

U.S. IMPORTERS' QUESTIONNAIRE

CAST IRON SOIL PIPE FROM CHINA

This questionnaire must be received by the Commission by **October 18, 2018**

See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty and antidumping investigations concerning cast iron soil pipe from China (Inv. Nos. 701-TA-597 and 731-TA-1407 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

<p>Name of firm _____</p> <p>Address _____</p> <p>City _____ State _____ Zip Code _____</p> <p>Website _____</p> <p>Has your firm imported cast iron soil pipe (as defined on next page) from any country at any time since January 1, 2015?</p> <p><input type="checkbox"/> NO (Sign the certification below and promptly return only this page of the questionnaire to the Commission)</p> <p><input type="checkbox"/> YES (Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)</p> <p>Return questionnaire via the U.S. International Trade Commission <i>Drop Box</i> by clicking on the following link: https://dropbox.usitc.gov/oinv/. (PIN: CISP)</p>

CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.

I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this proceeding or other proceedings may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements.

Name of Authorized Official

Title of Authorized Official

Date

Signature

Phone: _____

Email address

PART I.—GENERAL INFORMATION

Background.--This proceeding was instituted in response to a petition filed on January 26, 2018, by Cast Iron Soil Pipe Institute ("CISPI"), Mundelein, IL. Countervailing and antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of subsidization and/or dumping. Questionnaires and other information pertinent to this proceeding are available at https://usitc.gov/investigations/701731/2018/cast_iron_soil_pipe_china/final.htm.

Cast iron soil pipe covered by these investigations is cast iron soil pipe, whether finished or unfinished, regardless of industry or proprietary specifications, and regardless of wall thickness, length, diameter, surface finish, end finish, or stenciling. Both hubless and hub and spigot cast iron soil pipe are included in the scope of this investigation. Cast iron soil pipe is nonmalleable iron pipe of various designs and sizes. Cast iron soil pipe is generally distinguished from other types of nonmalleable cast iron pipe by the manner in which it is connected to cast iron soil pipe fittings.

Cast iron soil pipe is classified into two major types – hubless and hub and spigot. Hubless cast iron soil pipe is manufactured without a hub, generally in compliance with Cast Iron Soil Pipe Institute ("CISPI") specification 301 and/or American Society for Testing and Materials ("ASTM") specification A888. Hub and spigot pipe has one or more hubs into which the spigot (plain end) of a fitting is inserted. All pipe meeting the physical description set forth above is covered by the scope of this investigation, whether or not produced according to a particular standard.

The subject imports are currently classified in statistical reporting number 7303.00.0030 of the Harmonized Tariff Schedule of the United States (HTSUS): Cast iron soil pipe. The HTSUS subheading and specifications are provided for convenience and customs purposes only; the written description of the scope of these investigations is dispositive.

Importer.--Any person or firm engaged, either directly or through a parent company or subsidiary, in importing cast iron soil pipe (as defined above) into the United States from a foreign manufacturer or through its selling agent.

Reporting of information.--If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

Confidentiality.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

Verification.-- The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

Valid number error messages.--If you are completing this form in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 rather than \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete this form. Detailed instructions on how to resolve this issue is provided at the end of this questionnaire and is available upon request from **Junie Joseph** (202-205-3363, junie.joseph@usitc.gov).

D-GRIDS tool.--The Commission has a tool that firms can use to move data from their own MS Excel compilation files into self-contained data tables within this MS Word questionnaire, thereby reducing the amount of cell-by-cell data entry that would be required to complete this form. This tool is a macro-enabled MS Excel file available for download from the Commission's generic questionnaires webpage (https://www.usitc.gov/trade_remedy/question.htm) called the "D-GRIDs tool." Use of this tool to help your firm complete this questionnaire is *optional*. Firms opting to use the D-GRIDs tool to populate their data into this questionnaire will need the D-GRIDs specification sheet PDF file specific to this proceeding (available on the case page which is linked under the "Background" above) which includes the necessary references relating to this questionnaire, as well as the macro-enable MS Excel D-GRIDs tool itself from the generic questionnaires page. More detailed instructions on how to use the D-GRIDs tool are available within the D-GRIDs tool itself.

I-1. **OMB statistics.**--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 40 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-2. **Establishments covered.**--Provide the name and address of establishment(s) covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol.

“Establishment”--Each facility of a firm involved in the importation of cast iron soil pipe, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

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I-3. **Ownership.**--Is your firm owned, in whole or in part, by any other firm?

No Yes--List the following information

Firm name	Address	Extent of ownership (percent)

I-4. **Related importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing cast iron soil pipe from China into the United States or that are engaged in exporting cast iron soil pipe from China to the United States?

