

# Federal Fish and Wildlife Permit Application Form

**Return to:** U.S. Fish and Wildlife Service (Enter address from Page 4 and 5 of application)

Type of Activity: Commercial export of skins of 6 native species: bobcat, Lynx, river otter, Alaskan brown bear, Alaskan gray wolf, and American alligator (*CITES*)

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details. **See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.** 

| A. Complete if applying as an individual               |                     |                                 |                           |   |                   |  |  |
|--|---------------------|---------------------------------|---------------------------|---|-------------------|--|--|
| 1.a. Last name   |                     | 1.b. First name                 |                           | 1.c. Middle name or initial                 | 1.d. Suffix       |  |  |
|  |                     |                                 |                           |   |                   |  |  |
| 2. Date of birth (mm/dd/yyyy)     3. Telephone number  |                     | 3.a. Alternate telephone number |                           | 4. E-mail address                           | 4. E-mail address |  |  |
|  |                     |                                 |                           |   |                   |  |  |
| B. Complete if applying on                             | behalf of a bu      | siness, co                      | rporation, publi          | c agency, Tribe, or institution             |                   |  |  |
| 1.a. Name of business, agency, Tribe, or institution   |                     | 1.b. Doing b                    | ousiness as (dba)         |   |                   |  |  |
|  |                     |                                 |                           |   |                   |  |  |
| 2. Tax identification no.                              | 3. Description of t | ousiness, age                   | ency, Tribe, or instituti | ion   |                   |  |  |
|  |                     |                                 |                           |   |                   |  |  |
| 4.a. Principal officer Last name4.b. Principal         |                     | er First nam                    | e                         | 4.c. Principal officer Middle name/ initial | 4.d. Suffix       |  |  |
|  |                     |                                 |                           |   |                   |  |  |
| 5. Principal officer title                             | -                   |                                 | 6. Primary contact r      | name  |                   |  |  |
|  |                     |                                 |                           |   |                   |  |  |
| 7.a. Business telephone number7.b. Alternate telephone | ne number           | 7.c. Busine                     | ss fax number             | 7.d. Business e-mail address                |                   |  |  |
|  |                     |                                 |                           |   |                   |  |  |

| C.   | C. All applicants complete address information  |                     |                                    |                       |              |  |  |  |  |
|--|---|---------------------|------------------------------------|-----------------------|--------------|--|--|--|--|
|  | 1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes)  |                     |                                    |                       |              |  |  |  |  |
| 1.b. Cit   | •   | 1.c. State          | 1.d. Zip code/Postal code:         | 1.e. County/Province  | 1.f. Country |  |  |  |  |
| 2.a. Ma  | 2.a. Mailing Address (include if different than physical address; include name of contact person if applicable)   |                     |                                    |                       |              |  |  |  |  |
| 2.b. Cit   | у   | 2.c. State          | 2.d. Zip code/Postal code:         | 2.e. County/Province  | 2.f. Country |  |  |  |  |
| D.   | D. All applicants MUST complete   |                     |                                    |                       |              |  |  |  |  |
| 1.   | <ol> <li>Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100, nonrefundable processing fee. Federal, Tribal, State,<br/>and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – attach documentation of fee exempt status as<br/>outlined in instructions. (50 CFR 13.11(d))</li> </ol> |                     |                                    |                       |              |  |  |  |  |
| 2. Certification: I hereby certify that I have read and am familiar with the regulations contained in <i>Title 50, Part 13 of the Code of Federal Regulations</i> and the other <i>applicable parts in subchapter B of Chapter I of Title 50</i> , and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001. |   |                     |                                    |                       |              |  |  |  |  |
|  | Signature of applicant/Principal Offic  | er for permit (No r | photocopied or stamped signatures) | Date of signature (mm | /dd/yyyy)    |  |  |  |  |

Please continue to next page

## E. COMMERCIAL EXPORT OF SKINS OF 6 NATIVE SPECIES: BOBCAT, RIVER OTTER, LYNX, ALASKAN BROWN BEAR, ALASKAN GRAY WOLF, AND AMERICAN ALLIGATOR (CITES)

Note: This application should be used solely for the export of furs and skins in the commercial trade. Applications that are submitted to the Office of Law Enforcement (OLE) *must* be submitted to an OLE office in the region where the applicant is located. If the skin is from a sport-hunted trophy, please use Form 3-200-28 and submit the application to the Division of Management Authority (DMA).

Provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you submit, indicate the application question number you are addressing.

- 1. Name and address where you wish the permit to be mailed, if different from page 1. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via regular mail through the U.S. Postal Service.
- 2. Whom should we contact if we have questions about the application (name, phone number, and e-mail)?
- 3. Have you or any of the owners of the business (if applying as a business, corporation, or institution), been assessed a civil penalty or convicted of any criminal provision of any statute or regulation relating to the activity for which the application is filed; been convicted, or entered a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act; forfeited collateral; OR are currently under charges for any violation of the laws mentioned above?

\_\_\_\_No \_\_\_\_Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court, and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

Electronic submission of inventories, photographs, and receipts: Some applications contain extensive inventories and /or a large number of photographs or receipts. You may provide electronic versions of the documents. Such a submission will assist in expediting the processing of your application since it may reduce data entry by the U.S. Fish and Wildlife Service. If you wish to provide information electronically, please either include a disk containing your information or, once you have received an application number via the e-mailed acknowledgement letter, e-mail your information to <u>Permits@fws.gov</u>. Be sure to include the application number provided in the acknowledgement e-mail that will be sent to you when we receive your application.

\_\_\_\_\_ I will be submitting documents electronically.

| 4. | Provide | the f | following: |
|----|---------|-------|------------|
|----|---------|-------|------------|

| Scientific name              | Common name           | Taken<br>from the<br>Wild or<br>captive-<br>bred | Quantity | Description<br>(e.g., raw or<br>tanned) | TAG<br>NUMBER(S) | State(s) where<br>specimens were<br>harvested |
|------------------------------|-----------------------|--|----------|---|------------------|---|
| Alligator<br>mississippiensi | American<br>alligator |  |          |   |                  |   |
| Ursus arctos                 | Alaskan brown<br>bear |  |          |   |                  |   |

| Canis lupus          | Alaskan gray<br>wolf |  |  |  |
|----------------------|----------------------|--|--|--|
| Lynx rufus           | Bobcat               |  |  |  |
| Lynx<br>canadensis   | Lynx                 |  |  |  |
| Lontra<br>canadensis | River otter          |  |  |  |

- 5. Skins of these native species must be tagged with a CITES Tag at the time of export or re-export. Please see <u>50§</u> <u>23.69(c)</u> and 23.70(d) for CITES tagging requirements. Provide a complete list of the CITES tag numbers (e.g. FL MIS 0712345 always refer to tag) or CITES replacement tag numbers (e.g. FWS REPL 0123456 always refer to tag). NOTE: Tags must be inserted through the skins and permanently locked in place at the time of export. Replacement tags must be obtained before submitting your application (broken tags must be surrendered at the time of requesting replacement tags).
- 6. **Applicants residing or located outside the United States conducting commercial activities.** The name, physical address and telephone number of your agent who is located in the United States and will maintain your records for five (5) years from the expiration of the permit. If the location is the same as in item 3, then indicate "same as 3." (This information is required under 50 CFR 13.12 and 13.46).

U.S. address (not a P.O. Box) for foreign applicant. (This information is required under 50 CFR 13.12).

Name: Business Name: Address: Address: City: State/Province: Country, Postal Code:

- 7. Provide the name and address of the **recipient in the foreign country** (if it is yourself, provide your foreign address).
  - Name: Business Name: Address: Address: City: State/Province: Country, Postal Code:
- 8. Current location of skins (Address -City, State/Province, County, Postal Code):
- 9. Provide a copy of your valid **Import/Export License**.

All international shipment(s) must be through a designated port. A list of designated ports (where an inspector is posted) is available from <a href="http://www.fws.gov/le/designated-ports.html">http://www.fws.gov/le/designated-ports.html</a>. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

## **CITES Permit Offices**

**NOTE:** The application **must** be submitted to Division of Management Authority or to the Office of Law Enforcement in the region where the applicant is located.

