U.S. PRODUCERS' QUESTIONNAIRE

ALUMINUM WIRE AND CABLE FROM CHINA

This questionnaire must be received by the Commission by October 5, 2018

See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing and antidumping duty investigations concerning aluminum wire and cable ("AWC") from China (Inv. Nos. 701-TA-611 and 731-TA-1428 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm _____

City		State	Zip Code		_
Website _					_
Has your fir	m produced AWC (as de	efined on next page) at any	time since January	1, 2015?	
☐ NO	(Sign the certification	below and promptly return or	ily this page of the q	uestionnaire to the Commission)	
YES	(Complete all parts of	f the questionnaire, and return	the entire questionr	naire to the Commission)	
•		.S. International Trade C <u>k.usitc.gov/oinv/</u> . (PIN: W	•	Box by clicking on the	
		CERTIFICATIO	N		
ation provided nmission on the undersigned, a	in this questionnaire a ssame or similar merch knowledge that inforn	and throughout this procee handise. mation submitted in respo	ding in any other	ees and contract personnel, import-injury proceedings co est for information and thro	
nel (a) for deve s, and evaluat lix 3; or (ii) by	loping or maintaining ions relating to the p	the records of this or a re programs, personnel, and pyees and contract personi	the Commission, lated proceeding, operations of the	its employees and Offices, a or (b) in internal investigate Commission including und rsecurity purposes. I underst	ind contractions, audits der 5 U.S.C
nel (a) for deve s, and evaluat lix 3; or (ii) by	loping or maintaining ions relating to the p U.S. government emplo I sign appropriate nond	the records of this or a re programs, personnel, and pyees and contract personi	the Commission, lated proceeding, operations of the	its employees and Offices, a or (b) in internal investigat Commission including und	ind contractions, audits der 5 U.S.C

PART I.—GENERAL INFORMATION

Background.--This proceeding was instituted in response to petitions filed on September 21, 2018, by Encore Wire Corporation, McKinney, Texas, and Southwire Company, LLC, Carrollton, Georgia. Countervailing and antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of subsidization and/or dumping. Questionnaires and other information pertinent to this proceeding are available at

https://www.usitc.gov/investigations/701731/2018/aluminum_wire_and_cable_china/preliminary.htm.

Aluminum wire and cable (AWC) covered by these investigations is an assembly of one or more electrical conductors made from 8000 Series Aluminum Alloys,¹ Aluminum Alloy 1350,² and/or Aluminum Alloy 6201,³ provided that: (1) at least one of the electrical conductors is insulated; (2) each insulated electrical conductor has a voltage rating greater than 80 volts and not exceeding 1000 volts; and (3) at least one electrical conductor is stranded and has a size not less than 16.5 kcmil and not greater than 1000 kcmil. The assembly may or may not: (1) include a grounding or neutral conductor; (2) be clad with aluminum, steel, or other base metal; or (3) include a steel support center wire, one or more connectors, a tape shield, a jacket or other covering, and/or filler materials. Most AWC products conform to National Electrical Code ("NEC") types THHN, THWN, THWN-2, XHHW-2, USE, USE-2, RHH, RHW, or RHW-2, and also conform to Underwriters Laboratories ("UL") standards UL-44, UL-83, UL-758, UL-854, UL-1063, UL-1277, UL-1569, UL-1581, or UL-4703, but such conformity is not required for the merchandise to be included within the scope.

The scope specifically excludes conductors that are included in equipment already assembled at the time of importation. Also excluded are aluminum wire and cable products in lengths less than six feet.

AWC is currently imported under statistical reporting number 8544.49.9000 of the Harmonized Tariff Schedule of the United States (HTSUS). It may also be imported under HTSUS statistical reporting number 8544.42.9090. The HTSUS provisions are for convenience and customs purposes; the written description of the scope is dispositive.

Reporting of information.-- If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

<u>Confidentiality</u>.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

<u>Verification</u>.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting

¹ 8000 Series Aluminum Alloys is defined in accordance with American Society for Testing and Materials ("ASTM") B800.

² Aluminum Alloy 1350 is defined in accordance with ASTM B230/B230M or B609/B609M

³ Aluminum Alloy 6201 is defined in accordance with ASTM B398/B398M

documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information. -- The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals. In addition, if your firm is a U.S. producer, the information you provide on your production and imports of AWC and your responses to the questions in Part I of the producer questionnaire will be provided to the U.S. Department of Commerce, upon its request, for use in connection with (and only in connection with) its requirement pursuant to section 702(c)(4)/732(c)(4) of the Act (19 U.S.C. § 1671a(c)(4)/1673a(c)(4)) to make a determination concerning the extent of industry support for the petition requesting this proceeding. Any information provided to Commerce will be transmitted under the confidentiality and release guidelines set forth above. Your response to these questions constitutes your consent that such information be provided to Commerce under the conditions described above.

