

# Instructions for Application Data Sheet 37 CFR 1.76

Updated May 12, 2008

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## 1. Fillable form Instructions

### Overview

USPTO fillable PDF forms use the features provided with Adobe Acrobat products. There is some validation of the information you enter but you are still responsible for entering all required information (instructions may require some information to be handwritten on the form).

### Software Requirements

To view, complete and print USPTO fillable PDF forms you will need the free [Adobe Acrobat Reader](#) software installed on your computer. Version 7.0.8, 7.0.9, 8.1.1, or 8.1.2 of Adobe Reader is recommended.

### Opening the Form

Your web browser may be configured with an Adobe Reader plug-in to automatically open the file within your browser's window upon download. To download the file directly to disk, right click the form title link then select "Save Target/Link As..." from the menu. Use Adobe Reader to open the file.

## Completing the Form

When positioning the cursor on a fillable area or element, the cursor will change appearance. The I-beam pointer allows you to type text. The hand pointer allows you to select a check box or radio button.

Enter the appropriate data in each box or field. To move from one field to the next, press the Tab key. You can also use your cursor to move from field to field. Place your cursor in the field you want to fill in, then left-click.

Some fields limit the maximum number of characters you can enter and may automatically advance to the next field.

For additional help with fillable forms, see the Adobe Reader's online help information.

### Tips:

- Use the latest version of Adobe Reader in order to ensure the form's full functionality
- This fillable form permits the entered data to be automatically loaded into the Office's electronic systems, such as PALM when electronically submitted. Printing the form, scanning it to create a PDF and submitting the scan of the printed form will require Office Personnel to key in the information by hand.
- **Do not use this ADS form as a Supplemental ADS.** This form does not comply with the requirements for a Supplemental Application Data Sheet (ADS). See 37 CFR 1.76(c). Supplemental ADS papers must be labeled Supplemental ADS or Supplemental Application Data Sheet and identify the information that is being changed.
- Save a copy of your work to avoid loss.
- The USPTO is only accepting certain types of applications electronically. Visit [www.uspto.gov](http://www.uspto.gov) for more details



# 1. Detailed Form Instructions by Field

## Applicant Information:

Secrecy Order Checkbox	Rule 37 C.F.R. 5.2, states that if an invention has been determined to be a matter of national security, the Director of Patents & Trademarks has the right to keep the invention secret. Under the same rule, applicants are obligated to notify the Office of any related cases that the Commissioner has kept secret. Note that documents associated with applications under Secrecy Order may not be filed electronically.
Applicant Authority	Select the radio button associated with the type of authority one has to file this patent application from Inventor, Legal Representative under 35 U.S.C 117, and Party of Interest under 35 U.S.C. 118.
Inventor	One who contributes to the conception of an invention. The patent law of the United States of America requires that the applicant in a patent application must be the inventor.
Legal Representative under 35 U.S.C. 117	35 U.S.C. 117 Legal representatives of deceased inventors and of those under legal incapacity may make application for patent upon compliance with the requirements and on the same terms and conditions applicable to the inventor.
Party of Interest under 35 U.S.C. 118	35 U.S.C. 118 Whenever an inventor refuses to execute an application for patent, or cannot be found or reached after diligent effort, a person to whom the inventor has assigned or agreed in writing to assign the invention or who otherwise shows sufficient proprietary interest in the matter justifying such action, may make application for patent on behalf of and as agent for the inventor on proof of the pertinent facts and a showing that such action is necessary to preserve the rights of the parties or to prevent irreparable damage; and the Director may grant a patent to such inventor upon such notice to him as the Director deems sufficient, and on compliance with such regulations as he prescribes.
Inventor Status Drop Down	In case of the death of the inventor, the legal representative (executor, administrator, etc.) of the deceased inventor may make the necessary oath or declaration, and apply for and obtain the patent. Where the inventor dies during the time intervening between the filing of the application and the granting of a patent thereon, the letters patent may be issued to the legal representative upon proper intervention.  When the inventor is insane or legally incapacitated. In case an inventor is insane or otherwise legally incapacitated, the legal representative (guardian, conservator, etc.) of such inventor may make the necessary oath or declaration, and apply for and obtain the patent.  Filing when an inventor refuses to sign or cannot be reached: (a) If a joint inventor refuses to join in an application for patent or cannot be found or reached after diligent effort, the application may be made by the other inventor on behalf of himself or herself and the nonsigning inventor.
Prefix	Enter any name prefix of the inventor. (Optional)
Given Name	Enter the first or given name of the inventor. If the inventor has only one name, enter a period in this field.
Middle name	Enter any middle name of the inventor. (Optional)
Family Name	Enter the last or family name of the inventor.
Suffix	Enter any name suffix of the inventor; II., III., IV., Jr., Sr. (Optional)
Residence Information	Select the radio button that describes the inventor's residence from US Residency, Non US Residency and Active US Military Service.
City	Enter the city of the inventor's residence. REQUIRED if the inventor is a U.S. resident or Non-U.S. resident.
State / Province	Enter the state of the inventor's residence. REQUIRED if the inventor is a U.S.