No Yes--List the following information.

Firm name	Country	Affiliation

I-5. **Related producers.**--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of cast iron soil pipe?

No Yes--List the following information.

Firm name	Country	Affiliation

I-6. **Importing operations.**--Please indicate the nature of your firm's importing operations on cast iron soil pipe. More than one answer may be applicable.

Importer of record	Takes title to the imported product(s)	Consignee of the imported products(s)	Customs broker or freight forwarder
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I-7. **Consignee.**--If your firm is an importer of record of cast iron soil pipe but is not the consignee, please list the consignees below (firm name, address, telephone number, and individual to contact).

Firm name	Address	Contact person and phone number

I-8. **FTZ, TIB, or bonded warehouses.**--Please indicate whether your firm enters cast iron soil pipe into, or withdraws such merchandise from, foreign trade zones or bonded warehouses. Also indicate whether your firm imports cast iron soil pipe under the TIB (temporary importation under bond) program.

“Foreign trade zone” is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise, as well as other savings. A foreign trade zone must be designed as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

“Bonded warehouse” is a secured facility supervised by U.S. customs, where dutiable landed imports are stored pending their re-export, or release after payment of import duties, taxes, and other charges. A bonded warehouse must be designed as such pursuant to the rules and procedures set forth in 19 U.S.C. § 1555.

“Temporary Importation under Bond (“TIB”) program” is a procedure whereby imported merchandise may be entered under certain conditions for a limited time into the United States free of duty. Under the program, an importer posts a bond for twice the amount of duty, taxes, etc. that would otherwise be owed on the importation and agrees to export or destroy the merchandise within a specified time or pay liquidated damages. This program is restricted to certain categories of merchandise listed in subheadings 9813.00.05 through 9813.00.75 of the Harmonized Tariff Schedule of the United States (HTS).

Item	No	Yes
Foreign trade zones	<input type="checkbox"/>	<input type="checkbox"/>
Bonded warehouses	<input type="checkbox"/>	<input type="checkbox"/>
Temporary importation under bond	<input type="checkbox"/>	<input type="checkbox"/>

I-9. **Other trade actions.**--To your knowledge, have the products subject to this proceeding been the subject of any other import relief proceedings in the United States or in any other countries?

No Yes--Please specify.

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from **Junie Joseph** (202-205-3363, junie.joseph@usitc.gov). **Supply all data requested on a calendar-year basis.**

II-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

Name	
Title	
Email	
Telephone	

II-2. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the importation of cast iron soil pipe since January 1, 2015.

<i>(check as many as appropriate)</i>		<i>(If checked, please describe; leave blank if not applicable)</i>
<input type="checkbox"/>	Office/warehouse openings	
<input type="checkbox"/>	Office/warehouse closings	
<input type="checkbox"/>	Relocations	
<input type="checkbox"/>	Expansions	
<input type="checkbox"/>	Acquisitions	
<input type="checkbox"/>	Consolidations	
<input type="checkbox"/>	Prolonged shutdowns or importation curtailments	
<input type="checkbox"/>	Revised labor agreements	
<input type="checkbox"/>	Other (e.g., technology)	

II-3. **Arranged imports.**--Has your firm imported or arranged for the importation of cast iron soil pipe for delivery after June 30, 2018?

“Arranged imports” are imports for which your firm has placed an order with a foreign supplier for subject merchandise, but delivery of those imports is not scheduled to occur until after the date listed above.

No Yes—Fill out the table below.

Quantity (in short tons)				
Period/Source	Jul-Sept 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019
China				
All other sources				

II-4. **Reasons for importing if producer.**--If your firm also produces cast iron soil pipe in the United States, please indicate the reasons for importing this product. If your firm’s reasons differ by source, please elaborate.

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Definitions

“Imports” –Those products identified for Customs purposes as imports for consumption for which your firm was the importer of record (i.e., was responsible for paying any import duty) or consignee (i.e., to which the merchandise was first delivered).

“Import quantities” –Quantities reported should be net of returns.

“Import values” –Values reported should be landed, duty-paid values at the U.S. port of entry, including ocean freight and insurance costs, brokerage charges, and import duties (i.e., all charges except inland freight in the United States).

“U.S. commercial shipments” – Shipments made within the United States as a result of an arm’s length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

“Internal consumption” –Product consumed internally by your firm. Such transactions are valued at fair market value.

“Transfers to related firms” –Shipments made to related domestic firms. Such transactions are valued at fair market value.

“Related firm” –A firm that your firm solely or jointly owns, manages, or otherwise controls.