<u>D-GRIDS tool.</u>--The Commission has a tool that firms can use to move data from their own MS Excel compilation files into self-contained data tables within this MS Word questionnaire, thereby reducing the amount of cell-by-cell data entry that would be required to complete this form. This tool is a macroenabled MS Excel file available for download from the Commission's generic questionnaires webpage (https://www.usitc.gov/trade_remedy/question.htm) called the "D-GRIDs tool." Use of this tool to help your firm complete this questionnaire is *optional*. Firms opting to use the D-GRIDs tool to populate their data into this questionnaire will need the D-GRIDs specification sheet PDF file specific to this proceeding (available on the case page which is linked under the "Background" above) which includes the necessary references relating to this questionnaire, as well as the macro-enable MS Excel D-GRIDs tool itself from the generic questionnaires page. More detailed instructions on how to use the D-GRIDs tool are available within the D-GRIDs tool itself.

I-1a. <u>OMB statistics</u>.--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-1b.	TAA information releaseIn the event that the U.S. International Trade Commission (USITC)
	makes an affirmative final determination in this proceeding, do you consent to the USITC's
	release of your contact information (company name, address, contact person, telephone
	number, email address) appearing on the front page of this questionnaire to the Departments of
	Commerce, Labor, and Agriculture, as applicable, so that your firm and its workers can be made
	eligible for benefits under the Trade Adjustment Assistance program?
	, , ,

Y	'es		No
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I-2. <u>Establishments covered</u>.--Provide the city, state, zip code, and brief description of each establishment covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol in the footnote to the table. Firms operating more than one establishment should combine the data for all establishments into a single report.

"<u>Establishment</u>"--Each facility of a firm involved in the <u>production</u> of AWC, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

Establishments covered ¹	City, State	Zip (5 digit)	Description
1			
2			
3			
4			
5			
6			
¹ Additional discussi	ion on establishments cor	nsolidated in this questic	onnaire:

No Yes			
Petition support Does	your firm support or	oppose the petition?	,
Country	Support	Oppose	Take no positio
China CVD			
China AD			
Dwnership Is your firn	n owned, in whole or i		Extent of
No Yes-	-List the following info		Extent of ownership
No Yes-	-List the following info		Extent of ownership

Country

Affiliation

Firm name

I-7.	Related producers Does your firm have any related firms, either domestic or foreign, that are engaged in the production of AWC?				
	No YesList the	following information.			
	Firm name	Country	Affiliation		

Title Email Telephone

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Keysha Martinez (202-205-2136, keysha.martinez@usitc.gov). Supply all data requested on a calendar-year basis.

II-1.	Contact informationPlease identify the responsible individual and the manner by which
	Commission staff may contact that individual regarding the confidential information submitted in part II.
	Name

II-2. <u>Changes in operations.</u>—Please indicate whether your firm has experienced any of the following changes in relation to the production of AWC since January 1, 2015.

(check as many as appropriate)		(If checked, please describe, <u>including the month and year</u> of the event; leave blank if not applicable)
	plant openings	
	plant closings	
	relocations	
	expansions	
	acquisitions	
	consolidations	
	prolonged shutdowns or production curtailments	
	revised labor agreements	
	other (e.g., technology)	

II-3a. **Production using same machinery.--**Please report your firm's production of products using the same equipment, machinery, or employees as used to produce AWC, and the combined production capacity on this shared equipment, machinery, or employees in the periods indicated.

"Overall production capacity" or "capacity" – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).

Note.--If your firm does not produce any out-of-scope merchandise on the same machinery and equipment as scope merchandise then the "overall production capacity" numbers reported in this question should be exactly equal to the "average production capacity" numbers reported in question II-7. If, however, your firm does produce out-of-scope merchandise using the same machinery and equipment as scope mercandhise, then the "average production capacity" reported in question II-7 should exclude the portion of "overall production capacity" that was used to produce this out-of-scope merchandise.

"**Production**" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

	Quantity (<i>in po</i>	ounds, gross we	eight)		
		Calendar years		Januar	y-June
Item	2015	2016	2017	2017	2018
Overall production capacity ¹					
Production of: AWC ²	0	0	0	0	0
Out-of-scope production Other products ³					
Total production using same machinery or workers	0	0	0	0	0

¹ Data reported for capacity (first line) should be greater than data reported for total production (last line).

II-3b. <u>Operating parameters.</u>—The production capacity reported in II-3a is based on the following operating paramaters:

Hours per week	Weeks per year

² Data entered for production of AWC will populate here once reported in question II-7.

