## Part 3: Abdominal Wall VCA - Liver Transplant Program

### Table 1: OPTN Staffing Report

OPTN Member Code:	Name of Hospital:	
Main Program Phone Number:	Main Program Fax Number:	Hospital URL: <u>http://www</u>
Toll Free Phone Number for Patients:		Hospital Number:

Refer to the staffing audit sent with this application and complete the table below for staff that are not captured on the staffing audit or to update information for current staff, including deleting (DEL) an individual. If you did not receive an audit with this application, complete the entire staffing report. Make sure to use individuals' full, legal names (middle name/initial also included when possible) to prevent duplicate entries within the UNOS Membership Database and UNet. Add additional rows as necessary.

#### Identify the transplant program medical and surgical director(s).

DE L	Name	Address	Phone	Fax	Email

#### Identify the **primary and additional surgeons** who perform transplants for the program.

DE L	Name	Address	Phone	Fax	Email

#### Identify **other surgeons** who perform transplants for the program.

DE L	Name	Address	Phone	Fax	Email

#### Identify **the primary and additional physicians** (internists) who participate in this transplant program.

DE L	Name	Address	Phone	Fax	Email

#### Identify **other physicians** (internists) who participate in this transplant program.

DE L	Name	Address	Phone	Fax	Email

## Identify the transplant program administrator(s)/hospital administrative director(s)/manager(s) who will be involved with this program.

The \* denotes the primary transplant administrator.

DE L	Name	Address	Phone	Fax	Email
	*				

#### Identify the **clinical transplant coordinator(s)** who will be involved with this program.

DE L	Name	Address	Phone	Fax	Email

#### Identify the **data coordinator(s)** who will be involved in this transplant program. The \* denotes the primary data coordinator.

DE L	Name	Address	Phone	Fax	Email
	*				

Identify the **social worker(s)** who will be involved with this program.

DE L	Name	Address	Phone	Fax	Email

#### Identify the **Independent Living Donor Advocate(s) (ILDA)** who will be involved in the care of living donors.

DE L	Name	Address	Phone	Fax	Email

#### Identify the **pharmacist(s)** who will be involved with this program.

DE L	Name	Address	Phone	Fax	Email

#### Identify the **financial counselor(s)** who will be involved with this program.

DE L	Name	Address	Phone	Fax	Email

#### Identify the **director of anesthesiology** who will be involved with this program.

DE L	Name	Address	Phone	Fax	Email

#### Identify the anesthesiologist(s) who will be involved with this program.

DE L	Name	Address	Phone	Fax	Email

#### Identify the **QAPI team member(s)** who will be involved with this program.

DE L	Name	Address	Phone	Fax	Email

Identify **any other transplant staff** who will be involved with this program .

DE L	Name	Title	Address	Phone	Fax	Email

### **Part 3A: Personnel - Transplant Program Director(s)**

Identify the surgical and/or medical director(s) of the VCA abdbominal wall transplant program and submit a C.V. for each program director. Briefly describe the leadership responsibilities for each individual.

Name	Date of Appointment	Primary Areas of Responsibility

### Part 3B, Section 1: Personnel - Surgical - Primary Abdominal Wall VCA Surgeon

1. Identify the primary abdominal wall VCA transplant surgeon:

Name:

a) Provide the following dates (use MM/DD/YY):

Date of employment at this hospital: Date assumed role of primary surgeon:

b) Does the surgeon have FULL privileges at this hospital?

Yes	
No	

If the surgeon does **not** currently have full privileges:

Date full privileges to be granted (MM/DD/YY): Explain the individual's current credentialing status, including any limitations on practice:

c) How much of the surgeon's professional time is spent on site at this hospital?

Percentage of professional time on site: Number of hours per week:

d) How much of the surgeon's professional time is spent on site at other facilities (hospitals, health care facilities, and medical group practices)?

Facility Name	Туре	Location (City, State)	% Professional Time On Site

e) List the surgeon's current board certification(s) below. If board certification is pending, indicate the date the exam has been scheduled. If the surgeon has been recertified, use that date. Provide a copy of certification(s).

Certification Type	Certificate Effective Date (MM/DD/YY)	Certificate Valid Through Date (MM/DD/YY)	Certification Number

f) Check the applicable pathway through which the surgeon will be proposed. Refer to the OPTN Bylaws for the necessary qualifications and more specific descriptions of the required supporting documents.

