

# Federal Branch and Agency Relocation Application

## Foreign Bank Identifying Information

Foreign Bank Name: \_\_\_\_\_  
(exact corporate title of bank)

Address: \_\_\_\_\_  
(headquarters office address of foreign bank, city, state, country)

## Federal Branch or Agency Identifying Information

OCC License No. \_\_\_\_\_ A fee of \$ \_\_\_\_\_ is enclosed.

Present Location \_\_\_\_\_  
(street address of federal branch, city, county, state, ZIP Code)

Proposed Location \_\_\_\_\_  
(street address of federal branch, city, county, state, ZIP Code)

Desired Relocation Date \_\_\_\_\_

## Application Identifying Information

Application is hereby made for permission to relocate a federal branch or agency  
(within the same state/to another state).

Does the proposed relocation meet the distance criteria to qualify for a "short-  
distance" relocation pursuant to 12 CFR 5.3(l)? Yes  No

Distance between present and proposed locations \_\_\_\_\_ (in feet or miles)

Does this application qualify for or has it been approved for expedited review?  
Yes  No

## Representative Information

Name \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP Code \_\_\_\_\_

Phone No. \_\_\_\_\_ Fax No. \_\_\_\_\_ E-Mail \_\_\_\_\_  
(include country code and area code as applicable)

## Publication Information

Public notice is being published in \_\_\_\_\_  
(name and address of newspaper)  
on \_\_\_\_\_, \_\_\_\_\_.

A copy and certification of publication  is enclosed  will be forwarded

Requests for additional information or other communications about this proposal should be directed to:

\_\_\_\_\_  
(Name) (Title)  
\_\_\_\_\_  
(Mailing Address) (Phone No.)

## Statutory and Regulatory Factors

### 1. Legality

- a. Do any federal and state legal requirements exist that will affect the planned relocation?  
Yes  No

If "yes," does this filing comply with state law requirements?  
Yes  No

If the preceding answer is "no," or if approval of this application would be precedential under federal and/or state laws, list applicable law citations and provide a legal analysis from counsel in support of the application.

- b. Are there any other legal issues involved with this proposal?  
Yes  No

If "yes," provide a legal analysis and fully discuss the legal issue(s).

- c. Is the notice or application required by any other regulatory authority?  
Yes  No

If "yes," submit a copy of the notification/application.

### 2. Business

Will the relocation alter the types of business conducted by the federal branch or agency?  
Yes  No

If "yes," discuss management's strategic plan for the relocated federal branch or agency.

### 3. Community Reinvestment Act (CRA)

Is the federal branch insured by the Federal Deposit Insurance Corporation (FDIC)? Yes  No

If "yes," CRA applies and the applicant should discuss how the relocation will affect the assessment areas and the provision of banking services to the community.

#### 4. Environment

Will the proposed action affect significantly the quality of the human environment, (for example, air quality, water quality, noise levels, energy consumption, congestion of population, solid waste disposal, or environmental integrity of private land) within the meaning of the National Environmental Policy Act (NEPA), 42 USC 4321, et seq.? Yes  No

If "yes," discuss plans to comply with NEPA's requirements.

#### 5. Historic Properties

Does the proposed relocation affect any district, site, building or structure listed in, or eligible for listing in, the *National Register of Historic Places* (National Register), pursuant to the National Historic Preservation Act (NHPA), 16 USC 470? Yes  No

If "yes," has the State Historic Preservation Office (SHPO) communicated its determination of the effects of the relocation? Yes  No

If "yes," provide a copy of the letter. If "no," discuss the status.

#### Desired Action Date

I/we desire OCC action on this application no later than (date).

I certify that the information contained in this filing has been examined carefully by me and is true, correct, and complete, and is current as of the date of the submission. I acknowledge that any misrepresentation or omission of a material fact constitutes fraud in the inducement and may subject me to legal sanctions provided by 18 USC 1001.

I acknowledge that approval of this application is in the discretion of the OCC. Actions or communications, whether oral, written, or electronic, by the OCC or its employees in connection with this filing, including approval of the application, if granted, do not constitute a contract, either express or implied, or any other obligation binding upon the OCC, other federal banking agencies, the United States, any other agency or entity of the United States, or any officer or employee of the United States. Such actions or communications will not affect the ability of any federal banking agency to exercise its supervisory, regulatory, or examination powers under applicable law and regulations. I further acknowledge that the

foregoing may not be waived or modified by any employee or agent of a federal banking agency or of the United States.

Signature of Authorized Officer

Typed Name and Title

Date

**Enclosures:** (As applicable)

- Filing fee
- Proof of publication
- Legal opinion
- Other regulatory applications/notices
- Reason for relocation
- CRA discussion
- SHPO letter
- Conditions for Initial and Additional Establishment