“Export shipments” – Shipments to destinations outside the United States, including shipments to related firms.

“Inventories” --Finished goods inventory, not raw materials or work in progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-5a. **Imports from China.**—Report your firm's imports and your firm's shipments and inventories of cast iron soil pipe imported from China by your firm during the specified periods.

China

Quantity (in short tons), value (in \$1,000)					
Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Beginning-of-period inventories <i>(quantity)</i> (A)					
Imports: ¹ <i>Quantity</i> (B)					
<i>Value</i> (C)					
U.S. shipments: Commercial shipments: <i>Quantity</i> (D)					
<i>Value</i> (E)					
Internal consumption: ² <i>Quantity</i> (F)					
<i>Value</i> ² (G)					
Transfers to related firms: ² <i>Quantity</i> (H)					
<i>Value</i> ² (I)					
Export shipments: ³ <i>Quantity</i> (J)					
<i>Value</i> (K)					
End-of-period inventories <i>(quantity)</i> (L)					

¹ Please identify the foreign producers, if known: _____.

² Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): _____. However, the data provided above in this table should be based on fair market value.

³ Identify your firm's principal export markets: _____.

II-5a. Imports from China.–Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar years			January-June	
	2015	2016	2017	2017	2018
A + B – D – F – H – J – L = should equal zero ("0") or provide an explanation. ¹	0	0	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.					

II-5b. Channels of distribution: China.--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports from China by channel of distribution.

China

Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Quantity (in short tons)					
Channels of distribution:					
U.S. shipments:					
To distributors (M)					
To plumbers / contractors (N)					
To other end users (O)					

RECONCILIATION OF CHANNELS.--Please ensure that the quantities reported for channels of distribution (i.e., lines M, N and O) in each time period equal the quantity reported for U.S. shipments (i.e., lines D, F, and H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar years			January-June	
	2015	2016	2017	2017	2018
M + N + O – D – F – H = zero ("0"), if not revise.	0	0	0	0	0

II-5c. **U.S. shipments by product type and region in 2017: China.**--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports from China by product type and region for calendar year 2017.

China

Northeast. --CT, ME, MA, NH, NJ, NY, PA, RI, and VT.
Midwest. --IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.
Southeast. --AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.
Central Southwest. --AR, LA, OK, and TX.
Mountains. --AZ, CO, ID, MT, NV, NM, UT, and WY.
Pacific Coast. --CA, OR, and WA.
Other. --All other markets in the United States not previously listed, including AK, HI, PR, and VI.

II-5c. **U.S. shipments by product type and region in 2017: China.**--Continued

Region	Calendar year 2017	
	Hubless	Hub and spigot
Quantity (in short tons) and value (in \$1,000)		
Northeast.--		
Quantity (P)		
Value (Q)		
Midwest.--		
Quantity (R)		
Value (S)		
Southeast.--		
Quantity (T)		
Value (U)		
Central Southwest.--		
Quantity (V)		
Value (W)		
Mountains.--		
Quantity (X)		
Value (Y)		
Pacific Coast.--		
Quantity (Z)		
Value (AA)		
Other.--		
Quantity (AB)		
Value (AC)		
Entire United States.--		
Quantity	0	0
Value	0	0

RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.--The data reported for the quantity and value of U.S. shipments in this question (i.e., lines P through AC) across all columns should equal to the quantity and value of U.S. shipments (i.e., lines D through I) for calendar year 2017 in the main trade grid, question II-7. If the calculated fields below do not equal to zero, please revise these data prior to submission to the Commission.

Reconciliation	Calendar year 2017
Quantity: P + R + T + V + X + Z + AB – D – F – H = zero ("0"), if not revise.	0
Value: Q + S + U + W + Y + AA + AC – E – G – I = zero ("0"), if not revise.	0

II-5d. **U.S. shipments by product type in 2017: China.**--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports from China by product type for calendar year 2017.

Quantity (in short tons) and value (in \$1,000)			
Item	Calendar year 2017		
	Epoxy coated	Not epoxy coated	All coating types
U.S. shipments:			
<i>Quantity (AD)</i>			0
<i>Value (AE)</i>			0

RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.--The data reported for the quantity and value of U.S. shipments in this question (i.e., lines AD through AE) across all columns should equal to the quantity and value of U.S. shipments (i.e., lines D through I) for calendar year 2017 in the main trade grid, question II-5a. If the calculated fields below do not equal to zero, please revise these data prior to submission to the Commission.

