- 14. Interest Only & Payment Option Other RE/LOC Loans
- 15. Residential Construction excluding Commercial Purpose
- 16. Member Commercial Loans Secured by Real Estate
- 17. Member Commercial Loans **NOT** Secured by Real Estate
- 18. Nonmember Commercial Loans Secured by Real Estate
- 19. Nonmember Commercial Loans **NOT** Secured By Real Estate
- 20. Agricultural Loans.....
- 21. Commercial Construction & Development Loans.....
- 22. TDR Loans Secured by First Mortgages
- 23. TDR Loans Secured by Other RE/LOCs
- 24. TDR RE Loans Also Reported as Commercial Loans
- 25. TDR Consumer Loans **NOT** Secured by Real Estate
- 26. TDR Commercial Loans **NOT** Secured by Real Estate
- 27. All loans charged off due to Bankruptcy YTD.....

YTD Charge Offs	Acct Code	YTD Recoveries	Acct Code
	550E		551E
	550F		551F
	550I		551I
	550M		551M
	550N1		551N1
	550G3		551G3
	550G4		551G4
	550P3		551P3
	550P4		551P4
	550H1		551H1
	550Q1		551Q1
	550U		551U
	550V		551V
	550W1		551W1
	550X		551X
	550Y1		551Y1

	682
--	-----

- 28. Number of members with loans (outstanding) who have filed for:
  - a. Chapter 7 Bankruptcy YTD.....
  - b. Chapter 13 Bankruptcy YTD.....
  - c. Chapter 11 or 12 Bankruptcy YTD.....

No. of Members	Acct Code
	081
	082
	088

- 29. Total outstanding loan balances subject to bankruptcies identified in items 28a - 28c.

Amount	Acct Code
	971

- 30. Real Estate Loans Foreclosed Year-to-Date (Report Balance at time of Foreclosure)

No. of Loans	Acct Code	Amount	Acct Code
	1005A		1005

- 31. Congressional Reporting Requirement

- a. Dollar amount of loans with interest rates that exceed 15% (**Federal Credit Unions Only**).....
- b. Aggregate weighted average interest rate for the loans with interest rates that exceed 15% (**Federal Credit Unions Only**).....

	567
	568

**LIQUIDITY, COMMITMENTS AND SOURCES AS OF: \_\_\_\_\_**

All credit unions must complete lines 1 through 10, if applicable.

**OFF-BALANCE SHEET COMMITMENTS AND OTHER ITEMS**

	Amount Committed Directly by Credit Union	Acct Code	Amount Committed through Third Party / Indirect	Acct Code	Total Amount	Acct Code
<b>1. Total Unfunded Commitments for Commercial Loans</b>		<b>814K1</b>		<b>814K2</b>		<b>814K</b>
<b>2. Miscellaneous Commercial Loan Unfunded Commitments (Include in item 1 above as appropriate.)</b>						
A. Agricultural Related Commercial Loans.....		<b>814J1</b>		<b>814J2</b>		<b>814J</b>
B. Construction & Land Development.....		<b>814A2</b>		<b>814A3</b>		<b>814A1</b>
C. Outstanding Letters of Credit.....		<b>813A</b>		<b>813B</b>		<b>813</b>
<b>3. Unfunded Commitments for All Remaining Loans (Non-Commercial Loans)</b>						
A. Revolving Open-End lines secured by 1-4 Family Residential Properties.....		<b>811D1</b>		<b>811D2</b>		<b>811D</b>
B. Credit Card Lines.....		<b>812A1</b>		<b>812B1</b>		<b>812C</b>
C. Unsecured Share Draft Lines of Credit.....		<b>815A1</b>		<b>815B1</b>		<b>815C</b>
D. Overdraft Protection Program Commitments.....		<b>822A1</b>		<b>822B1</b>		<b>822C</b>
E. Residential Construction Loans excluding Commercial purpose.....		<b>811E1</b>		<b>811E2</b>		<b>811E</b>
F. Federally Insured Home Equity Conversion Mortgages (HECM)(Reverse Mortgages)		<b>811B3</b>		<b>811B4</b>		<b>811B5</b>
G. Proprietary Reverse Mortgage Products.....		<b>811C3</b>		<b>811C4</b>		<b>811C5</b>
H. Other Unfunded Commitments.....		<b>816B3</b>		<b>816B4</b>		<b>816B5</b>
<b>I. Total Unfunded Commitments for Non-Commercial Loans</b>		<b>816T1</b>		<b>816T2</b>		<b>816T</b>
<b>Total Unfunded Commitments for all loan types (Sum items 1 and 3).....</b>		<b>816A1</b>		<b>816A2</b>		<b>816A</b>
<b>4. Dollar Amount of Pending Bond Claims.....</b>						<b>818</b>

**CONTINGENT LIABILITIES**

5. Loans Transferred with Limited Recourse Qualifying for Sales Accounting.....						<b>819</b>
6. Other Contingent Liabilities.....						<b>818A</b>

**CREDIT AND BORROWING ARRANGEMENTS**

7. Amount of Borrowings Subject to Early Repayment at Lender's Option.....						<b>865A</b>
8. Assets Pledged to Secure Borrowings.....						<b>878</b>

**9. Lines of Credit**

	Uncommitted LOC	Acct Code	Committed LOC	Acct Code	Total Amount	Acct Code
A. Corporate Credit Unions		<b>884A1</b>		<b>884A2</b>		<b>884</b>
B. Natural Person Credit Unions		<b>884C1</b>		<b>884C2</b>		<b>884C</b>
C. Other Credit Lines		<b>884D1</b>		<b>884D2</b>		<b>884D</b>
<b>D. TOTAL</b>		<b>884E</b>		<b>882</b>		<b>881</b>

