Instructions

To gauge economic impacts of proposed and existing regulations, federal fishery managers must have accurate baseline economic information for the West Coast Swordfish Fishery. Without this information, an accurate assessment of the potential economic impacts of fishery conservation and management cannot be made, making it difficult for fishery managers to best protect the economic interests of fishery participants.

We have developed an in-person survey to provide vital economic information about the West Coast Swordfish Fishery regarding the harvesters, fishing vessels, spotter plane pilots, aircraft, and processors. Section 1 is to be completed only by fishermen who operate drift gillnet, harpoon, longline, or deep set buoy gear fishing vessels; Sections 2, 3, 4, and 5 are specifically for participants in each of those respective fisheries; Section 6 is only for spotter plane pilots; Section 7 is only for fish processors, and Section 8 is only for completion by former swordfish participants who are no longer fishing swordfish. Section 9 is for <u>all</u> participants to express their opinions.

It is very important that you understand that this is a voluntary survey. Any information submitted to NMFS in response to this survey will not be disclosed at an individual level except to: (1) Dr. Doyle Hanan, the federal contractor administering the survey who is bound to release data only to NMFS; (2) NMFS employees and Pacific Fishery Management Council employees who are responsible for fishery monitoring; (3) state employees pursuant to an agreement with the Secretary of Commerce that prevents the disclosure of this information; or (4) when required by court order. The public reporting burden for completing this form is estimated to average one (1.0) hour per response. No data submitted by any participant will be publicly released in a manner which allows identification of individual proprietary information. Any publicly released information will be grouped to include no fewer than two (2) other individuals.

The survey will be conducted at your home port or other convenient location that you and Doyle Hanan choose. We will call you in the next couple weeks to set up this appointment or if we miss you, please call Doyle to set up a convenient time (858-518-2233). Thank you very much for your participation.

Dr. Doyle Hanan Hanan & Associates, Inc. PO Box 8914 Rancho Santa Fe, CA 92067 858.518.2233

Email: doyle@hanan.associates

Send comments regarding this survey or burden estimate to:

Dr. Stephen Stohs NMFS SWFSC 8604 La Jolla Shores Drive La Jolla, CA 92037-1508 Stephen.Stohs@noaa.gov 858.546.7084

Section 1: Vessel Information for Drift Gillnet, Harpoon, Longline or Deep Set Buoy Gear <u>Only</u>

1	Owner: Operator:					
2	Captain:					
3	Vessel Name:					
4	Position of person filling out form:					
5	Contract captain used? 2015-2016 □ Yes	□No	2016-201	7 □ Yes □ No		
	2015-2016 % of time	· ·	2016-201	7 % of time:		
6	Year current captain was born		19			
7	Captain: years fishing		yrs	3		
8	Captain: years operating current fishing vessel	-	yrs	3		
9	Year vessel purchased					
10	Purchase price	\$				
11	Estimated replacement value of vessel	\$				
12	Insured replacement value of vessel	\$				
13	Vessel purchase loan principle balance	\$				
14	Vessel loan payments	\$		yr/mo?		
15	Time remaining on this loan	Yrs Mos				
16	Vessel depreciation and amortization	2015-2016	\$	2016-2017 \$		
17	Capital Construction Fund (CCF) contributions	2015-2016	2016-2017 \$			
18	Total Insurance cost: (vessel, liability, and health combined)	2015-2016	\$	2016-2017 \$		
19	Do you participate in a cooperative fishermen's pool?		Yes □ No)		
20	Electronics (Check all on vessel): \Box INMARSAT \Box Cellu					
	□ Video plotter □ Autopilot □ Satellite navigation □ Compu		under \square Ra	dar □ Sonar □ GPS		
24	□ Doppler Radar □ Temperature sensor □ Weather fax □ Other			201C 2017 ft		
21	Total Electronics Cost	2015-16 \$ 2016-2017 \$				
22	Refrigeration: □ Brine Spray, □ Chiller, □ Blast Freeze	□ Other				
a)	Year purchased					
b)	Purchase price	\$	Φ.	1 2016 201 - #		
c)	Maintenance Costs	2015-2016	-	2016-2017 \$		
23	Icemaker?	□ Yes	□No			
a)	Year purchased	dr.				
b)	Purchase price	\$				
24	Total crew per trip (exclude captain)	Crew:	2040	2046 2045		
25	Fishing shares (percentage or actual cash)	2015-2		2016-2017		
<u>a)</u>	Boat /Vessel	% or		% or \$		
<u>b)</u>	Captain	% or		% or \$		
<u>c)</u>	2 nd Captain	% or		% or \$		
<u>d)</u>	Engineer	% or		% or \$		
e)	Crew	% or	\$	% or \$		

