

***This Microsoft Word version of the form shows all the questions, instructions and information to be collected.  
The form will be administered to respondents as a fillable PDF and on-line screens.***

**REVERSE AUCTION (AUCTION 1001) INCENTIVE PAYMENT INSTRUCTIONS  
FROM THE REVERSE AUCTION WINNING BIDDER**

NOTICE: We have estimated that each response to this collection of information will take 6 hours for each winning bidder and 3 hours for each third party payee, including both paper and on-line submissions. Our estimate includes the time to read the instructions, look through existing records, gather and maintain the required data, and actually complete and review the form or response. If you have any comments on this estimate, or on how we can improve the collection and reduce the burden it causes you, please write the Federal Communications Commission, AMD-PERM, Paperwork Reduction Project (3060-xxxx), Washington, DC 20554. We will also accept your comments via the Internet if you send them to pra@fcc.gov. Please DO NOT SEND COMPLETED APPLICATIONS TO THIS ADDRESS. Remember - you are not required to respond to a collection of information sponsored by the Federal government, and the government may not conduct or sponsor this collection, unless it displays a currently valid OMB control number or if we fail to provide you with this notice. This collection has been assigned an OMB control number of 3060-xxxx.

**Part 1: Reverse Auction Winning Bidder Information**

1.a. Enter the Reverse Auction Winning Bidder Licensee name shown on the Closing and Channel Reassignment PN. *Entry field for text.*

1.b. Business Name of the Reverse Auction Winning Bidder as listed in the FCC's Commission Registration System (CORES), if different than the Licensee name entered in 1.a. *Entry field for text.*

1.c. Taxpayer ID Number of the Reverse Auction Winning Bidder. *Entry field for nine digits.*

1.d. Enter the FCC Registration Number (FRN) of the Reverse Auction Winning Bidder that is associated with the FIN in 1.e., below. *Entry field for 10 digits.*

1.e. Enter a Station Facility ID Number for the Reverse Auction Winning Bidder Licensee shown on the Closing and Channel Reassignment PN.

If the Facility ID Number is less than six digits, then leave blank spaces on the left.

(The Facility ID Number is sometimes referred to as the Facility Identifier Number or the FIN, and is listed in Federal Communications Commission's (FCC or Commission) Licensing and Management System (LMS) at <https://enterpriseefiling.fcc.gov/dataentry/public/tv/publicFacilitySearch.html>. This is NOT the call sign.)

*Entry field for up to six digits.*

1.f. For the Purpose of this Form the Contact Information for the Reverse Auction Winning Bidder.

Name: First *Entry field for text.* Middle Initial *Entry field for up to one letter.* Last *Entry field for text.*

Email Address: *Entry field for text*

Address: Street Number and Name *Entry field for text.*

City *Entry field for text.* State *Entry field for state 2-letter code.* Zip Code *Entry field for zip code (5 digits plus optional 4 digits)*

Telephone Number: *Entry field for US phone number (10 digits).*

1.g. Agent authorized to enter banking information in the FCC Commission Registration System (CORES) on behalf of Reverse Auction Winning Bidder ("Authorized Agent"), CORES User Name, Title, E-mail Address and Telephone Number.

Authorized Agent

Name: First *Entry field for text.* Middle Initial *Entry field for up to one letter.* Last *Entry field for text*

CORES User Name: *Entry field for text.*

Title for Authorized Agent: *Entry field for text.*

E-mail Address of Authorized Agent: *Entry field for text.*

Telephone Number of Authorized Agent: *Entry field for text.*

## **Part 2: Recipient of the Reverse Auction Incentive Payment**

2a. To whom should the FCC disburse the Reverse Auction Incentive Payment for the Reverse Auction Winning Bidder and FIN identified in Part 1?

To the Reverse Auction Winning Bidder identified in Part 1 of this form.

To the Third Party Payee identified in Part 3 of this form.

*Entry field for radio buttons with two choices.*

**Part 3: This part should be completed by the third party payee.**

**If there is no third party payee, then leave this part blank and skip directly to Part 4.**

3.a. Name of third party payee. *Entry field for text.*

3.b. Taxpayer ID Number of third party payee. *Entry field for nine digits.*

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**3.c. Certifications and Notarized Signature by Third Party Payee.**

By signing this form, the third party payee:

- (1) Agrees to indemnify and hold harmless the United States, the Commission, and any disbursing officer, from any and all liability arising from the disbursement of incentive payments pursuant to these payment instructions
- (2) Acknowledges and agrees that incentive payments pursuant to this form may be subject to offset pursuant to applicable law for debts (owed to the Commission including its reporting components or the United States) by either the Reverse Auction Winning Bidder or the third party payee designated by the Reverse Auction Winning Bidder;
- (3) Acknowledges and agrees that incentive payments will not be made to (or for the benefit of) any reverse auction winning bidder or other payee appearing on the U.S. Treasury's "Do Not Pay" portal;
- (4) Acknowledges that any person who knowingly submits these payment instructions containing any misrepresentation or any false, incomplete or misleading information may be guilty of a criminal act and may be subject to criminal penalties;
- (5) Certifies that it is in compliance with all applicable statutes, regulations, rules and instructions entitling it, or relating, to incentive payments; and
- (6) Certifies that all information contained herein is true, accurate and complete and understands that the incentive payment will be made from Federal funds and that any false claims, statements or documents, or concealment of a material fact may be prosecuted under applicable Federal law and/or result in civil action.