**10. Borrowings**

	Draws Against LOC	Acct Code	Term Borrowings	Acct Code	Other Borrowings	Acct Code	Total Borrowings	Acct Code
A. Corporate Credit Unions		<b>885A</b>		<b>885B</b>		<b>885C</b>		<b>885D</b>
B. Natural Person Credit Unions		<b>885A1</b>		<b>885B1</b>		<b>885C1</b>		<b>885D1</b>
C. Other Sources		<b>885A2</b>		<b>885B2</b>		<b>885C2</b>		<b>885D2</b>
D. FHLB		<b>885A3</b>		<b>885B3</b>		<b>885C3</b>		<b>885D3</b>
E. CLF				<b>885B4</b>		<b>885C4</b>		<b>885D4</b>
F. FRB						<b>885C5</b>		<b>885D5</b>
<b>G. TOTAL</b>		<b>885A4</b>		<b>885B5</b>		<b>885C6</b>		<b>885D6</b>

Credit Union Name: \_\_\_\_\_

Federal Charter/Certificate Number: \_\_\_\_\_

**PCA NET WORTH CALCULATION WORKSHEET AS OF: \_\_\_\_\_**

*A credit union is not required to provide input on this page unless it has chosen an alternative total assets option offered on lines 10 through 12 to calculate Net Worth, elected to calculate an alternative Risk Based Net Worth ratio, or completed a merger/ acquisition after 12/31/2008.*

o **Online Filers** : Information entered on preceding schedules will populate line items below in the online 5300 System, excluding items 7a - 7d and optional items 10, 11 and 12.

**NET WORTH TO TOTAL ASSETS RATIO**

**NUMERATOR: NET WORTH**

		Amount	Acct Code
1.	Undivided Earnings.....		940
2.	Regular Reserves.....		931
3.	Appropriation for Non-Conforming Investments (State Credit Union ONLY).....		668
4.	Other Reserves (Appropriations of Undivided Earnings).....		658
5.	Subordinated Debt included in Net Worth.....		925A
6.	Net Income (unless this amount is already included in Undivided Earnings).....		602
7.	Adjusted Retained Earnings acquired through Business Combinations		
	a. Prior Quarter-End Adjusted Retained Earnings acquired through Business Combinations.....	Amount	Acct Code
	b. Adjustments made to Retained Earnings acquired through Business Combinations during current quarter (See Instructions).....		1004A
	c. Adjusted Gain from Bargain Purchase due to Business Combinations completed during current quarter (See Instructions).....		1004B
	d. Current Quarter's Total Adjusted Retained Earnings acquired through Business Combinations (7a + 7b - 7c).....		1004C
	8. TOTAL NET WORTH (Sum of items 1-6 and 7d).....		1004
			997

**DENOMINATOR: TOTAL ASSETS**

9. Total Assets (quarter-end).....		010
------------------------------------	--	-----

**Total Assets Elections (Optional)**  
 Retain line 9 quarter-end total assets above as net worth ratio denominator, or select one of the total assets computation options below by inputting the result in the appropriate line item. Line 13 below will compute your net worth ratio using line 9 quarter-end total assets as your denominator unless you enter an amount in line 10, 11 or 12.

10. Average of Daily Assets over the calendar quarter.....		010A
11. Average of the three month-end balances over the calendar quarter.....		010B
12. The average of the current and three preceding calendar quarter-end balances.....		010C

13. Net Worth Ratio (Line 8 divided by line 9, 10, 11, or 12)		998
14a. Risk Based Net Worth (RBNW) Requirement (Standard Calculation).....		999
b. Risk Based Net Worth (RBNW) Alternative Method under Section 702.107.....		999A

15. Net Worth Classification if credit union is not new (Based upon Call Report data only--See instructions.).....		700
16. Net Worth Classification if credit union is new.....		701

A "New" credit union has less than \$10 million in assets and was chartered in the last 10 years. (Based upon Call Report data only--See instructions.)

**STANDARD COMPONENTS OF  
RISK BASED NET WORTH REQUIREMENT (RBNW) AS OF: \_\_\_\_\_  
(AUTOMATED -- NO INPUT NECESSARY)**

*This information below is provided only for your information. No credit union is required to provide input on this page. Information entered on preceding schedules will populate the line items below in the online 5300 System.*

*A RBNW Requirement is only applicable for those credit unions with assets greater than \$50,000,000 and a RBNW requirement greater than six percent.*

A	B	C	D	E	F
Risk portfolio	Dollar balance	Amount as percent of quarter-end total assets	Risk weighting	Amount times risk weighting	Standard component
<u>Quarter-end total assets</u> Assets, line 23 (Acct 010)					
<u>(a) Long-term real estate loans</u> Sched A Sect 2 Line 10 (Acct. Code 710) less: Sched A Sect 4 Line 12 (Acct. Code 718) Sched A Sect 2 Line 17 (Acct. Code 712) Threshold amount: 0 to 25% Excess amount: over 25%					
<u>(b) MBLs outstanding</u> Sched A Sect 4 line 10 (Acct. Code 400) Threshold amount: 0 to 15% Threshold amount: >15 to 25% Excess amount: over 25%					
<u>(c) Investments</u> <i>Weighted-average life:</i> Page 1 Lines 2c, 3 and 13: 0 to 1 year (Acct. Code 799A1 + 730B + 730C-738A-739A) > 1 year to 3 years (Acct. Code 799B-738B-739B) > 3 years to 5 years (Acct. Code 799C1-738C-739C) > 5 years to 10 years (Acct. Code 799C2-738D-739D) > 10 years (Acct. Code 799D-738E-739E)					
<u>(d) Low-risk assets</u> Assets Line 1 (Acct. Code 730A) Assets Line 20 (Acct. Code 794) Schedule B Line 1b (Acct. Code 740)					
<b>Sum of risk portfolios (a) through (d) above</b>					
<u>(e) Average-risk assets</u> Assets, line 23 (Acct. Code 010) less: Risk portfolio items (a) through (d) above					
<u>(f) Loans sold with recourse</u> Page 11, line 5 (Acct. Code 819)					
<u>(g) Unused MBL commitments</u> Sched A Sect 4 line 11 (Acct. Code 814B)					
<u>(h) Allowance (Credit limited to 1.5% of loans)</u> Assets, line 16 (Acct. Code 719)					
Sum of standard components: <b>RBNW requirement (Acct. Code 999B)</b>					