## **Division of Management Authority (DMA)**

4401 N. Fairfax Drive, Room 212 Arlington, VA 22203 Phone: 1-800-358-2104 or 703-358-2104

## **Office of Law Enforcement (OLE)**

## Region 1: Guam, Hawaii, Idaho, Oregon, & Washington

Guam

# Honolulu

U.S. Fish & Wildlife Service Office of Law Enforcement 415 Chalan San Antonio Road, Suite 209 Tamuning, Guam 96913-3620 Phone: (671) 647-6064 Fax: (671) 647-6068

U.S. Fish & Wildlife Service Office of Law Enforcement 3375 Koapaka St., #B296 Honolulu, HI 96819-1867 Phone: (808) 861-8525

## Portland

U.S. Fish & Wildlife Service Office of Law Enforcement P.O. Box 55206 Portland, OR 97238 Phone: (503) 231-6135 Fax: (503) 231-6133

### Seattle

U.S. Fish & Wildlife Service Office of Law Enforcement 19639 28th Avenue South, Bldg. A Seattle, WA 98188 Phone: (206) 429-2198 Fax: (206) 429-2673

## Region 2: Arizona, New Mexico, Oklahoma, & Texas

U.S. Fish & Wildlife Service Office of Law Enforcement 2545 W. Frye Road, Suite 8 Chandler, AZ 85224-6273 Phone: (480) 967-2007 Fax: (480) 966-9766

## Region 3: Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, & Wisconsin

U.S. Fish & Wildlife Service Office of Law Enforcement 10600 Higgins Road, Suite 200 Rosemont, IL 60018 Phone: (847) 298-3250 Ext. 110 Fax: (847) 298-7669

Fax: (808) 861-8515

## Blaine

U.S. Fish & Wildlife Service Office of Law Enforcement 9925 Pacific Highway, Room 136 Blaine, WA 98230 Phone: (360) 332-5388 Fax: (360)332-3010

Region 4: Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, South Carolina, Puerto Rico, & Tennessee

#### Atlanta

U.S. Fish & Wildlife Service Office of Law Enforcement 4341 International Parkway, Suite #104 Atlanta, GA 30354 Phone: (404) 763-7959 Fax: (404) 366-7031

## **New Orleans**

Mail: U.S. Fish & Wildlife Service Office of Law Enforcement 61387 Hwy 434 Lacombe, LA 70445

#### Physical:

U.S. Fish & Wildlife Service Office of Law Enforcement 2424 Edenborn, Room 100 Metairie, LA 70001 Phone: (504) 219-8870 Fax: (504) 219-8868

# Miami Mail:

<u>Mail:</u> U.S. Fish & Wildlife Service Office of Law Enforcement 10426 NW 31 Terrace Miami, FL 33172

Physical:

U.S. Fish & Wildlife Service Office of Law Enforcement 6105 NW 18th St., Room 405 Miami, FL 33122 Phone: (305) 526-2994 or 2620 Fax: (305) 526-7480

Region 5: Connecticut, District of Columbia, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, & West Virginia

U.S. Fish & Wildlife Service Office of Law Enforcement 70 E. Sunrise Hwy, Suite 419 Valley Stream, NY 11580 Phone: (516) 825-3950 Fax: (516) 825-3597

## Region 6: Colorado, Kansas, Montana, Nebraska, North Dakota, South Dakota, Utah, & Wyoming

U.S. Fish & Wildlife Service Office of Law Enforcement Denver International Airport P.O. Box 492098 Denver, CO 80249 Phone: (303) 342-7430 Fax: (303) 342-7433

#### Region 7: Alaska Anchorage

U.S. Fish & Wildlife Service Office of Law Enforcement P.O. Box 190045 4600 Postmark Drive, Suite NB207 Anchorage, AK 99519 Phone: (907) 271-6198 Fax: (907) 271-6199

## Region 8: California and Nevada Los Angeles

U.S. Fish & Wildlife Service Office of Law Enforcement

Form 3-200-26

## Fairbanks

U.S. Fish & Wildlife Service Office of Law Enforcement 1412 Airport Way Fairbanks, AK 99701 Phone: (907) 456-2335 Fax: (907) 456-2356

**San Francisco** 1633 Old Bayshore Highway, Suite 248 Burlingame, CA 94010 370 Amapola Ave., #114 Torrance, CA 90501 Phone: (310) 328-6307 Fax: (310) 328-6399 Phone: (650) 876-9078 Fax: (650) 876-9701

#### APPLICATION FORM INSTRUCTIONS

The following instructions pertain to an U.S. Fish and Wildlife Service (FWS) permit applications. The General Permit Procedures in <u>50 CFR 13</u> address the permitting process. For simplicity, all licenses, permits, registrations, and certificates are referred to as a permit.

