Form Approved
OMB No. 0938-0062

INTERMEDIATE CARE FACILITIES FOR INDIVIDUALS WITH INTELLECTUAL DISABILITIES SURVEY REPORT

1. Name of Facility	2. Street Address	3. City and/or County	4. State	5. ZIP Code

6. Medicaid Provider No.	7. Name of CEO	8. Telephone No W1

9. State/Region code W2	10. State/County code W3	11. Dates of Survey (Begin) Month / Day / Year W4	(End) Month / Day / Year W5

12. Type of Ownership or Control (enter number in box below W6

☐ 1. Private (non-profit) 3. State 5. County 7. Other (specify)_____
2. Private (proprietary) 4. City/Town 6. City/County

13. Is this ICF/IID a distinct part of a Hospital, SNF or NF? W7

☐ Yes ☐ No

14. If "Yes" to block 13, indicate either W8

A. Hospital Provider No. ☐☐☐☐☐☐
B. SNF Provider No. ☐☐☐☐☐☐
C. NF Provider No. ☐☐☐☐☐☐

15. Survey Team Composition

Column 1: Indicate the number of disciplines represented on the Survey team.

Column 2: Of the number in column 1 represented on the Survey team, indicate the number who also qualify as a QIDP. Indicate Name(s) and Title(s) on last page of this form.

	W9	W10
A. Administrator	☐☐	
B. Nurse	☐☐	
C. Dietitian	☐☐	
D. Pharmacist	☐☐	
E. Records Administrator	☐☐	
F. Social Worker	☐☐	
G. LSC Specialist	☐☐	
H. Laboratorian	☐☐	
I. Sanitarian	☐☐	
J. Therapist	☐☐	
K. Physician	☐☐	
L. Psychologist	☐☐	
M. Other (specify)	☐☐	
N. Total number of Surveyors onsite	W13 ☐☐	
O. Total number of QIDP Surveyors onsite	W12 ☐☐	

17. Staffing: List the full time equivalents who function in this capacity:

A. Direct Care Personnel W23
(483.430(d)(3)) ☐☐☐☐.☐☐

B. Registered Nurse W24
(483.480(d)(3)) ☐☐☐☐.☐☐

C. Licensed Voc./Practical Nurse W25
(483.480(d)(2)) ☐☐☐☐.☐☐

D. Total Personnel W26 ☐☐☐☐.☐☐
(List the Full Time Equivalent for all employees)

16. Facility Data

A. Is this ICF/IID a residential unit within a larger organization or agency in the State that provides residential services to individuals with intellectual disabilities? (check one) ☐ Yes ☐ No W13
If "No", proceed to item C.

B. If "Yes," indicate name and address of larger organization.

Name

Address

City	State	ZIP Code

Name of CEO

Total Number of Beds W14 ☐☐☐

Total Number of Clients W15 ☐☐☐
(including ICF/IID clients directly served)

C. Total Number of ICF/IID Clients W16 ☐☐☐

D. Is this ICF/IID community-based? *(check one)* W17 ☐ Yes ☐ No

E. Total number of ICF/IID beds under this Provider No W18 ☐☐☐

F. Total number of discrete living units under this Provider No.. W19 ☐☐☐

G. Age range of clients served.....from W20 ☐☐ to W21 ☐☐

H. Total number of off-campus day program sites used by ICF/IID clients W22 ☐☐

18. Off-Campus Day Programs:

A. How many clients in the sample attend off-campus day programs? W27 ☐☐☐

B. In how many off-campus day program sites was an observation done by the Surveyor? W28 ☐☐☐

20. Individual Characteristics (Note: The total number in Items B-L (Col.(a)) may exceed the facility's population because some clients have multiple disabilities)

A.		
(1) Age		
under 22(a)		W29
22-45 (b)		W30
46-65 (c)		W31
66+ (d)		W32
[redacted] Total		W33
(2) SEX		
Male		W34
Female		W35
[redacted] Total		W36
B. DISABILITIES		
(1) Intellectual Disability		
Mild		W37
Moderate		W38
Severe		W39
Profound		W40
[redacted] Total		W41
(2) Autism		W42
(3) Cerebral Palsy		W43
(4) Epilepsy		
Controlled		W44
Uncontrolled		W45
[redacted] Total		W46

C. OTHER DISABILITIES		
(1) Non-ambulatory		
Mobile		W47
Non-Mobile		W48
[redacted] Total		W49
(2) Speech/Language Impairment		W50
(3) Hearing Impairment		
Hard of Hearing		W51
Deaf		W52
[redacted] Total		W53
(4) Visual Impairment		
Impaired		W54
Blind		W55
[redacted] Total		W56
D. MEDICAL CARE PLAN		W57
E. DRUGS TO CONTROL BEHAVIOR		W58
F. PHYSICAL RESTRAINTS		W59
G. TIME-OUT ROOMS		W60
H. APPLICATION OF PAINFUL OR NOXIOUS STIMU		W61
I. NUMBER ATTENDING OFF-CAMPUS DAY PROGRAM		W62
J. NUMBER OF COURT ORDERED ADMISSIONS		W63
K. NUMBER OF CLIENTS OVER AGE 18 WITH A LEGAL GUARDIAN ASSIGNED BY THE COURT		W64
L. OTHER (specify)		
(1)		W65
(2)		W66
(3)		W67

M. ALLEGATIONS OF ABUSE AND NEGLECT	
no. of allegations of abuse investigated (a)	W68
no. of allegations of neglect investigated (b)	W69
[redacted] Total	W70

N. NUMBER OF DEATHS	
no. of deaths related to unusual incidents (a)	W71
no. of deaths related to restraints (b)	W72
no. of deaths for any reason (c)	W73
[redacted] Total	W74

ALLEGATIONS OF ABUSE AND NEGLECT AND NUMBER OF DEATHS DATA ENTRY INSTRUCTIONS

M. Allegation of abuse and neglect

(W68) Number of allegations of abuse investigated.
(W69) Number of allegation of neglect investigated.

According to 42CFR §488.301:

Abuse is the willful infliction of injury, unreasonable confinement, intimidation or punishment with resulting physical harm, pain or mental anguish.

Neglect is the failure to provide goods and services necessary to avoid physical harm, mental anguish or mental illness.

Consistent with the referenced definitions, enter the number of allegations of abuse and or neglect investigated, including investigations resulting from complaints, follow ups, initials or recertifications.

If there is no information to report, leave the field blank.

(W70) Total
This field represents a combined total of W68 (allegations of abuse investigated) and W69 (allegations of neglect investigated). The total for this field is program generated therefore, no data input is necessary.

N. Number of Deaths

(W71) Number of deaths related to unusual incidents.
Insert the number of deaths that occurred as a result of unusual incidents. This includes all unexpected or unanticipated deaths not included in W72 or W73.

(W72) Number of death related to restraints.
Insert the number of deaths that occurred as a result of the use of restraints.

(W73) Number of deaths for any reason.
Insert the number of deaths occurring for any reason. Do not include information contained is W71 and W72 above.

(W74) Total
This field represents a combined total of W71 (number of deaths related to unusual incidents), W72 (number of deaths related to restraints), and W73 (number of deaths for any reason).
The total for this field is program generated; therefore, no data input is necessary.