**SCHEDULE A  
SPECIALIZED LENDING AS OF: \_\_\_\_\_**

*Section 1: If your credit union has indirect loans, complete this section.*

*Section 2: If your credit union has any real estate loans outstanding or has originated any real estate loans year-to-date, complete this section.*

*Section 3: If your credit union has any participation loans outstanding or purchased or sold loans or participations year-to-date, complete this section.*

*Section 4: If your credit union has any commercial loans outstanding or has originated/purchased any commercial loans year-to-date, complete this section. If these loans are secured by real estate, complete section 2 also.*

*Section 5: If your credit union has any Troubled Debt Restructured loans outstanding or has modified any loans year-to-date, complete this section.*

*Section 6: If your credit union has purchased or obtained credit impaired loans in a merger, complete this section.*

**SECTION 1 - INDIRECT LOANS**

1. INDIRECT LOANS

- a. Indirect Loans - Point of Sale Arrangement.....
- b. Indirect Loans - Outsourced Lending Relationship.....
- c. TOTAL OUTSTANDING INDIRECT LOANS.....

Number	Acct Code	Amount	Acct Code
	617B		618B
	617C		618C
	617A		618A

You may stop here if your credit union has no real estate loans or commercial loans outstanding and has not originated any real estate loans or commercial loans year-to-date or if your credit union has not purchased or sold any loans or does not have any participation loans outstanding.

**SECTION 2 - REAL ESTATE LOANS AND LINES OF CREDIT - INCLUDING COMMERCIAL LOANS SECURED BY REAL ESTATE**

**REAL ESTATE LOANS  
FIRST MORTGAGE**

1. Fixed Rate

- a. > 15 Years.....
- b. 15 Years or less.....

No. of Loans Outstanding	Acct Code	Amt of Loans Outstanding	Acct Code	No. of Loans Granted Year- to-Date	Acct Code	Amount Granted Year-To-Date	Acct Code
	972A		704A		982A		720A
	972B		704B		982B		720B

2. Balloon/Hybrid

- a. > 5 Years.....
- b. 5 Years or less.....

	972C		704C		982C		720C
	972D		704D		982D		720D

3. Other Fixed Rate.....

	972E		704E		982E		720E
--	------	--	------	--	------	--	------

4. Adjustable Rate 1 yr or less

	973A		705A		983A		721A
--	------	--	------	--	------	--	------

5. Adjustable Rate > 1 yr.....

	973B		705B		983B		721B
--	------	--	------	--	------	--	------

**OTHER REAL ESTATE**

6. Closed-End Fixed Rate.....

	974		706		984		722
--	-----	--	-----	--	-----	--	-----

7. Closed-End Adjustable Rate

	975		707		985		723
--	-----	--	-----	--	-----	--	-----

8. Open-End Adjustable Rate

	976		708		986		724
--	-----	--	-----	--	-----	--	-----

9. Open-End Fixed Rate.....

	976B		708B		986B		724B
--	------	--	------	--	------	--	------

10. TOTALS (each column)....

	978		710		988		726
--	-----	--	-----	--	-----	--	-----

**MISCELLANEOUS REAL ESTATE LOANS/  
LINES OF CREDIT INFORMATION**

11. Interest Only & Payment Option 1st Mortgage Loans

No. of Loans Outstanding	Acct Code	Amount Outstanding	Acct Code	Amount Granted YTD	Acct Code
	704C2		704C1		704C3
	704D1		704D2		704D3

12. Interest Only & Payment Option Other RE/LOC Loans

**13. REVERSE MORTGAGES**

- a. Federally Insured Home Equity Conversion Mortgage (HECM)
- b. Proprietary Reverse Mortgage Products

No. of Loans Outstanding	Acct Code	Amt of Loans Outstanding	Acct Code	No. of Loans Granted YTD	Acct Code	Amount Granted YTD	Acct Code
	704F1		704F2		704F3		704F4
	704G1		704G2		704G3		704G4

**SCHEDULE A  
SPECIALIZED LENDING (Continued) AS OF: \_\_\_\_\_**

**SECTION 2 CONTINUED - REAL ESTATE LOANS AND LINES OF CREDIT**

**MISCELLANEOUS REAL ESTATE LOANS/LINES OF CREDIT INFORMATION (continued)**

- 14. Balance Outstanding of 1st Mtg Residential Construction Loans - Excluding Commercial Purpose...
- 15. Allowance for Losses on all Real Estate Loans.....
- 16. Total Amount of All 1st Mortgage Loans which have been sold in the secondary market year-to-date
- 17. Amount of Real Estate Loans Outstanding that will contractually refinance, reprice or mature within the next 5 years and that are not reported in Sect 4, line 1.f. or 2.f.....
- 18. Amount of real estate loans sold but serviced by the credit union.....
- 19. Mortgage Servicing Rights.....

Amount	Acct Code
	704A2
	731
	736
	712
	779A
	779

**SECTION 3 - LOANS PURCHASED AND SOLD IN FULL & PARTICIPATION LOANS PURCHASED AND SOLD**

**1. LOANS PURCHASED AND SOLD YEAR-TO-DATE**

- a. Loans Purchased In Full from Other Financial Institutions.....
- b. Loans Purchased In Full from Other Sources.....
- c. Loans, Excluding Real Estate Loans, Sold in Full.....

Number	Acct Code	Amount	Acct Code
	614		615
	612		613
	616		616A

**2. PARTICIPATION LOANS PURCHASED**

- a. Purchased With Recourse .....
- b. Purchased Without Recourse .....