³ Please identify these products:

oducers'	Questionnai	ire - AWC Page
-	-	nPlease describe the methodology used to calculate overall production II-3a, and explain any changes in reported capacity.
	tion constra	intsPlease describe the constraint(s) that set the limit(s) on your firm's '.
Production (i)	•	able to switch production (capacity) between AWC and other products usinment and/or labor?
No	Yes	If yes—(i.e., have produced other products or are able to produce other products) Please identify other actual or potential products:
(ii)	between pr	ribe the factors that affect your firm's ability to shift production capacity oducts (e.g., time, cost, relative price change, etc.), and the degree to which rs enhance or constrain such shifts.
	Since Januation of AWC	ary 1, 2015, has your firm been involved in a toll agreement regarding the ?
materia	als and the se	Agreement between two firms whereby the first firm furnishes the raw econd firm uses the raw materials to produce a product that it then returns a charge for processing costs, overhead, etc.

No	Yes	If yes Please describe the toll arrangement(s) and name the firm(s) involved.

II-5. Foreign trad	le zones
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(a) <u>Firm's FTZ operations</u>.--Does your firm produce AWC in and/or admit AWC into a foreign trade zone (FTZ)?

"Foreign trade zone" is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise. A foreign trade zone must be designed as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

No	If yes Describe the nature of your firms operations in FTZs and identify the specific FTZ site(s).

(b) Other firms' FTZ operations.--To your knowledge, do any firms in the United States import AWC into a foreign trade zone (FTZ) for use in distribution of AWC and/or the production of downstream articles?

No	Yes	If yesIdentify the firms and the FTZs.

II-6. <u>Importer</u>.--Since January 1, 2015, has your firm imported AWC?

"Importer" – The person or firm primarily liable for the payment of any duties on the merchandise, or an authorized agent acting on his behalf. The importer may be the consignee, or the importer of record.

No	Yes	
		If yes <u>COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE</u>

- II-7. <u>Production, shipment, and inventory data</u>.--Report your firm's production capacity, production, shipments, and inventories related to the production of AWC in its U.S. establishment(s) during the specified periods.
 - "Average production capacity" or "capacity" The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).
 - "**Production**" All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.
 - "Commercial U.S. shipments" Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report <u>net values</u> (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.
 - "Internal consumption" Product consumed internally by your firm. Such transactions are valued at fair market value.
 - "Transfers to related firms" Shipments made to related domestic firms. Such transactions are valued at fair market value.
 - "Related firm" —A firm that your firm solely or jointly owns, manages, or otherwise controls.
 - "Export shipments" Shipments to destinations outside the United States, including shipments to related firms.
 - "Inventories"— Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-7. Production, shipment, and inventory data.--Continued

		Calendar years	January-June		
ltem	2015	2016	2017	2017	2018
Average production capacity ¹ (quantity) (A)					
Beginning-of-period inventories (quantity) (B)					
Production (quantity) (C)					
U.S. shipments: Commercial shipments: Quantity (D)		FORMITEXT			
Value (E)					
Internal consumption: ² Quantity (F)					
Value² (G)					
Transfers to related firms: ² Quantity (H)					
Value² (I)					
Export shipments: ³ Quantity (J)					
Value (K)					
End-of-period inventories (quantity) (L)					
¹ The production capacity reported is based of methodology used to calculate production capacity and transfers to relat basis for valuing these transactions in your recothed data provided above in this table should be	icity, and expl ed firms must ords, please sp	ain any changes in be valued at fair r ecify that basis (e.	reported capa narket value. I	city f your firm uses	s a differen

<u>RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY</u>.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and, also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

		Calendar years		Januar	y-June
Reconciliation	2015	2016	2017	2017	2018
B + C - D - F - H - J - L = should equal					
zero ("0") or provide an explanation.1	0	0	0	0	0

¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:

II-8. <u>Channels of distribution</u>.--Report your firm's U.S. shipments (i.e., <u>inclusive</u> of commercial U.S. shipments, internal consumption, and transfers to related firms) by channel of distribution.

	Quantity (in po	unds, gross we	ight)		
		Calendar years	1	Januar	y-June
ltem	2015	2016	2017	2017	2018
Channels of distribution: U.S. shipments: To distributors (M)					
To end users (N)					

<u>RECONCILIATION OF CHANNELS</u>.--Please ensure that the quantities reported for channels of distribution (i.e., lines M and N) in each time period equal the quantity reported for U.S. shipments (i.e., lines D, F, and H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

		Calendar years		Januar	y-June
Reconciliation	2015	2016	2017	2017	2018
M + N - D - F - H = zero ("0"), if not					
revise.	0	0	0	0	0

Explanation of trends:

II-9. <u>Employment data</u>.--Report your firm's employment-related data related to the production of AWC and provide an explanation for any trends in these data.

"Production and Related Workers" (PRWs) includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations.

Average number employed may be computed by adding the number of employees, both full time and part time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12. For the January to June periods, calculate similarly and divide by 6.

If your firm had the same number of PRWs in all calendar years and had not experienced any changes in PRWs in the most recent interim period, you would have the same number of PRWs for the interim periods, regardless of whether the interim periods are Jan-Mar (Q1), Jan-June (Q1+Q2), or Jan-Sept (Q1+Q2+Q3)."

"Hours worked" includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.