#### **GENERAL INSTRUCTIONS:**

- Complete all relevant questions in Sections A or B, C, D, and E.
- An incomplete application may cause delays in processing or may be returned to the applicant. Be sure you are filling in the appropriate application form for the proposed activity.
- Print clearly or type in the information. Illegible applications may cause delays.
- Sign the application. Faxes or copies of the original signature will not be accepted.
- Mail the original application to the address at the top of page one of the application or, if applicable, on the attached address list.
- Keep a copy of your completed application.
- Please plan ahead. Allow at least 60 days for your application to be processed, however, some applications may take longer than 90 days to process (50 CFR 13.11).
- Applications are processed in the order they are received.

#### **SECTION A OR SECTION B:**

#### Section A. Complete if applying as an individual [do not complete this section if applying for Import/Export License (3-200-3)]:

- Enter the complete name of the responsible individual who will be the permittee if a permit is issued. Enter personal information that identifies the applicant. **Fax and e-mail, while helpful in processing, are not required.**
- If you are applying on behalf of a client, the personal information must pertain to the client, and a document evidencing **power of attorney** must be included with the application.
- Affiliation/ Doing business as (dba): business, agency, Tribe, organizational, or institutional affiliation *directly* related to the activity requested in the application (e.g., a taxidermist is an individual whose business can *directly* relate to the requested activity). The Division of Management Authority (DMA) and the Office of Law Enforcement (OLE) do **not** accept *doing business as* affiliations for individuals (complete Section B)..

#### Section B. Complete if applying as a business, corporation, public agency, Tribe, or institution:

- Enter the complete name of the business, agency, or institution that will be the permittee if a permit is issued. Give a brief description of the type of business the applicant is engaged in. Provide contact phone number(s) of the business. If you are applying on behalf of a client, a document evidencing power of attorney must be included with the application.
- **Principal Officer** is the person in charge of the listed business, corporation, public agency, Tribe, or institution. The principal officer is the person responsible for the application and any permitted activities. Often the principal officer is a Director or President. **Primary Contact** is the person at the business, corporation, public agency, or institution who will be available to answer questions about the application or permitted activities. Often this is the preparer of the application.

#### ALL APPLICANTS COMPLETE SECTION C:

- For all applications submitted to the Division of Management Authority (DMA), a physical U.S. address is **required**.
- Mailing address is the address to which communications from USFWS should be mailed if different from applicant's physical address.

#### ALL APPLICANTS COMPLETE SECTION D:

#### Section D.1 Application processing fee:

- An application processing fee is required at the time of application, unless exempted under 50 CFR13. The application processing fee is assessed to partially cover the cost of processing a request. The fee does not guarantee the issuance of a permit, nor will fees be refunded for applications for which processing has begun.
- **Documentation of fee exempt status is not required for applications submitted by Federal, Tribal, State, or local government agencies; but must be supplied by those applicants acting on behalf of such agencies**. Such applications must include a letter on agency letterhead and signed by the head of the unit of government for which the applicant is acting on behalf, confirming that the applicant will be carrying out the permitted activity for the agency.

#### Section D.2 Federal Fish and Wildlife permits:

• List the number(s) of your most recent issued USFWS permit. If applying for re-issuance of a CITES permit, the original permit must be returned with this application.

#### Section D.3 CERTIFICATION:

The individual identified in Section A, the principal officer named in Section B, or person with a valid power of attorney (documentation must be included in the application) must sign and date the application. This signature legally binds the applicant to the statement of certification. You are certifying that you have read and understand the regulations that apply to the permit. You are also certifying that all information included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application and your answers before signing.