Section 1: Continued

		2015-2016 ↓	2016-2017 ↓	
26	Annual mooring or slip / berthing fees (if charged separately)	\$	\$	
27	Shipping costs	\$	\$	
28	Annual fuel and oil costs If Total:	\$	\$	
a)	Fuel If Separate:	\$	\$	
b)	Oil If Separate:	\$	\$	
29	Annual food costs	\$	\$	
30	Engine replacement costs Year	\$	\$	
31	Annual total vessel repairs and maintenance	\$	\$	
a)	Does this include haul out fees?	□ Yes □ No	□ Yes □ No	
b)	Haul out fee if charged	\$	\$	
32	Fishing association membership dues (Please circle month or year)	\$ Mo/Yr	\$ Mo/Yr	
33	Total license fees (local, state, federal, combined)	\$	\$	
34	Total County or Landing, vessel and berthing fees	\$	\$	
35	Total business travel (car, airplane, train, other)	\$	\$	
36	Total vehicle expenses	\$	\$	
a)	Cost of new vehicle if purchased	\$	\$	
37	Off-vessel fish storage/refrigeration expenses	\$	\$	
38	Office expenses	\$	\$	
39	Total fishing trips per year this vessel (all types of commercial fishing)	trips	trips	
40	Total days per trip on average	days	days	
41	Total days fished per trip on average	days	days	
42	Did you use a spotter plane?	□ Yes □ No	□ Yes □ No	
43	For how many trips did you use a spotter plane?	trips	trips	
44	Do you own the plane? (If yes please fill out Section 6)	□ Yes □ No	□ Yes □ No	
45	If rental plane, what was the rental fee?	\$ per	\$ per	
46	Who is responsible for paying for fuel and oil for the plane?	1	•	
a)	Total fuel cost (if known):	\$	\$	
b)	Total oil cost (if known):	\$	\$	
c)	Total if combined (if known):	\$	\$	
47	If pilot was paid a share or percentage of catch/gross/etc. please provide:	% or \$	% or \$	
48	If pilot was paid a flat-rate, please provide:	\$ per	\$ per	
49	Do you share the cost of a spotter plane or pilot with others? □Yes□ No indicate your share as a percentage or dollar amount (please circle units)	% or \$ per: Day/Mo/Yr	% or \$ per: Day/Mo/Yr	
50	How did you decide to use the spotter plane? \square Weather \square Availability \square Spotter Ability \square Cost \square Increased catch (Check all that apply)	☐ Other: ☐ Other:		
51	Did increased catch justify the cost of using the plane?	□ Yes □ No	□ Yes □ No	

Section 2: Questions for Drift Gillnet Fishery (DGN) Participants Only

2015-2016 \(\perp \) **2016-2017** \(\perp \)

