



PRIVACY IMPACT ASSESSMENT (PIA)

For the

Recreational Use and Expenditure Survey

US Army Corps of Engineers

SECTION 1: IS A PIA REQUIRED?

a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).

- (1) Yes, from members of the general public.
- (2) Yes, from Federal personnel* and/or Federal contractors.
- (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.
- (4) No

* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.

c. If "Yes," then a PIA is required. Proceed to Section 2.

SECTION 2: PIA SUMMARY INFORMATION

a. Why is this PIA being created or updated? Choose one:

- New DoD Information System
- New Electronic Collection
- Existing DoD Information System
- Existing Electronic Collection
- Significantly Modified DoD Information System

b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?

- Yes, DITPR Enter DITPR System Identification Number
- Yes, SIPRNET Enter SIPRNET Identification Number
- No

c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?

- Yes
 - No
- If "Yes," enter UPI

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

- Yes
 - No
- If "Yes," enter Privacy Act SORN Identifier

DoD Component-assigned designator, not the Federal Register number.
Consult the Component Privacy Office for additional information or
access DoD Privacy Act SORNs at: <http://www.defenselink.mil/privacy/notices/>

or

Date of submission for approval to Defense Privacy Office
Consult the Component Privacy Office for this date.

e. Does this DoD information system or electronic collection have an OMB Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes

Enter OMB Control Number

In progress

Enter Expiration Date

No

f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

42 U.S.C. 1962-2, Congressional statement of objectives; 16 U.S.C. 460d, The Flood Control Act of 1944, as amended; 33 U.S.C. 652, Upper Mississippi River Management; 16 U.S.C. 460(L)(12)-460(L)(21), The Federal Water Project Recreation Act of 1965, as amended; 33 U.S.C. 2215, The Water Resources Development Act of 1986, as amended; 33 U.S.C. 2320, The Water Resources Development Act of 1990, as amended; Senate Document No. 97, 87th Congress., 2nd, Session. (1964): Policies, Standards, and Procedures in the Formulation, Evaluation, and Review of Plans for use and Development of Water and Related Land Resources; ER 1165-2-400, Recreational Planning, Development, and Management Policies; ER 1130-2-550, Recreation Operations and Maintenance Policies.

g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

To identify, quantify and evaluate recreation use and expenditures for planning, feasibility studies, environmental assessments, environmental impact statements, development of visitation models, and estimates of economic benefits for both existing water resource projects and proposed water resource development. Members of the public including individuals and groups, who have visited, are visiting, or plan to visit U.S. Army Corps of Engineers Water Resource Projects for the purpose of recreation activities are interviewed as they exit the recreation area. Data is captured directly on a government computer. E-mail address is requested for the purposes of sending a follow-up survey regarding their use and expenditures. Participation in the interview and the follow-up survey is voluntary.

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

Demographic information captured in addition to e-mail address includes gender, age range, race, ethnicity, and income range. E-mail address used for the purposes of the follow-up economic survey and is scrubbed from all records following the data collection effort during the data review and cleaning process. The remaining information in the electronic record that is stored contains no PII. Unique survey ID and identifying numbers related to the survey location are the only way to pull specific records.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)? Indicate all that apply.

Within the DoD Component.

Specify.

Within the USACE, both the Engineer Research Development Center and Institute for Water Resources collaborate on this information collection and utilize the data

Other DoD Components.

Specify.

Other Federal Agencies.

Specify.

State and Local Agencies.

Specify.

Contractor (Enter name and describe the language in the contract that safeguards PII.)

Specify.

Follow-up survey data is collected via web survey and stored on a secure server. Follow-up survey passed through the organizations human subject review. Email address are separated from the information provided on the survey at the conclusion of the research effort.

Other (e.g., commercial providers, colleges).

Specify.

i. Do individuals have the opportunity to object to the collection of their PII?

Yes

No

(1) If "Yes," describe method by which individuals can object to the collection of PII.

At the beginning of the interview, respondents are advised that the survey is voluntary and provided an information card with the privacy statement, agency disclosure, and OMB control number. At the point of collection for the e-mail they are asked if they are willing to participate in a web based follow-up survey. At the start of the web based follow-up survey they are again advised that participation is voluntary, provided privacy statement, agency disclosure and OMB control number.

(2) If "No," state the reason why individuals cannot object.

j. Do individuals have the opportunity to consent to the specific uses of their PII?

Yes

No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

The e-mail is used solely for the web-based follow-up survey and they voluntarily provide their e-mail address for that purpose.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

k. What information is provided to an individual when asked to provide PII data? Indicate all that apply.

- | | |
|--|--|
| <input checked="" type="checkbox"/> Privacy Act Statement | <input type="checkbox"/> Privacy Advisory |
| <input type="checkbox"/> Other | <input type="checkbox"/> None |

Describe each applicable format.

Respondents are interviewed in person as they exit recreation areas. A business card is provided to them with the Privacy Statement and OMB Control number. On the face of the card is the OMB control number and expiration with a website to visit for more information on the survey. For the web-based follow-up survey, the Privacy Statement is repeated on the invitation letter and opening page of the survey before the respondent continues and provides any information. Text on the card is as follows:

Privacy Act Statement

Authority: 42 U.S.C. 1962-2, Congressional statement of objectives; 16 U.S.C. 460d, The Flood Control Act of 1944, as amended; 33 U.S.C. 652, Upper Mississippi River Management; 16 U.S.C. 460(L)(12)-460(L)(21), The Federal Water Project Recreation Act of 1965, as amended; 33 U.S.C. 2215, The Water Resources Development Act of 1986, as amended; 33 U.S.C. 2320, The Water Resources Development Act of 1990, as amended; Senate Document No. 97, 87th Congress., 2nd, Session. (1964): Policies, Standards, and Procedures in the Formulation, Evaluation, and Review of Plans for use and Development of Water and Related Land Resources; ER 1165-2-400, Recreational Planning, Development, and Management Policies; ER 1130-2-550, Recreation Operations and Maintenance Policies.

Principal Purpose: The information you provide will be combined with other visitor's information to develop visitation and economic estimates and aid in project development and improvement. Your email address is requested as a means of sending you a follow-up survey to collect additional data about your visit and expenditures at this recreation area. Your email address will only be used by persons doing the survey.

Routine Use: Law Enforcement, Disclosure to the Department of Justice for Litigation, Data Breach Remediation Purposes, Disclosure of Information to the General Services Administration. For more details on these routine uses, visit <http://dpcl.d.defense.gov/Privacy/SORNsIndex/Blanket-Routine-Uses/>

Disclosure: Participation in this survey is voluntary and there are no penalties for refusing to provide any information. If you do not provide your name and email address we will not be able ask follow-up questions which may affect the completeness and accuracy of the statistical results.

NOTE:

Sections 1 and 2 above are to be posted to the Component's Web site. Posting of these Sections indicates that the PIA has been reviewed to ensure that appropriate safeguards are in place to protect privacy.

A Component may restrict the publication of Sections 1 and/or 2 if they contain information that would reveal sensitive information or raise security concerns.