## ALL APPLICANTS COMPLETE SECTION E

#### Please continue to next page. DO NOT RETURN THIS PAGE WITH THE APPLICATION

#### **APPLICATION FOR A FEDERAL FISH AND WILDLIFE PERMIT Paperwork Reduction Act, Privacy Act, and Freedom of Information Act – Notices**

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, et seq.) and the Privacy Act of 1974 (5 U.S.C. 552a), please be advised:

1. The gathering of information on fish and wildlife is authorized by:

(Authorizing statutes can be found at: <u>http://www.gpoaccess.gov/cfr/index.html</u> and <u>http://www.fws.gov/permits/ltr/ltr.html</u>

- a. Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22;
- b. Endangered Species Act of 1973 (16 U.S.C. 1531-1544), 50 CFR 17;
- c. Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21;
- d. Marine Mammal Protection Act of 1972 (16 U.S.C. 1361, *et. seq.*), 50 CFR 18;
- e. Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15;
- f. Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16;
- g. Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), http://www.cites.org/, 50 CFR 23;
- h. General Provisions, 50 CFR 10;
- i. General Permit Procedures, 50 CFR 13; and
- j. Wildlife Provisions (Import/export/transport), 50 CFR 14.

2. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the above laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. We may not conduct or sponsor and you are not required to respond to collection of information unless it displays a currently valid OMB control number.

- 3. Certain applications for permits authorized under the Endangered Species Act of 1973 (16 U.S.C. 1539) and the Marine Mammal Protection Act of 1972 (16 U.S.C. 1374) will be published in the **Federal Register** as required by the two laws.
- 4. Disclosures outside the Department of the Interior may be made without the consent of an individual under the routine uses listed below, if the disclosure is compatible with the purposes for which the record was collected. (Ref. 68 FR 52611, September 4, 2003)
  - a. Routine disclosure to subject matter experts, and Federal, Tribal, State, local, and foreign agencies, for the purpose of obtaining advice relevant to making a decision on an application for a permit or when necessary to accomplish a FWS function related to this system of records.
  - b. Routine disclosure to the public as a result of publishing **Federal Register** notices announcing the receipt of permit applications for public comment or notice of the decision on a permit application.
  - c. Routine disclosure to Federal, Tribal, State, local, or foreign wildlife and plant agencies for the exchange of information on permits granted or denied to assure compliance with all applicable permitting requirements.
  - d. Routine disclosure to Captive-bred Wildlife registrants under the Endangered Species Act for the exchange of authorized species, and to share information on the captive breeding of these species.
  - e. Routine disclosure to Federal, Tribal, State, and local authorities who need to know who is permitted to receive and rehabilitate sick, orphaned, and injured birds under the Migratory Bird Treaty Act and the Bald and Golden Eagle Protection Act; federally permitted rehabilitators; individuals seeking a permitted rehabilitator with whom to place a bird in need of care; and licensed veterinarians who receive, treat, or diagnose sick, orphaned, and injured birds.
  - f. Routine disclosure to the Department of Justice, or a court, adjudicative, or other administrative body or to a party in litigation before a court or adjudicative or administrative body, under certain circumstances.
  - g. Routine disclosure to the appropriate Federal, Tribal, State, local, or foreign governmental agency responsible for investigating, prosecuting, enforcing, or implementing statutes, rules, or licenses, when we become aware of a violation or potential violation of such statutes, rules, or licenses, or when we need to monitor activities associated with a permit or regulated use.
  - h. Routine disclosure to a congressional office in response to an inquiry to the office by the individual to whom the record pertains.
  - i. Routine disclosure to the Government Accountability Office or Congress when the information is required for the evaluation of the permit programs.
  - j. Routine disclosure to provide addresses obtained from the Internal Revenue Service to debt collection agencies for purposes of locating a debtor to collect or compromise a Federal claim against the debtor or to consumer reporting agencies to prepare a commercial credit report for use by the FWS.
- 5. For individuals, personal information such as home address and telephone number, financial data, and personal identifiers (social security number, birth date, etc.) will be removed prior to any release of the application.
- 6. The public reporting burden on the applicant for information collection varies depending on the activity for which a permit is requested. The relevant burden for Commercial export of skins of 6 native species: bobcat, Lynx, river otter, Alaskan brown bear, Alaskan gray wolf, and American alligator (*CITES*) application is 20 minutes. This burden estimate includes time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. You may direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, MS: BPHC, Falls Church, VA 22041-3803.

#### Freedom of Information Act – Notice

For organizations, businesses, or individuals operating as a business (i.e., permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under FOIA. Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 CFR 2.13(c)(4), 43 CFR 2.15(d)(1)(i)].

#### DO NOT RETURN THIS PAGE WITH THE APPLICATION