	resident. See State/Province List for choices.
Active US Military Service	Select if the inventor is in Active U.S. Military service. REQUIRED if the inventor is in the active U.S. military service.
Active Military Service in	If the Active U.S. military service is selected, indicate the region of the world where the inventor is stationed. See Active Military Service Table for options.
Country of Residence	Enter the country of residence of the inventor. If US Residency is selected, the Country of Residence will default to US. REQUIRED. See Country Code Table for complete listing, along with abbreviation.
Citizenship under 1.41 (b)	Enter the citizenship of the inventor. See the Country Code Table for a complete listing, along with abbreviation.
Address-1	Enter the first line of the inventor's address.
Address-2	Enter the second line of the inventor's address.
City	Enter the city of the inventor's address.
State / Province	If the inventor's address is in the United States or in a U.S. territory, enter the state of the inventor's address. See State/Province List for choices.
Postal Code	Enter the 5 - 9 digit postal code of the inventor's address. Entry of a postal code for foreign countries is optional.
Country	Enter the country of the inventor's address. See Country Code Table for complete listing, along with abbreviation.
Additional Inventors	Joint inventors must apply for a patent jointly and each must make the required oath or declaration: neither of them alone, nor less than the entire number, can apply for a patent for an invention invented by them jointly, except as provided in § 1.47. (b) Select the Add button to add additional inventor information.
Remove	Choose this button to remove the adjacent Inventor section.
If the representative for the inventor is an Organization check here.	Check this box if the inventor's representative is an organization.
Organization Name	Enter the name of the organization or if the representative is an individual provide name information for the representative is the Inventor representative's name fields.
Prefix	Enter any name prefix of the inventor's representative
Given Name	Enter the first or given name of the inventor's representative. If the representative has only a single name, enter a period in this field.
Middle name	Enter any middle name of the inventor's representative.
Family Name	Enter the last or family name of the inventor's representative.
Suffix	Enter any name suffix of the inventor's representative.
City	Enter the city of the representative's residence
State / Province	Enter the state of the representative's residence. REQUIRED if the representative is a U.S. resident. See State/Province List for choices.
Active US Military Service	Select if the representative is in Active U.S. Military service.
Region of Military Service	If the Active U.S. military service is selected, indicate the region of the world where the inventor is stationed. See Active Military Service Table for options.
Country of Residence	Enter the country of residence of the representative. See Country Code Table for complete listing, along with abbreviation.
Citizenship under 1.41 (b)	Enter the citizenship of the representative. See Country Code Table for complete listing, along with abbreviation.
Address-1	Enter the first line of the representative's address.
Address-2	Enter the second line of the representative's address.

City	Enter the city of the representative's address
State / Province	Enter the state of the representative's address if the representative's address is in the United States or a U.S. territory. See State/Province List for choices.
Postal Code	Enter the 5 - 9 digit postal code of the representative's address. Entry of a postal code for foreign countries is optional.
Country	Enter the country of the representative's address. See Country Code Table for complete listing, along with abbreviation.

### Correspondence Information:

An Address is being provided for the correspondence Information of this application.	<p>Select this checkbox to have correspondence in this application sent to an address that is not associated with a specific customer number.</p> <p>When filing an application, a correspondence address must be set forth in either an application data sheet (§ 1.76), or elsewhere, in a clearly identifiable manner, in any paper submitted with an application filing. If no correspondence address is specified, the Office may treat the mailing address of the first named inventor (if provided, see §§ 1.76(b)(1) and 1.63(c)(2)) as the correspondence address. The Office will direct all notices, official letters, and other communications relating to the application to the correspondence address. The Office will not engage in double correspondence with an applicant and a registered attorney or agent, or with more than one registered attorney or agent except as deemed necessary by the Director. If more than one correspondence address is specified, the Office will establish one as the correspondence address. For the party to whom correspondence is to be addressed, a daytime telephone number should be supplied in a clearly identifiable manner and may be changed by any party who may change the correspondence address.</p>
Customer Number	<p>Enter the customer number associated with the address where correspondence in this application should be sent.</p> <p>A number assigned by the Office that is used to simplify the submission of an address change, to appoint a practitioner, or to designate the fee address for a patent. <i>Customer numbers</i> are primarily used by attorneys and law firms, and must be requested using the <a href="#">"Request for Customer Number" form (PTO/SB/125)</a>. (Previously referred to as "payor number") -</p>
Provide a customer address	If a customer number is not entered, the customer correspondence address must be provided.
Name - 1	Enter the name for the correspondence address.
Name -2	Enter any additional name information for the address.
Address-1	Enter the first line of the correspondence address.
Address-2	Enter the second line of the correspondence address.
City	Enter the city for the correspondence address.
State / Province	If the correspondence address is located in the United States or in a U.S. territory, enter the state for the correspondence address. REQUIRED for a correspondence address that is in the United States or U.S. territories. See State/Province List for choices.
Country	Enter the country of the correspondence address. See Country Code Table for complete listing, along with abbreviation.
Postal Code	Enter the 5 - 9 digit postal code for the correspondence address. Entry of a postal code for foreign countries is optional.
Phone number	Enter the telephone number for the correspondence address.
Fax number	Enter the fax number for the correspondence address.
Email address	Enter the e-mail address for the correspondence address.
Add Email	Select the Add Email button to add an additional email address. You may enter up

	to 3 email addresses.
Remove Email	Select the Remove Email button to remove the email address associated with the button.

