U.S. PRODUCERS' QUESTIONNAIRE

TAPERED ROLLER BEARINGS FROM CHINA

This questionnaire must be received by the Commission by May 24, 2018

See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its review of the antidumping duty order concerning tapered roller bearings (TRBs) from China (Inv. No. 731-TA-344 (Fourth Review)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm

Address		
	State	
Has your firm produc	ed TRBs (as defined on the next page) at any ti	me since January 1, 2015?
NO (Sign t	he certification below and promptly return only this	page of the questionnaire to the Commission)
YES (Comp	lete all parts of the questionnaire, and return the el	ntire questionnaire to the Commission)
_	re via the Commission <i>Drop Box</i> by clickintc.gov/oinv/ (PIN: TRB4)	ng on the following link:
	CERTIFICATION	_
information provided in this q	-	its employees and contract personnel, to use the in any other import-injury proceedings or reviews
proceeding or other proceeding personnel (a) for developing of reviews, and evaluations rela Appendix 3; or (ii) by U.S. gove	ns may be disclosed to and used: (i) by the C maintaining the records of this or a related ing to the programs, personnel, and opera	to this request for information and throughout this Commission, its employees and Offices, and contract proceeding, or (b) in internal investigations, audits, ations of the Commission including under 5 U.S.C. blely for cybersecurity purposes. I understand that all
Name of Authorized Official	Title of Authorized Official	Date
	Phone:	
Signature		Email address

PART I.—GENERAL INFORMATION

htm.

Background.--On June 15, 1987, the Department of Commerce ("Commerce") issued an antidumping duty order on imports of TRBs from China. On July 3, 2017, the Commission instituted a review pursuant to section 751(c) of the Tariff Act of 1930 (19 U.S.C. § 1675(c)) (the Act) to determine whether revocation of the order would be likely to lead to continuation or recurrence of material injury to the domestic industry within a reasonably foreseeable time. If both the Commission and Commerce make an affirmative determination, the order will remain in place. If either the Commission or Commerce makes a negative determination, Commerce will revoke the order. Questionnaires and other information pertinent to this proceeding are available at https://www.usitc.gov/investigations/701731/2017/tapered_roller_bearings_china/fourth_review_full.

<u>TRBs</u> covered by this investigation are tapered roller bearings and parts thereof, finished and unfinished; flange, take up cartridge, and hanger units incorporating tapered roller bearings; and tapered roller housings (except pillow blocks) incorporating tapered rollers, with or without spindles, whether or not for automotive use.

TRBs are currently imported under statistical reporting numbers 8482.20.00, 8482.91.00.50, 8482.99.15, 8482.99.45, 8483.20.40, 8483.20.80, 8483.30.80, 8483.90.20, 8483.90.30, 8483.90.80, 8708.70.6060, 8708.99.2300, 8708.99.4850, 8708.99.6890, 8708.99.8115, and 8708.99.8180 of the Harmonized Tariff Schedule of the United States (HTSUS). The HTSUS provisions are for convenience and customs purposes; the written description of the scope is dispositive.

This questionnaire requests information on the following sub products of TRBs. **Unless otherwise** specified, all questions in this questionnaire relating to TRBs include all of these sub products.

- (1) <u>Small-diameter TRBs</u>—TRBs as described above (excluding wheel hub assemblies), with an outside cup diameter of eight inches or less.
- (2) <u>Large-diameter TRBs</u>—TRBs as described above (excluding wheel hub assemblies), with an outside cup diameter over 8 inches.
- (3) <u>Parts only</u>—Finished and unfinished parts of TRBs that cannot be converted into "bearing equivalents" (e.g., parts other than assemblies and cups).
- (4) <u>Wheel hub assemblies</u>--A wheel hub assembly is a type of tapered roller bearing covered by this investigation that is finished and has one or more tapered rollers, with or without an outer flange and, in many cases, an inner flange with a mounting face and studs onto which a vehicle wheel and a brake rotor or disc brake is mounted. A wheel hub assembly may be splined or non-splined and with or without ABS elements. Wheel hub assemblies are also often referred to as wheel hub units.

TRBs = Small-diameter TRBs + Large-diameter TRBs + Parts only + Wheel hub assemblies

Reporting of information.-- If information is not readily available from your records in exactly the form requested, furnish carefully prepared estimates. If your firm is completing more than one questionnaire in connection with this proceeding (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions in the questionnaires.

<u>Confidentiality</u>.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may

be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

<u>Verification</u>.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all of your files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

I-1.	OMB statisticsPlease report below the actual number of hours required and the cost to your
	firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-2. <u>Establishments covered</u>.--Provide the city, state, zip code, and brief description of each establishment covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol in the footnote to the table. Firms operating more than one establishment should combine the data for all establishments into a single report.

"<u>Establishment</u>"--Each facility of a firm involved in the <u>production</u> of TRBs, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

Establishments Covered ¹	City, State	Zip (5 digit)	Description
1			
2			
3			
4			
5			
6			
¹ Additional discus	sion on establishments cons	solidated in this questic	onnaire:

I-3. <u>Position regarding continuation of order</u>.--Does your firm support or oppose continuation of the following antidumping duty order currently in place for TRBs?

Country	Support	Oppose	Take no position
China			

U.S. Producers' Questionnaire - TRBs Page 5 Ownership.--Is your firm owned, in whole or in part, by any other firm? I-4. No Yes--List the following information. **Extent of** ownership Firm name **Address** (percent) I-5. Related importers/exporters.--Does your firm have any related firms, either domestic or foreign, that are engaged in importing TRBs into the United States or that are engaged in exporting TRBs to the United States? □ No Yes--List the following information. Firm name Country **Affiliation**

	Related producers. Does your firm have any related firms, either domestic or foreign, that are engaged in the production of TRBs?			
☐ No		YesList the following information.		
Firm na	ime	Country	Affiliation	
business	plan. Do	Parts II and IV of this questionnaire we s your company or any related firm h scribe, discuss, or analyze expected m	ave a business plan or any internal	
No	Yes	If yes, please provide the requested or requested documents, please explain	•	

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Keysha Martinez (202-205-2136, keysha.martinez@usitc.gov). Supply all data requested on a calendar-year basis.

II-1.	<u>Contact information</u> Please identify the responsible individual and the manner by which		
	Commission staff may contact that individual regarding the confidential information submitted		
	in part II.		

Name	
Title	
Email	
Telephone	

II-2a. <u>Changes in operations.</u>—Please indicate whether your firm has experienced any of the following changes in relation to the production of TRBs since January 1, 2015.

Checl	k as many as appropriate.	If checked, please describe; leave blank if not applicable.
	Plant openings	
	Plant closings	
	Relocations	
	Expansions	
	Acquisitions	
	Consolidations	
	Prolonged shutdowns or production curtailments	
	Revised labor agreements	
	Other (e.g., technology)	

•	ıc	Producers'	Ougstion	naira	TDD
ι	1.5.	Producers	Cuestion	naire :	- IKBS

No	Vaca	If yes, supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentations that address this issue. Include in your response a specific projection of your firm's capacity to produce TRBs (in complete bearings or bearing equivalents) for
No 🗆	Yes	s 2018 and 2019.
	_	ame machinery. —Does your firm produce other products on the same chinery used to produce TRBs?
No	Yes	If yes Please describe the other products:
Production	on constrai	nintsPlease describe the constraint(s) that set the limit(s) on your firm'
Production or oduction or oduc	n constrain capacity	nintsPlease describe the constraint(s) that set the limit(s) on your firm'
Production or oduction or oduc	n constrain capacity hiftingIs using the s	nintsPlease describe the constraint(s) that set the limit(s) on your firm'y.

