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Appendix 1:

The Omnibus Crime Control and Safe Streets Act of 1968

DERIVATION

Title I THE OMNIBUS CRIME CONTROL AND SAFE STREETS ACT OF 1968 (Public Law 90-351)

42 U.S.C. § 3711, et seq.

AN ACT to assist State and local governments in reducing the incidence of crime, to increase the effectiveness, fairness, and coordination of law enforcement and criminal justice systems at all levels of government, and for other purposes.

As Amended By

THE OMNIBUS CRIME CONTROL ACT OF 1970 (Public Law 91-644)

THE CRIME CONTROL ACT OF 1973 (Public Law 93-83)

THE JUVENILE JUSTICE AND DELINQUENCY PREVENTION ACT OF 1974 (Public Law 93-415)

THE PUBLIC SAFETY OFFICERS' BENEFITS ACT OF 1976 (Public Law 94-430)

THE CRIME CONTROL ACT OF 1976 (Public Law 94-503)

THE JUSTICE SYSTEM IMPROVEMENT ACT OF 1979 (Public Law 96-157)

THE JUSTICE ASSISTANCE ACT OF 1984 (Public Law 98-473)

STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE ACT OF 1986 (Public Law 99-570-Subtitle K)

THE ANTI-DRUG ABUSE ACT OF 1988
TITLE VI, SUBTITLE C - STATE AND LOCAL NARCOTICS CONTROL
AND JUSTICE ASSISTANCE IMPROVEMENTS
(Public Law 100-690)

THE CRIME CONTROL ACT OF 1990 (Public Law 101-647)

BRADY HANDGUN VIOLENCE PROTECTION ACT (Public Law 103-159)

VIOLENT CRIME CONTROL AND LAW ENFORCEMENT ACT OF 1994 (Public Law 103-322)

NATIONAL CHILD PROTECTION ACT OF 1993, AS AMENDED (Public Law 103-209)

and

CRIME IDENTIFICATION TECHNOLOGY ACT OF 1998 (Public Law 105-251)

BUREAU OF JUSTICE STATISTICS CHAPTER 46 - SUBCHAPTER III [TITLE I - PART C]

42 USC § 3731 [Sec. 301.] Statement of purpose

It is the purpose of this subchapter [part] to provide for and encourage the collection and analysis of statistical information concerning crime, juvenile delinquency, and the operation of the criminal justice system and related aspects of the civil justice system and to support the development of information and statistical systems at the Federal, State, and local levels to improve the efforts of these levels of government to measure and understand the levels of crime, juvenile delinquency, and the operation of the criminal justice system and related aspects of the civil justice system. The Bureau shall utilize to the maximum extent feasible State governmental organizations and facilities responsible for the collection and analysis of criminal justice data and statistics. In carrying out the provisions of this subchapter [part], the Bureau shall give primary emphasis to the problems of State and local justice systems.

42 USC § 3732 [Sec. 302.] Bureau of Justice Statistics

- (a) Establishment. There is established within the Department of Justice, under the general authority of the Attorney General, a Bureau of Justice Statistics (hereinafter referred to in this subchapter [part] as "Bureau").
- (b) Appointment of Director; experience; authority; restrictions. The Bureau shall be headed by a Director appointed by the President, by and with the advice and consent of the Senate. The Director shall have had experience in statistical programs. The Director shall have final authority for all grants, cooperative agreements, and contracts awarded by the Bureau. The Director shall report to the Attorney General through the Assistant Attorney General. The Director shall not engage in any other employment than that of serving as Director; nor shall the Director hold any office in, or act in any capacity for, any organization, agency, or institution with which the Bureau makes any contract or other arrangement under this Act.
- (c) Duties and functions of Bureau. The Bureau is authorized to-
 - (1) make grants to, or enter into cooperative agreements or contracts with public agencies, institutions of higher education, private organizations, or private individuals for purposes related to this subchapter [part]; grants shall be made subject to continuing compliance with standards for gathering justice statistics set forth in rules and regulations promulgated by the Director;
 - (2) collect and analyze information concerning criminal victimization, including crimes against the elderly, and civil disputes;
 - (3) collect and analyze data that will serve as a continuous and comparable national social indication of the prevalence, incidence, rates, extent, distribution, and attributes of crime, juvenile delinquency, civil disputes, and other statistical factors related to crime, civil disputes, and juvenile delinquency, in support of national, State, and local justice policy and decision making;
 - (4) collect and analyze statistical information, concerning the operations of the criminal justice system at the Federal, State, and local levels;
 - (5) collect and analyze statistical information concerning the prevalence, incidence, rates, extent, distribution, and attributes of crime, and juvenile delinquency, at the Federal, State, and local levels;
 - (6) analyze the correlates of crime, civil disputes and juvenile delinquency, by the use of statistical information, about criminal and civil justice systems at the Federal, State, and local levels, and about the extent, distribution and attributes of crime, and juvenile delinquency, in the Nation and at the Federal, State, and local levels;
 - (7) compile, collate, analyze, publish, and disseminate uniform national statistics concerning all aspects of criminal justice and related aspects of civil justice, crime, including crimes against the elderly, juvenile delinquency, criminal offenders, juvenile delinquents, and civil disputes in the various States;