### Application Information:

Title of the Invention	The title of the invention may have up to 500 characters and it must be as short and specific as possible. The approved characters include: A-Z,0-9,standard keyboard characters plus $f, \dots, \text{€}, \text{™}, \text{œ}, \text{ÿ}, \text{ı}, \text{ç}, \text{£}, \text{¤}, \text{¥}, \text{§}, \text{©}, \text{ª}, \text{«}, \text{¬}, \text{®}, \text{º}, \text{±}, \text{²}, \text{³}, \text{μ}, \text{¹}, \text{º}, \text{»}, \text{¼}, \text{½}, \text{¾}, \text{¿}, \text{À}, \text{Á}, \text{Â}, \text{Ã}, \text{Ä}, \text{Å}, \text{Æ}, \text{Ç}, \text{È}, \text{É}, \text{Ê}, \text{Ë}, \text{Ì}, \text{Í}, \text{Î}, \text{Ï}, \text{Ñ}, \text{Ò}, \text{Ó}, \text{Ô}, \text{Õ}, \text{Ö}, \text{Ø}, \text{Ù}, \text{Ú}, \text{Û}, \text{Ü}, \text{Ý}, \text{ß}, \text{à}, \text{á}, \text{â}, \text{ã}, \text{ä}, \text{å}, \text{æ}, \text{ç}, \text{è}, \text{é}, \text{ê}, \text{ë}, \text{ì}, \text{í}, \text{î}, \text{ï}, \text{ñ}, \text{ò}, \text{ó}, \text{ô}, \text{õ}, \text{ö}, \text{÷}, \text{ø}, \text{ù}, \text{ú}, \text{û}, \text{ü}, \text{ý}, \text{ÿ}$ , NOTE: Please see the EFS-Web FAQ's regarding using special characters in Title of Invention and in all the Named fields for Inventors I
Attorney Docket Number	This field is optional. It may be used to enter an internal reference number associated with this submission. This field is limited to 25 characters.
Entity Status Small	For purposes of small entity determination per <a href="#">MPEP 509.02</a> – small entity means an independent inventor, a small business concern, or a nonprofit organization eligible for reduced patent fees.
Application Type	An application may be either provisional, non-provisional, or a reissue. At this time EFS-Web does not accept reissue applications. Select the application type from the drop-down list.
Subject Matter	An application may be either a utility application, a design application, or a plant application. At this time EFS-Web does not accept plant applications. Select the subject matter of the application from the drop-down list.
Suggested Classification	Enter a suggested classification for the application. Optional.
Sub Class	Enter a suggested subclass for the application. Optional.
Suggested Technology Center	Enter a suggested technology center for the application. Optional.
Total Number of Drawing Sheets	Enter the total number of drawing sheets in the application. Optional.
Suggested Figure for Publication	Enter a suggested figure for publication. Optional.
Latin Name	Enter the Latin Name of the plant described in the submission as required by UPOV.
Variety Denomination Name	Enter the Variety denomination of the plant described in the submission as required by UPOV.
Request Early Publication	Request for early publication – Select if this application should be published as soon as possible.
Request Not to Publish	Check this box if a request for non-publication under 35 U.S.C. 122(b) and 37 CFR 1.213 is being made.

### Representative Information:

Customer Number Selection	Check this box if you want to assign a Customer Number for patent practitioners associated with this application.
Customer Number Entry	If Customer number is selected enter the customer number associated with patent practitioner information for this application.
Patent Practitioner	Check this box if you want to input a US Patent Practitioner name.
Prefix	Enter any name prefix of the patent practitioner. Choose the desired prefix from the drop-down menu.
Given Name	Enter the first or given name of the patent practitioner.
Middle Name	Enter any middle name of the patent practitioner.
Family Name	Enter the last or family name of the patent practitioner. REQUIRED.

Suffix	Enter any name suffix of the patent practitioner.
Registration number	Enter the USPTO registration number of the patent practitioner.
Add Additional Representatives	If patent practitioner is selected, enter the name and registration information for each patent practitioner and select the Add button when the information is complete. Repeat for each patent practitioner. A maximum of 10 patent practitioners can be added.
Remove	If you want to delete a patent practitioner select the Remove button next to the patent practitioner you wish to remove.
Limited Recognition	Check this box if you want to input a name under Limited Recognition (37 CFR 11.9).
Prefix	Enter any name prefix of the patent practitioner. Choose the desired prefix from the drop-down menu.
Given Name	Enter the first or given name of the patent practitioner.
Middle Name	Enter any middle name of the patent practitioner.
Family Name	Enter the last or family name of the patent practitioner. REQUIRED.
Suffix	Enter any name suffix of the patent practitioner.
Registration number	Enter the USPTO registration number of the patent practitioner.
Add Additional Representatives	If patent practitioner is selected, enter the name and registration information for each patent practitioner and select the Add button when the information is complete. Repeat for each patent practitioner. A maximum of 10 patent practitioners can be added.
Remove	If you want to delete a patent practitioner select the Remove button next to the patent practitioner you wish to remove.