Definitions

<u>Production, shipment, and inventory data</u>.--Report your firm's production capacity, production, shipments, and inventories related to the production of TRBs in its U.S. establishment(s) during the specified periods.

"Average production capacity" or "capacity" – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).

"**Production**" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

"U.S. commercial shipments" –Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report <u>net values</u> (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

"Internal consumption" – Product consumed internally by your firm. Such transactions are valued at fair market value.

"Transfers to related firms" – Shipments made to related domestic firms. Such transactions are valued at fair market value.

"Related firm" — A firm that your firm solely or jointly owns, manages, or otherwise controls.

"Export shipments" – Shipments to destinations outside the United States, including shipments to related firms.

"Inventories" — Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-4a. Production, shipment, and inventory data (small-diameter TRBs).

Report your firm's production capacity, production, shipments, and inventories related to the production of small-diameter TRBs (8" or less outside cup diameter) in its U.S. establishment(s) during the specified periods.

Include only parts and subassemblies essentially equivalent to a complete bearing, such as sets consisting of cups and cone assemblies or, if cups and cone assemblies are sold separately, the equivalent of sets. For the purposes of reporting quantity data, please treat an assembly or a cup as one half (i.e., ½) of a completed bearing. So for example if your firm produces and sells 400 cups for use in a completed TRB, report those quantity data in this grid as a quantity of 200 bearing equivalents. Any other parts that cannot be converted into "bearing equivalents" (e.g., parts other than assemblies and cups) should be reported in question II-4c).

Small-diameter TRBs (8" or less outside cup diameter)

(0 0) 1	ess satsiae c	ap diameter,	
Quantity (in complete bearing	s or bearing equivale	ents) and value (in \$1,0	00)
	Calendar years		
Item	2015	2016	2017
Average production capacity ¹ (quantity) (A)			
Beginning-of-period inventories (quantity) (B)			
Production (quantity) (C)			
U.S. shipments: Commercial shipments: Quantity (D)			
Value (E)			
Internal consumption: ² Quantity (F)			
Value ² (G)			
Transfers to related firms: ² Quantity (H)			
Value² (I)			
Export shipments: ³ Quantity (J)			
Value (K)			
End-of-period inventories (quantity) (L)			
¹ The production capacity reported is based on opera methodology used to calculate production capacity, and ² Internal consumption and transfers to related firms different basis for valuing these transactions, please spethat basis for each of the periods noted above: ³ Identify your firm's principal export markets:	l explain any changes in must be valued at fair	n reported capacity market value. In the ever	nt that your firm uses a

^{**}Any production related to <u>wheel hub assemblies</u> should be reported in question II-6 only (i.e., do <u>NOT</u> report "internal consumption" here for merchandise reported in a more finalized form in II-6).

II-4a. Production, shipment, and inventory data (small-diameter TRBs).--Continued

<u>RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.</u>—Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and, also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

	Calendar years		
Reconciliation	2015	2016	2017
B + C - D - F - H - J - L = should equal zero ("0") or provide an explanation.1	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:			

II-4b. <u>Channels of distribution (small-diameter TRBs)</u>.--Report your firm's value of commercial U.S. shipments by channel of distribution and known end uses.

Small-diameter TRBs (8" or less outside cup diameter)

(o or less outside cup didifferent			
Value (in \$1,000)			
	Calendar years		
Item	2015	2016	2017
Channels of distribution: <u>Value</u> of commercial U.S. shipments: To distributors (M)			
To <u>end users</u> in the following sectors: Automotive(N)			
Heavy equipment / industrial (O)			
Agricultural (P)			
Other or unknown (Q) ¹			
Total, end user shipments	0	0	0
¹ Please identify the other industries:			

<u>RECONCILIATION OF CHANNELS</u>.--Please ensure that the values reported for channels of distribution (i.e., lines M through Q) in each time period equal the values reported for commercial U.S. shipments (i.e., line E) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar years		
Reconciliation	2015	2016	2017
M + N + O + P + Q - E = zero ("0"), if			
not revise.	0	0	0

II-4c. <u>U.S. shipments of parts of TRBs not included in II-4a (Small-diameter TRBs)</u>

Report your firm's shipments of parts of TRBs. Do <u>not</u> report here (i.e., double-count) any parts that were reported in question II-4a including when embodied in an assembly, cup, or complete TRB that is being reported in II-4a (i.e., do NOT report "internal consumption" here for merchandise reported in a more finalized form in II-4a).

Parts Only (Small-diameter TRBs)

	Value (in \$1,000)		
	Calendar years		
Item	2015	2016	2017
U.S. shipments:			
Commercial U.S. shipments			
Internal consumption ²			
Transfers to related firms ²			
Export shipments: ³			
¹ Identify the types of TRB parts included about 1 Internal consumption and transfers to relate firm uses a different basis for valuing these transprovide value data using that basis for each of 1 Identify your firm's principal export market	ted firms must be valu nsactions, please spec the periods noted abo	ify that basis (e.g., cost	

³ Identify your firm's principal export markets: _

II-5a. Production, shipment, and inventory data (large-diameter TRBs).

Report your firm's production capacity, production, shipments, and inventories related to the production of large-diameter TRBs (over 8" outside cup diameter) in its U.S. establishment(s) during the specified periods.

Include only parts and subassemblies essentially equivalent to a complete bearing, such as sets consisting of cups and cone assemblies or, if cups and cone assemblies are sold separately, the equivalent of sets. For the purposes of reporting quantity data, please treat an assembly or a cup as one half (i.e., ½) of a completed bearing. So for example if your firm produces and sells 400 cups for use in a completed TRB, report those quantity data in this grid as a quantity of 200 bearing equivalents. Any other parts that cannot be converted into "bearing equivalents" (e.g., parts other than assemblies and cups) should be in question II-5c).

Large-diameter TRBs (over 8" outside cup diameter)

(01010	outside et	ap didiffecery		
Quantity (in complete bearings or bearing equivalents) and value (in \$1,000)				
	Calendar years			
Item	2015	2016	2017	
Average production capacity ¹ (quantity) (A)				
Beginning-of-period inventories (quantity) (B)				
Production (quantity) (C)				
U.S. shipments: Commercial shipments: Quantity (D)				
Value (E)				
Internal consumption: ² Quantity (F)				
Value ² (G)				
Transfers to related firms: ² Quantity (H)				
Value ² (I)				
Export shipments: ³ Quantity (J)				
Value (K)				
End-of-period inventories (quantity) (L)				
¹ The production capacity reported is based on operat methodology used to calculate production capacity, and ² Internal consumption and transfers to related firms a different basis for valuing these transactions, please specthat basis for each of the periods noted above:	explain any changes in must be valued at fair	n reported capacity market value. In the even	t that your firm uses a	

^{**}Any production related to <u>wheel hub assemblies</u> should be reported in question II-6 only (i.e., do <u>NOT</u> report "internal consumption" here for merchandise reported in a more finalized form in II-6).

II-5a. Production, shipment, and inventory data (large-diameter TRBs).--Continued

<u>RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.</u>—Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and, also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

	Calendar years		
Reconciliation	2015	2016	2017
B + C - D - F - H - J - L = should equal zero ("0") or provide an explanation. ¹	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:			

II-5b. Channels of distribution (large-diameter TRBs).--Report your firm's value of commercial U.S. shipments by channel of distribution and known end uses.