- (8) recommend national standards for justice statistics and for insuring the reliability and validity of justice statistics supplied pursuant to this chapter [title];
- (9) maintain liaison with the judicial branches of the Federal and State Governments in matters relating to justice statistics, and cooperate with the judicial branch in assuring as much uniformity as feasible in statistical systems of the executive and judicial branches;
- (10) provide information to the President, the Congress, the judiciary, State and local governments, and the general public on justice statistics;
- (11) establish or assist in the establishment of a system to provide State and local governments with access to Federal informational resources useful in the planning, implementation, and evaluation of programs under this Act;
- (12) conduct or support research relating to methods of gathering or analyzing justice statistics;
- (13) provide for the development of justice information systems programs and assistance to the States and units of local government relating to collection, analysis, or dissemination of justice statistics;
- (14) develop and maintain a data processing capability to support the collection, aggregation, analysis and dissemination of information on the incidence of crime and the operation of the criminal justice system;
- (15) collect, analyze and disseminate comprehensive Federal justice transaction statistics (including statistics on issues of Federal justice interest such as public fraud and high technology crime) and to provide technical assistance to and work jointly with other Federal agencies to improve the availability and quality of Federal justice data;
- (16) provide for the collection, compilation, analysis, publication and dissemination of information and statistics about the prevalence, incidence, rates, extent, distribution and attributes of drug offenses, drug related offenses and drug dependent offenders and further provide for the establishment of a national clearinghouse to maintain and update a comprehensive and timely data base on all criminal justice aspects of the drug crisis and to disseminate such information;
- (17) provide for the collection, analysis, dissemination and publication of statistics on the condition and progress of drug control activities at the Federal, State and local levels with particular attention to programs and intervention efforts demonstrated to be of value in the overall national anti- drug strategy and to provide for the establishment of a national clearinghouse for the gathering of data generated by Federal, State, and local criminal justice agencies on their drug enforcement activities;
- (18) provide for the development and enhancement of State and local criminal justice information systems, and the standardization of data reporting relating to the collection, analysis or dissemination of data and statistics about drug offenses, drug related offenses, or drug dependent offenders;
- (19) provide for research and improvements in the accuracy, completeness, and inclusiveness of criminal history record information, information systems, arrest warrant, and stolen vehicle record information and information systems and support research concerning the accuracy, completeness, and inclusiveness of other criminal justice record information;
- (20) maintain liaison with State and local governments and governments of other nations concerning justice statistics;
- (21) cooperate in and participate with national and international organizations in the development of uniform justice statistics;
- (22) ensure conformance with security and privacy requirement of section 3789g of this title and identify, analyze, and participate in the development and implementation of privacy, security and information policies which impact on Federal and State criminal justice operations and related statistical activities; and

