

Form 5500 Package

PRA-numbers

6/6/2007

IRS + SSA

Number of Respondents (total) 780,000

Number of Respondents for Small Entity 630,000

ICR

	2007 - Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion	Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
Annual Number of Responses for this IC	780,000	0	0	780,000	0	
Annual IC Time Burden (Hours)	591,000	-37,000	0	628,000	0	
Annual IC Cost Burden (Dollars)	136,000,000	-4,000,000	0	140,000,000	0	

Short Statement

EBSA updated the model that is used for these burden calculations and used updated estimates of the number of plans affected by the regulation (Change Due to Adjustment in Agency).

The Pension Protection Act of 2006 required a simplified reporting alternative for certain small plans (Program Change Due to New Statute).

Annual Cost to Federal Government

THIS DOCUMENT IS RELATED TO THE FORM 5500 NOTICE AND NOTICE OF RULEMAKING (RULE: RIN: 1210-AB06, OMB Ref #: 1210-A135)

NOTE: If you add the previously approved PRA numbers for IRC, this spreadsheet will calculate for you the "Change due to adjustment in agency estimate" (cells E8 to E10).

2008

Form 5500 Package

PRA-numbers

5/26/2008

IRS + SSA

Number of Respondents (total)	780,000
Number of Respondents for Small Entity	630,000

ICR

	2008 - Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion	Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
Annual Number of Responses for this IC	780,000	0	0	0	0	780,000
Annual IC Time Burden (Hours)	593,000	2,000	0	0	0	591,000
Annual IC Cost Burden (Dollars)	137,700,000	1,700,000	0	0	0	136,000,000

Short Statement

The Pension Protection Act of 2006 required some new questions as well as a question for the total number of contributing employers for defined benefit plans and an asset allocation question on the Schedule R (Program Change Due to New Statute).

The simplified reporting alternative for certain small plans is still in place (Change in 2007).

Annual Cost to Federal Government

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2009

Form 5500 Package

PRA-numbers

8/6/2009

IRS + SSA

Number of Respondents (total)	780,000
Number of Respondents for Small Entity	630,000

ICR

	2009 - Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion	Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
Annual Number of Responses for this IC	780,000	0	0	0	0	780,000
Annual IC Time Burden (Hours)	323,000	0	-270,000	0	0	593,000
Annual IC Cost Burden (Dollars)	98,000,000	0	-39,700,000	0	0	137,700,000

The main difference from the 2008 Forms are: (a) all forms will be required to be filed electronically; (b) Schedule E and Schedule SSA will be removed; (c) the new Short Form 5500 (5500-SF) will be established; (d) the special limited reporting rules for Code section 403(b) plans will be eliminated; (e) Schedule C will be revised; (f) additional data elements will be added to Schedule R; and (g) various smaller technical revisions will be made to the Schedule A, Schedule H, and Schedule I.

Short Statement

Annual Cost to Federal Government

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2010

Form 5500 Package

PRA-numbers

8/17/2009

IRS + SSA

Number of Respondents (total) 780,000

Number of Respondents for Small Entity 630,000

ICR

	2009 - Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion	Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
Annual Number of Responses for this IC	780,000	0	0	0	0	780,000
Annual IC Time Burden (Hours)	323,000	0	0	0	0	323,000
Annual IC Cost Burden (Dollars)	98,000,000	0	0	0	0	98,000,000

Short Statement

There will be no change between the 2009 and 2010 forms and burden.

Annual Cost to Federal Government

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2011

Form 5500 Package

PRA-numbers

5/2/2011

IRS + SSA

Number of Respondents (total) 780,000

Number of Respondents for Small Entity 630,000

ICR

	2011 - Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion	Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
Annual Number of Responses for this IC	780,000	0	0	0	0	780,000
Annual IC Time Burden (Hours)	321,000	0	-2,000	0	0	323,000
Annual IC Cost Burden (Dollars)	102,000,000	0	4,000,000	0	0	98,000,000

Short Statement

The number of filings went slightly down, the wage rates went up. This resulted in a small decrease in time and a small increase in cost burden.