							·	2010 2017 V		
1	Total fishing trips per			GN fishing)			trips	trips		
2	Total days per trip on average (DGN)						days	days		
3	Total days fished per	trip on avera	age (DG	N)			days	days		
4	Net configurations us	ed during 20	15-2016	and 2016-2017	and Targeted s	species:				
	In 2015-2016 net use	d for what fi	shery/sp	ecies (SWD, Sha	rk, Bluefin, Yel	lowfin, ot	her) List	Target Fish↓		
a)	Net 1: Length	(ft/fm)	Depth	(ft/fm)	Mesh	(inches)			
b)	Net 2: Length	(ft/fm)	Depth	(ft/fm)	Mesh	(inches)			
c)	Net 3: Length	(ft/fm)	Depth	(ft/fm)	Mesh	(inches)			
	In 2016-2017 net use	d for what fi	shery/sp	ecies (SWD, Sha	rk, Bluefin, Yel	lowfin, ot	her) List '	Target Fish↓		
d)	Net 1: Length	(ft/fm)	Depth	(ft/fm)	Mesh	(inches)			
e)	Net 2: Length	(ft/fm)	Depth	(ft/fm)	Mesh	(inches				
f)	Net 3: Length	(ft/fm)	Depth	(ft/fm)	Mesh	(inches)			
5	How much extra net ((auxiliary or	for repa	ir) kept on vessel	? Length:			(ft/fm)		
6	Current drift net total in	nitial cost				\$				
7	Current drift net year purchased				Year:	Year:				
a)	Current drift net remaining useful life expectancy							years		
b)	Current pingers branc	d and remain	ing					Ž		
	useful life expectancy	y					years			
c)	Drift net equipment c	costs		2015-2016 \$	'			2016-2017 \$		
d)	Drift net maintenance	e & repair co	st	,			2016-2017 \$			
e)	Lost gear, depredation	n, other cost	S,	2015-2016 \$)	2016-2	017 \$			
	Please Specify:									
					T					
8	Total Catch & Reven	ue		2015-2016	2015-2016	2016-20		2016-2017		
	Drift Gillnet Fishery			CATCH (Specify Units)	REVENUE	CATCH (Specify		REVENUE		
a)	Swordfish			(Specify Offics)	\$	(Specify		\$		
b)	Shark				\$			\$ \$		
c)	Tuna				\$			\$		
d)	Other (list)				\$			\$		
	Calci (110t)				<i>y</i>		'	*		
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Section 3: Questions for Harpoon Fishery Participants Only

	-	•		$\overset{\circ}{2}$	015-2016 ↓		2016-	2017 ↓
1	Total fishing trips per year this	vessel (HPN fishin	g)		trips			trips
2	Total days per trip on average	(HPN)			days			days
3	Total days fished per trip on av	verage (HPN)			days			days
4	Why do you harpoon? (Check ☐ Recreational ☐ Tax write-of ☐ Other:							
5	Percentage of your fishing inco	ome from harpoonin	ıg	2015-2016	%	2016	5-2017	%
6	How many harpooning trips di	d you fish other gea	ır?	201	5-2016		2016-2017	
a)	Troll				Trips			Trips
b)	Hook and Line				Trips			Trips
c)	Longline				Trips			Trips
d)	Pots				Trips			Trips
e)	Other				Trips			Trips
7	Total harpoon gear costs? (gur	ı, retrofitting, plank,		\$		\$		
	floats, line, and other		_)_					
8	Other fishing costs, Please Spe	ecity:		\$		\$		
9	Total Catch & Revenue	2015-2016	1	2015-2016	2016-201		2016-20	
	Harpoon Fishery	CATCH Specify	I	REVENUE	CATCH Spe	cify	REVEN	UE
		Units (#, lbs, or both)			Units (#, lbs, or bo	oth)		
a)	Swordfish	(#, 103, 01 0011)	\$		(#, 105, 01 00)(11)	\$	
b)	Shark		\$				\$	
c)	Tuna (Specify)		\$				\$	
<u>d)</u>	Other (please list):		\$				\$	

Section 4: Questions for Longline Participants Only

				20	15-2016	\downarrow	2016-2017 ↓
1	Total fishing trips per year this vessel (LL fishing) tri						trips
2	Total days per trip on average	(LL)			d	lays	days
3	Total days fished per trip on av	verage (LL)			d	lays	days
4	Longline gear purchase price					\$	
a)	Year purchased						
b)	Expected longevity						Yrs
c)	Longline length						Miles
5	Annual longline operating cost	S	7	Total if co	ombined:	\$	
a)	Gangion/branch lines			If	separate:	\$	
b)	Hooks			If	separate:	\$	
c)	Bait			If	separate:	\$	
d)	Trans-shipment costs			If	separate:	\$	
6	Total Catch & Revenue	2015-2016	2015-		2016-2		2016-2017
	Longline Fishery	CATCH (Specify	REVE	NUE	CATCH		REVENUE
2)	Swordfish	Units)	¢		Uni	ts)	¢
a) b)			\$				\$
<u> </u>	Shark		\$				\$
c)	Tuna		\$				\$
d)	Other (list)		\$				\$