**TOTAL PURCHASED (each column).....**

ALL OUTSTANDING				PURCHASED YTD			
Number	Acct Code	Amount Outstanding	Acct Code	Number	Acct Code	Amount Purchased YTD	Acct Code
	619A1		619B1		690A1		690B1
	619A2		619B2		690A2		690B2
	619A3		619B		690A		690

**3. OUTSTANDING PARTICIPATION LOANS SOLD**

- a. Sold With Recourse .....
- b. Sold Without Recourse .....

**TOTAL OUTSTANDING SOLD (each column)...**

Participation Interest Retained				Participation Interest Sold AND/OR Serviced			
Number	Acct Code	Amount of Participation Interest Retained	Acct Code	Number	Acct Code	Amount of Participation Interest Sold AND/OR Serviced	Acct Code
	691D1		691E1		691F1		691G1
	691D2		691E2		691F2		691G2
	691D		691E		691F		691G

**4. PARTICIPATION LOANS SOLD YEAR-TO-DATE**

- a. Sold With Recourse YTD.....
- b. Sold Without Recourse YTD.....

**TOTAL SOLD YTD (each column).....**

Participation Interest Retained				Participation Interest Sold AND/OR Serviced			
Number	Acct Code	Amount of Participation Interest Retained	Acct Code	Number	Acct Code	Amount of Participation Interest Sold AND/OR Serviced	Acct Code
	691H1		691I1		691A1		691J1
	691H2		691I2		691A2		691J2
	691H		691I		691A		691

**5. PARTICIPATION LOANS OUTSTANDING BY TYPE**

- a. Consumer.....
- b. Non-Federally Guaranteed Student Loans.....
- c. Real Estate.....
- d. Commercial Loans excluding C&D.....
- e. Commercial Construction & Development.....
- f. Loan Pools.....

**TOTAL OUTSTANDING (each column).....**

Purchased Participations, Outstanding on Financial Statements				Portion of Participations Sold, Outstanding on Financial Statements			
Number	Acct Code	Amount	Acct Code	Number	Acct Code	Amount Sold Outstanding	Acct Code
	691K1		691L1		691M1		691N1
	691K7		691L7		691M7		691N7
	691K2		691L2		691M2		691N2
	691K8		691L8		691M8		691N8
	691K9		691L9		691M9		691N9
	691K6		691L6		691M6		691N6
	691K		691L		691M		691N

**SCHEDULE A**  
**REPORT YEAR-TO-DATE NUMBERS FOR THE PERIOD: JANUARY 1, \_\_\_\_\_ to \_\_\_\_\_**

**SECTION 4 - BUSINESS & COMMERCIAL LENDING - Complete this section if the credit union has any commercial or business loans.**

Commercial Loans							
No. of Loans	Acct Code	Outstanding Balance	Acct Code	No. of Loans Granted or Purchased Year-to-Date	Acct Code	Amount Granted or Purchased Year-to-Date	Acct Code
1. <b>Commercial Loans to Members</b>							
a. Construction and Development Loans	143A3		143B3		143C3		143D3
b. Secured by Farmland	961A5		042A5		099A5		463A5
c. Secured by Multifamily	900M		400M		090M		475M
d. Secured by Owner Occupied, Non-Farm, Non-Residential Property	900H2		400H2		090H2		475H2
e. Secured by Non-Owner Occupied, Non-Farm, Non-Residential Property	900J2		400J2		090J2		475J2
f. TOTAL REAL ESTATE SECURED	900K2		718A3		090K2		475K2
g. Loans to finance agricultural production and other loans to farmers	961A6		042A6		099A6		463A6
h. Commercial and Industrial Loans	900L2		400L2		090L2		475L2
i. Unsecured Commercial Loans	900C5		400C5		090C5		475C5
j. Unsecured Revolving Lines of Credit for Commercial Purposes	900C6		400C6		090C6		475C6
k. TOTAL COMMERCIAL LOANS to Members	900A1		400A1		090A1		475A1
2. <b>Purchased commercial loans or participation interests to nonmembers</b>							
a. Construction and Development Loans	143A4		143B4		143C4		143D4
b. Secured by Farmland	961A7		042A7		099A7		463A7
c. Secured by Multifamily	900M1		400M1		090M1		475M1
d. Secured by Owner Occupied, Non-Farm, Non-Residential Property	900H3		400H3		090H3		475H3
e. Secured by Non-Owner Occupied, Non-Farm, Non-Residential Property	900J3		400J3		090J3		475J3
f. TOTAL REAL ESTATE SECURED	900K3		718A4		090K3		475K3
g. Loans to finance agricultural production and other loans to farmers	961A8		042A8		099A8		463A8
h. Commercial and Industrial Loans	900L3		400L3		090L3		475L3
i. Unsecured Commercial Loans	900C7		400C7		090C7		475C7
j. Unsecured Revolving Lines of Credit for Commercial Purposes	900C8		400C8		090C8		475C8
k. TOTAL COMMERCIAL LOANS to Nonmembers	900B1		400B1		090B1		475B1
TOTAL COMMERCIAL LOANS (1k+2k)	900T1		400T1				

**MISCELLANEOUS COMMERCIAL LOAN INFORMATION**

	Number	Acct Code	Amount	Acct Code
3. Outstanding commercial participations sold but retained servicing (including unfunded commitments) .....		1061A		1061
4. Outstanding commercial loans sold but retained servicing (including unfunded commitments) .....		1062A		1062
5. Year-to-Date commercial loans/participations sold but did not retain servicing (including unfunded commitments) .....		1063A		1063
6. Agricultural Related Loans (1b+1g+2b+2g)		961A9		042A9

  