"Wages paid" – Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

		Calendar years	January-June		
Item	2015	2016	2017	2017	2018
Average number of PRWs (number)					
Hours worked by PRWs (1,000 hours)					
Wages paid to PRWs (actual dollars)					

	Related firms.—If your firm reported transfers to related firms in question II-7, please indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.
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II-11.	<u>Purchases</u> Has your firm purchased AWC produced in the United States or in other countries
	since January 1, 2015? (Do not include imports for which your firm was the importer of record.
	These should be reported in an importer questionnaire).

"Purchase" – A transaction to buy product from a U.S. corporate entity such as another U.S. producer, a U.S. distributor, or a U.S. firm that has directly imported the product.

"Import" –A transaction to buy from a foreign supplier where your firm is the importer of record.

No	If yes Report such purchases in the table below and explain the reasons for your firms' purchases:

Note: If your firm served as the importer of record for any purchases from foreign suppliers, either for your own account or as a service for another entity, those purchases are to be considered "imports" not "purchases" and **should not** be included in the table below

antity <i>in pou</i>	ınds, gross w	veight)			
Calendar years			January-June		
2015	2016	2017	2017	2018	
	C	Calendar year		Calendar years Januar	

¹ Please list the name of the importer(s) from which your firm purchased this product. If your firm's import suppliers differ by source, please identify the source for each listed supplier: _____.

II-12. <u>Imports.</u>--Since January 1, 2015, has your firm imported AWC?

No	Yes	
		If yes <u>COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE</u>

II-13.	Other explanationsIf your firm would like to further explain a response to a question in Part II
	that did not provide a narrative box, please note the question number and the explanation in
	the space provided below. Please also use this space to highlight any issues your firm had in
	providing the data in this section, including but not limited to technical issues with the MS Word
	questionnaire.

² Please list the name of the producer(s) or U.S. distributor(s) from which your firm purchased this roduct: _____.

PART III.--FINANCIAL INFORMATION

Address questions on this part of the questionnaire to Er	nily Kim (202-205-1800, <u>emily.kim@usitc.gov</u>).
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in part III.	
Name	
Title	
Email	
Telephone	
Accounting sy	<u>stem</u> Briefly describe your firm's financial accounting system.
A.	When does your firm's fiscal year end (month and day)?
	If your firm's fiscal year changed during the data-collection period, explain below:
	NotePlease note that we are requesting that firms report their financial on a calendar year basis.
B.1.	Describe the lowest level of operations (e.g., plant, division, company-wide which financial statements are prepared that include AWC:
2.	Does your firm prepare profit/loss statements for AWC:
3.	How often did your firm (or parent company) prepare financial statements (including annual reports, 10Ks)? Please check relevant items below.
4.	 Audited, □ unaudited, □ annual reports, □ 10Ks, □ 10Qs, Monthly, □ quarterly, □ semi-annually, □ annually Accounting basis: □ GAAP, □ cash, □ tax, or □ other comprehe
	basis of accounting (specify)
used ir regara submit profit-	As requested in Part I of this questionnaire, please keep all supporting documents/reand the preparation of the financial data, as Commission staff may contact your firm ling questions on the financial data. The Commission may also request that your complet copies of the supporting documents/records (financial statements, including internal and-loss statements for the division or product group that includes AWC, as well as specific and worksheets) used to compile these data.
cost, job orde	ng system Briefly describe your firm's cost accounting system (e.g., standar r cost, <i>etc</i> .).

III-4.	<u>Allocation basis.</u> Briefly describe your firm's allocation basis, if any, for COGS, SG&A, and interest expense and other income and expenses.

III-5. **Product listing**.--Please list the products your firm produced in the facilities in which your firm produced AWC, and provide the share of net sales accounted for by these products in your firm's most recent fiscal year.

Products	Share of sales
AWC	%
	%
	%
	%
	%

	ntinue to question III-	7 NoContinue t	o question III-9a.
] [
nputs from re	elated suppliers detai	ledPlease identify the inp	uts used in the production
-	• • •	related suppliers and that a	•
	•	port this information by rele	
•		. For "Input valuation" plea	
•	• •	counting system, of the pur	
	• • •	actual cost, cost plus, negot	iated transfer price to
ipproximate i	air market value.		
Input		ated supplier	Share of total CO
Input		ated supplier	Share of total CO
Input		ated supplier	Share of total CO
Input		ated supplier	Share of total CO
	Rel		
	Rel	ated supplier firm's accounting books ar	
	Rel		
Input valuati	Rel ion as recorded in the		nd records
nput valuati puts purcha	ion as recorded in the	firm's accounting books ar	nd records the inputs purchased from

III-9a. Operations on AWC.--Report the revenue and related cost information requested below on the AWC operations of your firm's U.S. establishment(s). Do not report resales of products. Note that internal consumption and transfers to related firms must be valued at fair market value. Input purchases from related suppliers should be consistent with and based on information in the firm's accounting books and records. Provide data for your firm's three most recently completed calendar years, and for the specified interim periods.