Section 5: Questions for Deep Set Buoy Gear Participants Only 2015-2016 \downarrow 2016-2017 \downarrow

1	Total fishing trips per year this	vessel (DSBG fish	ing)		trips		trips
2	Total days per trip on average	days			days		
3	Total days fished per trip on av	rerage (DSBG)			days		days
4	Buoy Gear purchase price					\$	
a)	Year purchased						
b)	Expected longevity						Yrs
c)	Main Line length						Miles
5	Annual buoy gear operating co	osts		Total i	f combined:	\$	
a)	Gangion/branch lines				If separate:		
b)	Hooks				If separate:	\$	
c)	Bait				If separate:	\$	
d)	Trans-shipment costs				If separate:	\$	
6	Total Catch & Revenue	2015-2016		015-2016	2016-201		2016-2017
	Longline Fishery	CATCH (Specify	R	EVENUE	CATCH (Sp	ecify	REVENUE
		Units)			Units)		
a)	Swordfish		\$				\$
b)	Shark		\$				\$
c)	Tuna		\$				\$
d)	Other (list)		\$				\$

Section 6: Questions for Spotter Plane Pilot or Owner Only

Year pilot was born 19	1	Owner:		Operato	r:					
4 Type of airplane Year built 5 Year purchased \$ 7 Purchase price \$ 8 Estimated replacement value of airplane \$ 9 Insured replacement value of airplane \$ 10 Airplane purchase loan principle balance \$ 11 Airplane loan payments \$ per 12 Time remaining on this loan yrs mos 13 Airplane depreciation and amortization \$ 14 Electronics (Check all on airplane): □ INMARSAT □ Cellular phone □ VHF radio □ CB Radio □ CSB Radio □ CDmputer □ Radar □ GPS □ Other: 15 Total Electronics Cost Insurance cost: (vessel, liability, and health combined) 2015-2016 \$ 2016-2017 \$ 16 Total Insurance cost: (vessel, liability, and health combined) 2015-2016 \$ 2016-2017 \$ 17 Engine(s) horse power hp hp hp 18 Fuel consumption gal/hr gal/hr gal/hr 19 Annual Operating Costs: gal gal gal a) Number of gallons of fuel and oil (combined or split) gal gal gal c)	2	Year pilot was born						19		
5 Year built Year purchased 7 Purchase price \$ 8 Estimated replacement value of airplane \$ 9 Insured replacement value of airplane \$ 10 Airplane purchase loan principle balance \$ 11 Airplane loan payments \$ per 12 Time remaining on this loan yrs mos 13 Airplane depreciation and amortization \$ 14 Electronics (Check all on airplane): □ INMARSAT □ Cellular phone □ VHF radio □ CB Radio □ SSB Radio □ Computer □ Radar □ GPS □ Other: 15 Total Electronics Cost 16 Total Insurance cost: (vessel, liability, and health combined) 2015-2016 \$ 2016-2017 \$ 17 Engine(s) horse power hp hp hp 18 Fuel consumption gal/hr gal/hr 19 Annual Operating Costs: a) Number of gallons of fuel and oil (combined or split) gal. gal a) Number of gallons of fuel and oil (combined or split) gal. gal b) Total fuel and oil costs while fishing (combined) 2015-2016 \$ 2016-2017 \$ c) Maintenance	3	Years as a spotter pilot							yrs	
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b) Shark Days Ave Hrs/day Days Ave Hrs/day										
			_	<u> </u>						
				<u> </u>						
	c)	Tuna	_	<u> </u>			Days			
d) Small Pelagics (Anch/Sard/Mac) Days Ave Hrs/day Days Ave Hrs/day		9 ,	_							
e) Other Days Ave Hrs/day Days Ave Hrs/day	—		Da			J				17
21Total Catch & Revenue Spotter Plane2015-20162015-20162016-20172016-2017CATCH (SpecifySpotter PlaneCATCH (SpecifySpotter Plane	21	Total Catch & Revenue Spotter Plane								
Units) Revenue Units) Revenue				1	"		,			
a) Swordfish \$	a)	Swordfish		,	\$					
b) Shark \$	b)	Shark			\$				\$	
c) Tuna \$					_					
d) Small Pelagics \$										
e) Other:	e)									
Explain:										