Reconciliation	Calendar year 2017
Quantity: AD – D – F – H = zero ("0"), if not revise.	0
Value: AE – E – G – I = zero ("0"), if not revise.	0

II-6a. **Imports from all other sources.**—Report your firm’s imports and your firm’s shipments and inventories of cast iron soil pipe imported from all other sources by your firm during the specified periods. .

All other sources

(list sources: _____)

Quantity (in short tons), value (in \$1,000)					
Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Beginning-of-period inventories (quantity) (A)					
Imports:¹ Quantity (B)					
Value (C)					
U.S. shipments: Commercial shipments: Quantity (D)					
Value (E)					
Internal consumption:² Quantity (F)					
Value ² (G)					
Transfers to related firms:² Quantity (H)					
Value ² (I)					
Export shipments:³ Quantity (J)					
Value (K)					
End-of-period inventories (quantity) (L)					

¹ Please identify the foreign producers, if known: _____.

² Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): _____. However, the data provided above in this table should be based on fair market value.

³ Identify your firm’s principal export markets: _____.

II-6a. Imports from all other sources.--Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar years			January-June	
	2015	2016	2017	2017	2018
A + B – D – F – H – J -L = should equal zero ("0") or provide an explanation. ¹	0	0	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.					
Quantity (in short tons), value (in \$1,000)					

II-6b. Channels of distribution: All other sources.--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports from all other sources by channel of distribution.

All other sources

Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Quantity (in short tons)					
Channels of distribution:					
U.S. shipments:					
To distributors (M)					
To plumbers / contractors (N)					
To other end users (O)					

RECONCILIATION OF CHANNELS.--Please ensure that the quantities reported for channels of distribution (i.e., lines M, N and O) in each time period equal the quantity reported for U.S. shipments (i.e., lines D, F, and H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar years			January-June	
	2015	2016	2017	2017	2018
M + N + O – D – F – H = zero ("0"), if not revise.	0	0	0	0	0

- II-6c. **U.S. shipments by product type and region in 2017: All other sources.**--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports from all other sources by product type and region for calendar year 2017.

All other sources

Northeast. —CT, ME, MA, NH, NJ, NY, PA, RI, and VT.
Midwest. —IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.
Southeast. —AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.
Central Southwest. —AR, LA, OK, and TX.
Mountains. —AZ, CO, ID, MT, NV, NM, UT, and WY.
Pacific Coast. —CA, OR, and WA.
Other. —All other markets in the United States not previously listed, including AK, HI, PR, and VI.

II-6c. **U.S. shipments by product type and region in 2017: All other sources.**--Continued

Region	Calendar year 2017	
	Hubless	Hub and spigot
Quantity (in short tons) and value (in \$1,000)		
Northeast.--		
Quantity (P)		
Value (Q)		
Midwest.--		
Quantity (R)		
Value (S)		
Southeast.--		
Quantity (T)		
Value (U)		
Central Southwest.--		
Quantity (V)		
Value (W)		
Mountains.--		
Quantity (X)		
Value (Y)		
Pacific Coast.--		
Quantity (Z)		
Value (AA)		
Other.--		
Quantity (AB)		
Value (AC)		
Entire United States.--		
Quantity	0	0
Value	0	0

RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.--The data reported for the quantity and value of U.S. shipments in this question (i.e., lines P through AC) across all columns should equal to the quantity and value of U.S. shipments (i.e., lines D through I) for calendar year 2017 in the main trade grid, question II-7. If the calculated fields below do not equal to zero, please revise these data prior to submission to the Commission.

Reconciliation	Calendar year 2017
Quantity: P + R + T + V + X + Z + AB – D - F – H = zero ("0"), if not revise.	0
Value: Q + S + U + W + Y + AA + AC – E - G – I = zero ("0"), if not revise.	0

II-6d. **U.S. shipments by product type in 2017: All other sources.**--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports from China by product type for calendar year 2017.

Quantity (in short tons) and value (in \$1,000)			
Item	Calendar year 2017		
	Epoxy coated	Not epoxy coated	All coating types
U.S. shipments:			
<i>Quantity (AD)</i>			0
<i>Value (AE)</i>			0

RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.--The data reported for the quantity and value of U.S. shipments in this question (i.e., lines AD through AE) across all columns should equal to the quantity and value of U.S. shipments (i.e., lines D through I) for calendar year 2017 in the main trade grid, question II-5a. If the calculated fields below do not equal to zero, please revise these data prior to submission to the Commission.

Reconciliation	Calendar year 2017
Quantity: AD – D – F – H = zero ("0"), if not revise.	0
Value: AE – E – G – I = zero ("0"), if not revise.	0

II-7. **Other explanations.**--If your firm would like to further explain a response to a question in Part II that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

PART III.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from **Andrew Knipe** (202-205-2390, andrew.knipe@usitc.gov).

