

Addressing the Opioid Crisis in Communities of Color

ASPE Generic Information Collection Request

OMB No. 0990-0421

Supporting Statement – Section B

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Program Official/Project Officer

U.S. Department of Health and Human Services

Office of the Assistant Secretary for Planning and Evaluation

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Section B – Data Collection Procedures

1. Respondent Universe and Sampling Methods

This exploratory study will seek to engage participants who work for substance-use treatment organizations and human services agencies. We expect to speak to a convenience sample of respondents.

With input from the Urban Institute and other agencies within HHS, ASPE and OMH will identify eight sites for the study and a few additional sites as backups. Sites may range from specific neighborhoods, to a city, county, multi-county area, or state where a program or policy has been implemented. The sites selected for this qualitative study will be suggested based on the findings of a literature review with a focus on disparities in substance use and opioid use disorder prevalence, treatment, and outcomes in racial and ethnic minority communities. It is expected to include sites with: 1) potentially promising treatment models for integrating human services with SUD treatment, 2) a concentration of two or more racial/ethnic minority groups, 3) high SUD prevalence and overdose mortality rates, 4) geographic diversity, and 5) rurality.

We will identify potential informants through the literature review and web-based background research on each selected site. We will also use a snowball sampling method to solicit recommendations for potential participants from interviewees when we speak with them.

For each site, we expect to conduct interviews with substance use and human services administrators or caseworkers as well as other relevant stakeholders.

2. Procedures for the Collection of Information

The contractor will conduct on-site visits for six sites, and virtual site visits, via phone or webinar, for the remaining two sites. The contractor will conduct semi-structured individual interviews with eight respondents per site, for an estimated total of 64 individual interviews across all sites. We estimate that interviews will last 1.5 hours. These interviews will elicit information and perspectives about the local context and strategies to address disparities in SUD treatment for racial and ethnic minorities, including integrating human services with SUD treatment.

We plan to record and take notes during each interview. Redacted transcriptions of the recordings will also be prepared to protect the identity of participants. and the contractor will provide the redacted transcripts to ASPE and OMH. The contractor will code and analyze transcripts with NVivo to help identify key themes. The contractor will prepare a report for ASPE to summarize study findings.

3. Methods to Maximize Response Rates and Deal with Nonresponse

We intend to use a snowball method for recruitment. This would entail asking key informants to give recommendations for other contacts and proceeding from there. It is our assumption that this will help to increase the response rate during the outreach process. If the contractor finds that initial outreach is unsuccessful, the contractor will follow up one week later with the contact using a reminder to solicit their participation. Once we obtain a response from study participants, we will confirm their participation in an email and send suggested times to schedule the interview.

4. Test of Procedures or Methods to be Undertaken

All contractor staff who will be leading and participating in interviews and discussion groups will attend a training on the discussion guide protocols. All interviewers have subject matter expertise in substance-use disorder and program research. Discussion guide protocols have been developed by the contractor in close coordination with ASPE and OMH (Attachment A).

5. Contacts for Statistical Aspects and Data Collection

ASPE and OMH subject matter experts with technical and statistical expertise were consulted on the development of this exploratory data collection. This includes: (1) Annette Waters, Social Science Analyst at HHS/ASPE, email: Annette.Waters@hhs.gov, phone: 202-260-0196; (2) Robin Ghertner, Director of the Data and Technical Analysis Division at HHS/ASPE, email: Robin.Ghertner@hhs.gov, phone: 202-690-6939; and (3) Juliet Bui, Public Health Advisor at HHS/OMH, Juliet.Bui@hhs.gov, 240-453-6166.

In addition, contractor staff include key subject matter experts in substance use and human services with extensive experience with performing qualitative data collection. These include:

Individual	Affiliation and Position	Role
Lisa Clemans-Cope LClemans@urban.org 202-261-5580	Urban Institute, Principal Research Associate	Project lead, senior site visitor
Christal Ramos CRamos@urban.org 202-261-5605	Urban Institute, Senior Research Associate	Project co-lead, senior site visitor
Kimá Taylor KTaylor@urban.org 202-754-2838	Urban Institute, Fellow	Senior site visitor
Marla McDaniel MMcDaniel@urban.org 202-261-5678	Urban Institute, Senior Fellow	Senior advisor

Eva Allen EAllen@urban.org 202-261-5641	Urban Institute, Research Associate	Site visitor, project manager
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LIST OF ATTACHMENTS – Section B

Note: Attachments are included as separate files as instructed.

- Attachment A-Discussion Guide