

Request for Approval under the “Generic Clearance for the Collection of Routine Customer Feedback” (OMB Control Number: 0651-0080)

TITLE OF INFORMATION COLLECTION: G265: USPTO Global Dossier User Feedback & Requirements

PURPOSE: To acquire stakeholder and program user feedback of the Global Dossier program.

DESCRIPTION OF RESPONDENTS:

The respondents for the survey includes USPTO patent attorneys, agents, inventors, and/or other representatives and stakeholders.

TYPE OF COLLECTION: (Check one)

- | | |
|--|--|
| <input type="checkbox"/> Customer Comment Card/Complaint Form | <input checked="" type="checkbox"/> Customer Satisfaction Survey |
| <input type="checkbox"/> Usability Testing (e.g., Website or Software) | <input type="checkbox"/> Small Discussion Group |
| <input checked="" type="checkbox"/> Focus Group | <input type="checkbox"/> Other: _____ |

CERTIFICATION:

I certify the following to be true:

1. The collection is voluntary.
2. The collection is low-burden for respondents and low-cost for the Federal Government.
3. The collection is non-controversial and does not raise issues of concern to other federal agencies.
4. The results are not intended to be disseminated to the public.
5. Information gathered will not be used for the purpose of substantially informing influential policy decisions.
6. The collection is targeted to the solicitation of opinions from respondents who have experience with the program or may have experience with the program in the future.

Business Unit: /Jessica Patterson/

To assist review, please provide answers to the following question:

Personally Identifiable Information:

1. Is personally identifiable information (PII) collected? Yes No
2. If Yes, is the information that will be collected included in records that are subject to the Privacy Act of 1974? Yes No
3. If Applicable, has a System or Records Notice been published? Yes No

Gifts or Payments:

Is an incentive (e.g., money or reimbursement of expenses, token of appreciation) provided to participants? Yes No

BURDEN HOURS

Category of Respondent	No. of Respondents	Participation Time	Burden
Surveys	500	10 minutes	83.33 hours
Focus Sessions	200	60 minutes	200 hours
Totals	700	---	283.33 hours

The USPTO estimates that 100% of the surveys will be conducted via electronic means. The focus sessions will be conducted in person, up to 20 participants per session; ten sessions are planned.

FEDERAL COST: The estimated annual cost to the Federal government is \$61.37 for a GS- 15 step 1 + \$18.41 (30%) = \$79.78. \$79.78 * 18 hours = **\$1,436.04**

If you are conducting a focus group, survey, or plan to employ statistical methods, please provide answers to the following questions:

The selection of your targeted respondents

1. Do you have a customer list or something similar that defines the universe of potential respondents and do you have a sampling plan for selecting from this universe?
[] Yes [x] No

If the answer is yes, please provide a description of both below (or attach the sampling plan)? If the answer is no, please provide a description of how you plan to identify your potential group of respondents and how you will select them.

There will be an announcement on various USPTO websites and information about the interview opportunities presented at events involving discussion of the Global Dossier program. The interviews and focus sessions will take place at regional USPTO offices. Participants will be invited to register for events.

Administration of the Instrument

1. How will you collect the information? (Check all that apply)
[x] Web-based or other forms of Social Media
[x] Telephone
[x] In-person
[] Mail
[x] Other, Explain: live poll software - SurveyMonkey
2. Will interviewers or facilitators be used? [x] Yes [] No