Large-diameter TRBs (over 8" outside cup diameter)

Value (<i>in \$1,000</i>)			
	Calendar years		
Item	2015	2016	2017
Channels of distribution: Value of commercial U.S. shipments: To distributors (M)			
To <u>end users</u> in the following sectors: Automotive(N)			
Heavy equipment / industrial (O)			
Agricultural (P)			
Other or unknown (Q) ¹			
Total, end user shipments	0	0	0
¹ Please identify the other industries:			

<u>RECONCILIATION OF CHANNELS</u>.--Please ensure that the values reported for channels of distribution (i.e., lines M through Q) in each time period equal the values reported for commercial U.S. shipments (i.e., line E) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar years		
Reconciliation	2015	2016	2017
M + N + O + P + Q - E = zero ("0"), if			
not revise.	0	0	0

II-5c. U.S. shipments of parts of TRBs not included in II-5a (Large-diameter TRBs)

Report your firm's shipments of parts of TRBs. Do <u>not</u> report here (i.e., double-count) any parts that were reported in question II-5a including when embodied in an assembly, cup, or complete TRB that is being reported in II-5a (i.e., do NOT report "internal consumption" here for merchandise reported in a more finalized form in II-5a).

Parts Only (Large-diameter TRBs)

	Value (in \$1,000)		
	Calendar years		
Item	2015	2016	2017
U.S. shipments:			
Commercial U.S. shipments			
Internal consumption ²			
Transfers to related firms ²			
Export shipments: ³			
¹ Identify the types of TRB parts included about 1 Internal consumption and transfers to relate firm uses a different basis for valuing these transprovide value data using that basis for each of 1 Identify your firm's principal export market	ted firms must be valued and the value	cify that basis (e.g., cos	

II-6a. Production, shipment, and inventory data (wheel hub assemblies).

Report your firm's production capacity, production, shipments, and inventories related to the production of wheel hub assemblies in its U.S. establishment(s) during the specified periods.

Include only parts and subassemblies essentially equivalent to a complete bearing, such as sets consisting of cups and cone assemblies or, if cups and cone assemblies are sold separately, the equivalent of sets. For the purposes of reporting quantity data, please treat an assembly or a cup as one half (i.e., $\frac{1}{2}$) of a completed bearing. So for example if your firm produces and sells 400 cups for use in a completed TRB, report those quantity data in this grid as a quantity of 200 bearing equivalents.

Wheel hub assemblies

Quantity (in complete bearings or bearing equivalents) and value (in \$1,000)			
	Calendar years		
Item	2015	2016	2017
Average production capacity ¹ (quantity) (A)			
Beginning-of-period inventories (quantity) (B)			
Production (quantity) (C)			
U.S. shipments: Commercial shipments: Quantity (D)			
Value (E)			
Internal consumption: ² Quantity (F)			
Value² (G)			
Transfers to related firms: ² Quantity (H)			
Value² (I)			
Export shipments: ³ Quantity (J)			
Value (K)			
End-of-period inventories (quantity) (L)			
¹ The production capacity reported is based on ope methodology used to calculate production capacity, a ² Internal consumption and transfers to related firm different basis for valuing these transactions, please s that basis for each of the periods noted above: ³ Identify your firm's principal export markets:	nd explain any change ns must be valued at f pecify that basis (e.g.,	es in reported capacity air market value. In the ev	 ent that your firm uses a

II-6a. Production, shipment, and inventory data (wheel hub assemblies).--Continued

<u>RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.</u>—Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and, also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

	Calendar years		
Reconciliation	2015	2016	2017
B + C - D - F - H - J - L = should equal zero ("0") or provide an explanation.1	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:			

II-6b. <u>Channels of distribution (wheel hub assemblies)</u>.--Report your firm's value of commercial U.S. shipments by channel of distribution and known end uses.

Wheel hub assemblies

Value (in \$1,000)			
	Calendar years		
Item	2015	2016	2017
Channels of distribution: Value of commercial U.S. shipments: To distributors (M)			
To <u>end users</u> in the following sectors: Automotive(N)			
Heavy equipment / industrial (O)			
Agricultural (P)			
Other or unknown (Q) ¹			
Total, end user shipments	0	0	0
¹ Please identify the other industries:			

<u>RECONCILIATION OF CHANNELS</u>.--Please ensure that the values reported for channels of distribution (i.e., lines M through Q) in each time period equal the values reported for commercial U.S. shipments (i.e., line E) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

		Calendar years	
Reconciliation	2015	2016	2017
M + N + O + P + Q - E = zero ("0"), if			
not revise.	0	0	0

II-7. <u>Historical U.S. shipments of TRBs (2012-14)</u>.--Report the value of your firm's U.S. shipments (include commercial shipments, internal consumption, and transfers to related firms, but do <u>not</u> include exports) of TRBs produced in your U.S. establishment(s) during the specified periods.

(Value <i>in \$1,000</i>)			
	Calendar years		
Item	2012	2013	2014
U.S. shipments of Small-diameter TRBs (8" or less outside cup diameter)			
Large-diameter TRBs (over 8" outside cup diameter)			
Wheel hub assemblies			

II-8a. <u>Employment data</u>.--Report your firm's employment-related data related to the production of TRBs and provide an explanation for any trends in these data.

Include only parts and subassemblies essentially equivalent to a complete bearing, such as sets consisting of cups and cone assemblies or, if cups and cone assemblies are sold separately, the equivalent of sets.

"Production and Related Workers" (PRWs) includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations. Average number employed may be computed by adding the number of employees, both full time and part time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12.

"Hours worked" includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.

"Wages paid" – Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

Small-diameter TRBs (8" or less outside cup diameter)

	Calendar years		
Item	2015	2016	2017
Average number of PRWs (number)			
Hours worked by PRWs (1,000 hours)			
Wages paid to PRWs (\$1,000)			

Explanation of trends:		

II-8b. **Employment data.**—Continued

Large-diameter TRBs (over 8" outside cup diameter)

		Calendar years	
ltem	2015	2016	2017
Average number of PRWs (number)			
Hours worked by PRWs (1,000 hours)			
Wages paid to PRWs (\$1,000)			

Average number of PRWs (nur	nber)			
Hours worked by PRWs (1,000	hours)			
Wages paid to PRWs (\$1,000)				
Explanation of tr	ends:			
II-8c. Employment dat		el hub assemblie	s	
		Calenda	r years	
ltem	201	15 201	16 201	.7
Average number of PRWs (nur	nber)			
Hours worked by PRWs (1,000	hours)			
Wages paid to PRWs (\$1,000)				
Explanation of tr	ends:			
5a, and/or II-6a, related firms (e.g market value or l	please indicate the nat i., joint venture, wholly by a non-market formu	cure of the relationship by owned subsidiary), whe ala, whether your firm re	lated firms in questions II etween your firm and the ether the transfers were p tained marketing rights to rom sources other than y	e oriced at o all

II-10. PurchasesOther than direct import 2015?	s, has your firm o	otherwise purchas	ed TRBs since January 1,
reasons diffe		ase elaborate) and	chases (if your firms' report the <u>value</u> of such
"Purchase" – A transaction to buy proproducer, a U.S. distributor, or a U.S.			
"Direct import" –A transaction to buy record or consignee.	/ from a foreign s	supplier where you	ur firm is the importer of
Reason for purchases:			
(V	alue <i>in \$1,000</i>)		
,		Calendar yea	ırs
Item	2015	2016	2017
<u>Small-diameter TRBs (8" or less outside cup diameter):</u> Purchases from U.S. importers ¹ from— China			
All other sources			
Purchases from domestic producers ²			
Purchases from other sources ²			
Large-diameter TRBs (over 8" outside cup diameter): Purchases from U.S. importers¹ from— China			
All other sources			
Purchases from domestic producers ²			
Purchases from other sources ²			
Wheel hub assemblies: Purchases from U.S. importers¹ from— China			
All other sources			
Purchases from domestic producers ²			
Purchases from other sources ²			
¹ Please list the name of the importer(s) from suppliers differ by source, please identify the sou ² Please list the name of the producer(s) or U.	rce for each listed	supplier:	

II-11.	Toll productionSince January 1, 2015, has your firm been involved in a toll agreement
	regarding the production of TRBs?

