

SUPPORTING STATEMENT  
Occasional Qualitative Surveys  
OMB No. 3064-0127

INTRODUCTION

The FDIC is requesting an extension without change of this approved collection to use occasional qualitative surveys to gather information from the public. These surveys usually do not involve more than 850 respondents, generally do not require more than one hour per respondent, and are always completely voluntary in nature. It is not contemplated that more than 15 such surveys will be conducted in any given year. The purpose of the surveys is, in general terms, to obtain anecdotal information about regulatory burden, problems or successes in the bank supervisory process (including both safety-and-soundness and consumer-related exams), the perceived need for regulatory or statutory change, and similar concerns. This collection expires March 31, 2017.

A. JUSTIFICATION

1. Circumstances and Need

The information in these surveys is anecdotal in nature, that is, samples are not necessarily random, the results are not necessarily representative of a larger class of potential respondents, and the goal is not to produce a statistically valid and reliable database. Rather, the surveys are expected to yield anecdotal information about the particular experiences and opinions of members of the public, primarily staff at respondent banks or bank customers.

2. Use of Information Collected

The information is used to improve the way FDIC relates to the industry and the public, to develop agendas for regulatory or statutory change, and in some cases to simply learn how particular policies or programs are working, or are perceived in particular cases.

3. Use of Technology to Reduce Burden

Appropriate technology is used to minimize burden whenever possible.

4. Efforts to Identify Duplication

There is no duplication of reporting.

5. Minimizing the Burden on Small Banks

Care is taken to minimize the burden on small banks. One use of the information is to learn ways in which burden on small banks can be reduced.

6. Consequence of Less Frequent Collections

The frequency of collection is held to the absolute minimum.

7. Special Circumstances

None.

8. Consultation with Persons Outside the FDIC

The FDIC published a 60-day notice in the Federal Register on November 28, 2016 (81 FR 85562) seeking comments on the proposed renewal of this information collection. No comments were received.

9. Payment or Gift to Respondents

None.

10. Confidentiality

No confidentiality issues anticipated.

11. Information of a Sensitive Nature

No questions of a sensitive nature are included in this collection.

12. Estimate of Annual Burden

850 respondents x 15 surveys x 1 hour = 12,750 hours.

13. Capital, Start-up, Operating and Maintenance Costs

None.

14. Estimates of Annualized Cost to the Federal Government

None.

15. Reason for Change in Burden

There is no change in burden.

16. Publication

There is no publication of the information reported.

17. Display of Expiration Date

Not applicable.

18. Exceptions to Certification

None.

B. STATISTICAL METHODS

None. See Supporting Statement B for full discussion.