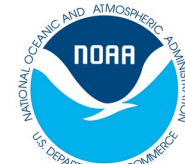




THE UNITED STATES OF AMERICA  
DEPARTMENT OF COMMERCE  
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION  
NATIONAL MARINE FISHERIES SERVICE  
ANTARCTIC MARINE LIVING RESOURCES



*Application for Pre-Approval Certificate to Import Frozen Toothfish*

1. NAME OF IMPORTER (Contact Person): \_\_\_\_\_ PERMIT #: \_\_\_\_\_  
 COMPANY NAME (Business): \_\_\_\_\_  
 COMPANY ADDRESS: \_\_\_\_\_  
 CITY: \_\_\_\_\_ ST: \_\_\_\_\_ ZIP: \_\_\_\_\_  
 PHONE NUMBER: \_\_\_\_\_ EMAIL ADDRESS: \_\_\_\_\_

2. ESTIMATED DATE OF ARRIVAL: \_\_\_\_\_

3. PORT OF ARRIVAL: \_\_\_\_\_  
 PORT OF UNLADING IF DIFFERENT THAN PORT OF ARRIVAL: \_\_\_\_\_

4. CONSIGNEE(S) OF PRODUCT: \_\_\_\_\_

5. AMOUNT TO BE IMPORTED (in kgs): \_\_\_\_\_

6. U.S. CUSTOMS 7501 NUMBER: ...../...../...../...../...../...../...../...../...../...../.....  
 (must be 11 digits/characters long; to be supplied at least 3 working days prior to shipments arrival)

7. SHIPPING LINE AND CONTAINER NUMBERS FOR EACH CONTAINER IN THIS SHIPMENT:  
 \_\_\_\_\_

*Items 8 and 9 below are found on the Dissostichus Catch Document (DCD) and/or Dissostichus Export Document (DED):*

8. DOCUMENT NUMBER OF DCD(S): \_\_\_\_\_

9. EXPORT CODE: \_\_\_\_\_

10. SIGNATURE: \_\_\_\_\_ TITLE: \_\_\_\_\_ DATE: \_\_\_\_\_

The non-refundable application fee of \$200.00 must accompany each application. Submittal of the application and accompanying payment and documents does not guarantee issuance of the pre-approval certificate. As the product is prohibited from release into the United States unless it has been pre-approved for import, dealers are cautioned to obtain the pre-approval certificate before engaging in transactions to ship the product.

The completed application with Dissostichus Catch Documents and Dissostichus Export Document must be received by NMFS, National Seafood Inspection Laboratory (see address below) **at least 10 working days prior to the shipment's arrival into port**, with the exception of the U.S. Customs 7501 number which can be supplied at a later date but no later than 3 working days prior to the shipment's arrival into port.

Send application and fee by check payable to Department of Commerce, NOAA and catch documents to:

National Marine Fisheries Service  
National Seafood Inspection Laboratory  
3209 Frederic Street  
Pascagoula, MS 39567  
Attention: CCAMLR Data Management

## INSTRUCTIONS FOR THE APPLICATION FOR PRE-APPROVAL TO IMPORT FROZEN TOOTHFISH

Completion of this application is required to seek approval to import frozen toothfish. **Importers are encouraged to submit the application as early as possible, but no later than 10 working days prior to the date of arrival of the shipment in port.** This information enables NMFS to track all imports and ensure that each import would be in compliance with the Antarctic Marine Living Resources Convention Act (16 U.S.C. 2431 *et seq.*) and applicable regulations under 50 CFR part 300, subparts A and G that implement conservation measures adopted by the Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR). The conservation measures adopted by CCAMLR are available at the CCAMLR website – [www.ccamlr.org](http://www.ccamlr.org).

1. Provide contact name, company name, business address, phone and email address, and current AMLR permit number of entity applying for the pre-approval to import frozen toothfish prior to import.
2. Provide known or estimated date of arrival of shipment.
3. Provide port of arrival of shipment (also list port of unloading if different than port of arrival).
4. Provide company name of consignee of product, if consignee is the same as importer then place NA in space provided.
5. Provide amount (net weight in kgs) to be imported in shipment. Note: the amount must match amount listed on DED within 10%.
6. Provide U.S. Customs 7501 Number (11 digits/characters) to be used for shipment. **Note: This can be provided at a later date but no later than 3 working days prior to the shipments arrival in port.**
7. Provide the shipping line information, ship name and container number(s) for each container.
8. Provide the Document Number(s) of Dissostichus Catch Document(s), taken from the top left corner of the document.
9. Provide the Export Code that is on the Dissostichus Export Document(s), the 12-digit code on the top right corner.
10. Application must be signed, with title of person signing if for a company.

If you have any questions, please contact the National Marine Fisheries Service, 3209 Frederic Street, Pascagoula, MS 39567; phone number: (228) 769-8964.

NOTE: No resources may be imported in violation of conservation measures adopted by CCAMLR or U.S. regulations under 50 CFR Part 300, subparts A and G.

NMFS can only authorize imports of Antarctic Marine Living Resources (AMLR) that are harvested by vessels licensed to fish AMLR by their flag states and that fish consistent with CCAMLR conservation measures that are in force with respect to the United States. Under AMLRCA section 306(3), 16 U.S.C. 2435(3) it is unlawful for any person to ship, transport, offer for sale, sell, purchase, import, export, or have custody, control of, any AMLR (or part thereof) which was harvested in violation of CCAMLR conservation measures in force with respect to the United States or in violation of any regulation promulgated under this title, without regard to the citizenship of the person that harvested, or vessel that was used in the harvesting of, the AMLR (or part or product thereof). This is in addition to making it unlawful to import without the necessary approvals and permits. Thus, this section applies to brokers and any other entity offering to sell AMLR, whether that entity is an importer of record or not, and whether the resource enters the U.S. market or an overseas market.

**Paperwork Reduction Act Notice:** Pre-approval of toothfish imports enables the National Marine Fisheries Service (NMFS) to track all imports and ensure that each import would be in compliance with all applicable regulations. Public reporting burden for completing this form is estimated to average 15 minutes per response, including the time reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Notwithstanding any other provision of the law, no person is required to respond nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to NMFS (F/IS) 1315 East West Highway, Attention: CCAMLR Permit Office, Silver Spring, Maryland, 20910.

NMFS will treat any trade secrets or confidential business information submitted in an application as exempt from public disclosure under the Freedom of Information Act if authorized under the Act.