Calendar years					January-June	
Item	2015	2016	2017	2017	2018	
Net sales quantities: ² Commercial sales ("CS")						
Internal consumption ("IC")						
Transfers to related firms ("Transfers")						
Total net sales quantities	0	0	0	0	(
Net sales values: ² Commercial sales						
Internal consumption						
Transfers to related firms						
Total net sales values	0	0	0	0	(
Cost of goods sold (COGS): ³ Raw materials						
Direct labor						
Other factory costs						
Total COGS	0	0	0	0	(
Gross profit or (loss)	0	0	0	0	(
Selling, general, and administrative (SG&A) expenses: Selling expenses						
General and administrative expenses						
Total SG&A expenses	0	0	0	0	0	
Operating income (loss)	0	0	0	0	0	
Other expenses and income: Interest expense						
All other expense items						
All other income items						
Net income or (loss) before income taxes	0	0	0	0	(
Depreciation/amortization included above						

¹ Include only sales (whether <u>domestic or export</u>) and costs related to your <u>U.S. manufacturing operations</u>.

Note -- The table above contains calculations that will appear when you have entered data in the MS Word form fields.

² Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

³ COGS (whether for domestic or export sales) should include costs associated with CS, IC, and Transfers.

III-9b.	Financial data reconciliation The calculable line items from question III-9a (i.e., total net sales
	quantities and values, total COGS, gross profit (or loss), total SG&A, and net income (or loss))
	have been calculated from the data submitted in the other line items. Do the calculated fields
	return the correct data according to your firm's financial records ignoring non-material
	differences that may arise due to rounding?

Yes	No	If no If the calculated fields do not show the correct data, please double check the feeder data for data entry errors and revise. Also, check signs accorded to the post operating income line items; the two expense line items should report positive numbers (i.e., expenses are positive and incomes or reversals are negativeinstances of the latter should be rare in those lines) while the income line item also in most instances should have its value be a positive number (i.e., income is positive, expenses or reversals are negative). If after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated fields persist please identify and discuss the differences in the space below.

III-9c. Raw materials.--Please report the share of total raw material costs in 2017 (reported in III-9a) for the following raw material inputs:

		Procurement method		
Input	Share of total raw material costs (percent)	Primarily produced by your firm	Primarily purchased by your firm	
Aluminum				
Insulation and wrapping				
Other material inputs ¹				
Total (should sum to 100 percent)	0.0			
150		1 . 1		

¹ Please indicate any other notable "other" raw materials not expressly identified above and provide the share of the total raw material costs that they account for:

III-10. Nonrecurring items (charges and gains) included in the subject product financial results.--For each annual and interim period for which financial results are reported in question III-9a, please specify all material (significant) nonrecurring items (charges and gains) in the schedule below, the specific question III-9a line item where the nonrecurring items are included, a brief description of the relevant nonrecurring items, and the associated values (in actual dollars), as reflected in question III-9a; i.e., if an aggregate nonrecurring item has been allocated to question III-9a, only the allocated value amount included in question III-9a should be reported in the schedule below. Note: The Commission's objective here is to gather information only on material (significant) nonrecurring items which impacted the reported financial results of the subject product in question III-9a.

		Calendar years			y-June
	2015	2016	2017	2017	2018
Item			Value (actual dollars)	
Nonrecurring item 1					
Nonrecurring item 2					
Nonrecurring item 3					
Nonrecurring item 4					
Nonrecurring item 5					
Nonrecurring item 6					
Nonrecurring item 7					

Nonrecurring item: In this table please provide a brief description of each nonrecurring item reported above and indicate the specific line item in table III-9a where the nonrecurring item is classified.

	Description of the nonrecurring item	Income statement classification of the nonrecurring item
Nonrecurring item 1		
Nonrecurring item 2		
Nonrecurring item 3		
Nonrecurring item 4		
Nonrecurring item 5		
Nonrecurring item 6		
Nonrecurring item 7		

Classification of identified nonrecurring items (charges and gains) in the accounting books and
<u>records of the company</u> If non-recurring items were reported in question III-10 above, please
identify where your company recorded these items in your accounting books and records in the
normal course of business; i.e., just as responses to question III-10 identify where these items
are reported in question III-9a.

III-12.	Asset valuesReport the total assets (i.e., both current and long-term assets) associated with
	the production, warehousing, and sale of AWC. If your firm does not maintain some or all of the
	specific asset information necessary to calculate total assets for AWC in the normal course of
	business, please estimate this information based upon a method (such as production, sales, or

end of your firm's three most recently completed calendar years.