"Toll agreement"--Agreement between two firms whereby the first firm furnishes the raw materials and the second firm uses the raw materials to produce a product that it then returns to the first firm with a charge for processing costs, overhead, etc.

No	Yes	If yes Please describe the toll arrangement(s) and name the firm(s) involved.

II-12. Foreign trade zones.--

(a) <u>Firm's FTZ operations</u>.--Does your firm produce TRBs in and/or admit TRBs into a foreign trade zone (FTZ)?

"Foreign trade zone" is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise. A foreign trade zone must be designed as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

No	If yes Describe the nature of your firms operations in FTZs and identify the specific FTZ site(s).

(b) Other firms' FTZ operations.--To your knowledge, do any firms in the United States import TRBs into a foreign trade zone (FTZ) for use in distribution of TRBs and/or the production of downstream articles?

No	Yes	If yesIdentify the firms and the FTZs.

II-13. <u>Direct imports.</u>--Since January 1, 2015, has your firm imported TRBs?

"Importer" – The person or firm primarily liable for the payment of any duties on the merchandise, or an authorized agent acting on his behalf. The importer may be the consignee, or the importer of record.

No	Yes	
		If yes <u>COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE</u>

For questions II-14 and II-15, if your response differs for particular products (e.g., small-diameter TRBs, large-diameter TRBs, and wheel hub assemblies), please indicate and explain the particular effect of imposition and/or revocation of specific products.

5.	operations of inventories, research and	<u>Likely impact of revocation.</u> —Would your firm anticipate any changes in in the character of its operations or organization, including its production capacity, production, U.S. shipments, inventories, purchases, employment, revenues, costs, profits, cash flow, capital expenditures, research and development expenditures, or asset values relating to the production of TRBs in the future if the antidumping duty order on TRBs from China were to be revoked?					
	No	Yes	If yes, supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentations that address this issue. Include in your response a specific projection of your firm's capacity to produce TRBs (in complete bearings or bearing equivalents) for 2018 and 2019.				
6.	that did not the space pi	provide a n rovided belo e data in th	your firm would like to explain further a response to a question in Par arrative box, please note the question number and the explanation in ow. Please also use this space to highlight any issues your firm had in is section, including but not limited to technical issues with the MS Wo				

PART III.--FINANCIAL INFORMATION

Address questions on this part of the questionnaire to Charles Yost (202-205-3432,

in par						
Name						
Title Email						
Telepl	hone					
Accou	ınting sys	temBriefly describe your firm's financial accounting system.				
A.		does your firm's fiscal year end (month and day)?				
	If your	firm's fiscal year changed during the data-collection period, explain below:				
B.1.		be the lowest level of operations (e.g., plant, division, company-wide) for which al statements are prepared that include TRBs:				
2.	Does yo	our firm prepare profit/loss statements for TRBs:				
3.	annual Auc	ten did your firm (or parent company) prepare financial statements (including reports, 10Ks)? Please check relevant items below. Sited, unaudited, annual reports, 10Ks, 10 Qs, 11 Qs, 12 quarterly, semi-annually, annually				
4.	Accoun	ting basis: GAAP, cash, tax, or other comprehensive basis of ting (specify)				
	used in regardii submit o profit-a	as requested in Part I of this questionnaire, please keep all supporting documents/records the preparation of the financial data, as Commission staff may contact your firm and questions on the financial data. The Commission may also request that your company copies of the supporting documents/records (financial statements, including internal and-loss statements for the division or product group that includes TRBs, as well as specific ents and worksheets) used to compile these data.				
Cost a	<u>Cost accounting system.</u> Briefly describe your firm's cost accounting system (e.g., standard					
	cost, job order cost, etc.).					

Ш interest expense and other income and expenses.

Product listingPlease list the products your firm produces in the facilities in which it produces TRBs, and provide the share of net sales accounted for by these products in your firm's most recent fiscal year.				
Products		Share of sales		
Small diameter TRB	S	%		
Large diameter TRB	S	%		
Wheel hub assembl	ies	%		
		%		
production of TRBs fr	ase inputs (raw materials, labor, energom any related suppliers (e.g., inclusive rother components within the same of the components within the same of the continue to the same of the continue to the same of the continue to the continue	e of transactions between re		
production of TRBs fr firms, divisions and/o YesContinue to o Inputs from related s your firm purchases f of total COGS" please recently completed fi the company's own a	om any related suppliers (e.g., inclusive rother components within the same of the components within the component within the components withi	y, or any services) used in the of transactions between reompany)? question III-9a. sed in the production of TRBsected in question III-9a. For out on the basis of your most describe the basis, as record through the related supplier; expression the related suppli	s that "Shar it ded in e.g., th	
production of TRBs fr firms, divisions and/of firms, divisions and/of YesContinue to of the firm purchases from the company's own a related supplier's activities.	om any related suppliers (e.g., inclusive rother components within the same of question III-7. NoContinue to the same of the inputs uppliersPlease identify the inputs uppliers and that are reflored report this information by relevant in scal year. For "Input valuation" please occounting system, of the purchase cost	y, or any services) used in the of transactions between reompany)? question III-9a. sed in the production of TRBsected in question III-9a. For out on the basis of your most describe the basis, as record through the related supplier; expression the related suppli	s that "Shar it ded in e.g., th arket	
production of TRBs fr firms, divisions and/of the YesContinue to continue to continue from related so your firm purchases from the company's own a related supplier's activalue.	om any related suppliers (e.g., inclusive rother components within the same of question III-7. NoContinue to the same of the inputs of the input in scal year. For "Input valuation" please occuping system, of the purchase cost all cost, cost plus, negotiated transfer	y, or any services) used in the of transactions between reompany)? question III-9a. sed in the production of TRBs ected in question III-9a. For out on the basis of your most describe the basis, as record from the related supplier; exprice to approximate fair materials.	s that "Shar it ded in e.g., th arket	

III-8.	<u>Inputs from related suppliers at cost</u> Please confirm that the inputs purchased from related suppliers, as identified in III-7, were reported in question III-9a (financial results on TRBs) in a manner consistent with the firm's accounting books and records.
	☐ Yes
	NoIn the space below, please report the valuation basis of inputs purchased from related suppliers as reported in question III-9a.

III-9. Operations on TRBs and wheel hub assemblies--General. -- Report the revenue and related cost information requested on the TRB operations of your firm's U.S. establishment(s) on a consolidated basis. Do not report resales of products. Note that internal consumption and transfers to related firms must be valued at fair market value. Input purchases from related suppliers should be consistent with and based on information in the firm's accounting books and records. Provide data for the three most recently completed calendar years. If your firm was involved in tolling operations (either as the toller or as the tollee), please contact Charles Yost at (202) 205-3432 before completing this section of the questionnaire. See product definition on page 2.

Include only parts and subassemblies essentially equivalent to a complete bearing, such as sets consisting of cups and cone assemblies or, if cups and cone assemblies are sold separately, the equivalent of sets. For the purposes of reporting quantity data, please treat an assembly or a cup as one half (i.e., ½) of a completed bearing. So for example if your firm produces and sells 400 cups for use in a completed TRB, report those quantity data as a quantity of 200 bearing equivalents. Any other parts that cannot be converted into "bearing equivalents" (e.g., parts other than assemblies and cups) should be reported in questions III-9b or III-9d).