Annual Cost to Federal Government

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2013

Form 5500 Package

PRA-numbers

4/23/2013

IRS + SSA

Number of Respondents (total) 800,000

Number of Respondents for Small Entity 643,000

ICR

	2013 - Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion
Annual Number of Responses for this IC	800,000	0	
Annual IC Time Burden (Hours)	326,000	0	
Annual IC Cost Burden (Dollars)	112,000,000	0	

Short Statement

The number of filings and the wage rates increased. This r
the time burden, and the cost burden.

Annual Cost to Federal Government

THIS DOCUMENT IS RELATED TO THE FORM 5500 NOTICE AND NOTICE OF RULEMAKING

Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
20,000	0	780,000
5,000	0	321,000
10,000,000	0	102,000,000

esulted in an increase in the number of responses,

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2014

Form 5500 Package

PRA-numbers

3/21/2014

IRS + SSA

Number of Respondents (total) 786,000

Number of Respondents for Small Entity 630,000

ICR

	2014 - Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion
Annual Number of Responses for this IC	786,000	0	
Annual IC Time Burden (Hours)	319,000	0	
Annual IC Cost Burden (Dollars)	112,088,000	0	

Short Statement

The number of filings decreased, while the wage rates incre number of responses and the time burden, and an increase

Annual Cost to Federal Government

THIS DOCUMENT IS RELATED TO THE FORM 5500 NOTICE AND NOTICE OF RULEMAKING

Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
-14,000	0	800,000
-7,000	0	326,000
88,000	0	112,000,000

ased. The net effect of this was a decrease in the in the cost burden.

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2015

Form 5500 Package

PRA-numbers

9/15/2014

IRS + SSA

Number of Respondents (total) 822,000

Number of Respondents for Small Entity 668,000

ICR

	2015 - Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion
Annual Number of Responses for this IC	822,000	0	0
Annual IC Time Burden (Hours)	340,000	0	0
Annual IC Cost Burden (Dollars)	120,507,000	0	0

Short Statement

The number of filings increased, which increased the time a

Annual Cost to Federal Government

THIS DOCUMENT IS RELATED TO THE FORM 5500 NOTICE AND NOTICE OF RULEMAKING

Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
36,000	0	786,000
21,000	0	319,000
8,419,000	0	112,088,000

and cost burdens.

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2016

Form 5500 Package

PRA-numbers

2/11/2016

IRS + SSA

Number of Respondents (total) 806,000

Number of Respondents for Small Entity 658,000

ICR

	2016 - Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion
Annual Number of Responses for this IC	806,000	0	
Annual IC Time Burden (Hours)	320,000	0	
Annual IC Cost Burden (Dollars)	120,611,000	0	

Short Statement

The number of filings decreased and wage rates increased. and a slight increase in the cost burden.

Annual Cost to Federal Government

THIS DOCUMENT IS RELATED TO THE FORM 5500 NOTICE AND NOTICE OF RULEMAKING

Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
-16,000	0	822,000
-20,000	0	340,000
104,000	0	120,507,000

This produced a slight decrease in the hour burden

(RULE: RIN: 1210-AB06, OMB Ref #: 1210-A135)

2017

Form 5500 Package

PRA-numbers

3/8/2017

IRS + SSA

Number of Respondents (total) 828,000

Number of Respondents for Small Entity 669,000

ICR

	2017 - Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion
Annual Number of Responses for this IC	828,000	0	0
Annual IC Time Burden (Hours)	338,000	0	0
Annual IC Cost Burden (Dollars)	137,129,000	0	0

Short Statement

The number of filings and the wage rates increased, which

Annual Cost to Federal Government

THIS DOCUMENT IS RELATED TO THE FORM 5500 NOTICE AND NOTICE OF RULEMAKING

Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
22,000	0	806,000
18,000	0	320,000
16,518,000	0	120,611,000

produced an increase in the hour and cost burden.

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