	Number	Acct Code	Outstanding Balance	Acct Code	Guaranteed Portion	Acct Code
7. Government Guaranteed Commercial Loans (already included in commercial loans above)						
a. Small Business Administration Loans .....		691B1		691C1		691C2
b. Other Government Guaranteed Loans .....		691P		691P1		691P2

REGULATORY REPORTING - PART 723 - MEMBER BUSINESS LOANS		
	Amount	Acct Code
8. TOTAL MEMBER BUSINESS LOANS - Net Member Business Loan Balance (NMBLB)		400A
9. Net Member Business Loan Balance Comprised of 1-4 Family Residential Properties		400N

  

RISK BASED NET WORTH (RBNW) - For credit unions with assets greater than \$50,000,000		
	Amount	Acct Code
10. Loans and participation interests qualifying for RBNW		400
11. Unfunded commitments for business loans and participation interests qualifying for RBNW		814B
12. Amount of Real Estate Loans included in line 10 of page 14 also reported as business loans and participation interests qualifying for RBNW on line 10 directly above		718

**SCHEDULE A  
SPECIALIZED LENDING (Continued) AS OF: \_\_\_\_\_**

*This page must be completed by all credit unions*

**SECTION 5 - TROUBLED DEBT RESTRUCTURED LOANS - Complete this section if the credit union has any TDR loans outstanding.**

Report loans that qualify under generally accepted accounting principles as troubled debt restructurings (TDRs). TDRs are loans for which the credit union, for economic or legal reasons related to a borrower's financial difficulties, grants a concession to the borrower that it would not otherwise consider. Refer to FASB Accounting Standards Codification 310-40. These loans should also be reported as part of the overall balances in the appropriate category on the Statement of Financial Condition (page 2); in Schedule A, Section 2 for real estate loans; in Schedule A, Section 4 for business & commercial lending; and on pages 7 and 8, if delinquent. See Delinquent Loan instructions for information regarding TDR delinquency reporting.

	TDR Loans in Accrual Status				TDR Loans in Nonaccrual Status				Total TDR Loans Outstanding by Category			
	No. of Loans Outstanding	Acct Code	Amount in Accrual Status	Acct Code	No. of Loans Outstanding	Acct Code	Amount in Nonaccrual Status	Acct Code	Total No. of Loans	Acct Code	Total Amount	Acct Code
1. a. TDR Loans Secured by First Mortgages		1006A		1007A		1008A		1009A		1010A		1011A
b. TDR Loans Secured by Other RE/LOCs		1006B		1007B		1008B		1009B		1010B		1011B
c. TDR RE Loans Also Reported as Commercial Loans		1006F		1007F		1008F		1009F		1010F		1011F
d. TDR Consumer Loans <b>NOT</b> Secured by Real Estate		1006D		1007D		1008D		1009D		1010D		1011D
e. TDR Commercial Loans <b>NOT</b> Secured by Real Estate		1006G		1007G		1008G		1009G		1010G		1011G
f. Total TDR Loans Outstanding (a+b+d+e)		1006		1007		1008		1009		1000F		1001F

REPORT YEAR-TO-DATE NUMBERS FOR THE PERIOD: JANUARY 1, \_\_\_\_\_ to \_\_\_\_\_

	Number of Loans	Acct Code	Amount YTD	Acct Code
2. TDR Loans Approved Year-to-Date		1012A		1002F
			Amount	Acct Code
3. TDR portion of Allowance for Loan and Lease Losses				1013

**Section 6- PURCHASED CREDIT IMPAIRED LOANS (PCILs) - Complete this section if the credit union has any PCILs.**

Report purchased impaired loans, whether obtained through merger or other purchase. The outstanding balances of these loans should also be reported on the correct lines of the Statement of Financial Condition (page 2); in Schedule A, Section 2 for real estate loans; in Schedule A, Section 4 for business & commercial lending; and on pages 7 and 8, if delinquent.

**Report all Balances as of Call Report Date**

	A		B		C		D		Calculated (E=B-C-D)		F	
	No. of Loans Outstanding	Acct Code	Contractually Required Payments Receivable of PCILs	Acct Code	Nonaccretable Balance Outstanding	Acct Code	Accretable Yield Outstanding	Acct Code	Recorded Investment in Loan Receivable (Amount included in Loans on Page 2)	Acct Code	Uncollectible amounts of PCILs charged off to the Allowance for Loan and Lease Losses Account, YTD	Acct Code
1. a. PCILs Secured by First Mortgages		1014		1014A		1014B		1014C		1014D		1014E
b. PCILs Secured by Other RE/LOCs		1015		1015A		1015B		1015C		1015D		1015E
c. PCILs (RE Loans) Also Reported as Commercial Loans		1116		1116A		1116B		1116C		1116D		1116E
d. PCILs (Consumer Loans) NOT Secured by Real Estate		1017		1017A		1017B		1017C		1017D		1017E
e. PCILs (Commercial Loans) NOT Secured by Real Estate		1118		1118A		1118B		1118C		1118D		1118E
f. Total PCILs Outstanding (a+b+d+e)		1019		1019A		1019B		1019C		1019D		1019E

**SCHEDULE B  
INVESTMENTS, SUPPLEMENTAL INFORMATION AS OF: \_\_\_\_\_**

*Complete this schedule if amounts are reported on page 1, lines 4, 5, or 6; or if items below are applicable.*

**TYPES OF INVESTMENTS**

**1. U.S. Government Obligations**

a. NCUA Guaranteed Notes

	<= 1 Year 738A	> 1- 3 Years 738B	> 3 - 5 Years 738C	> 5 - 10 Years 738D	> 10 Years 738E	Total Amount
Amt of Variable Rate						738
	739A	739B	739C	739D	739E	
Amt of Fixed Rate						739

b. TOTAL NCUA GUARANTEED NOTES .....

c. Total FDIC-Issued Guaranteed Notes.....

d. All Other U.S. Government Obligations.....

e. TOTAL U.S. GOVERNMENT OBLIGATIONS.....