- III-9a. <u>Operations on small diameter TRBs (8 inches or less outside cup diameter).</u>—Include only small diameter TRBs (8" or less outside cup diameter). Any production related to wheel hub assemblies should be reported in question III-9e only (i.e., do <u>NOT</u> report "internal consumption" here for merchandise reported in a more finalized form in III-9e).
- III-9b. Operations on parts not included in III-9a (Small-diameter TRBs).--Report your firm's operations on parts of TRBs. Do <u>not</u> report (i.e., double-count) any parts that were reported in question III-9a including when embodied in an assembly, cup, or complete TRB that is being reported in III-9a (i.e., do NOT report "internal consumption" here for merchandise reported in a more finalized form in III-9a).
- III-9c. Operations on large diameter TRBs (over 8 inches outside cup diameter).— Include only large diameter TRBs (over 8" outside cup diameter). Any production related to wheel hub assemblies should be reported in question III-9e only (i.e., do NOT report "internal consumption" here for merchandise reported in a more finalized form in III-9e).
- III-9d. Operations on parts not included in III-9c (Large-diameter TRBs).-- Report your firm's operations of parts of TRBs. Do <u>not</u> report here (i.e., double-count) any parts that were reported in question III-9c including when embodied in an assembly, cup, or complete TRB that is being reported in III-9c (i.e., do NOT report "internal consumption" here for merchandise reported in a more finalized form in III-9c).
- III-9e. Operations on wheel hub assemblies.—Include only wheel hub assemblies (see definition on page 2). Include only parts and subassemblies essentially equivalent to a complete bearing, such as sets consisting of cups and cone assemblies or, if cups and cone assemblies are sold separately, the equivalent of sets. For the purposes of reporting quantity data, please treat an assembly or a cup as one half (i.e., ½) of a completed bearing. So for example if your firm produces and sells 400 cups for use in a completed TRB, report those quantity data in this grid as a quantity of 200 bearing equivalents.

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III-9a. Operations on small-diameter TRBs (8 inches or less outside cup diameter). 1

Item Calendar years ended			
	2015	2016	2017
Net sales quantities: ² Commercial sales ("CS")			
Internal consumption ("IC")			
Transfers to related firms ("Transfers")			
Total net sales quantities	0	0	0
Net sales values: ² Commercial sales			
Internal consumption			
Transfers to related firms			
Total net sales values	0	0	0
Cost of goods sold (COGS): ³ Raw materials			
Direct labor			
Other factory costs			
Total COGS	0	0	0
Gross profit or (loss)	0	0	0
Selling, general, and administrative (SG&A) expenses: Selling expenses			
General and administrative expenses			
Total SG&A expenses	0	0	0
Operating income (loss)	0	0	0
Other expenses and income: Interest expense			
All other expense items			
All other income items			
Net income or (loss) before income taxes	0	0	0
Depreciation/amortization included above			

¹ Include only sales (whether <u>domestic or export</u>) and costs related to your <u>U.S. manufacturing operations</u>.

² Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

³ COGS (whether for domestic or export sales) should include <u>costs associated with CS, IC, and Transfers.</u>

III-9b. Operations on parts not included in III-9a (Small-diameter TRBs). ¹

	Value (<i>in \$1,000</i>)		
ltem	Calendar years ended		
	2015	2016	2017
Net sales values: ²			
Commercial sales			
Internal consumption			
Transfers to related firms			
Total net sales values	0	0	0
Cost of goods sold (COGS): ³ Raw materials			
Direct labor			
Other factory costs			
Total COGS	0	0	0
Gross profit or (loss)	0	0	0
Selling, general, and administrative (SG&A) expenses: Selling expenses			
General and administrative expenses			
Total SG&A expenses	0	0	0
Operating income (loss)	0	0	0
Other expenses and income: Interest expense			
All other expense items			
All other income items			
Net income or (loss) before income taxes	0	0	0
Depreciation/amortization included above			

¹ Include only sales (whether <u>domestic or export</u>) and costs related to your <u>U.S. manufacturing operations</u>.
² Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

³ COGS (whether for domestic or export sales) should include <u>costs associated with CS, IC, and Transfers.</u>

III-9c. Operations on large-diameter TRBs (over 8" outside cup diameter). 1

Item	Calendar years ended			
	2015	2016	2017	
Net sales quantities: ²				
Commercial sales ("CS")				
Internal consumption ("IC")				
Transfers to related firms				
("Transfers")				
Total net sales quantities	0	0	0	
Net sales values: ²				
Commercial sales				
Internal consumption				
Transfers to related firms				
Total net sales values	0	0	0	
Cost of goods sold (COGS): ³				
Raw materials				
Direct labor				
Other factory costs				
Total COGS	0	0	0	
Gross profit or (loss)	0	0	0	
Selling, general, and administrative				
(SG&A) expenses:				
Selling expenses				
General and administrative expenses				
Total SG&A expenses	0	0	0	
Operating income (loss)	0	0	0	
Other expenses and income: Interest expense				
All other expense items				
All other income items				
Net income or (loss) before income				
taxes	0	0	0	
Depreciation/amortization included above				

¹ Include only sales (whether <u>domestic or export</u>) and costs related to your <u>U.S. manufacturing operations</u>.

² Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

³ COGS (whether for domestic or export sales) should include <u>costs associated with CS, IC, and Transfers.</u>

III-9d. Operations on parts not included in III-9c (Large-diameter TRBs).

	Value (in \$1,000)		
ltem	(-	
	2015	2016	2017
Net sales values: ²			
Commercial sales			
Internal consumption			
Transfers to related firms			
Total net sales values	0	0	0
Cost of goods sold (COGS): ³ Raw materials			
Direct labor			
Other factory costs			
Total COGS	0	0	0
Gross profit or (loss)	0	0	0
Selling, general, and administrative (SG&A) expenses: Selling expenses			
General and administrative expenses			
Total SG&A expenses	0	0	0
Operating income (loss)	0	0	0
Other expenses and income: Interest expense			
All other expense items			
All other income items			
Net income or (loss) before income taxes	0	0	0
Depreciation/amortization included above			

¹ Include only sales (whether <u>domestic or export</u>) and costs related to your <u>U.S. manufacturing operations</u>.
² Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

³ COGS (whether for domestic or export sales) should include <u>costs associated with CS, IC, and Transfers.</u>

III-9e. Operations on wheel hub assemblies. 1

Item	Calendar years ended			
	2015	2016	2017	
Net sales quantities: ²				
Commercial sales ("CS")				
Internal consumption ("IC")				
Transfers to related firms ("Transfers")				
Total net sales quantities	0	0	C	
Net sales values: ²				
Commercial sales				
Internal consumption				
Transfers to related firms				
Total net sales values	0	0	C	
Cost of goods sold (COGS): ³ Raw materials				
Direct labor				
Other factory costs				
Total COGS	0	0	C	
Gross profit or (loss)	0	0	C	
Selling, general, and administrative (SG&A) expenses: Selling expenses				
General and administrative expenses				
Total SG&A expenses	0	0	0	
Operating income (loss)	0	0	0	
Other expenses and income: Interest expense				
All other expense items				
All other income items				
Net income or (loss) before income taxes	0	0	(
Depreciation/amortization included above				

¹ Include only sales (whether <u>domestic or export</u>) and costs related to your <u>U.S. manufacturing operations</u>.
² Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

³ COGS (whether for domestic or export sales) should include <u>costs associated with CS, IC, and Transfers.</u>

III-9f.	net sales loss)) hav fields ret	nancial data reconciliationThe calculable line items from question III-9a and III-9c (i.e., total sales quantities and values, total COGS, gross profit (or loss), total SG&A, and net income (oss)) have been calculated from the data submitted in the other line items. Do the calculated elds return the correct data according to your firm's financial records ignoring non-material fferences that may arise due to rounding?			
	Yes		ne calculated fields do not show the correct data, please double check the eder data for data entry errors and revise.		
		ex po sh in:	so, check signs accorded to the post operating income line items; the two spense line items should report positive numbers (i.e., expenses are estive and incomes or reversals are negativeinstances of the latter would be rare in those lines) while the income line item also in most stances should have its value be a positive number (i.e., income is positive, spenses or reversals are negative).		
		pr	after reviewing and potentially revising the feeder data your firm has rovided, the differences between your records and the calculated fields ersist please identify and discuss the differences in the space below.		