Membership Criteria				
Two Year Transplant Fellowship				
Clinical Experience				
Full (Intestine only)				
Conditional (Intestine only)				

g) Transplant Experience (Post Fellowship)/Training (Fellowship): List the name(s) of the transplant hospital(s), applicable dates, program director name(s), and the number of transplants and procurements performed by the surgeon at each transplant hospital.

	ASTS Approved	Approved (MM/DD/YY)				_ # LI	# LI	# of LI Procurement
Training and Experience	Programs ? Y/N	Start	End	Transplant Hospital	Program Director	Transplan ts as Primary	Transplan ts as 1st Assistant	s as Primary or 1 <sup>st</sup> Assistant
Fellowship Training								
Experience Post - Fellowship								

#### OMB No. 0915-0184 Expiration Date: XX/XX/XXXX

h) Describe in detail the proposed primary surgeon's level of involvement in <u>this</u> transplant program as well as <u>prior</u> training and experience.

	Describe Level of Involvement in <u>This</u> Transplant Program	Describe Prior Training/Experience
Pre-Operative Patient	•	
Management (Patients		
With End Stage Liver		
Disease)		
Recipient Selection		
Donor Selection		
Histocompatibility and		
Tissue Typing		
Transplant Surgery		
Post-Operative Care and		
Continuing Inpatient		
Care		
Use of Immunosuppressive		
Therapy		
Differential Diagnosis of		
Liver Dysfunction in the		
Allograft Recipient		
Histologic Interpretation		
of Allograft Biopsies		
Interpretation of		
Ancillary Tests for Liver		
Dysfunction		
Long Term Outpatient		
Care		
Living Donor		
Transplantation (if		
applicable)		
Pediatric (if applicable)		
Coverage of Multiple		
Transplant Hospitals (if		
applicable)		
Additional Information:		

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## **Table 2: Primary Abdominal Wall VCA Surgeon - Transplant Log** (Sample)Complete a separate form for each transplant hospital.

Organ:	
Name of proposed primary abdominal wall VCA surgeon:	
Name of hospital where transplants were performed:	
Date range of surgeon's appointment/training: MM/DD/YY to MM/DD/YY	

List cases in date order. Add rows as needed. Patient ID should <u>not</u> be name or Social Security Number.

	Date of	Medical Record/		
#	Transplant	<b>OPTN Patient ID #</b>	Primary Surgeon	1 <sup>st</sup> Assistant
1				
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Director's Signature	Date
Print Name	

## Table 3: Primary Abdominal Wall VCA Surgeon - Procurement Log (Sample)

Organ:	
Name of proposed primary abdominal wall VCA surgeon:	
Name of hospital where surgeon was employed when procurements were performed:	
Date range of surgeon's appointment/training: MM/DD/YY to MM/DD/YY	

List cases in date order. Add rows as needed. Patient ID should not be name or Social Security Number.

#	Date of Procurement	Donor ID Number	Comments (LD/CAD/Multi-organ)
1	Trocurement		
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XX/XX/XXXX Version

Director's Signature	Date
Print Name	

### Part 3B: Section 2- Personnel, Additional Abdominal Wall VCA Surgeon(s)

Complete this section of the application to describe surgeons involved in the program that are not designated as primary, but are credentialed by the transplant hospital to provide transplant services and independently manage the care of transplant patients, including performing the transplant operations and organ procurement procedures. Duplicate this section as needed.

1. Identify the additional abdominal wall VCA transplant surgeon:

Name:

a) Provide the following dates (use MM/DD/YY):

Date of employment at this hospital:

b) Does the surgeon have FULL privileges at this hospital? (check one)

Yes	
No	

If the surgeon does **not** currently have full privileges:

Date full privileges to be granted (MM/DD/YY):
Explain the individual's current credentialing status, including any limitations on
practice:

c) How much of the surgeon's professional time is spent on site at this hospital?

Percentage of professional time on site: Number of hours per week: d) How much of the surgeon's professional time is spent on site at other facilities (hospitals, health care facilities, and medical group practices)?