- (23) exercise the powers and functions set out in subchapter VIII [part H] of this chapter [title].
- (d) Justice statistical collection, analysis, and dissemination. To insure that all justice statistical collection, analysis, and dissemination is carried out in a coordinated manner, the Director is authorized to—
 - (1) utilize, with their consent, the services, equipment, records, personnel, information, and facilities of other Federal, State, local, and private agencies and instrumentalities with or without reimbursement therefore, and to enter into agreements with such agencies and instrumentalities for purposes of data collection and analysis;
 - (2) confer and cooperate with State, municipal, and other local agencies;
 - (3) request such information, data, and reports from any Federal agency as may be required to carry out the purposes of this chapter [title];
 - (4) seek the cooperation of the judicial branch of the Federal Government in gathering data from criminal justice records; and
 - (5) encourage replication, coordination and sharing among justice agencies regarding information systems, information policy, and data.
- (e) Furnishing of information, data, or reports by Federal agencies. Federal agencies requested to furnish information, data, or reports pursuant to subsection (d)(3) of this section shall provide such information to the Bureau as is required to carry out the purposes of this section.
- (f) Consultation with representatives of State and local government and judiciary. In recommending standards for gathering justice statistics under this section, the Director shall consult with representatives of State and local government, including, where appropriate, representatives of the judiciary.

42 USC § 3733 [Sec. 303.] Authority for 100 per centum grants

A grant authorized under this subchapter [part] may be up to 100 per centum of the total cost of each project for which such grant is made. The Bureau shall require, whenever feasible as a condition of approval of a grant under this subchapter [part], that the recipient contribute money, facilities, or services to carry out the purposes for which the grant is sought.

42 USC § 3735 [Sec. 304.] Use of data

Data collected by the Bureau shall be used only for statistical or research purposes, and shall be gathered in a manner that precludes their use for law enforcement or any purpose relating to a particular individual other than statistical or research purposes.

Appendix 2:

National Prisoner Statistics Program Data collection request letter



U.S. Department of Justice

Office of Justice Programs

Bureau of Justice Statistics

Washington, D.C. 20531

December 15, 2017

<<Name>>

<<Title>>

<<Department>>

Dear <<salutation>>,

Enclosed is a copy of the National Prisoner Statistics (NPS 1B) form for your 2017 yearend data. The Bureau of Justice Statistics (BJS) wants to thank you for your past participation in NPS. The 2017 collection is the 91st year of continuous data collection for the NPS, which was authorized as a national data collection program by Congress in 1926. The NPS data are widely used by practitioners, policy makers, researchers, and the general public.

The Omnibus Crime Control and Safe Streets Act of 1968, as amended (42 USC 3732), authorizes collection of these data. The Office of Management and Budget approved this collection (OMB Control No: 1121-0102; Expiration Date: 06/30/2017). For more information, see https://www.reginfo.gov/public/do/PRAViewICR?ref nbr=201403-1121-006.

Your participation is voluntary but we need your assistance to make the NPS data complete and accurate. BJS is committed to disseminating accurate and timely statistics, and we plan to publish the *Prisoners in 2017* bulletin in September, 2018 as a final count of prison admissions, releases, and yearend population. For that reason, we request that you complete and submit your form by **February 28, 2018**.

Abt Associates is again the BJS data collection agent for NPS-1B. The majority of NPS respondents are also respondents to the National Corrections Reporting Program (NCRP). Abt Associates serves as data collection agent for both the NPS and the NCRP.

We ask that you submit your NPS-1B data via the web, at **nps.abtassociates.com.** Login and password information are enclosed. If you need technical assistance in submitting your data, please contact Tom Rich via email at Tom_Rich@abtassoc.com, or by phone at (617) 349-2753.

If you have questions to the NPS collection, please contact the BJS NPS program manager, Ann Carson, at <u>Elizabeth.Carson@usdoj.gov</u> or (202) 616-3496. We thank you greatly for your continued participation in BJS's statistical programs.

Sincerely,

Jeri M. Mulrow Acting Director

Enclosure

Appendix 3:

National Prisoner Statistics Program 2017 NPS-1B data collection form

NPS-1B

RETURN TO Abt Associates National Prisoner Statistics Survey 55 Wheeler Street Cambridge, MA 02138 FORM **NPS-1B** (10-30-2017)

National Prisoner Statistics Summary of Sentenced Population Movement 2017 U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTING AGENT ABT ASSOCIATES INC.

				DATA S	SUPPLIE	D BY		
NAME					Title			
TELEPHONE	Area Code	Number	Extension	FAX NUMBER	Area Code	Number	E-MAIL ADDRESS	

GENERAL INFORMATION

- If you have any questions, contact the Abt Associates NPS Project Director, Tom Rich (617-349-2753 or tom_rich@abtassoc.com) or the BJS NPS Program Manager, E. Ann Carson (202-616-3496 or elizabeth.carson@ojp.usdoj.gov).
- Please complete the questionnaire before February 28, 2018 by using nps.abtassociates.com, by emailing a scanned copy of the form to tom_rich@abtassoc.com, by mailing the completed form to Abt Associates at the address above, or by FAXing all pages to 1-617-492-5219.
- Please retain a copy of the completed form for your records.