III-10. Nonrecurring items (charges and gains) included in small and large-diameter TRBs and wheel hub assemblies financial results.--For each annual for which financial results are reported in questions III-9a and/or III-9c and/or III-9e, please specify all material (significant) nonrecurring items (charges and gains) in the schedule below, the specific question III-9a/III-9c/III-9e line item where the nonrecurring items are included, a brief description of the relevant nonrecurring items, and the associated values (in \$1,000), if an aggregate nonrecurring item has been allocated to question III-9a or III-9c, only the allocated value amount included in question III-9a/III-9c/III-9e should be reported in the schedule below. Note: The Commission's objective here is to gather information only on material (significant) nonrecurring items which impacted the reported financial results of the subject product in question III-9a/III-9c/III-9e.

	Calendar years ended		
	2015	2016	2017
Nonrecurring item: In this column please provide a brief description of each nonrecurring item and indicate the specific line item in questions III-9a and/or III-9c and/or III-9e where the nonrecurring item is classified.	_	In these columns please repond item reported in questions Value (\$1,000)	
and/or in 5c where the nomecarring item is classified.		Small diameter TRBs	
1. , classified as		Siliali dialifetei TRBS	
2. , classified as			
3. , classified as			
4. , classified as			
5. , classified as			
6. , classified as			
		Large diameter TRBs	
1. , classified as			
2. , classified as			
3. , classified as			
4. , classified as			
5. , classified as			
6. , classified as			
		Wheel hub assemblies	
1. , classified as			
2. , classified as			
3. , classified as			
4. , classified as			
5. , classified as			
6. , classified as			

III-11.	Classification of identified nonrecurring items (charges and gains) in the accounting books and
	records of the companyIf non-recurring items were reported in question III-10 above, please
	identify where your company recorded these items in your accounting books and records in the
	normal course of business; i.e., just as responses to question III-10 identify where these items
	are reported in questions III-9a and/or III-9c and/or III-9e.

III-12. <u>Asset values.</u>—Report the <u>total</u> assets (i.e., both current and long-term assets) associated with the production, warehousing, and sale of TRBs. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for TRBs in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with relevant cost allocations in question III-9a and III-9b. Provide data as of the end of the three most recently completed calendar years.

Note: Total assets should reflect <u>net assets</u> after any accumulated depreciation and allowances deducted.

Total assets should be <u>allocated to the domestic like product</u> if these assets are also related to other products. Please provide a <u>brief explanation if there are any substantial changes</u> in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

Small-diameter TRBs are 8" or less outside cup diameter; large-diameter TRBs are over 8" outside cup diameter. See product definition on p. 2.

	Value (in	\$1,000)					
	Calendar years ended						
Item	2015	2016	2017				
Small-diameter TRBs— Total assets (net) ¹							
Large-diameter TRBs— Total assets (net) ²							
Wheel hub assemblies— Total assets (net) ³							
¹ Describe							
² Describe							
³ Describe							

III-13. <u>Capital expenditures and research and development expenses</u>.--Report your firm's capital expenditures and research and development expenses for TRBs and wheel hub assemblies. Provide data for the three most recently completed calendar years.

Small-diameter TRBs are 8" inches or less outside cup diameter; large-diameter TRBs are above 8" outside cup diameter. See product definition on p. 2.

Value (<i>in \$1,000</i>)							
Item	Calendar years ended						
	2015	2016	2017				
Small diameter TRBs							
Capital expenditures ¹							
Research and development expenses ²							
Large diameter TRBs							
Capital expenditures ³							
Research and development expenses ⁴							
Wheel hub assemblies							
Capital expenditures ⁵							
Research and development expenses ⁶							
¹ Please describe the nature, focus, and significanc	e of your firm's capita	l expenditures on small dia	meter TRBs.				
² Please describe the nature, focus, and significance	e of your firm's R&D e	expenses related to small di	ameter TRBs.				
³ Please describe the nature, focus, and significance	e of your firm's capita	l expenditures on large diar	meter TRBs.				
⁴ Please describe the nature, focus, and significance	e of your firm's R&D e	expenses related to large dia	ameter TRBs.				
⁵ Please describe the nature, focus, and significance	e of your firm's capita	l expenditures on wheel hu	b assemblies.				

⁶Please describe the nature, focus, and significance of your firm's R&D expenses related to wheel hub assemblies.

III-14. **Data consistency and reconciliation.--**Please indicate whether your firm's financial data for questions III-9, III-12, and III-13 are based on a calendar year or on your firm's fiscal year:

Calendar year	Fiscal year	Specify fiscal year

<u>RECONCILIATION OF TRADE VS FINANCIAL DATA</u>.--Please ensure that the quantities and values reported for total shipments in part II equal the quantities and values reported total net sales in part III of this questionnaire in each time period. If the calculated fields below return values other than zero (i.e., "0") and both are being reported on a calendar basis, please explain the discrepancy below.

Reconciliation		Full year data	
	2015	2016	2017
Tapered Roller Bearings			
Quantity (small diameter): Trade data from question II-4a (lines D, F, H, and J) less financial total net sales quantity data from question III-9a, = zero ("0").	0	0	0
Value (small diameter): Trade data from question II-4a (lines E, G, I, and K) less financial total net sales value data from question III-9a, = zero ("0").	0	0	0
Quantity (large diameter): Trade data from question II-5a (lines D, F, H, and J) less financial total net sales quantity data from question III-9b, = zero ("0").	0	0	0
Value (large diameter): Trade data from question II-5a (lines E, G, I, and K) less financial total net sales value data from question III-9b, = zero ("0").	0	0	0

III-14. Data consistency and reconciliation.--Continued

Parts			
Small diameter Value: Trade data from question II-4c less financial total net sales value data from question III-9b, = zero ("0").	0	0	0
Large diameter Value: Trade data from question II-5c less financial total net sales value data from question III-9d, = zero ("0").	0	0	0

Do these data in questions III-9 reconcile with data in questions II-4 / II-5 / II-6?		
Yes	No	If no, please explain.

III-15.	Other explanationsIf your firm would like to further explain a response to a question in Part III that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

PART IV.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Tana von Kessler (202-205-2389, tana.vonkessler@usitc.gov).

Unless otherwise indicated, please provide information in this section for all sizes of TRBs, regardless of diameter, and for all wheel hub assemblies. If your answer to any question differs between small diameter TRBs (nominal outside diameter of 8" or less), large diameter TRBs (above 8" in nominal outside diameter), or wheel hub assembles, please explain in the narrative of the question or at question IV-25, Other explanations.

IV-1. <u>Contact information.</u>-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part IV.

Name	
Title	
Email	
Telephone	

PRICE DATA

IV-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. <u>OEM</u> customers of the following products produced by your firm.

Product 1.— LM 11949 – Cone assemblies (TS single row, straight 0.75 inch bore)

Product 2.— 25580 - Cone assemblies (TS single row, straight 1.75 inches bore)

Product 3.— LM501349 – Cone Assemblies (single row, bore 1.6250 inches)

Product 4.— LM 11910 Cups (TS single row, outer diameter "OD" 1.7810 inches)

Please note that values should be <u>f.o.b.</u>, <u>U.S.</u> <u>point of shipment</u> and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).

(a) During January 2015-December 2017, did your firm produce and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

YesPlease complete the following pricing data tables as appropriate.
NoSkip to question IV-3.

IV-2(b). <u>Price data</u>.--Report below the quarterly price data¹ for pricing products² produced and sold by your firm.