Note: Total assets should reflect <u>net assets</u> after any accumulated depreciation and allowances deducted.

costs) that is consistent with relevant cost allocations in question III-9a. Provide data as of the

Total assets should be allocated to the subject products if these assets are also related to other products. Please provide a brief explanation if there are any substantial changes in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

Value (in actual dollars)			
	Calendar years		
Item	2015	2016	2017
Total assets (net) ¹			
¹ Describe			

III-13. <u>Capital expenditures and research and development expenses</u>.--Report your firm's capital expenditures and research and development expenses for AWC. Provide data for your firm's three most recently completed calendar years, and for the specified interim periods.

Value (in actual dollars)					
	Calendar years January-June			y-June	
Item	2015	2016	2017	2017	2018
Capital expenditures ¹					
Research and development expenses ²					

¹ Please describe the nature, focus, and significance of your firm's capital expenditures on the subject product.

² Please describe the nature, focus, and significance of your firm's R&D expenses related to subject product.

III-14.	Data consistency ar	nd reconciliation.	Please indicate	e whether y	your firm's financ	ial data for
	questions III-9a, 12,	and 13 are based	d on a calendar y	ear or on y	our firm's fiscal	year:

Calendar year	Fiscal year	Specify fiscal year

Please note the quantities and values reported in question III-9a should reconcile with the data reported in question II-7 (including export shipments) as long as they are reported on the same calendar year basis.

<u>RECONCILIATION OF TRADE VS FINANCIAL DATA</u>.--Please ensure that the quantities and values reported for total shipments in part II equal the quantities and values reported for total net sales in part III of this questionnaire in each time period unless the financial data from part III are reported on a fiscal year basis, in which case only the interim periods must reconcile. If the calculated fields below return values other than zero (i.e., "0") and both are being reported on a calendar basis, please explain the discrepancy below.

		Calendar years	January-June		
Reconciliation	2015	2016	2017	2017	2018
Quantity: Trade data from question II-7 (lines D, F, H, and J) less financial total net sales quantity data from question III-9a, = zero ("0").	0	0	0	0	0
Value: Trade data from question II-7 (lines E, G, I, and K) less financial total net sales value data from question III-9a, = zero ("0").	0	0	0	0	0

Do these data in question III-9a reconcile with data in question II-7?

Yes	No	If no, please explain.

III-15.	Effects of imports on investment Since January 1, 2015, has your firm experienced any actua
	negative effects on its return on investment or the scale of capital investments as a result of
	imports of AWC from China?

No	Yes		
		If yes, my firm has experier	nced actual negative effects as follows.
	(checi	k as many as appropriate)	(please describe)
		Cancellation, postponement, or rejection of expansion projects	
		Denial or rejection of investment proposal	
		Reduction in the size of capital investments	
		Return on specific investments negatively impacted	
		Other	

III-16. <u>Effects of imports on growth and development</u>.--Since January 1, 2015, has your firm experienced any actual negative effects on its growth, ability to raise capital, or existing development and production efforts (including efforts to develop a derivative or more advanced version of the product) as a result of imports of AWC from China?

		,	
No	Yes		
		If yes, my firm has experier	nced actual negative effects as follows.
	(chec	ck as many as appropriate)	(please describe)
		Rejection of bank loans	
		Lowering of credit rating	
		Problem related to the	
		issue of stocks or bonds	
		Ability to service debt	
		Other	

III-17.	Anticipated effects of importsDoes your firm anticipate any negative effects due to imports of
	AWC from China?

No	Yes	If yes, my firm anticipates negative effects as follows:

III-18.	Other explanationsIf your firm would like to further explain a response to a question in Part III that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

PART IV.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Amelia Preece (202-205-3250, Amelia.Preece@usitc.gov).

IV-1. <u>Contact information</u>.--Please identify the individual that Commission staff may contact regarding the confidential information submitted in part IV.

Name	
Title	
Email	
Telephone	

PRICE DATA

IV-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2015 of the following products produced by your firm.

<u>Product 1.--</u> Type SE (Style R) cables containing three 600 volt conductors made of Aluminum Alloy 8000 Series, plus a neutral/ground wire, with American Wire Gauge (AWG) sizes of 4/0, 4/0, 4/0, and 2/0

<u>Product 2.--</u> "Sweetbriar" underground distribution cables containing two 600 volt conductors made of Aluminum Alloy 1350 Series, plus a neutral/ground wire, with American Wire Gauge (AWG) sizes of 4/0, 4/0, and 2/0.

<u>Product 3.--</u> Type XHHW wires rated at 600 volts, with the conductor made of Aluminum Alloy 8000 Series, with a size of 500 kcmil.

Please note that values should be <u>f.o.b.</u>, <u>U.S.</u> <u>point of shipment</u> and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).

IV-2a. During January 2015- June 2018, did your firm produce and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

YesPlease complete the following pricing data table as appropriate.
NoSkip to question IV-3.

IV-2b. <u>Price data</u>.--Report below the quarterly price data¹ for pricing products² produced and sold by your firm.

Report data in *pounds, gross weight* and *actual dollars* (not 1,000s).