### Domestic Benefit/National Stage Information:

Application Number	If this field is left blank, it will signify "This Application is a" to refer to the application being submitted. You may also enter any application number that is already referred to in the Prior Application Number fields on the current form.
Continuity Type	Select the relationship between patent applications by selecting one of seven available relationship buttons. The relationships are continuation, division, continuation-in-part, 371 of international, substitution, reissue and non-provisional.
Prior Application Number	Provide the application serial number for the related application.
Filing Date	Select the filing date of the prior related application from the drop-down menu, which converts into a calendar. The date should be in the format YYYY-MM-DD.
Application Status	Select the status of the related application from Issued, Pending, Abandoned, or Expired.
Add Domestic Priority Data	Add the information to the continuity information list by selecting the Add button.
Remove Domestic Priority Data	Delete the information to the continuity information list by selecting the Remove button.

### Foreign Priority Information:

Application Number	Enter the Foreign Document Number of an associated foreign-filed application in the Application Number field. PCT number format: PCT/aaYY/xxxxx or PCT/aaYYYY/xxxxx where aa is valid foreign receiving office/country code YY or YYYY is year and xxxxx is the five-digit number.
Country	Enter the country where the application was filed. See WIPO ST. 3 Table for complete listing, along with abbreviation.
Parent Filing Date	Enter the foreign filing date in the Date field. The date should be in the format

	YYYY-MM-DD
Priority Claimed Yes/No	Indicate whether or not foreign priority is claimed by selecting the appropriate radio button.
Add	Add additional information to the Foreign Priority Information list by selecting the Add button.
Remove	Delete information to the Foreign Priority Information list by selecting the Remove button.

### Publication Assignee Information:

If the Assignee is an Organization check here.	Check this box if the assignee is an organization.
Organization Name	If the application is assigned to an organization, enter the name of the organization.
Prefix	Enter any name prefix of the assignee. Choose the desired prefix from the drop-down menu.
Given Name	If the application is assigned to an individual or group of individuals, enter the first or given name of the assignee. If the assignee has only one name, enter a period into one of the name fields.
Middle Name	Enter any middle name of the assignee.
Family Name	Enter the name of the assignee that USPTO is to publish on the front page of the patent application publication.
Suffix	Enter any name suffix of the assignee
Address 1	Enter the first line of the address of the assignee that USPTO is to publish on the front page of the patent application publication.
Address 2	Enter the second line of the assignee's address.
City	Enter the city of the assignee's address
Postal Code	Enter the 5 - 9 digit postal code of the assignee. Entry of a postal code for foreign countries is optional
State / Province	Enter the state of the assignee's address. See State/Province List for choices.
Country	Enter the country of the assignee's address. See Country Code Table for complete listing, along with abbreviation.
Phone Number	Enter the telephone number of the assignee.
Fax Number	Enter the fax number of the assignee.
Email Address	Enter the e-mail address of the assignee.
Add Button	Additional Assignee information Blocks may be generated.
Remove Button	Assignee information Blocks may be removed.

### Signature:

Signature	This signature is an electronic legal signature. The individual named MUST add a signature. Electronic signature should be in the format /####/ where # is alphanumeric, space or punctuation (but not / or ?), it cannot just have space or punctuations. Example: "/John Doe/". For more information see 37 CFR 1.4(d)(3).
Date	Enter the date that the submission is being signed. Or, select the date from the drop-down menu, which converts into a calendar. The date format should be in the form YYYY-MM-DD.
Name / Print	Type or write the name of the filer.
Registration Number	Please enter a Registration Number if you are a patent practitioner.

## Country Code Table

COUNTRY	CODE
AFGHANISTAN	AF
ALBANIA	AL
ALGERIA	DZ
ANDORRA	AD
ANGOLA	AO
ANGUILLA	AI
ANTARCTICA	AQ
ANTIGUA AND BARBUDA	AG
ARGENTINA	AR
ARMENIA	AM
ARUBA	AW
AUSTRALIA	AU
AUSTRIA	AT
AZERBAIJAN	AZ
BAHAMAS	BS
BAHRAIN	BH
BANGLADESH	BD
BARBADOS	BB
BELARUS	BY
BELGIUM	BE
BELIZE	BZ
BENIN	BJ
BERMUDA	BM
BHUTAN	BT
BOLIVIA	BO
BOSNIA AND HERZEGOVINA	BA
BOTSWANA	BW
BOUVET ISLAND	BV
BRAZIL	BR
BRITISH INDIAN OCEAN TERRITORY	IO
BRUNEI DARUSSALAM	BN
BULGARIA	BG
BURKINA FASO	BF
BURUNDI	BI
CAMBODIA	KH
CAMEROON	CM
CANADA	CA
CANTON AND ENDERBURY ISLANDS	CT
CAPE VERDE	CV
CAYMAN ISLANDS	KY
CENTRAL AFRICAN REPUBLIC	CF
CHAD	TD
CHILE	CL
CHINA	CN
CHRISTMAS ISLAND	CX
COCOS (KEELING) ISLANDS	CC
COLOMBIA	CO