Report data in complete bearings or bearing equivalents and actual dollars (not 1,000s).

(0	Quantity in co	mplete bea	rings or beari	ng equival	ents, value in	dollars)		
	Produ	ict 1	Produ	ct 2	Product 3		Product 3 Produ	
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2015:								
January-March								
April-June								
July-September								
October-December								
2016:								
January-March								
April-June								
July-September								
October-December								
2017:								
January-March								
April-June								
July-September								
October-December								
 Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment. Pricing product definitions are provided on the first page of Part IV. 								
Note -If your firm's product of provide a description of the p								oduct,
Product 1:								
Product 2:								
Product 3:								
Product 4:	Product 4:							

IV-2 (c). <u>Price data checklist.</u>--Please check that the pricing data in question IV-2(a) has been correctly reported.

Is the price data reported above:	√ if Yes
In actual dollars (not \$1,000) and 1,000 short tons?	
F.o.b. U.S. point of shipment (i.e., does not include U.S. transport costs)?	
Net of all discounts and rebates?	
Have returns credited to the quarter in which the sale occurred?	
Less than reported commercial shipments in questions II-4 and II-5 in each	
year?	

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IV-2(d). Pricing data methodology Please describe the method and the kinds of documents/records
that were used to compile your price data.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records
used in the preparation of the price data, as Commission staff may contact your firm regarding
questions on the price data. The Commission may also request that your company submit copies
of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these
data.

IV-3. Price setting.-- How does your firm determine the prices that it charges for sales of TRBs (check all that apply)? If your firm issues price lists, please submit sample pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe

IV-4. <u>Discount policy.--</u> Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe

IV-5. **Pricing terms.--**

(a) What are your firm's typical sales terms for its U.S.-produced TRBs?

Net 30 days	Net 60 days	2/10 net 30 days	Other	Other (specify)

(b) On what basis are your firm's prices of domestic TRBs usually quoted (check one)?

Delivered	F.o.b.	If f.o.b., specify point

IV-6. <u>Contract versus spot.</u>—Approximately what share of your firm's sales of its U.S.-produced TRBs in 2017 was on a (1) long-term contract basis, (2) annual contract basis, (3) short-term contract basis, and (4) spot sales basis?

	Type of sale					
	Long-term contracts (multiple deliveries for more than 12 months)	Annual contracts (multiple deliveries for 12 months)	Short-term contracts (multiple deliveries for less than 12 months)	Spot sales (for a single delivery)	Total (shoul sum t 100.0%	ld o
Share of 2017 sales	%	%	%	%	0.0	%

IV-7. <u>Contract provisions.</u>— Please fill out the table regarding your firm's typical sales contracts for U.S.-produced TRBs (or check "not applicable" if your firm does not sell on a long-term, short-term and/or annual contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	No. of days		365	
Price renegotiation	Yes			
(during contract period)	No			
	Quantity			
Fixed quantity and/or price	Price			
ana, en price	Both			
Meet or release	Yes			
provision	No			
Not applicab	le			

IV-8. <u>Lead times.--</u>What is the typical lead time between a customer's order and the date of delivery for your firm's sales of its U.S.-produced TRBs?

Source	Share of 2017 sales	Lead time (days)
From inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	0.0 %	

IV-9.	Shippi	na in	format	ion -
10-9.	Snippi	ng ini	rormai	lion

(a)	What is the approximate percentage of the cost of U.Sproduced TRBs that is accounted				
	for by U.S. inland transportation costs? %				
(h)	Who generally arranges the transportation to your firm's customers' locations?				

(c) Indicate the approximate percentage of your firm's sales of TRBs that are delivered the following distances from your firm's production facility.

Distance from production facility	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total (should sum to 100.0%)	0.0 %

Your firm Purchaser (check one)

IV-10. <u>Geographical shipments.</u>— In which U.S. geographic market area(s) has your firm sold its U.S.-produced TRBs since January 1, 2015 (check all that apply)?

Geographic area	√ if applicable
NortheastCT, ME, MA, NH, NJ, NY, PA, RI, and VT.	
MidwestIL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	
Southeast.—AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	
Central Southwest.—AR, LA, OK, and TX.	
Mountains. – AZ, CO, ID, MT, NV, NM, UT, and WY.	
Pacific Coast.—CA, OR, and WA.	
Other.—All other markets in the United States not previously listed, including AK, HI, PR, and VI.	

IV-11. End uses.--

(a) List the end uses of the TRBs that your firm manufactures. For each end-use product, what percentage of the <u>total cost</u> is accounted for by TRBs and other inputs?

	Share of total cost account	Total	
End use product	TRBs	(should sum to 100.0% across)	
	%	%	0.0 %
	%	%	0.0 %
	%	%	0.0 %

(b) Have there been any changes in the end uses of TRBs since January 1, 2015? Do you anticipate any future changes?

Changes in end uses	No	Yes	Explain
Changes since January 1, 2015			
Anticipated changes			

U.S. Producers' Questionnaire - TRBs IV-12. Substitutes.- (a) Can other products be substituted for TRBs? \[\sum_{No} \sum_{Yes--Please} \text{fill out the table.} \]

	End use in which this	На	Have changes in the price of this substitute affected the price for TRBs?			
Substitute	substitute is used	No	Yes	Explanation		
1.						
2.						
3.						

(b) Have there been any changes in the number or types of products that can be substituted for TRBs since January 1, 2015? Do you anticipate any future changes?

Changes in substitutes	No	Yes	Explain
Changes since January 1, 2015			
Anticipated changes			

IV-13. **Availability of supply.--**Has the availability of TRBs in the U.S. market changed since January 1, 2015? Do you anticipate any future changes?

Availability in the U.S.			Please explain, noting the countries and reasons for the
market	No	Yes	changes.
Changes since January 1, 2	2015:		
U.Sproduced product			
Imports from China			
Imports from all other countries			
Anticipated changes:			
U.Sproduced product			
Imports from China			
Imports from all other countries			

IV-14. <u>Demand trends.</u>-- Indicate how demand within the United States and outside of the United States (if known) for TRBs has changed since January 1, 2015, and how you anticipate demand will change in the future. Explain any trends and describe the principal factors that have affected, and that you anticipate will affect, these changes in demand.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors				
	Demand since January 1, 2015								
Within the United States									
Outside the United States									
	Anticipated future demand								
Within the United States									
Outside the United States									

IV-15. **Product changes.**-- Have there been any significant changes in the product range, product mix, or marketing of TRBs since January 1, 2015? Do you anticipate any future changes?

Changes in product range, product mix, or marketing	No	Yes	Explain
Changes since January 1, 2015			
Anticipated changes			

IV-16. Conditions of co	mpetition
-------------------------	-----------

(a)	Is the TRBs market subject to business cycles (other than general economy-wide conditions)
	and/or other conditions of competition distinctive to TRBs?

Check all that apply.			Please describe.		
	No		Skip to question IV-17.		
	Yes-Busir seasonal	ess cycles (e.g. business)			
		r distinctive s of competition			
(b) If yes, have there been any changes in the business cycles or conditions of competition fo TRBs since January 1, 2015?					
No	Yes	If yes, describe.			

IV-17. <u>Supply constraints.</u>--Has your firm refused, declined, or been unable to supply TRBs since January 1, 2015 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, being unable to meet timely shipment commitments, etc.)?

No	Yes	If yes, please describe.

IV-18. Raw materials.-- Indicate how TRBs raw material prices have changed since January 1, 2015, and how you expect they will change in the future.