	740
	740A
	741C3
	741C

**2. Federal Agency Securities**

a. Agency/GSE Debt Instruments (not backed by mortgages).....

b. Agency/GSE Mortgage-Backed Securities.....

c. TOTAL FEDERAL AGENCY SECURITIES.....

	742C1
	742C2
	742C

**3. Securities Issued by States and Political Subdivisions in the U.S. ....**

**4. Other Mortgage-Backed Securities**

a. Privately Issued Mortgage-Related Securities

i. Privately Issued Mortgage-Related Securities .....

ii. Privately Issued Securities (exclude from 4.a.i.) that fail to meet the definition of a mortgage-related security (i.e., downgraded below the two highest rating categories) after purchase **(FCU Only)** .....

b. Privately Issued Mortgage-Backed Securities **(SCU Only)**.....

c. TOTAL OTHER MORTGAGE-BACKED SECURITIES.....

	981A
	981C
	981B
	981

**5. Mutual Funds .....**

**6. Common Trusts.....**

**7. Bank Issued FDIC-Guaranteed Bonds.....**

	743D
	743E
	746

**INVESTMENTS MEETING SPECIFIC CRITERIA OF PART 703 (FCU ONLY)**

8. Non-Mortgage Related Securities with Embedded Options or Complex Coupon Formulas.....

9. Non-Mortgage Related Securities with Maturities Greater than Three Years that Do Not Have Embedded Options or Complex Coupon Formulas.....

10. Total of Securities Meeting the Requirements of Section 703.12(b) (Sum of items 2b+4c+8+9) ....

Amount	Acct Code
	786A
	786B
	786

**MORTGAGE-BACKED SECURITIES**

11. Collateralized Mortg. Obligations/Real Estate Mortgage Investment Conduits (CMOs/REMICs)....

12. Commercial Mortgage Backed Securities.....

	733
	733A

**SCHEDULE B  
INVESTMENTS, SUPPLEMENTAL INFORMATION AS OF: \_\_\_\_\_**

*Complete this schedule if amounts are reported on page 1, lines 4, 5, or 6; or if items below are applicable.*

**MISCELLANEOUS INVESTMENT INFORMATION**

- 13. Total of Deposits and Shares Meeting the Requirements of Section 703.10(a) (FCU Only).....
- 14. Market Value of Investments Purchased Under an Investment Pilot Program - 703.19 (FCU Only).....
- 15. Fair Value of Held to Maturity Investments (reported on line 6 of page 1).....
- 16. Investment Repurchase Agreements.....
- 17. Borrowing Repurchase Transactions Placed in Investments for Purposes of Positive Arbitrage.....
- 18. Investments Not Authorized by the FCU Act or NCUA Rules and Regulations (SCU ONLY). Exclude investments listed in Line 20 a. or b. (below).
- 19. Outstanding balance of brokered certificates of deposit and share certificates .....
  
- 20. Amounts reported in the Asset section of the Statement of Financial Condition to fund employee benefit plans or deferred compensation plans under Section 701.19(c) of NCUA Rules and Regulations (if FCU), or similar state provisions (if SCU), that are not authorized under Part 703 of NCUA Rules and Regulations.
  - a. Securities.....
  - b. Other Investments.....
  - c. Other Assets:.....
    - i. Split Dollar Life Insurance Arrangements
      - a) Collateral Assignment.....
      - b) Endorsement.....
    - ii. Other Insurance.....
    - iii. Other Non-insurance.....
  - d. Total (sum items a. - c.).....
  
- 21. Amounts reported in Asset section of the Statement of Financial Condition to fund Charitable Donation Accounts.....

	785
	785A
	801
	780
	781
	784A
	788

Recorded Value	Acct Code
	789C
	789D
	789E
	789E1
	789E2
	789F
	789G

Recorded Value	Acct Code
	789H

FCU= Federal Credit Union      SCU= State Credit Union

Credit Union Name: \_\_\_\_\_

Federal Charter/Certificate Number: \_\_\_\_\_

**SCHEDULE C**  
**CREDIT UNION SERVICE ORGANIZATION (CUSO) INFORMATION AS OF: \_\_\_\_\_**

**Report the following aggregate totals the credit union has in all CUSOs, regardless of whether your credit union owns the CUSO, has a "controlling financial interest," has the "ability to exert significant influence" or owns only a smaller portion of the CUSO.**

	<b>Amount</b>	<b>ACCT CODE</b>
Total Value of Investments in CUSOs		851
Total Amount loaned to CUSOs		852
Total Aggregate Cash Outlay in CUSOs		853

---

**SCHEDULE D  
DERIVATIVE TRANSACTIONS REPORT AS OF: \_\_\_\_\_**

**SECTION 1 - Outstanding**

**1. Total Derivative Transactions Outstanding:**

a. Interest Rate Swaps:

- i. Pay-fixed.....
- ii. Receive-fixed.....
- iii. Basis.....

b. Interest Rate Options:

- i. Caps Purchased.....
- ii. Floors Purchased.....

c. Treasury Futures:

- i. 2 & 3 Year Notes.....
- ii. 5 & 10 Year Notes.....

d. Other Derivatives (List):

- i. \_\_\_\_\_
- ii. \_\_\_\_\_
- iii. \_\_\_\_\_

**Total Derivatives.....**

Total Notional Amount	Acct Code	Fair Value of Derivatives in a Gain Position	Acct Code	Fair Value of Derivatives in a Loss Position	Acct Code	Net Fair Value Gain (Loss)	Acct Code
	1020		1020A		1020B		1020C
	1021		1021A		1021B		1021C
	1022		1022A		1022B		1022C
	1023		1023A		1023B		1023C
	1024		1024A		1024B		1024C
	1025		1025A		1025B		1025C
	1026		1026A		1026B		1026C
	Acct #						
	1027Z	1027	1027A		1027B		1027C
	1028Z	1028	1028A		1028B		1028C
	1029Z	1029	1029A		1029B		1029C
	<b>1030</b>		<b>1030A</b>		<b>1030B</b>		<b>1030C</b>