	(Quanti	ty in pounds, gr	oss weight, value in	n dollars)		
	Product 1		Product 2		Product 3	
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value
2015:						
January-March						
April-June						
July-September						
October-December						
2016:						
January-March						
April-June						
July-September						
October-December						
2017:						
January-March						
April-June						
July-September						
October-December						
2018:						
January-March						
April-June						

¹ Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

Note.—If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

Product 3:

IV-2c. <u>Product components.</u>-- Approximately what share of AWC gross weight is (1) aluminum, and (2) all other components?

Percentage of AWC's gross weight	Share (Product 1)	Share (Product 2)	Share (Product 3)
Aluminum	%	%	%
All other components	%	FORMTEXT %	%
Total (should sum to 100.0%)	0.0%	0.0%	0.0%

² Pricing product definitions are provided on the first page of Part IV.

IV-2d.	Price data checklistPlease check that the pricing data in question IV-2(b) has been correctly
	reported.

Is the price data reported above:	√ if Yes
In actual dollars (not \$1,000)?	
F.o.b. U.S. point of shipment (i.e., does not include U.S. transport costs)?	
Net of all discounts and rebates?	
Have returns credited to the quarter in which the sale occurred?	
Less than reported commercial shipments in question II-7 in each year?	

IV-2e.	<u>Pricing data methodology</u> Please describe the method and the kinds of documents/records that were used to compile your price data.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

IV-3.	Price settingHow does your firm determine the prices that it charges for sales of AWC (check
	all that apply)? If your firm issues price lists, please submit sample pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe

IV-4. <u>Discount policy</u>.--Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe

IV-5. Pricing terms.-- On what basis are your firm's prices of domestic AWC usually quoted (check one)?

Delivered	F.o.b.	If f.o.b., specify point

IV-6. Contract versus spot.--Approximately what share of your firm's sales of its U.S.-produced AWC in 2017 was on a (1) short-term contract basis, (2) annual contract basis, (3) long-term contract basis, and (4) spot sales basis?

	Type of sale					
ltem	Long-term contracts (multiple deliveries for more than 12 months)	Annual contracts (multiple deliveries for 12 months)	Short-term contracts (multiple deliveries for less than 12 months)	Spot sales (for a single delivery)	Total (should sum to 100.0%	
Share of 2017 sales	%	%	%	%	0.0	%

IV-7. <u>Contract provisions.</u>--Please fill out the table regarding your firm's typical sales contracts for U.S.-produced AWC (or check "not applicable" if your firm does not sell on a short-term, annual and/or long-term contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)	
Average contract duration	No. of days		365		
Price renegotiation	Yes				
(during contract period)	No				
	Quantity				
Fixed quantity and/or price	Price				
,. ,	Both				
Indexed to raw	Yes				
material costs ¹	No				
Not applicable					
¹ Please identify the indexes used:					

IV-8. <u>Lead times.</u>—What is your firm's share of sales from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of its U.S.-produced AWC?

Source	Share of 2017 sales	Lead time (Average number of days)
From inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	0.0 %	

IV-9.	Shipping	information

(a)	What is the approximate percentage of the cost of U.Sproduced AWC that is accounted for by U.S. inland transportation costs? percent
(b)	Who generally arranges the transportation to your firm's customers' locations? Your firm Purchaser (check one)

(c) Indicate the approximate percentage of your firm's sales of AWC that are delivered the following distances from its production facility.

Distance from production facility	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total (should sum to 100.0%)	0.0 %

IV-10. <u>Geographical shipments.--</u> In which U.S. geographic market area(s) has your firm sold its U.S.-produced AWC since January 1, 2015 (check all that apply)?

Geographic area	√ if applicable
Northeast.–CT, ME, MA, NH, NJ, NY, PA, RI, and VT.	
MidwestIL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	
Southeast.—AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	
Central Southwest.—AR, LA, OK, and TX.	
Mountains.—AZ, CO, ID, MT, NV, NM, UT, and WY.	
Pacific Coast.—CA, OR, and WA.	
Other.—All other markets in the United States not previously listed, including AK, HI, PR, and VI.	

IV-11. <u>End uses.</u>--List the end uses of the AWC that your firm manufactures. For each end-use product, what percentage of the <u>total cost</u> is accounted for by AWC and other inputs?

	Share of total cost	Total	
End use product	AWC	Other inputs	(should sum to 100.0% across)
	%	%	0.0 %
	%	%	0.0 %
	%	%	0.0 %

		E	nd use in v	which this	На		anges in the price	e of this substitute e for AWC?
	Substitute		substitute	e is used	No	Yes	Expl	anation
1.								
2.								
3.					П			
					changes	, u c		
		Overall	No	Overell	Fluctu	ıate		
Mar	ket	Overall increase	No change	Overall decrease		iate no	Explana	tion and factors
	ket Inited States		_	0.1010	Fluctu	iate no	Explana	tion and factors

IV-15. Conditions of competition.--

Impact on overall market²

(a)	Is the AWC market subject to business cycles (other than general economy-wide
	conditions) and/or other conditions of competition distinctive to AWC? If yes, describe.