III-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III.

Name	
Title	
Email	
Telephone	

PRICE DATA

III-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2015 of the following products your firm imported from China:

Product 1.--2" x 10' no hub cast iron soil pipe, other than epoxy coated

Product 2.--4" x 10' no hub cast iron soil pipe, other than epoxy coated

Product 3.--3" x 10' no hub cast iron soil pipe, other than epoxy coated

Product 4.--6" x 10' no hub cast iron soil pipe, other than epoxy coated

Please note that values should be f.o.b., U.S. point of shipment and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts, direct and indirect rebates, truckload rebates, promotional assistance, etc.).

During January 2015-June 2018, did your firm import from China and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

<input type="checkbox"/>	Yes. --Please complete the following pricing data table as appropriate.
<input type="checkbox"/>	No. --Skip to question III-3.

III-2a. **Price data.**--Report below the quarterly price data¹ for pricing products² imported from China and sold by your firm.

China

Report data in **pounds** and **actual dollars** (not 1,000s).

VALUE SHOULD BE THE FINAL AMOUNT RECEIVED NET OF ALL REBATES AND INCENTIVES.

<i>(Quantity in pounds, value in dollars)</i>								
Period of shipment	Product 1		Product 2		Product 3		Product 4	
	Quantity (pounds)	Value (dollars)	Quantity (pounds)	Value (dollars)	Quantity (pounds)	Value (dollars)	Quantity (pounds)	Value (dollars)
2015:								
January-March								
April-June								
July-September								
October-December								
2016:								
January-March								
April-June								
July-September								
October-December								
2017:								
January-March								
April-June								
July-September								
October-December								
2018:								
January-March								
April-June								

¹ Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

² Pricing product definitions are provided on the first page of Part III.

Note.--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:
 Product 2:
 Product 3:
 Product 4:

III-2b. **Price data checklist.**--Please check that the pricing data in question III-2(a) has been correctly reported.

Is the price data reported above:	√ if Yes
In pounds (not short tons)?	<input type="checkbox"/>
In actual dollars (not \$1,000)?	<input type="checkbox"/>
F.o.b. U.S. point of shipment (i.e., does not include U.S. transport costs)?	<input type="checkbox"/>
Net of all discounts and rebates, including rebates based on sales of cast iron soil pipe sold in conjunction with other products?	<input type="checkbox"/>
Have returns credited to the quarter in which the sale occurred?	<input type="checkbox"/>
Less than or equal to commercial shipments reported in question II-5 in each year?	<input type="checkbox"/>

III-2c. **Rebate allocation.**--Please explain your method for allocating rebates, discounts, et cetera, between cast iron soil pipe and cast iron soil pipe fittings.

III-2d. **Pricing data methodology.**--Please describe the method and the kinds of documents/records that were used to compile your price data.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

III-3. **Price setting.**--How does your firm determine the prices that it charges for sales of cast iron soil pipe (*check all that apply*)? If your firm issues price lists, please submit sample pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-4. **Discount policy.**--Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-5. **Rebates.**—

(a) List the names, amounts, and types of rebates offered to purchasers of cast iron soil pipe in 2017?

Rebate description (including payment frequency and requirement(s) for payment)	Rebate amount (percent)	Type of rebate ¹	
		Direct	Indirect
	%	<input type="checkbox"/>	<input type="checkbox"/>
	%	<input type="checkbox"/>	<input type="checkbox"/>
	%	<input type="checkbox"/>	<input type="checkbox"/>
	%	<input type="checkbox"/>	<input type="checkbox"/>
	%	<input type="checkbox"/>	<input type="checkbox"/>
	%	<input type="checkbox"/>	<input type="checkbox"/>
	%	<input type="checkbox"/>	<input type="checkbox"/>
	%	<input type="checkbox"/>	<input type="checkbox"/>

¹ A direct rebate is based solely on the purchases of cast iron soil pipe. An indirect rebate is based on the joint purchase of cast iron soil pipe and other products.

III-5. Rebates.—Continued

(b) For each indirect rebate listed in part (a), what proportion of the total rebate paid in 2017 was attributable to sale of cast iron soil pipe vs. other products that helped to trigger the payment of that rebate? The two proportions will sum to 100%.

Type of rebate	Cast iron soil pipe	Other products	Total (should sum to 100.0%)
	%	+ % =	0.0
	%	+ % =	0.0
	%	+ % =	0.0
	%	+ % =	0.0
	%	+ % =	0.0
	%	+ % =	0.0
	%	+ % =	0.0
	%	+ % =	0.0

III-6. Pricing terms.--

(a) What are your firm's typical sales terms for cast iron soil pipe imported from China?