**SCHEDULE D  
DERIVATIVE TRANSACTIONS REPORT AS OF: \_\_\_\_\_**

**SECTION 2 - Outstanding with Accounting Designation**

**1. Derivative Transactions Outstanding with No Hedge Accounting Designation:**

No Hedge Accounting Designation					
Number of Trades	Acct Code	Notional Amount	Acct Code	Net Fair Value Gain (Loss)	Acct Code
<b>a. Interest Rate Swaps:</b>					
i. Pay-fixed.....	1020D		1020E		1020F
ii. Receive-fixed.....	1021D		1021E		1021F
iii. Basis.....	1022D		1022E		1022F
<b>b. Interest Rate Options:</b>					
i. Caps Purchased.....	1023D		1023E		1023F
ii. Floors Purchased.....	1024D		1024E		1024F
<b>c. Treasury Futures:</b>					
i. 2 & 3 Year Notes.....	1025D		1025E		1025F
ii. 5 & 10 Year Notes.....	1026D		1026E		1026F
<b>d. Other Derivatives (List):</b>					
	Acct Code				
i. _____	1027Z	1027D	1027E		1027F
ii. _____	1028Z	1028D	1028E		1028F
iii. _____	1029Z	1029D	1029E		1029F
<b>Total Derivatives.....</b>	<b>1030D</b>		<b>1030E</b>		<b>1030F</b>

**2. Derivative Transactions Outstanding with Fair Value Hedge Accounting Designation:**

Fair Value Hedge Designation					
# of Trades	Acct Code	Notional Amount	Acct Code	Net Fair Value Gain (Loss)	Acct Code
<b>a. Interest Rate Swaps:</b>					
i. Pay-fixed.....	1020G		1020H		1020J
ii. Receive-fixed.....	1021G		1021H		1021J
iii. Basis.....	1022G		1022H		1022J
<b>b. Interest Rate Options:</b>					
i. Caps Purchased.....	1023G		1023H		1023J
ii. Floors Purchased.....	1024G		1024H		1024J
<b>c. Treasury Futures:</b>					
i. 2 & 3 Year Notes.....	1025G		1025H		1025J
ii. 5 & 10 Year Notes.....	1026G		1026H		1026J
<b>d. Other Derivatives (List):</b>					
	Acct Code				
i. _____	1027Z	1027G	1027H		1027J
ii. _____	1028Z	1028G	1028H		1028J
iii. _____	1029Z	1029G	1029H		1029J
<b>Total Derivatives.....</b>	<b>1030G</b>		<b>1030H</b>		<b>1030J</b>

**3. Derivative Transactions Outstanding with Cash Flow Hedge Accounting Designation:**

Cash Flow Hedge Designation					
# of Trades	Acct Code	Notional Amount	Acct Code	Net Fair Value Gain (Loss)	Acct Code
<b>a. Interest Rate Swaps:</b>					
i. Pay-fixed.....	1020K		1020L		1020M
ii. Receive-fixed.....	1021K		1021L		1021M
iii. Basis.....	1022K		1022L		1022M
<b>b. Interest Rate Options:</b>					
i. Caps Purchased.....	1023K		1023L		1023M
ii. Floors Purchased.....	1024K		1024L		1024M
<b>c. Treasury Futures:</b>					
i. 2 & 3 Year Notes.....	1025K		1025L		1025M
ii. 5 & 10 Year Notes.....	1026K		1026L		1026M
<b>d. Other Derivatives (List):</b>					
	Acct Code				
i. _____	1027Z	1027K	1027L		1027M
ii. _____	1028Z	1028K	1028L		1028M
iii. _____	1029Z	1029K	1029L		1029M
<b>Total Derivatives.....</b>	<b>1030K</b>		<b>1030L</b>		<b>1030M</b>

**SCHEDULE D  
DERIVATIVE TRANSACTIONS REPORT AS OF: \_\_\_\_\_**

**SECTION 3 - Activity**

**1. Derivative Transactions activity:**

a. Interest Rate Swaps:

- i. Pay-fixed.....
- ii. Receive-fixed.....
- iii. Basis.....

b. Interest Rate Options:

- i. Caps Purchased....
- ii. Floors Purchased...

c. Treasury Futures:

- i. 2 & 3 Year Notes....
- ii. 5 & 10 Year Notes..

d. Other Derivatives (List):

- i. \_\_\_\_\_
- ii. \_\_\_\_\_
- iii. \_\_\_\_\_

**Total Derivatives.....**

	Total Notional Amount from Previous Quarter (A)	Acct Code	Notional Amount of Derivative Transactions Made in Current Quarter (B)	Acct Code	Notional Amount Amortized, Matured, or Terminated in Current Quarter (C)	Acct Code	Outstanding Total Notional Amounts (A) + (B) + (C)	Acct Code
		1020N		1020P		1020Q		1020R
		1021N		1021P		1021Q		1021R
		1022N		1022P		1022Q		1022R
		1023N		1023P		1023Q		1023R
		1024N		1024P		1024Q		1024R
		1025N		1025P		1025Q		1025R
		1026N		1026P		1026Q		1026R
Acct Code								
i.	1027Z	1027N		1027P		1027Q		1027R
ii.	1028Z	1028N		1028P		1028Q		1028R
iii.	1029Z	1029N		1029P		1029Q		1029R
<b>Total Derivatives.....</b>		<b>1030N</b>		<b>1030P</b>		<b>1030Q</b>		<b>1030R</b>