Section 7: Questions for Processor Participants Only

1	Owner:		
2	Operator:		
3	Business Name:		
4	Position of person filling out form:		
5	Corporation Type:		
6	Year incorporated:		
7	Year purchased:		
8	Purchase price: \$		
		2015-2016	2016-2017
9	Annual accountant fees	\$	\$
10	Annual Rent, Lease, or Mortgage costs (circle which applies)	\$	\$
11	Outstanding loan amounts	\$	\$
12	Total annual labor costs	\$	\$
13	Building & equipment maintenance	\$	\$
14	Insurance costs:		
a)	FDIC	\$	\$
b)	Workers comp	\$	\$
c)	Health insurance costs	\$	\$
d)	Longshore & Harbor workers	\$	\$
e)	Pension funding	\$	\$
15	Harbor fees	\$	\$
16	Telephone costs	\$	\$
17	Vehicle costs		
a)	Maintenance (non-vehicular)	\$	\$
b)	Insurance	\$	\$
c)	Fuel	\$	\$
d)	New purchases	\$	\$
18	Maintenance costs	\$	\$
19	Total swordfish purchased (please specify number or weight)		
20	Total cost swordfish purchased	\$	\$

Section 8: Questions for Former Swordfish Participant/Operator Only

1	Name owner/operator:			
2	Were you the Captain		□ Yes □ No	
3	Vessel Name			
4	Position person filling out form			
5	Last year fished			
6	Year captain was born		19	
7	Captain: years fishing			yrs
8	Captain: years operating fishing vessel			yrs
9	Do you currently participate in a commercial fishery? □Yes □No I	If yes,	which fishery(s)	
10	Why did you leave the Swordfish fishery (please briefly describe)?			
	Economics? □Yes □No			
	Degulations? TVos TNo			
	Regulations? □Yes □No			
	Other? □Yes □No			

Section 9: Additional West Coast Swordfish Fishery Survey <u>Questions for All Participants</u>

1.	How have you participated in the swordfish fishery either up to the present or in the past? Check all that apply.
	□ Longline fisherman
	□ Drift gillnet fisherman
	□ Harpoon fisherman
	□ Spotter pilot
	□ Processor plant manager or equivalent
	□ Skipper
	□ Vessel owner
	□ Other
_	
2.	
	you participate? (Please be specific regarding regulations and their impacts.)
3.	What gears should be authorized for use to target swordfish off the West Coast?
	□ Longline
	□ Drift gillnet
	□ Harpoon
	□ Buoy
	□ Other

Section 9: Continued

4.	Are hard caps for marine mammal and sea turtle species an effective by catch management tool? $\hfill\Box$ Yes
	□ No
	In a few words, please explain why you answered "Yes" or "No"
5.	Did you know the PFMC is soliciting applications for, and has issued Exempted Fishing Permits to target swordfish using deep-set buoy gear in California over the last few years?
6	Do you have any interest in applying for an Exempted Fishing Permit to target swordfish using deep-set buoy
0.	gear?
	\square No
	In a few words, please explain why you answered "Yes" or "No"

Section 9: Continued

7.	Should the level of commercial swordfish fishing activity on the West Coast of the U.S. change? ☐ Yes, commercial swordfish fishing activity on the U.S. West Coast should increase. ☐ No, commercial swordfish fishing on the U.S. West Coast should stay the same or decrease.
	In a few words, please explain why you answered "Yes" or "No"
8.	Are you concerned that changing environmental conditions (weather, local target or protected species abundance etc.) will affect the operation of the West Coast Swordfish Fishery or the related fishing community? — Yes, environmental change will affect the operation of the West Coast Swordfish Fishery. — No, environmental change will have no effect.
	If yes, in a few words, what are some impacts that you expect?

Section 9: Continued

9.	If the Pacific Fishery Management Council amended the Highly Migratory Species Fishery Management Plan to
	authorize shallow or deep-set longline fishing within the West Coast Exclusive Economic Zone to target
	swordfish, would you potentially be interested in participating?
	$\label{thm:constraint} \square \ \text{Yes, I would potentially be interested to participate in a West Coast Shallow-set Longline Swordfish Fishery.}$
	\square No, I would not be interested to participate.
	Explain in a few words why or why not.