	Check all t	hat apply.		Please describe.		
		No		Skip to question IV-16.		
		Yes-Busin seasonal	ess cycles (e.g. business)			
			r distinctive s of competition			
		s, have there since Janua	in the business cycles or conditions of competition for			
	No	Yes	If yes, describe.			
IV-16.	Impact of the section 301 investigation on firm and AWC market. — Are you familiar with the section 301 investigation and tariffs that have been proposed and implemented by the United States in response to Chinese trade practices? No (skip parts a, b, and c) Yes (complete parts a, b, and c) (a) Did the announcement in March 2018 and subsequent implementation of tariff remedies in the section 301 investigation have any discernible impact on your firm's AWC business and/or the market as a whole? No YesPlease specify.					
	Iten	n		Response		
Impact	on firm ¹	•		пеэропэс		

¹ Please identify the magnitude and timing of any effects, and compare your firm's operations before and after the announcement of the section 301 measures.

² Please identify the magnitude and timing of any effects, and compare the overall market before and after the announcement of the section 301 measures.

(b) <u>Assessment of specific impacts of the section 301 investigation</u> Please indicate the impact that your firm has observed with regards to the announcement and subsequent											
	•				_				d tariff actions.		
				No		Fluct witl	tuate h no				
	Item	Incr	ease	change	Decrease	clear	trend	E	xplanation and	factors	
	ll demand f the U.S. ma										
	for AWS in t S. market	the									
AWC op	on your firm perations in ited States										
(c) Changes to forward looking outlook due to the section 301 investigation.—What impact did the announcement and subsequent implementation of tariff remedies related to the section 301 investigation have on your firm's forward-looking outlook?											
	Item			trongly orsened		Somewhat worsened No ch		hange	Somewhat improved	Strongly improved	
Firm's fo	Firm's forward looking outlook						[
Narrativ	e explanati	on:									
	IV-17. Supply constraintsHas your firm refused, declined, or been unable to supply AWC since January 1, 2015 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, being unable to meet timely shipment commitments, etc.)?										
	No	Yes	If yes,	, please	describe.						
IV-18.	Raw mater	ialsHow	/ have	AWC ra	w material	prices	chang	ed since	January 1, 2015	5?	
	Overall increase	No change	Over decre	rall v	uctuate vith no ear trend	-		_	raw material p firm's selling pr	_	

I\/-19	Effect of 2	232 investi	gation o	f aluminum	on raw	material	nrices
IV-IJ.	Ellect Ol 4	232 IIIVESU	galion o	ı alullılılılı	uiiaw	IIIatellai	DI ICE5

er countries
t

IV-21. <u>Factors other than price</u>.--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, *etc.*) between AWC produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or 0 in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

0 = *no familiarity* with products from a specified country-pair

Country-pair	China	Other countries
United States		
China		

For any country-pair for which factors other than price *always* or *frequently* are a significant factor in your firm's sales of AWC, identify the country-pair and report the advantages or disadvantages imparted by such factors:

IV-22. <u>Customer identification.</u>--List the names and contact information for your firm's 10 largest U.S. customers for AWC since January 1, 2015. Indicate the share of the quantity of your firm's total shipments of AWC that each of these customers accounted for in 2017.

C	Customer's name	Contact person	Email	Telephone	City	State	Share of 2017 sales (%)
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							

IV-23. Competition from imports

(a) <u>Lost revenue</u>.--Since January 1, 2015: To avoid losing sales to competitors selling AWC from China, did your firm:

Item	No	Yes
Reduce prices		
Roll back announced price increases		

(b) <u>Lost sales.</u>--Since January 1, 2015: Did your firm lose sales of AWC to imports of this product from China?

No	Yes

(c) If your firm indicated "yes" to any of the above, your firm can provide the Commission with additional information by downloading and completing the lost sales/lost revenues worksheet at http://usitc.gov/trade_remedy/question.htm. Note that the Commission may contact the firms named to verify the allegations reported.

Is your firm submitting the lost sales/lost revenues worksheet?

No—Please explain.
Yes—Please complete the worksheet and submit via the Commission dropbox. https://dropbox.usitc.gov/oinv/ . (PIN: WIRE)

IV-24. Other explanations.--If your firm would like to further explain a response to a question in Part IV for which a narrative response box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at:

https://www.usitc.gov/investigations/701731/2018/aluminum wire and cable china/prelimin ary.htm.

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

• <u>Upload via Secure Drop Box</u>.—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission's secure upload facility:

Web address: https://dropbox.usitc.gov/oinv/ Pin: WIRE

• E-mail.—E-mail the MS Word questionnaire to Keysha.martinez@usitc.gov; include a scanned copy of the signed certification page (page 1). Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm's nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.

If your firm <u>does not</u> **produce this product**, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

<u>Parties to this proceeding</u>.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.