COMOROS	KM
CONGO	CG
CONGO, THE DEMOCRATIC REPUBLIC OF THE	CD
COOK ISLANDS	CK
COSTA RICA	CR
COTE D'IVOIRE	CI
CROATIA	HR
CUBA	CU
CYPRUS	CY
CZECH REPUBLIC	CZ
CZECHOSLOVAKIA	CS
DENMARK	DK
DJIBOUTI	DJ
DOMINICA	DM
DOMINICAN REPUBLIC	DO
DRONNING MAUD LAND	NQ
EAST TIMOR	TP
ECUADOR	EC
EGYPT	EG
EL SALVADOR	SV
EQUATORIAL GUINEA	GQ
ERITREA	ER
ESTONIA	EE
ETHIOPIA	ET
FALKLAND ISLANDS (MALVINAS)	FK
FAROE ISLANDS	FO
FIJI	FJ
FINLAND	FI
FRANCE	FR
FRENCH GUIANA	GF
FRENCH POLYNESIA	PF
FRENCH SOUTHERN AND ANTARCTIC TERRITORIES	FQ
FRENCH SOUTHERN TERRITORIES	TF
GABON	GA
GAMBIA	GM
GEORGIA	GE
GERMAN DEMOCRATIC REPUBLIC	DD
GERMANY	DE
GHANA	GH
GIBRALTAR	GI
GREECE	GR
GREENLAND	GL
GRENADA	GD
GUADELOUPE	GP
GUATEMALA	GT
GUINEA	GN
GUINEA-BISSAU	GW
GUYANA	GY

HAITI	HT
HEARD ISLAND AND MCDONALD ISLANDS	HM
HOLY SEE (VATICAN CITY STATE)	VA
HONDURAS	HN
HONG KONG	HK
HUNGARY	HU
ICELAND	IS
INDIA	IN
INDONESIA	ID
IRAN, ISLAMIC REPUBLIC OF	IR
IRAQ	IQ
IRELAND	IE
ISRAEL	IL
ITALY	IT
JAMAICA	JM
JAPAN	JP
JORDAN	JO
KAZAKSTAN	KZ
KENYA	KE
KIRIBATI	KI
KOREA, DEMOCRATIC PEOPLE'S REPUBLIC OF	KP
KOREA, REPUBLIC OF	KR
KUWAIT	KW
KYRGYZSTAN	KG
LAO PEOPLE'S DEMOCRATIC REPUBLIC	LA
LATVIA	LV
LEBANON	LB
LESOTHO	LS
LIBERIA	LR
LIBYAN ARAB JAMAHIRIYA	LY
LIECHTENSTEIN	LI
LITHUANIA	LT
LUXEMBOURG	LU
MACAU	MO
MACEDONIA, THE FORMER YUGOSLAV REPUBLIC OF	MK
MADAGASCAR	MG
MALAWI	MW
MALAYSIA	MY
MALDIVES	MV
MALI	ML
MALTA	MT
MARSHALL ISLANDS	MH
MARTINIQUE	MQ
MAURITANIA	MR
MAURITIUS	MU
MAYOTTE	YT
MEXICO	MX
MIRCRONESIA, FEDERATED STATES OF	FM
MOLDOVA, REPUBLIC OF	MD
MONACO	MC

MONGOLIA	MN
MONTERRAT	MS
MOROCCO	MA
MOZAMBIQUE	MZ
MYANMAR	MM
NAMIBIA	NA
NAURU	NR
NEPAL	NP
NETHERLANDS	NL
NETHERLANDS ANTILLES	AN
NEUTRAL ZONE	NT
NEW CALEDONIA	NC
NEW ZEALAND	NZ
NICARAGUA	NI
NIGER	NE
NIGERIA	NG
NIUE	NU
NORFOLK ISLAND	NF
NORWAY	NO
NOT PROVIDED	XP
OMAN	OM
PACIFIC ISLANDS (TRUST TERRITORY)	PC
PAKISTAN	PK
PALAU	PW
PANAMA	PA
PANAMA CANAL ZONE	PZ
PAPUA NEW GUINEA	PG
PARACEL ISLANDS	QP
PARAGUAY	PY
PERU	PE
PHILIPPINES	PH
PITCAIRN	PN
POLAND	PL
PORTUGAL	PT
QATAR	QA
REUNION	RE
ROMANIA	RO
RUSSIAN FEDERATION	RU
RWANDA	RW
SAINT HELENA	SH
SAINT KITTS AND NEVIS	KN
SAINT LUCIA	LC
SAINT PIERRE AND MIQUELON	PM
SAINT VINCENT AND THE GRENADINES	VC
SAMOA	WS
SAN MARINO	SM
SÃO TOME AND PRINCIPE	ST
SAUDI ARABIA	SA
SENEGAL	SN
SEYCHELLES	SC

SIERRA LEONE	SL
SINGAPORE	SG
SLOVAKIA	SK
SLOVENIA	SI
SOLOMON ISLANDS	SB
SOMALIA	SO
SOUTH AFRICA	ZA
SOUTH GEORGIA AND THE SOUTH SANDWICH ISLANDS	GS
SPAIN	ES
SRI LANKA	LK
STATELESS	ZZ
SUDAN	SD
SURINAME	SR
SVALBARD AND JAN MAYEN	SJ
SWAZILAND	SZ
SWEDEN	SE
SWITZERLAND	CH
SYRIAN ARAB REPUBLIC	SY
TAIWAN	TW
TAJKISTAN	TJ
TANZANIA, UNITED REPUBLIC OF	TZ
THAILAND	TH
TOGO	TG
TOKELAU	TK
TONGA	TO
TRINIDAD AND TOBAGO	TT