Raw materials prices	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how raw material price changes have affected your firm's selling prices for TRBs.
Changes since January 1, 2015					
Anticipated changes					

IV-19.		-	s Please compare market prices of TRBs in U.S. and non-U.S. markets, if pecific information as to time periods and regions for any price comparisons.
IV-20.	etc. that production the othe	you are a on capac r major p	Please provide as a separate attachment to this request any studies, surveys, aware of that quantify and/or otherwise discuss TRBs supply (including ity and capacity utilization) and demand in (1) the United States, (2) each of roducing/consuming countries, including China, and (3) the world as a whole. Test is such data from 2015 to the present and forecasts for the future.
IV-21. Export constraintsDescribe how easily your firm can shift its sales of TRBs between the U.S. and alternative country markets within a 12-mon			reative country markets. In your discussion, please describe any contracts, gements, or other constraints that would prevent or retard your firm from
IV-22.	Barriers trade in		Are your firm's exports of TRBs subject to any tariff or non-tariff barriers to intries?
	No	Yes	If yes, please list the countries and describe any such barriers and any significant changes in such barriers that have occurred since January 1, 2015, or that are expected to occur in the future.

IV-23. <u>Interchangeability.</u>--Are TRBs produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate A, F, S, N, or 0 in the table below:

- A = the products from a specified country-pair are *always* interchangeable
- F = the products are *frequently* interchangeable
- S = the products are *sometimes* interchangeable
- N = the products are *never* interchangeable
- 0 = no familiarity with products from a specified country-pair

Country-pair	China	Other countries		
United States				
China				
For any country-pair producing TRBs that is <i>sometimes</i> or <i>never</i> interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:				

IV-24. <u>Factors other than price</u>.--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, *etc.*) between TRBs produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or 0 in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

0 = no familiarity with products from a specified country-pair

Country-pair	China	Other countries
United States		
China		

For any country-pair for which factors other than price *always* or *frequently* are a significant factor in your firm's sales of TRBs, identify the country-pair and report the advantages or disadvantages imparted by such factors:

IV-25.	Other explanationsIf your firm would like to further explain a response to a question in Part IV that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

PART V.--ALTERNATIVE PRODUCT INFORMATION

Further information on this part of the questionnaire can be obtained from Keysha Martinez (202-205-2136, keysha.martinez@usitc.gov).

Small-diameter TRBs—TRBs as described on page 2 (excluding wheel hub assemblies), with an outside cup diameter of eight inches or less.

Large-diameter TRBs—TRBs as described on page 2 (excluding wheel hub assemblies), with an outside cup diameter over 8 inches.

All TRBs—Small-diameter TRBs and large-diameter TRBs combined.

Wheel hub assemblies.-- A wheel hub assembly is a type of tapered roller bearing covered by this investigation that is finished and has one or more tapered rollers, with or without an outer flange and, in many cases, an inner flange with a mounting face and studs onto which a vehicle wheel and a brake rotor or disc brake is mounted. A wheel hub assembly may be splined or non-splined and with or without ABS elements. Wheel hub assemblies are also often referred to as wheel hub units.

Note.--The alternative product comparison questions (i.e., V-1 and V-2 below) is being asked in multiple questionnaire types (U.S. producers' and U.S. importers' questionnaires). If your firm is completing more than one of these questionnaire types in relation to this proceeding, please only respond to the alternative product comparisons question in only **one questionnaire type**. In general, your firm should make these comparisons in the questionnaire type that is most relevant to your firm's role in the market.

V-1. Comparability of small-diameter TRBs and large-diameter TRBs.--For each of the following indicate whether small-diameter TRBs and large-diameter TRBs are: fully comparable or the same, *i.e.*, have no differentiation between them; mostly comparable or similar; somewhat comparable or similar; never or not-at-all comparable or similar; or no familiarity with products.

F: fully comparable or the same, i.e., have no differentiation between them;

M: mostly comparable or similar;

S: somewhat comparable or similar;

N: never or not-at-all comparable or similar; or

0: no familiarity with products.

(a) <u>Physical Characteristics and End Uses</u>.--The differences and similarities in the physical characteristics and end uses.

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their <i>physical characteristics and uses</i> :
Small-diameter TRBs vs Large- diameter TRBs		

V-1. Comparability of small-diameter TRBs and large-diameter TRBs.—Continued

(b) **Interchangeability**.--The ability to substitute the products in the same application.

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their <u>interchangeability</u> :
Small-diameter TRBs vs Large- diameter TRBs		

(c) <u>Manufacturing facilities, production processes, and production employees</u>.--Whether manufactured in the same facilities, from the same inputs, on the same machinery and equipment, and using the same employees.

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their manufacturing facilities, production processes, and production employees:
Small-diameter TRBs vs Large- diameter TRBs		

(d) <u>Channels of distribution</u>.--Channels of distribution/market situation through which the products are sold (i.e., sold direct to end users, through wholesaler/distributors, etc.).

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their channels of distribution:
Small-diameter TRBs vs Large- diameter TRBs		

(e) <u>Customer and producer perceptions</u>.--Perceptions as to the differences and/or similarities in the market (*e.g.*, sales/marketing practices).

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their customer and product perceptions:
Small-diameter TRBs vs Large- diameter TRBs		

V-1. Comparability of small-diameter TRBs and large-diameter TRBs .—Continued

(f) <u>Price</u>.--Whether prices are comparable or differ between the products.

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their customer and product perceptions:
Small-diameter TRBs vs Large- diameter TRBs		

- V-2. <u>Comparability of all TRBs and wheel hub assemblies.</u>--For each of the following indicate whether all TRBs and TRBs that have been further manufactured are: fully comparable or the same, *i.e.*, have no differentiation between them; mostly comparable or similar; somewhat comparable or similar; never or not-at-all comparable or similar; or no familiarity with products.
 - F: fully comparable or the same, i.e., have no differentiation between them;
 - M: mostly comparable or similar;
 - S: somewhat comparable or similar;
 - N: never or not-at-all comparable or similar; or
 - 0: no familiarity with products.
 - (a) <u>Physical Characteristics and End Uses</u>.--The differences and similarities in the physical characteristics and end uses.

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their physical characteristics and uses:
All TRBs vs Wheel hub assemblies		

(b) <u>Interchangeability</u>.--The ability to substitute the products in the same application.

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their <u>interchangeability</u> :
All TRBs vs Wheel hub assemblies		

V-2. Comparability of all TRBs and wheel hub assemblies .—Continued

(c) Manufacturing facilities, production processes, and production employees.--Whether manufactured in the same facilities, from the same inputs, on the same machinery and equipment, and using the same employees.

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their manufacturing facilities, production processes, and production employees:
<u>All TRBs</u> vs <u>Wheel hub assemblies</u>		

(d) <u>Channels of distribution</u>.--Channels of distribution/market situation through which the products are sold (i.e., sold direct to end users, through wholesaler/distributors, etc.).

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their <u>channels of distribution</u> :
All TRBs vs Wheel hub assemblies		

(e) <u>Customer and producer perceptions</u>.--Perceptions as to the differences and/or similarities in the market (*e.g.*, sales/marketing practices).

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their customer and product perceptions:
<u>All TRBs</u> vs <u>Wheel hub assemblies</u>		

(f) **Price**.--Whether prices are comparable or differ between the products.

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their customer and product perceptions:
All TRBs vs Wheel hub assemblies		

HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at:

https://www.usitc.gov/investigations/701731/2017/tapered_roller_bearings_china/fourth_review_full.htm

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

• <u>Upload via Secure Drop Box.</u>—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission's secure upload facility:

Web address: https://dropbox.usitc.gov/oinv/ Pin: TRB4

• E-mail.—E-mail the MS Word questionnaire to keysha.martinez@usitc.gov; include a scanned copy of the signed certification page (page 1). Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm's nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.

If your firm does not produce this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

<u>Parties to this proceeding</u>.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.