Net 30 days	Net 60 days	2/10 net 30 days	Other	Other (specify)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(c) On what basis are your firm's prices of imported cast iron soil pipe from China usually quoted (*check one*)?

Delivered	F.o.b.	If f.o.b., specify point
<input type="checkbox"/>	<input type="checkbox"/>	

III-7. **Contract versus spot.**--Approximately what share of your firm's sales of cast iron soil pipe imported from China in 2017 was on a (1) long-term contract basis, (2) annual contract basis, (3) short-term contract basis, and (4) spot sales basis?

	Type of sale				Total (should sum to 100.0%)
	Long-term contracts (multiple deliveries for more than 12 months)	Annual contracts (multiple deliveries for 12 months)	Short-term contracts (multiple deliveries for less than 12 months)	Spot sales (for a single delivery)	
Share of 2017 sales	%	%	%	%	0.0 %

III-8. **Contract provisions.**— Please fill out the table regarding your firm's typical sales contracts for cast iron soil pipe from China (or check "not applicable" if your firm does not sell on a long-term, short-term and/or annual contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	<i>No. of days</i>		365	
Price renegotiation (during contract period)	<i>Yes</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>No</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fixed quantity and/or price	<i>Quantity</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Price</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Both</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Indexed to raw material costs ¹	<i>Yes</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>No</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Not applicable		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
¹ Please identify the index(es) used:				

III-9. **Lead times.**--What is your firm's share of sales of cast iron soil pipe imported from China from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of cast iron soil pipe?

Source	Share of 2017 sales	Lead time (Average number of days)
From your firm's U.S. inventory	%	
From foreign manufacturers' inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	0.0 %	

III-10. **Shipping information.**—

- (a) What is the approximate percentage of the cost of cast iron soil pipe imported from China that is accounted for by U.S. inland transportation costs? percent.
- (b) Who generally arranges the transportation to your firm's customers' locations?
 Your firm Purchaser (*check one*)
- (c) When your firm sells cast iron soil pipe imported from China, from where is it shipped?
 Point of importation Storage facility (*check one*)
- (d) Indicate the approximate percentage of your firm's sales of cast iron soil pipe imported from China that are delivered the following distances from your firm's U.S. point of shipment.

Distance from your firm's U.S. point of shipment	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total (should sum to 100.0%)	0.0 %

III-11. **End uses.**--List the end uses of the cast iron soil pipe that your firm imports. For each end-use product, what percentage of the total cost is accounted for by cast iron soil pipe and other inputs?

End use product	Share of total cost of end-use product accounted for by		Total (should sum to 100.0% across)
	Cast iron soil pipe	Other inputs	
Building wastewater plumbing system	%	%	0.0 %
Building/structure (estimated low end of cost share of cast iron soil pipe)	%	%	0.0 %
Building/structure (estimated high end of cost share of cast iron soil pipe)	%	%	0.0 %
	%	%	0.0 %
	%	%	0.0 %

III-12. **Substitutes.**--Can other products be substituted for cast iron soil pipe?

No Yes--Please fill out the table.

Substitute	End use in which this substitute is used	Have changes in the price of this substitute affected the price for cast iron soil pipe?		
		No	Yes	Explanation
1.		<input type="checkbox"/>	<input type="checkbox"/>	
2.		<input type="checkbox"/>	<input type="checkbox"/>	
3.		<input type="checkbox"/>	<input type="checkbox"/>	

III-13. **Demand trends.**--Indicate how demand within the United States and outside of the United States (if known) for cast iron soil pipe has changed since January 1, 2015. Explain any trends and describe the principal factors that have affected these changes in demand.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
Within the U.S.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Outside the U.S.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-14. **Product changes.**--Have there been any significant changes in the product range, product mix or marketing of cast iron soil pipe since January 1, 2015?

No	Yes	If yes, please describe.
<input type="checkbox"/>	<input type="checkbox"/>	

III-15. **Product types.**--Does your firm manufacture sizes/types/grades/coatings of cast iron soil pipe that it does not sell in the United States?

No	Yes	If yes, please describe these products, where they are sold, why your firm does not sell them in the U.S. market, and quantify if possible.
<input type="checkbox"/>	<input type="checkbox"/>	

III-16. **Conditions of competition.**—

(a) Is the cast iron soil pipe market subject to business cycles (other than general economy-wide conditions) and/or other conditions of competition distinctive to cast iron soil pipe?