Facility Name	Туре	Location (City, State)	% Professional Time On Site

e) List the surgeon's current board certification(s) below. If board certification is pending, indicate the date the exam has been scheduled. If the surgeon has been recertified, use that date. Provide a copy of the certification(s).

Board Certification Type	Certification Effective Date/ Recertification Date (MM/DD/YY)	Certification Valid Through Date (MM/DD/YY)	Certificate Number

# Part 3C: Section 1 - Medical Personnel, Primary Abdominal Wall VCA Physician

1. Identify the primary abdominal wall VCA transplant physician:

Name:

Check which membership criteria the primary VCA physician will use to qualify. Next steps are within the criteria box selected.

Membership Criteria	Check One
(1) Currently designated as the primary transplant surgeon or primary transplant physician at an active solid organ transplant program.	
<ul> <li>Which solid organ transplant program?</li></ul>	
(2) Meets the requirements of a primary transplant surgeon or primary transplant physician in the OPTN Bylaws.	
<ul> <li>Which solid organ transplant program?</li> <li>Complete the rest of the application.</li> </ul>	
(3) Meets the requirements found in Appendix J.2.	
Fellowship Hospital:          Fellowship Program Director:          Medical or Surgical Specialty:	
o Complete 1a) – e) below.	

a) Provide the following dates (use MM/DD/YY):

Date of employment at this hospital: Date assumed role of primary physician:

b) Does the physician have FULL privileges at this hospital? (check one)

Yes	
No	

If the physician does **not** currently have full privileges:

Date full privileges to be granted (MM/DD/YY): Explain the physician's current credentialing status, including any limitations on practice:

c) How much of the physician's professional time is spent on site at this hospital?

Percentage of professional time on site:

Number of hours per week:

d) How much of the physician's professional time is spent on site at other facilities (hospitals, health care facilities, and medical group practices)?

Facility Name	Туре	Location (City, State)	% Professional Time On Site

e) List the physician's current board certification(s) below. If board certification is pending, indicate the date the exam has been scheduled. If the physician has been recertified, use that date. Provide a copy of the certifications(s). If the physician does not have current American or Canadian board certification, provide letters of recommendation requesting this exception and provide the plan for continuing education as described in the OPTN Bylaws.

Board Certification Type	Certification Effective Date/ Recertificatio n Date (MM/DD/YY)	Certification Valid Through Date (MM/DD/YY)	Certificate Number

Answer if qualifying by the primary intestine physician requirements: If the physician is not a pediatric gastroenterologist and the program serves predominately pediatric patients, please identify a pediatric gastroenterologist who will be involved in the care of transplant recipients. Provide C.V.

Name	<b>Board Certification</b>	% Professional Time on Site

f) Check the applicable pathway(s) through which the VCA transplant physician will be proposed. Refer to Appendices E-I in the OPTN Bylaws for the necessary qualifications and more specific descriptions of the required supporting documents.

Membership Criteria	Check one
Residency Pathway	
Transplant Fellowship Pathway	
Combined Pediatric Training and Experience Pathway	
Clinical Experience Pathway	
Full (Intestine only)	

Conditional (Intestine only)

g) Transplant Experience (Post Fellowship) and Training (Fellowship): List the name(s) of the transplant hospital(s), applicable dates, and program director name(s) from either fellowship training or experience post fellowship. If a surgeon is being proposed to serve as the primary physician, also document the number of transplants and procurements performed. If a physician, document the number of patients that were provided substantive patient care (pre-, peri- and post-operatively from the time of transplant).

•	-			# Transplants as Primary or 1 <sup>st</sup>	# Procured as Primary or 1 <sup>st</sup>	F	Patier ollowe hysicia	ed
Star t	End	Transplant Hospital	Program Director	Transplant Program Assist	Assist (Surgeon)	Pre	Peri	Post
	YY Star		YY) Star Transplant	YY) Star Transplant Program	YY)Primary or 1stStarTransplantProgramAssist	YY)Primary or 1stPrimary or 1stStarTransplantProgramAssistAssist	YY)# Transplants as# Froculed asStarTransplantProgramAssistPrimary or 1st	YY)# Transplants as Primary or 1st# Procured as Primary or 1st# Procured as Primary or 1st# Procured as (Physicial Comparing the second seco

h) Training/Experience: List how the physician fulfills the criteria for participating as an observer of procurements and transplants. For procurements, the physician must have observed the evaluation, donation process, and management of the donors. **This table is only applicable if you are applying as a primary transplant physician.** 

Date From - To (MM/DD/YY)	Transplant Hospital	# of Procurement s Observed	# of Transplants Observed

i) Describe in detail the proposed primary physician's level of involvement in <u>this</u> transplant program as well as <u>prior</u> training and experience under All Organs. Then also complete the organ specific section for which you are applying through (heart, lung, kidney, liver, pancreas, or intestine).

