## NOTICE TO RAILROAD EMPLOYEE INVOLVED IN RAIL EQUIPMENT ACCIDENT/INCIDENT ATTRIBUTED TO EMPLOYEE HUMAN FACTOR

DEPARTMENT OF TRANSPORTATION FEDERAL RAILROAD ADMINISTRATION (FRA)

EMPLOYEE STATEMENT SUPPLEMENTING RAILROAD ACCIDENT REPORT

| FEDERAL RAILROAD ADMINISTRATION (FRA)  OMB No. 2130-0500   |  |  |   |  |  |   |  |  |
|--|--|--|---|--|--|---|--|--|
| PART I - NOTICE TO RAIL  | ROAD E                                 | EMPLOYEE (   | To be comple  | eted by rep  | orting railroad  | i)  |  |  |
| Name of Reporting Railroad   | t                                      | Date of Accid  | dent/Incident                                       | Accident/I   | ncident No.  | Location of Accide  | ent/Incident   |  |
|  |  |  |   |  |  | (State, nearest city/town)  |  |  |
|  |  |  |   | ear  |  |   |  |  |
|  | Cause                                  | es reported o  | ted on Form FRA F6180.54                            |  |  |   |  |  |
| Applicable to this person?   | Code                                   |  | Descriptio  | Description  |  |   |  |  |
| ☐ Yes ☐ No   |  |  |   |  |  |   |  |  |
| ☐ Yes ☐ No   |  |  |   |  |  |   |  |  |
| Employee's Name (First, middle, last)  |  |  | Job Title Name of Empl                              |  | oying Railroad   |   |  |  |
| Employee's Home Address  |  |  |   |  |  |   |  |  |
| <u>PURPOSE OF THIS FORM.</u> A rail accident occurred that may have at least partly been caused by human error (human factor). The railroad involved with this accident is sending you this form because it is required by federal law to send this form to any railroad employee it believes may have at least been partly responsible for causing the accident/incident. |  |  |   |  |  |   |  |  |
| Since the railroad has named y<br>Part I of this form and give you<br>of this form your version of eve<br>you must provide an explanation<br>to you, you are not legally reconsults or supplement, decide to revise it   | an opporents relating to FRA quired to | rtunity within 4 ng to this accid and the railrost complete this | 15 days from the lent. If you would do not the need | e date that th<br>lld like to com<br>for more time | e notice was ma<br>plete this form b<br>. While the railro | iled or hand delivered<br>ut are unable to do so<br>oad is required by fede | to you to give in <b>Part II</b> within the time limit, eral law to send this form |  |
| In <b>Part II</b> of this form, you may submit a supplemental statement to FRA on any aspect of the railroad's report. If you decide that you would like to send the railroad and FRA a statement, <b>please follow the INSTRUCTIONS.</b>  |  |  |   |  |  |   |  |  |
| Name of Railroad Representative  |  |  | Signature of Railroad Representative                |  |  | Date Signed   | Date Mailed/Hand Delivered   |  |
| If the employee decides to return this form to the railroad, the form should be sent to: [name and address of railroad representative]   |  |  |   |  |  |   |  |  |
| PART II - SUPPLEMENT - EMPLOYEE STATEMENT REGARDING RAILROAD ACCIDENT REPORT  I would like to supplement the railroad's accident report with the following statement:  |  |  |   |  |  |   |  |  |
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|  |  |  |   |  | (C   | Continue statement on separa  | te sheet, if required, and mail with statement)                                    |  |
| I have carefully read this statement and confirm that it is true to the best of my knowledge and belief.   |  |  |   |  |  |   |  |  |
| Signature  |  |  | Date Sign   |  |  | Date Mailed/Hand Delivered to FRA:  Date Mailed/Hand Delivered to Railroad: |  |  |
| Your Telephone Number<br>Home: ()  |  | You  | r home or mai                                       |  |  | ,   |  |  |
| Work: ( )  |  |  |   |  |  |   |  |  |
| NOTE: This Notice and Employee<br>reports statute and, as suc<br>matter mentioned in said re   | h, shall not                           | t "be admitted as  | evidence or used                                    | for any purpos                                     |  |   |  |  |

## INSTRUCTIONS TO RAILROAD EMPLOYEE REGARDING COMPLETION OF PART II OF FORM FRA F 6180.78

If you decide to complete this form, please follow these instructions:

- 1. Complete only Part II of this form.
- 2. Print or type your statement.
- 3. You may attach any relevant supporting documents, diagrams, photographs, or other evidence.
- 4. Sign and date your statement.
- 5. Send your original statement to the Federal Railroad Administration (FRA) at the following address:

Operating Practices Division Federal Railroad Administration RRS-11, Mail Stop 25 1200 New Jersey Avenue, S.E. Washington, D.C. 20590

- 6. Send a copy of your statement to your railroad.
- 7. Keep a copy of your statement for your own records.
- 8. Additional information concerning completion of this form may be obtained at FRA's website at www.FRA.DOT.GOV.

## FREQUENTLY ASKED QUESTIONS

- Q. Who is a railroad employee?
- A. FRA defines an employee for purposes of filling out this form as a Worker on Duty-Railroad Employee; Employee, Railroad Employee not on duty; Worker on Duty-Contractor; or Worker on Duty-Volunteer. If you fit into any of these categories, you are a railroad employee for purposes of filling out this form.
- Q. Do I have to fill out the form?
- A. No. Neither the railroad nor FRA requires you to fill out this form. Employee statements on this form are voluntary and optional, not mandatory, and deciding not to send this form to FRA and the railroad does not imply that the employee admits or endorses the railroad's conclusions as to the cause of the accident or any other allegations. See 49 C.F.R. 225.12(g).
- Q. Will my statements remain confidential?
- A. Information that the employee wishes to withhold from the railroad must not be included in this Supplement. If the employee wishes to provide confidential information to FRA, the employee should not use the Supplement form (part II of Form FRA F 6180.78), but rather provide such confidential information by other means, such as a letter to the employee's collective bargaining representative, or to the Office of Safety Assurance and Compliance, Federal Railroad Administration, RRS-10, Mail Stop 25, 1200 New Jersey Avenue, S.E., Washington, D.C. 20590. The letter should include the name of the railroad making the allegations, the date and place of the accident, and the rail equipment accident/incident number.
- Q. Is this form part of the railroad's accident report to FRA, and as such, may it be used in private litigation?
- A. No. This form under 49 C.F.R. 225.12 is part of the railroad's accident report to FRA pursuant to the accident reports statute and as such shall not "be admitted as evidence or used for any purpose in any suit or action for damages growing out of any matter mentioned in said report..." 49 U.S.C. 20903. See 49 C.F.R. 225.7(b).

Willful false statements can result in imposition of civil penalties.

This collection of information is mandatory under 49 CFR 225, and is used by FRA to monitor national rail safety. Public reporting burden is estimated to average 10 minutes (Part I) and 1.5 hour (Part II) per response, including the time for reviewing instructions, searching existing databases, gathering and maintaining the data needed, and completing and reviewing the collection of information. The information collected is a matter of public record, and no confidentiality is promised to any respondent. Please note that an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. The OMB control number for this collection is 2130-0500.