Check all that apply.	Please describe.
<input type="checkbox"/> No	Skip to question III-17.
<input type="checkbox"/> Yes-Business cycles (e.g. seasonal business)	
<input type="checkbox"/> Yes-Other distinctive conditions of competition	

(b) If yes, have there been any changes in the business cycles or conditions of competition for cast iron soil pipe since January 1, 2015?

No	Yes	If yes, describe.
<input type="checkbox"/>	<input type="checkbox"/>	

III-17. **Regional requirements.**--In which regions/cities/areas are cast iron soil pipe required/used?

III-18. **Building requirements.**--In what types of building projects are cast iron soil pipe required?

III-21. **Anti-competitive allegations.**--Have the following issues affected your firm and the market for cast iron soil pipe in general since January 1, 2015?

- (a) The Federal Trade Commission's inquiry and 2013 consent order regarding Charlotte Pipe's 2010 acquisition of Star Pipe (see <https://www.ftc.gov/news-events/press-releases/2013/04/charlotte-pipe-and-foundry-settles-charges-its-2010-purchase-star>)

	No	Yes	If yes, describe the effect(s)
Effect on your firm	<input type="checkbox"/>	<input type="checkbox"/>	
Effect on the market	<input type="checkbox"/>	<input type="checkbox"/>	
Effect on prices	<input type="checkbox"/>	<input type="checkbox"/>	

- (b) The Federal Trade Commission's 2012 inquiry and 2014 finding regarding McWane, Star Pipe, and Sigma Corporation's price setting for ductile iron pipe fittings (see <https://www.ftc.gov/enforcement/cases-proceedings/101-0080b/mcwane-inc-star-pipe-products-ltd-matter>)

	No	Yes	If yes, describe the effect(s)
Effect on your firm	<input type="checkbox"/>	<input type="checkbox"/>	
Effect on the market	<input type="checkbox"/>	<input type="checkbox"/>	
Effect on prices	<input type="checkbox"/>	<input type="checkbox"/>	

- (c) The U.S. District Court litigation against Charlotte Pipe and McWane regarding alleged anti-competitive behavior, filed in 2014 and settled in 2017 (see <https://www.cohenmilstein.com/case-study/cast-iron-soil-pipe-and-fittings-antitrust-litigation>)

	No	Yes	If yes, describe the effect(s)
Effect on your firm	<input type="checkbox"/>	<input type="checkbox"/>	
Effect on the market	<input type="checkbox"/>	<input type="checkbox"/>	
Effect on prices	<input type="checkbox"/>	<input type="checkbox"/>	

III-22. **Supply constraints.**--Has your firm refused, declined, or been unable to supply cast iron soil pipe since January 1, 2015 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, being unable to meet timely shipment commitments, etc.)?

No	Yes	If yes, please describe.
<input type="checkbox"/>	<input type="checkbox"/>	

III-23. **Raw materials.**--How have cast iron soil pipe raw material prices changed since January 1, 2015?

Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how raw material price changes have affected your firm's selling prices for cast iron soil pipe.
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-24. **Interchangeability.**--Is cast iron soil pipe produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate A, F, S, N, or O in the table below:

A = the products from a specified country-pair are *always* interchangeable

F = the products are *frequently* interchangeable

S = the products are *sometimes* interchangeable

N = the products are *never* interchangeable

O = *no familiarity* with products from a specified country-pair

Country-pair	China	Other countries
United States		
China		
For any country-pair producing cast iron soil pipe that is <i>sometimes</i> or <i>never</i> interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:		

III-25. **Factors other than price.**--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, etc.) between cast iron soil pipe produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or O in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

O = *no familiarity* with products from a specified country-pair

Country-pair	China	Other countries
United States		
China	X	
<p>For any country-pair for which factors other than price <i>always</i> or <i>frequently</i> are a significant factor in your firm's sales of cast iron soil pipe, identify the country-pair and report the advantages or disadvantages imparted by such factors:</p>		

III-26. **Customer identification.**--List the names and contact information for your firm's 10 largest U.S. customers for imported cast iron soil pipe since January 1, 2015. Indicate the share of the quantity of your firm's total shipments of imported cast iron soil pipe that each of these customers accounted for in 2017.