TUNISIA	TN
TURKEY	TR
TURKMENISTAN	TM
TURKS AND CAICOS ISLANDS	TC
TUVALU	TV
UGANDA	UG
UKRAINE	UA
UNION OF SOVIET SOCIALIST REPUBLICS	SU
UNITED ARAB EMIRATES	AE
UNITED KINGDOM	GB
UNITED STATES	US
URUGUAY	UY
UZBEKISTAN	UZ
VANUATU	VU
VENEZUELA	VE
VIET NAM	VN
VIET-NAM, DEMOCRATIC REPUBLIC OF	VD
VIRGIN ISLANDS, BRITISH	VG
WALLIS AND FUTUNA	WF
WESTERN SAHARA	EH
YEMEN	YE
YEMEN, DEMOCRATIC	YD
YUGOSLAVIA	YU
ZAMBIA	ZM
ZIMBABWE	ZW

## WIPO ST.3 Table

LIST OF STATES, OTHER ENTITIES AND INTERGOVERNMENTAL ORGANIZATIONS, IN ALPHABETIC SEQUENCE OF THEIR SHORT NAMES, AND THEIR CORRESPONDING CODES (as of March 22, 2007)

AFGHANISTAN	AF
AFRICAN INTELLECTUAL PROPERTY ORGANIZATION (OAPI) <sup>(1)</sup>	OA
AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO) <sup>(1)</sup>	AP
ALBANIA	AL
ALGERIA	DZ
ANDORRA	AD
ANGOLA	AO
ANGUILLA	AI
ANTIGUA AND BARBUDA	AG
ARGENTINA	AR
ARMENIA	AM
ARUBA	AW
AUSTRALIA	AU
AUSTRIA	AT
AZERBAIJAN	AZ
BAHAMAS	BS
BAHRAIN	BH
BANGLADESH	BD
BARBADOS	BB
BELARUS	BY
BELGIUM	BE
BELIZE	BZ
BENELUX OFFICE FOR INTELLECTUAL PROPERTY (BOIP) <sup>(2)</sup>	BX
BENIN	BJ
BERMUDA	BM
BHUTAN	BT
BOLIVIA	BO
BOSNIA AND HERZEGOVINA	BA
BOTSWANA	BW
BOUVET ISLAND	BV
BRAZIL	BR
BRUNEI DARUSSALAM	BN
BULGARIA	BG
BURKINA FASO	BF
BURUNDI	BI
CAMBODIA	KH
CAMEROON	CM
CANADA	CA
CAPE VERDE ...	CV
CAYMAN ISLANDS	KY
CENTRAL AFRICAN REPUBLIC	CF
CHAD	TD
CHILE	CL
CHINA	CN
COMMUNITY PLANT VARIETY OFFICE (EUROPEAN COMMUNITY) (CPVO)	QZ
COLOMBIA	CO
COMOROS	KM
CONGO (see Congo, below; Democratic Republic of the Congo)	
CONGO	CG
COOK ISLANDS.	CK
COSTA RICA	CR
CÔTE D'IVOIRE	CI

CROATIA	HR
CUBA	CU
CYPRUS	CY
CZECH REPUBLIC	CZ
DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA	KP
DEMOCRATIC REPUBLIC OF THE CONGO	CD
DENMARK	DK
DJIBOUTI	DJ
DOMINICA ...	DM
DOMINICAN REPUBLIC	DO
ECUADOR	EC
EGYPT	EG
EL SALVADOR	SV
EQUATORIAL GUINEA	GQ
ERITREA	ER
ESTONIA	EE
ETHIOPIA	ET
EURASIAN PATENT ORGANIZATION (EAPO) <sup>(1)</sup>	EA
EUROPEAN COMMUNITY TRADE MARK OFFICE (See Office for Harmonization in the Internal Market)	
EUROPEAN PATENT OFFICE (EPO) <sup>(1)</sup>	EP
FALKLAND ISLANDS (MALVINAS)	FK
FAROE ISLANDS	FO
FIJI	FJ
FINLAND	FI
FRANCE	FR
GABON	GA
GAMBIA	GM
GEORGIA	GE
GERMANY <sup>(3)</sup>	DE
GHANA	GH
GIBRALTAR	GI
GREECE	GR
GREENLAND ...	GL
GRENADA ...	GD
GUATEMALA ...	GT
GUERNSEY.	GG
GUINEA	GN
GUINEA-BISSAU	GW
GULF COOPERATION COUNCIL (see Patent Office of the Cooperation Council for the Arab States of the Gulf)	
GUYANA	GY
HAITI	HT
HOLY SEE ...	VA
HONDURAS	HN
HONG KONG (See The Hong Kong Special Administrative Region of the People's Republic of China)	
HUNGARY	HU
ICELAND	IS
INDIA	IN
INDONESIA	ID
INTERNATIONAL BUREAU OF THE WORLD INTELLECTUAL PROPERTY ORGANIZATION (WIPO) <sup>(4)</sup>	IB, WO
IRAN, ISLAMIC REPUBLIC OF	IR
IRAQ	IQ

