

**Supporting Statement for Forms SSA-1383 and SSA-1383-FC**  
**Student Reporting Form**  
**20 CFR 404.352(b)(2), 404.367, 404.368, 404.415, 404.434, 422.135**  
**OMB No. 0960-0088**

**A. Justification**

**1. Introduction/Authoring Laws and Regulations**

The Social Security Administration (SSA) uses Forms SSA-1383 and SSA-1383-FC, Student Reporting Forms, when a student beneficiary reports a change in circumstances that could affect continued entitlement to, and receipt of benefits (per Section 20 CFR 422.135 of the *Code of Federal Regulations*). The authority to collect the information requested on Form SSA-1383 is contained in the *Social Security Act* in sections 202(d), 203(f) and 202(x)(1), which sets forth how earnings; marriage; change in school attendance; and incarceration affect a child's continuing entitlement to benefits if the child is a student. If (1) annual earnings exceed a prescribed amount, and (2) the student beneficiary either earned over the monthly limit or rendered substantial services in self-employment, SSA may reduce or suspend benefits per 20 CFR 404.415 and 404.434. Section 20 CFR 404.352(b)(4) states that marriage will terminate the student's benefits, except in rare instances. Sections 20 CFR 404.367, and 404.368 provide that a student beneficiary must be in full-time attendance at an educational institution to qualify for benefits.

**2. Description of Collection**

Section 20 CFR 422.135 requires beneficiaries to report the occurrence of certain events that may result in the suspension or termination of benefits. To obtain or keep benefits, we do not require respondents to complete the collection. The collection is voluntary. SSA employees administer the information collection (IC) during an in-office interview, telephone interview, or through receipt of a mailed form. SSA employees may collect information on forms SSA-1383 and SSA-1383-FC. SSA employees may assist respondents in completing any forms. Respondents may provide information personally or solicit information from others to complete the IC. Generally, we conduct this information collection one time. The respondents are Title II student beneficiaries.

**3. Use of Information Technology to Collect the Information**

We created electronic versions of Forms SSA-1383 and SSA-1383-FC, Student Reporting Forms. During in-office interviews, SSA employees enter the information into the Post-entitlement Online System (POS), or the Manual Adjustment Credit and Award Data Entry (MACADE) system.

**4. Why We Cannot Use Duplicate Information**

The nature of the information we collect and the manner in which we collect it preclude duplication. SSA does not use another collection instrument to obtain similar data.

5. **Minimizing Burden on Small Respondents**  
This collection does not affect small businesses or other small entities.
6. **Consequence of Not Collecting Information or Collecting it Less Frequently**  
If we did not use Forms SSA-1383 and SSA-1383-FC, the public would have fewer methods for reporting events, which may result in the suspension or termination of benefits per *20 CFR 422.135*. Since we collect the information on an as-needed basis, we cannot collect it less frequently. There are no technical or legal obstacles to burden.
7. **Special Circumstances**  
There are no special circumstances that would cause SSA to conduct this information collection in a manner inconsistent with *5 CFR 1320.5*.
8. **Solicitation of Public Comment and Other Consultations with the Public**  
The 60-day advance Federal Register Notice published on February 14, 2017, at 82 FR 10623, and we received no public comments. SSA published the second Notice on April 18, 2017 at 82 FR 18335. If we receive comments in response to the 30-day Notice, we will forward them to OMB.
9. **Payment or Gifts to Respondents**  
SSA does not provide payments or gifts to the respondents.
10. **Assurances of Confidentiality**  
SSA protects and holds confidential the information it collects in accordance with *42 U.S.C. 1306*, *20 CFR 401* and *402*, *5 U.S.C. 552* (Freedom of Information Act), *5 U.S.C. 552a* (Privacy Act of 1974), and OMB Circular No. A-130.
11. **Justification for Sensitive Questions**  
The information collection does not contain any questions of a sensitive nature.
12. **Estimates of Public Reporting Burden**
- | Modality of Collection | Number of Respondents | Frequency of Response | Average Burden per Response (minutes) | Estimated Annual Burden (hours) |
|------------------------|-----------------------|-----------------------|---------------------------------------|---------------------------------|
| SSA-1383               | 74,887                | 1                     | 6                                     | 7,489                           |
| SSA-1383-FC            | 1,247                 | 1                     | 6                                     | 125                             |
| <b>Totals</b>          | <b>76,134</b>         |                       |                                       | <b>7,614</b>                    |
- The total burden for this information collection is 7,614 hours. This figure represents burden hours, and we did not calculate a separate burden cost.
13. **Annual Cost to the Respondents (Other)**  
This collection does not impose a known cost burden on the respondents.

14. **Annual Cost To Federal Government**  
The estimated annual cost to the Federal Government is approximately \$115,500. This estimate is a projection of the costs for printing and distributing the collection instrument and for collecting the information.
15. **Program Changes or Adjustments to the Information Collection Request**  
There are no changes to the public reporting burden for Form SSA-1383. Due to an increase in students outside the United States, there is an increase in usage of Form SSA-1383-FC.
16. **Plans for Publication Information Collection Results**  
SSA will not publish the results of the information collection.
17. **Displaying the OMB Approval Expiration Date**  
OMB granted SSA an exemption from the requirement to print the OMB expiration date on its program forms. SSA produces millions of public-use forms with life cycles exceeding those of an OMB approval. Since SSA does not periodically revise and reprint its public-use forms (e.g., on an annual basis), OMB granted this exemption so SSA would not have to destroy stocks of otherwise useable forms with expired OMB approval dates, avoiding Government waste.
18. **Exceptions to Certification Statement**  
SSA is not requesting an exception to the certification requirements at 5 *CFR* 1320.9 and related provisions at 5 *CFR* 1320.8(b)(3).

**B. Collections of Information Employing Statistical Methods**

SSA does not use statistical methods for this information collection.