Change to <u>Instructions and Form</u> for Form CMS-416: Annual Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) Participation Report

Effective for reporting period federal fiscal year 2016 (October 1, 2015 through September 30, 2016), with submission of Form CMS-416 by April 1, 2017.

(No changes are made to the Form CMS-416)

Section	Type of Change	Rationale for Change
C. Effective Date	Effective date of revised instructions	Change in instructions with new effective date (10/1/16).
D. Detailed Instructions - General	Clarify bullet to explain the data for Lines 3a – 14 (page 2), which now reads: The population for which the data is reported on Lines 3a – Line 14 are children from Line 1b, that is unduplicated counts of individuals enrolled for at least 90 continues days during the reporting period. Clarify definition/language for Categorically Needy and Medically Needy Eligibility Groups (page 2) to: Categorically Needy (MN) Eligibility Groups For purposes of reporting data on the CMS-416, children should be reported as medically needy (with or without spend down) or categorically needy (not medically needy) based on their status as of September 30th of the reporting federal fiscal year. If they weren't enrolled in Medicaid on September 30th because their eligibility was terminated prior to that date, their status should be reported as of the date they were terminated.	Clarification of how to submit the form and format. Clarified reporting requirements bullet points Clarified the definition of Categorically Needy and Medically Needy

	Crosswalk for Paperwork Reduction Act				
Section	Type of Change	Rationale for Change			
D. Dental lines	Added to Note A (page 7): For	This additional language is intended to assist the			
	each dental line (Lines 12a –	states in understanding that each dental line contains			
Notes A and B	12g), the universe of appropriate	specific instructions and appropriate procedures			
	procedure codes to report is	codes to utilize.			
	provided in the instructions				
	below (HCPCS or equivalent				
	CDT or CPT codes).				
	Added under Note B (page 7): IMPORTANT: Each dental line, Lines 12a-12g, collects information related to a type of dental service, a type of oral health service, or both. As described in Note B, this distinction relates to the type of provider who delivered the	Explained that it is necessary to review the provider type to fulfill reporting.			
	service. The instructions for each dental line specify the provider type(s) relevant to that line. It is important to pay close attention to				
	this part of the instructions, and to report on each line only services delivered (rendered) by the				
	type(s) of providers specified for that line. Rendering provider type can usually be discerned from the				
	claim form. For example: a child who received a fluoride varnish				
	treatment (D1208) from a dentist should be reported on Line 12b,				
	preventive dental service; a child				
	who received a fluoride varnish				
	treatment from a physician should be reported on Line 12f, oral				
	health service				

Crosswalk for Paperwork Reduction Act					
Section	Type of Change	Rationale for Change			
D. Line 12c	Removed reference to table 1	The Table 1 reference was a carryover from a			
Total Eligibles		previous version and is not needed.			
Receiving Dental					
Treatment					
Services					
D. Line 14	Revised instructions:				
Total Number of	Line 14 Total Number of	With this change will be asking states to identify the			
	Screening Blood Lead Tests	With this change, will be asking states to identify the			
Screening Blood Test	Enter the total number of screening blood lead tests furnished to	type of methodology used for reporting (count of CPT code, HEDIS or combination).			
1681	eligible individuals under the age	code, TEDIS of Combination).			
	of six from Line 1b (that is, with at	Included additional clarifications on how to report			
	least 90 continuous days of	using ICD-10 diagnosis codes.			
	enrollment during the federal fiscal	D 1 6 7777 0 11			
	year) under fee-for-service, prospective payment, managed	Removed reference to Z57.8 as this was an occupational code that would not be applicable.			
	care, or any other payment	occupational code that would not be applicable.			
	arrangements, based on an				
	unduplicated paid, unpaid, or				
	denied claim. Follow-up blood				
	tests performed on individuals who				
	have been diagnosed with or are				
	being treated for lead poisoning should not be counted. You may				
	use one of two methods, or a				
	combination of these methods, to				
	calculate the number of blood lead				
	screenings provided:				
	1) Count the number				
	of times CPT code 83655				
	("lead") for a blood lead test is reported within				
	certain ICD-10 CM codes				
	(see Note below); or				
	2) You may include				
	data collected from use of				
	the HEDIS®¹ measure				
	developed by the National Committee for Quality				
	Assurance to report blood				
	Assurance to report blood				

 $^{{\}bf 1}\, {\bf Health}\, {\bf Effectiveness}\, {\bf Data}\, {\bf and}\,\, {\bf Information}\, {\bf Set}$

lead screenings if your state had elected to use this performance measure.

You should identify, when submitting your Form CMS-416 to CMS, which of these methods you used to report this data. If a combination method was used, please clarify how the sources were combined.

On a claim. CPT code 83655 is the procedure code for blood lead level tests. States should report instances of CPT code 83655 which are accompanied by a diagnosis code that would indicate a person is receiving a screening blood lead test, such as a wellchild check (for example Z00.121 or Z00.129), exposure to lead (Z77.011), or encounter for screening for disorder due to exposure to contaminants (Z13.88), with or without secondary codes. CPT 83655, when accompanied by a diagnosis code of T56.0X1A-4A, T56.0X1D-4D, T56.0X1S-4S or a code in the M1A.1 series would generally indicate that the person receiving the blood lead test had already been diagnosed with, or was being treated for, lead poisoning. This would not be considered a screening test. States should not report CPT codes 83655 when accompanied by a diagnosis code of T56.0X1A-4A, T56.0X1D-4D, T56.0X1S-4S or a code in the M1A.1 series.

Header and Footer	Updated with version and date of change to reflect Version 4, as of August 19, 2016.	Provide the date for the most recent version of the instructions.
	Removed reference in the header that stated, "Pending Implementation of ICD-10".	ICD-10 has been implemented.
Appendix	Removed the Crosswalk of ICD-9 and ICD-10 code formatting and reference in the instructions.	Integrated ICD-10 codes into the instructions for Line 14 and Line 6, which removed the need to include an Appendix.
	Deleted the Appendices.	Removed the Crosswalk because the migration to ICD-10 should have occurred for most states and we want to encourage the use of ICD-10 codes when possible. We can address any questions on an individual state basis.
Form CMS-416	Added Line 14b	Added Line 14b for providing more insight on the methodology used in reporting lead screening services.