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Signed on behalf of the third party payee by:

Signature: \_\_\_\_\_

Type or Print Name: *Entry field for text.*

Type or Print Title: *Entry field for text.*

Date Signed: *Entry field for date.*

**Acknowledgement**

State of \_\_\_\_\_

County of \_\_\_\_\_

On \_\_\_\_\_, before me, \_\_\_\_\_,  
(date) (notary)

personally appeared, \_\_\_\_\_,  
(signer)

personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

\_\_\_\_\_  
(notary signature)

My Commission Expires: \_\_\_\_\_

#### **Part 4: Financial Institution Information for Automated Clearing House (ACH) Payment**

4.a. Name of the Financial Institution where the Reverse Auction Incentive Payment is to be sent: *Entry field for text.*

Routing Transit Number: *Entry field for up to 11 digits.*

Depositor Account Title: *Entry field for text.*

Account Number: *Entry field for up to 17 digits.*

4.b. Address of the Financial Institution:

Street Number and Name *Entry field for text.*

City *Entry field for text.* State *Entry field for state 2-letter code.* Zip Code *Entry field for zip code (5 digits plus optional 4 digits)*

Telephone Number: *Entry field for US phone number (10 digits).*

4.c. Attach to this form one of the following to confirm ownership of the bank account:

- \* A letter from the bank (see sample letter at the end of this form), or
- \* A redacted bank statement that confirms ownership of the bank account.

4.d. If the Reverse Auction Winning Bidder needs to request a freeze on payments, e.g., due to a change in banking information, please send an e-mail to [FO-REPinvoices@fcc.gov](mailto:FO-REPinvoices@fcc.gov) at the FCC – informing the FCC of the freeze on payments.

4.e. If the Reverse Auction Winning Bidder needs to submit a new Form because the financial information in Part 2 has changed, please send an e-mail to [FO-REPinvoices@fcc.gov](mailto:FO-REPinvoices@fcc.gov), informing the FCC of the need to send the FCC a new Form.

#### **Part 5: Certifications and Notarized Signature by Reverse Auction Winning Bidder**

By signing this form, the Reverse Auction Winning Bidder identified in Part 1 of this form:

- (1) Agrees to indemnify and hold harmless the United States, the Commission, and any disbursing officer, from any and all liability arising from the disbursement of incentive payments pursuant to these payment instructions;
- (2) Acknowledges and agrees that incentive payments pursuant to these payment instructions may be subject to offset pursuant to applicable law for debts (owed to the Commission including its reporting components or the United States) by either the Reverse Auction Winning Bidder or the third party payee designated by the Reverse Auction Winning Bidder;
- (3) Acknowledges and agrees that incentive payments will not be made to (or for the benefit of) any Reverse Auction Winning Bidder or other payee appearing on the U.S. Treasury's "Do Not Pay" portal;

(4) Requests that, if the Reverse Auction Winning Bidder has designated a third party payee on this form, then the Commission should disburse the incentive payment to the third party payee;

(5) Acknowledges that any person who knowingly submits these payment instructions containing any misrepresentation or any false, incomplete or misleading information may be guilty of a criminal act and may be subject to criminal penalties;

(6) Certifies that it is in compliance with all applicable statutes, regulations, rules and instructions entitling it, or relating, to incentive payments; and

(7) Certifies that all information contained herein is true, accurate and complete and understands that the incentive payment will be made from Federal funds and that any false claims, statements or documents, or concealment of a material fact may be prosecuted under applicable Federal law and/or result in civil action.

Signed on behalf of the Reverse Auction Winning Bidder by:

Signature: \_\_\_\_\_

Type or Print Name: *Entry field for text.*

Type or Print Title: *Entry field for text.*

Date Signed: *Entry field for date.*

#### Acknowledgement

State of \_\_\_\_\_

County of \_\_\_\_\_

On \_\_\_\_\_, before me, \_\_\_\_\_,  
(date) (notary)

personally appeared, \_\_\_\_\_,  
(signer)

personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

\_\_\_\_\_  
(notary signature)

My Commission Expires: \_\_\_\_\_

After this form is completed, signed and notarized, send it to:  
Federal Communications Commission  
Travel & Operations Group, Attn: Chief of TOG  
9300 East Hampton Drive  
Capitol Heights, MD 20743

## **SAMPLE BANK ACCOUNT VERIFICATION LETTER**

Do not send this sample to FCC.

The letter must be signed on bank letterhead by a bank officer, and it must mention: (1) Doing Business As (DBA) name on account, (2) bank routing number, and (3) account number. Use this sample letter to make your request at the bank, either in person or by phone.

May 5, 2005

To Whom It May Concern:

This letter is to inform you that John Doe, owner of Extra Wireless, Inc. (DBA: Extra Wireless), has a business account with Bank of America. The routing number associated with the account is 012309999, the account number is 009991234567. The branch address for this account is:

1005 Westlake Blvd.  
Tampa, FL 33609  
813-555-1234

If you have any further questions, please do not hesitate to call me at 813-555-1357.

Regards,

Sarah Smith  
Branch Manager