**SCHEDULE D  
DERIVATIVE TRANSACTIONS REPORT AS OF: \_\_\_\_\_**

**SECTION 4 - Maturity Horizon**

**1. Current Notional Amount of Derivatives based on Maturity (years remaining in contract):**

Notional Amount													
≤1 yr	Acct Code	>1 to ≤3 yrs	Acct Code	>3 to ≤5 yrs	Acct Code	>5 to ≤10 yrs	Acct Code	>10 yrs	Acct Code	Total	Acct Code	Weighted Average yrs to Maturity	Acct Code
<b>a. Interest Rate Swaps:</b>													
i. Pay-fixed.....	1020S		1020T		1020U		1020V		1020W		1020X		1020Y
ii. Receive-fixed.....	1021S		1021T		1021U		1021V		1021W		1021X		1021Y
iii. Basis.....	1022S		1022T		1022U		1022V		1022W		1022X		1022Y
<b>b. Interest Rate Options:</b>													
i. Caps Purchased....	1023S		1023T		1023U		1023V		1023W		1023X		1023Y
ii. Floors Purchased...	1024S		1024T		1024U		1024V		1024W		1024X		1024Y
<b>c. Treasury Futures:</b>													
i. 2 & 3 Year Notes....	1025S		1025T								1025X		1025Y
ii. 5 & 10 Year Notes...	1026S		1026T		1026U		1026V		1026W		1026X		1026Y
<b>d. Other Derivatives (List):</b>													
i. _____	1027Z		1027S		1027T		1027U		1027V		1027W		1027X
ii. _____	1028Z		1028S		1028T		1028U		1028V		1028W		1028X
iii. _____	1029Z		1029S		1029T		1029U		1029V		1029W		1029X
<b>Total Derivatives.....</b>	<b>1030S</b>		<b>1030T</b>		<b>1030U</b>		<b>1030V</b>		<b>1030W</b>		<b>1030X</b>		<b>1030Y</b>

**2. Current Net Fair Value Gains (Losses) of Derivatives based on Maturity (years remaining in contract)**

Net Fair Value Gains (Losses)											
≤1 yr	Acct Code	>1 to ≤3 yrs	Acct Code	>3 to ≤5 yrs	Acct Code	>5 to ≤10 yrs	Acct Code	>10 yrs	Acct Code	Total	Acct Code
<b>a. Interest Rate Swaps:</b>											
i. Pay-fixed.....	1020S1		1020T1		1020U1		1020V1		1020W1		1020X1
ii. Receive-fixed.....	1021S1		1021T1		1021U1		1021V1		1021W1		1021X1
iii. Basis.....	1022S1		1022T1		1022U1		1022V1		1022W1		1022X1
<b>b. Interest Rate Options:</b>											
i. Caps Purchased....	1023S1		1023T1		1023U1		1023V1		1023W1		1023X1
ii. Floors Purchased...	1024S1		1024T1		1024U1		1024V1		1024W1		1024X1
<b>c. Treasury Futures:</b>											
i. 2 & 3 Year Notes....	1025S1		1025T1								1025X1
ii. 5 & 10 Year Notes...	1026S1		1026T1		1026U1		1026V1		1026W1		1026X1
<b>d. Other Derivatives (List):</b>											
i. _____	1027Z		1027S1		1027T1		1027U1		1027V1		1027W1
ii. _____	1028Z		1028S1		1028T1		1028U1		1028V1		1028W1
iii. _____	1029Z		1029S1		1029T1		1029U1		1029V1		1029W1
<b>Total Derivatives.....</b>	<b>1030S1</b>		<b>1030T1</b>		<b>1030U1</b>		<b>1030V1</b>		<b>1030W1</b>		<b>1030X1</b>

**SCHEDULE D  
DERIVATIVE TRANSACTIONS REPORT AS OF: \_\_\_\_\_**

**SECTION 5 - Counterparty Exposure for Derivative Transactions**

Clearing or Bilateral Agreement :	1	Acct Code	2	Acct Code	3	Acct Code	4	Acct Code	Total	Acct Code
<b>1. Derivative Net Fair Value Gain (Loss) as of the end of the current quarter:</b>										
a. Interest Rate Swaps		1031A		1031B		1031C		1031D		1031
b. Interest Rate Options		1032A		1032B		1032C		1032D		1032
c. Treasury Futures		1033A		1033B		1033C		1033D		1033
d. Other		1034A		1034B		1034C		1034D		1034
e. Total Net Fair Value as of the end of the current quarter		1035A		1035B		1035C		1035D		1035
<b>2. Fair Value of Collateral Pledged to (+) or Received from (-) Counterparty (Variation Margin only):</b>										
a. Cash		1036A		1036B		1036C		1036D		1036
b. U.S. Treasury Securities		1037A		1037B		1037C		1037D		1037
c. U.S. Gov't Agency Debt		1038A		1038B		1038C		1038D		1038
d. U.S. Gov't Agency Securities (MBS)		1039A		1039B		1039C		1039D		1039
e. Total		1040A		1040B		1040C		1040D		1040
<b>3. Net Credit Exposure from Derivatives</b>										
		1041A		1041B		1041C		1041D		1041
<b>4. Fair Value of Collateral posted as Initial Margin</b>										
		1042A		1042B		1042C		1042D		1042

Credit Union Name: \_\_\_\_\_

Federal Charter/Certificate Number: \_\_\_\_\_

**SCHEDULE E**

**BANK SECRECY ACT/ANTI-MONEY LAUNDERING INFORMATION AS OF: \_\_\_\_\_**

*Complete this schedule if the items below are applicable. This information will not be released to the public.*

***MONEY SERVICES BUSINESSES***

- 1. Total Money Services Businesses.....
- 2. Dealers in Foreign Exchange.....
- 3. Check Cashers.....
- 4. Monetary Instruments.....
- 5. Money Transmitters.....
- 6. Provider of Prepaid Access.....
- 7. Seller of Prepaid Access.....

Number of Accounts	Acct Code	Amount	Acct Code
	1050		1050A
	1051		
	1052		
	1053		
	1054		
	1055		
	1056		