Describe Level of Involvement in <u>This</u> Transplant Program		Describe Prior Training/Experience			
All Organs					
Donor Selection					
Recipient Selection					
Transplant Surgery (surgeon only)					
Pre-operative management/care of patients with acute, chronic disease or end stage organ failure					
Long term outpatient follow-up care					
Immunosuppressive therapy including side effects of drugs and complications of immunosuppressive					
Histological interpretation and grading of allograft biopsies for rejection					
Fluid and electrolyte management (peds only)					
Effects of transplantation and immunosuppressive agents on growth and development (peds only)					
Manifestation of rejection in the pediatric patient (peds only)					

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Heart, Lung			
Use of mechanical circulatory support devices/ cardiopulmonary bypass			
Pre-operative hemodynamic/ ventilator care			
Post-operative hemodynamic/ ventilator care			
	Kidney, Liver, Panc	reas, Intestine	
Differential diagnosis of organ dysfunction in the allograft recipient			
Histocompatibility and tissue typing			
Interpretation of ancillary tests for organ dysfunction			

### **Table 4: Primary Abdominal Wall VCA Physician - Transplant Log** (Sample)

Only complete this table if applying as the primary VCA transplant physician by

qualifying as a primary transplant surgeon.		
Organ:		
Name of proposed primary surgeon:		

Name of proposed primary surgeon.	
Name of hospital where transplants were	
performed:	
Date range of surgeon's	
appointment/training:	
MM/DD/YY to MM/DD/YY	

*List cases in date order. Add rows as needed. Patient ID should <u>not</u> be name or Social <i>Security Number.* 

	Date of	Medical Record/ OPTN	Primary	
#	Transplant	ID #	Primary Surgeon	1 <sup>st</sup> Assistant
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Director's Signature	Date
Print Name	

 Table 5: Primary Abdominal Wall VCA Physician - Procurement Log

 (Sample)

Only complete this table if applying as the primary VCA transplant physician by qualifying as a primary transplant surgeon.

Organ:	
Name of proposed primary surgeon:	

*List cases in date order. Add rows as needed. Patient ID should <u>not</u> be name or Social <i>Security Number.* 

Date of Procurement         Donor ID Number         Comments (LD/CAD/Multi-Organization)           1	n)
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1     7       1     8       1     9	
7     1       1     8       1     1	
1         8           1	
8 1	
0         1           9         2	
9 2	
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Director's Signature	Date
Print Name	

## **Table 6: Primary Abdominal Wall VCA Physician - Recipient Log** (Sample)

Only complete this table if applying as the primary VCA transplant physician by qualifying as a primary transplant physician.

Organ:	
Name of proposed primary physician:	
Name of hospital where transplants	
were performed:	
Date range of physician's	
appointment/training:	
MM/DD/YY to MM/DD/YY	

*List cases in date order. Add rows as needed. Patient ID should <u>not</u> be name or Social Security <i>Number.* 

щ	Date of Transplan	Medical Record/OPTN ID	Pre- Operativ	Peri- Operativ	Post- Operativ	Commonto
#	τ	#	е	е	е	Comments
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Director's Signature	Date
Print Name	

# **Table 7: Primary Abdominal Wall VCA Physician - Observation Log** (Sample)

## Only complete this table if applying as the primary VCA transplant physician by qualifying as a primary transplant physician.

Organ:	
Name of proposed primary physician:	

In the tables below, document the physician's participation as an observer in transplants and procurements. For procurements, the physician must have observed the evaluation, donation process, and management of the donors.