IRELAND	IE
ISLE OF MAN	IM
ISRAEL	IL
ITALY	IT
JAMAICA	JM
JAPAN	JP
JERSEY	JE
JORDAN	JO
KAZAKHSTAN	KZ
KENYA	KE
KIRIBATI	KI
KOREA (see Democratic People's Republic of Korea; Republic of Korea)	
KUWAIT	KW
KYRGYZSTAN	KG
LAO PEOPLE'S DEMOCRATIC REPUBLIC	LA
LATVIA	LV
LEBANON	LB
LESOTHO	LS
LIBERIA	LR
LIBYAN ARAB JAMAHIRIYA	LY
LIECHTENSTEIN	LI
LITHUANIA	LT
LUXEMBOURG	LU
MACAO	MO
MACEDONIA (see The former Yugoslav Republic of Macedonia)	
MADAGASCAR	MG
MALAWI	MW
MALAYSIA ...	MY
MALDIVES ...	MV
MALI	ML
MALTA	MT
MAURITANIA	MR
MAURITIUS	MU
MEXICO	MX
MOLDOVA (see Republic of Moldova)	
MONACO	MC
MONGOLIA	MN
MONTENEGRO.	ME
MONTserrat	MS
MOROCCO	MA
MOZAMBIQUE	MZ
MYANMAR	MM
NAMIBIA	NA
NAURU	NR
NEPAL	NP
NETHERLANDS.	NL
NETHERLANDS ANTILLES	AN
NEW ZEALAND	NZ
NICARAGUA	NI
NIGER	NE
NIGERIA	NG
NORDIC PATENT INSTITUTE (NPI)	XN
NORTHERN MARIANA ISLANDS	MP
NORWAY	NO
OFFICE FOR HARMONIZATION IN THE INTERNAL MARKET (TRADE MARKS AND DESIGNS) (OHIM)	EM
OMAN	OM
PAKISTAN ...	PK
PALAU	PW

PANAMA	PA
PAPUA NEW GUINEA	PG
PARAGUAY	PY
PATENT OFFICE OF THE COOPERATION COUNCIL FOR THE ARAB STATES OF THE GULF (GCC)	GC
PERU	PE
PHILIPPINES ...	PH
POLAND	PL
PORTUGAL	PT
QATAR	QA
REPUBLIC OF KOREA	KR
REPUBLIC OF MOLDOVA	MD
ROMANIA	RO
RUSSIAN FEDERATION	RU
RWANDA	RW
SAINT HELENA	SH
SAINT KITTS AND NEVIS ...	KN
SAINT LUCIA ...	LC
SAINT VINCENT AND THE GRENADINES	VC
SAMOA	WS
SAN MARINO	SM
SAO TOME AND PRINCIPE	ST
SAUDI ARABIA	SA
SENEGAL	SN
SERBIA	RS
SEYCHELLES	SC
SIERRA LEONE	SL
SINGAPORE	SG
SLOVAKIA ...	SK
SLOVENIA ...	SI
SOLOMON ISLANDS	SB
SOMALIA	SO
SOUTH AFRICA	ZA
SOUTH GEORGIA AND THE SOUTH SANDWICH ISLANDS	GS
SPAIN	ES
SRI LANKA	LK
SUDAN	SD
SURINAME	SR
SWAZILAND	SZ
SWEDEN	SE
SWITZERLAND	CH
SYRIAN ARAB REPUBLIC	SY
TAIWAN, PROVINCE OF CHINA	TW
TAJIKISTAN	TJ
TANZANIA (see United Republic of Tanzania)	
THAILAND ...	TH
THE FORMER YUGOSLAV REPUBLIC OF MACEDONIA	MK
THE HONG KONG SPECIAL ADMINISTRATIVE REGION OF THE PEOPLE'S REPUBLIC OF CHINA	HK
TIMOR-LESTE	TL
TOGO.	TG
TONGA	TO
TRINIDAD AND TOBAGO	TT
TUNISIA	TN
TURKEY	TR
TURKMENISTAN	TM
TURKS AND CAICOS ISLANDS	TC

TUVALU	TV
UGANDA	UG
UKRAINE	UA
UNITED ARAB EMIRATES	AE
UNITED KINGDOM	GB
UNITED REPUBLIC OF TANZANIA	TZ
UNITED STATES OF AMERICA	US
URUGUAY ...	UY
UZBEKISTAN ...	UZ
VANUATU ...	VU
VATICAN CITY STATE (See Holy See)	

VENEZUELA	VE
VIET NAM	VN
VIRGIN ISLANDS, BRITISH	VG
WESTERN SAHARA <sup>(5)</sup>	EH
WORLD INTELLECTUAL PROPERTY ORGANIZATION (WIPO) (INTERNATIONAL BUREAU OF) <sup>(4)</sup>	WO, IB
YEMEN	YE
ZAMBIA	ZM
ZIMBABWE	ZW