	Customer's name	City	State	Share of 2017 sales (%)
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

III-27. **Other explanations.**--If your firm would like to further explain a response to a question in Part III that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

--

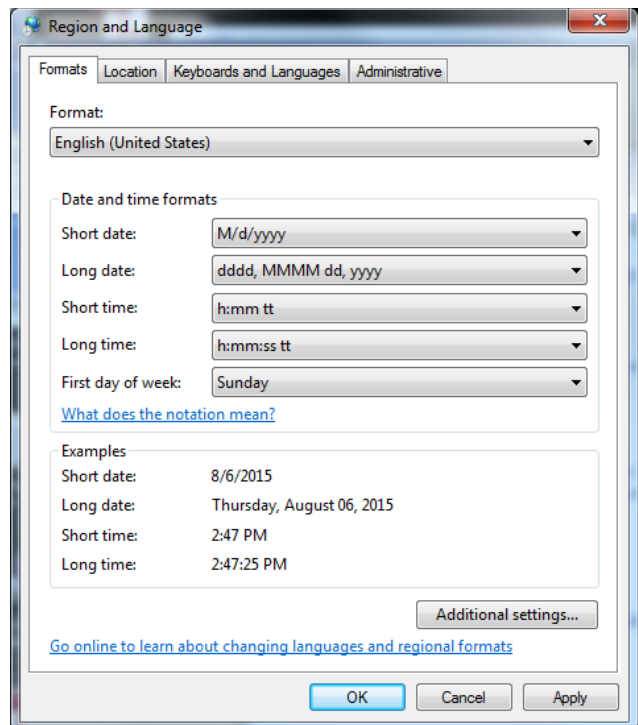
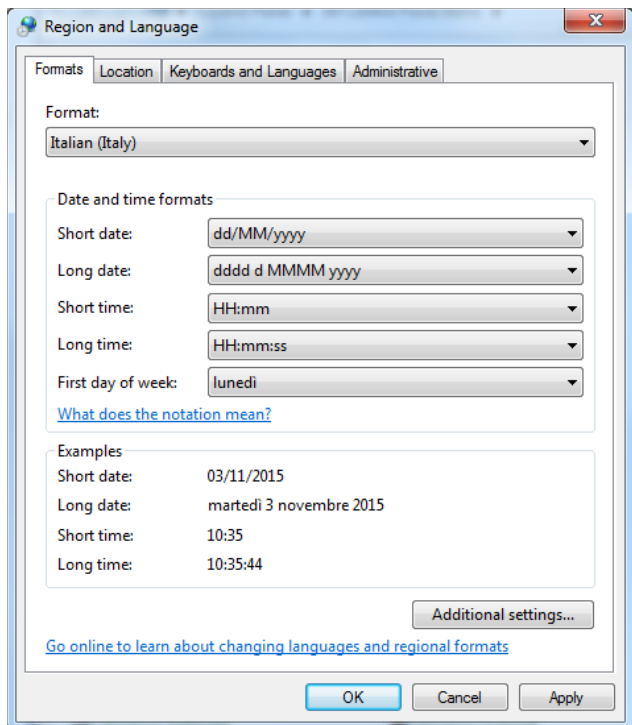
Correcting Valid number error messages.--If you are completing a Commission questionnaire in a country that uses periods (“.”) to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 instead of as \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. This issues stem from your computer number formatting setting (e.g., not the MS Word document itself, but the computer from which you are opening up the document). In the United States commas (,) delineate multiples of 1000 and periods (.) delineate fractions less than one. Many EU countries use the reverse where multiples of 1000 are delineated with periods (.) and fractions less than one are delineated with commas (,). The US International Trade Commission’s questionnaires are set-up in the United States with the U.S. number formatting. When this formatting interacts with a computer set to EU number formatting, we believe this may cause this issue.

The solution to this data entry issue is to temporarily change your operating system’s number formatting to be consistent with the U.S. number formatting system while you complete the questionnaire.

To temporarily change your computer’s number settings to U.S. settings, please do the following (for Microsoft Windows Operating system):

- START
- Control Panel
- Region and Language (under Clock, Language, and Region category)
- Format tab
- Change the Format from your existing one (e.g. “Italian (Italy)”) to “English (United States)” (see screen shots below)

When you do this the number “twelve million dollars and thirty five cents” would change from \$12.000.000,35 (Italy format) to \$12,000,000.35 (U.S. format), and then there will be no conflict with the questionnaire. When you finish reporting the data then you can close the questionnaire and switch back to Italy settings.



HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at:

https://usitc.gov/investigations/701731/2018/cast_iron_soil_pipe_china/final.htm

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

Web address: <https://dropbox.usitc.gov/oinv/> **Pin:** CISP

- **E-mail.**—E-mail the MS Word questionnaire to junie.joseph@usitc.gov; include a scanned copy of the signed certification page (page 1). *Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm’s nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.*

If your firm did not import this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

Parties to this proceeding.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission’s Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.