*List cases in date order. Add rows as needed. Patient ID should <u>not</u> be name or Social Security <i>Number.* 

#### Transplants Observed

#	Date of Transplan t	Medical Record/ OPTN ID #	Living Donor or Deceased	Recipient Age	Hospital
1					
2					
3					
4					
5					

#### Procurements Observed

#	Date of Procuremen t	Medical Record/ OPTN ID #	Living Donor or Deceased
1			
2			
3			
4			
5			

## Part 3C: Section 2 - Personnel, Additional Abdominal Wall Physician(s)

Complete this section of the application to describe physicians involved in the program that are not designated as primary, but are credentialed by the transplant hospital to provide transplant services and be able to independently manage the care of transplant patients. Duplicate this section as needed.

1. Identify the additional abdominal wall VCA transplant physician:

Name:

a) Provide the following dates (use MM/DD/YY):

Date of employment at this hospital:

b) Does the physician have FULL privileges at this hospital? (check one)

Yes	
No	

If the physician does **not** currently have full privileges:

Date full privileges to be granted (MM/DD/YY): Explain the individual's current credentialing status, including any limitations on practice:

c) How much of the physician's professional time is spent on site at this hospital?

Percentage of professional time on site: Number of hours per week:

d) How much of the physician's professional time is spent on site at other facilities (hospitals, health care facilities, and medical group practices)?

Facility Name	Туре	Location (City, State)	% Professional Time On Site

e) List the physician's current board certification(s) below. If board certification is pending, indicate the date the exam has been scheduled. If the physician has been recertified, use that date. Provide a copy of the certification(s).

<b>Board Certification</b>	Certification	Certification	Certificate

Туре	Effective Date/ Recertificatio n Date (MM/DD/YY)	Valid Through Date (MM/DD/YY)	Number

## Table 8: Certificate of Investigation

- 1. List all transplant surgeons and physicians currently involved in the program.
  - a) This hospital has conducted its own peer review of all surgeons and physicians listed below to ensure compliance with applicable OPTN Bylaws. Insert rows as needed.

Names of Surgeons	
Names of Physicians	
<b>t</b>	

b) If prior transgressions were identified has the hospital developed a plan to ensure that the improper conduct is not continued?

Yes	
No	
Not Applicable	

c) If yes, what steps are being taken to correct the prior improper conduct or to ensure the improper conduct is not repeated in this program? Provide a copy of the plan.

I certify that this review was performed for each named surgeon and physician according to the hospital's peer review procedures.

Signature of Primary Surgeon	Date
Print Name	
Signature of Primary Physician	Date
Print Name	

## **Table 9: Program Coverage Plan**

**Provide a copy of the current Program Coverage Plan** and answer the questions below. The program coverage plan must be signed by either the:

- a. OPTN/UNOS Representative;
- b. Program Director(s); or
- c. Primary Surgeon and the Primary Physician.

	Ye s	N O
Is this a single surgeon program?		
Is this a single physician program?		
If single surgeon or single physician, submit a copy of the patie	nt notice	e or
the protocol for providing patient notification.		
Does this transplant program have transplant surgeon(s)		
and physician(s) available 365 days a year, 24 hours a day,		
7 days a week to provide program coverage?		
If the answer to the above question is "No," an explanation mu	st be pro	ovided
that justifies why the current level of coverage should be accep	table to	the
MPSC. Please use the additional information section below.	-	
Transplant programs shall provide patients with a written		
summary of the Program Coverage Plan at the time of		
listing and when there are any substantial changes in		
program or personnel. Has this program developed a plan for notification?		
Is a surgeon/physician available and able to be on the		
hospital premises to address urgent patient issues?		
Is a transplant surgeon readily available in a timely manner		
to facilitate organ acceptance, procurement, and		
implantation?		
A transplant surgeon or transplant physician may not be on		
call simultaneously for two transplant programs more than		
30 miles apart unless circumstances have been reviewed		
and approved by the MPSC. Is this program requesting an		
exemption?		
If yes, provide explanation:		
Unless exempted by the MPSC for specific causal reasons,		
the primary transplant surgeon/primary transplant		
physician cannot be designated as the primary		
surgeon/primary transplant physician at more than one		
transplant hospital unless there are additional transplant		
surgeons/transplant physicians at each of those facilities.		
Is this program requesting an exemption?		
If yes, provide explanation:	- I	- 1
Additional Information:		