- (1) Intergovernmental organizations (regional patent offices) acting for certain Contracting States under the PCT (Patent Cooperation Treaty). In case of the European Patent Office, it also acts as International Searching Authority and International Preliminary Examining Authority under the PCT.
- (2) The Benelux Office for Intellectual Property (BOIP) (former Benelux Trademark and Designs Offices) have replaced the national Offices of Belgium, Luxembourg and the Netherlands with regard to actions relating to marks and industrial designs.
- (3) In the electronic database of the International Register of Marks, the International Bureau of WIPO uses the following additional codes, not part of the active codes of Standard ST.3: "DD" to designate Germany without the territory that, prior to October 3, 1990, constituted the Federal Republic of Germany; "DT" to designate Germany without the territory that, prior to October 3, 1990, constituted the German Democratic Republic.
- (4) The code "WO" is used in relation to the international publication under the Patent Cooperation Treaty (PCT) of international applications filed with any PCT receiving Office, as well as in the publication of international deposits of industrial designs under the Hague Agreement Concerning the International Deposit of Industrial Designs. In this regard, reference is made to INID code (33) given in WIPO Standards [ST.9](#) and [ST.80](#). The code "WO" is also the appropriate code to be used with respect to international registrations of marks under the Madrid Agreement and the Madrid Protocol Concerning the International Registration of Marks. The code "IB" is used in relation to the receipt of international applications under the PCT filed with the International Bureau of WIPO in its capacity as a PCT receiving Office.
- (5) Provisional name.
- (6) Code BF adopted in 1984..
- (7) Code CD adopted in 1997.
- (8) Code MM adopted in 1989.
- (9) Code TL adopted on May 20, 2002.
- (10) Code SY used before 1.1.1978.
- (11) Code DL used before 1.1.1978.
- (12) Subsequent to the country name change of "Yugoslavia" to "Serbia and Montenegro", that took effect on February 4, 2003, and to the decision by the ISO 3166/MA to use the new country name and new two-letter code "CS" (to replace "YU"), which was announced on July 23, 2003, the SCIT Standards and Documentation Working Group agreed, at its fifth session, in November 11, 2004, to recommend that the two-letter code "YU" continue to be used for "Serbia and Montenegro" in the industrial property field because code "CS" raised certain problems due to its former use to represent "Czechoslovakia" until 1993.

## 1. State/Province List

U.S. State / U.S. territories / Canadian Provinces	Code
ALABAMA	AL
ALASKA	AK
ALBERTA	AB
AMERICAN SAMOA	AS
ARIZONA	AZ
ARKANSAS	AR
Armed Forces in Americas excluding Canada	AA
Armed Forces in Europe, the Middle East, Africa, and Canada	AE
Armed Forces in the Pacific	AP
BAKER ISLAND	81
BRITISH COLUMBIA	BC
CALIFORNIA	CA
CHANNEL ISLANDS	CHA
COLORADO	CO
CONNECTICUT	CT
DELAWARE	DE
DISTRICT OF COLUMBIA	DC
FLORIDA	FL
GEORGIA	GA
GUAM	GU
HAWAII	HI
HOWLAND ISLAND	84
IDAHO	ID
ILLINOIS	IL
INDIANA	IN
IOWA	IA
JARVIS ISLAND	86
JOHNSTON ATOLL	67
KANSAS	KS
KENTUCKY	KY
KINGMAN REEF	89
LOUISIANA	LA
MAINE	ME
MANITOBA	MB
MARYLAND	MD
MASSACHUSETTS	MA
MICHIGAN	MI
MIDWAY ISLANDS	71
MINNESOTA	MN
MISSISSIPPI	MS

MISSOURI	MO
MONTANA	MT
NAVASSA ISLAND	76
NEBRASKA	NE
NEVADA	NV
NEW BRUNSWICK	NB
NEW HAMPSHIRE	NH
NEW JERSEY	NJ
NEW MEXICO	NM
NEW YORK	NY
NEWFOUNDLAND	NF
NORTH CAROLINA	NC
NORTH DAKOTA	ND
NORTHWEST TERRITORIES	NT
NOVA SCOTIA	NS
NUNAVUT	NU
OHIO	OH
OKLAHOMA	OK
ONTARIO	ON
OREGON	OR
PALMYRA ATOLL	95
PENNSYLVANIA	PA
PRINCE EDWARD ISLAND	PE
PUERTO RICO	PR
QUEBEC	QC
RHODE ISLAND	RI
SASKATCHEWAN	SK
SOUTH CAROLINA	SC
SOUTH DAKOTA	SD
TENNESSEE	TN
TEXAS	TX
UNITED STATES MINOR OUTLYING ISLANDS	UM
UTAH	UT
VERMONT	VT
VIRGIN ISLANDS, U.S.	VI
VIRGINIA	VA
WAKE ISLAND	79
WASHINGTON	WA
WEST VIRGINIA	WV
WISCONSIN	WI
WYOMING	WY
YUKON TERRITORY	YT

## 2. Active Military Descriptions List

AE	The inventor is serving in Europe or Africa
AP	The inventor is serving in the Pacific

AA	The inventor is serving in the Americas excluding Canada
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