What types of inmates are included? Inmates

under your jurisdiction on December 31, 2017

- INCLUDE inmates under your jurisdiction held in your prison facilities (e.g., prisons, penitentiaries, and correctional institutions; boot camps; prison farms; reception, diagnostic, and classification centers; release centers, halfway houses, and road camps; forestry and conservation camps; vocational training facilities; prison hospitals; and drug and alcohol treatment facilities for prisoners).
- INCLUDE inmates who are temporarily absent (less than 30 days), out to court, or on work release.
- INCLUDE inmates under your jurisdiction held in local jails, private facilities, and other States' or Federal facilities.
- INCLUDE inmates in your facilities who are serving a sentence for your jurisdiction and another jurisdiction at the same time.
- EXCLUDE inmates held in your facilities for another jurisdiction.

Inmates under your custody on December 31, 2017

- INCLUDE all inmates held in your facilities.
- INCLUDE inmates housed in your facilities for other jurisdictions.
- EXCLUDE inmates held in local jails, private facilities, and facilities in other jurisdictions.

BURDEN STATEMENT

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. Public reporting burden for this collection of information is estimated to average 6.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspects of this collection of information, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, Washington, DC 20531; and to the Office of Management and Budget, OMB No. 1121-0102, Washington, DC 20503.

REPORTING INSTRUCTIONS

- If you are unable to report an item using NPS definitions and reporting criteria, describe the definitions or criteria you used in the **NOTES** section.
- If your jurisdiction, by law or regulation, cannot have the type of inmate described by an item, write "NA" (Not Applicable) in the space provided.
- If your jurisdiction had the type of inmate but you are unable to determine the number separately by item, report the combined count in one item, write "NR" (Not Reported) in the remaining items, and specify in NOTES.
- If your jurisdiction can have the type of inmate described, but did not have any during December 31, 2017, enter "0" (Zero) in the space provided.

SECTION I – YEAR-END F	PRISON CO	DUNTS			
I. On December 31, 2017, how man			Data reporte	ed for Decem	her 31, 2016
 Exclude inmates held in local jails, p facilities in other jurisdictions. 	rivate facilities,	, and	Data report	ed for Decem	Mei 01, 2010
 Include inmates held in any public fa including halfway houses, camps, fa centers, and hospitals. 					
a. Had a total maximum sentence of more than 1 year	Male	Female	Male	Female	
(Include inmates with consecutive sentences that add to more than 1 year.)					< Update as needed
b. Had a total maximum sentence of 1 year or less					
c. Were unsentenced					
d. TOTAL (Sum of items 1a to 1c)					
 Mark (X) this box if custody number comparable to 2015. Explain in NO 2. On December 31, 2017, how majurisdiction — 	TES.				
a. Had a total maximum sentence of more than 1 year	Male	Female	Male	Female	
(Include inmates with consecutive sentences that add to more than 1 year.)					< Update as needed
b. Had a total maximum sentence of 1 year or less					
c. Were unsentenced					
d. TOTAL (Sum of items 2a to 2c)					
Mark (X) this box if jurisdiction num comparable to 2015. Explain in NO	bers for 2016 a TES.	are not			

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3.	On December 31, 2017, how man jurisdiction were housed in a pricorrectional facility —	ny inmates u vately opera	inder your ited	Data reporte	ed for Decem	ber 31, 2016
	• Exclude inmates housed in any public if under contract.	cly operated fa	cility, even			
	 Include inmates housed in any private houses, treatment facilities, hospitals 	ely operated h , or other spec	alfway ial facility.			
		Male	Female	Male	Female	
	a. In your State					← Update as needed
	b. In another State					
	c. Are these inmates included in item 2?	1 Yes 2 No	☐ Yes ☐ No			
	(If item 3c is "NO", explain in the NOTE	S section.)				
4.	On December 31, 2017, how man jurisdiction were housed in local a county or other local authority	facilities or	nder your perated by			
	• Exclude inmates housed in privately (reported in items 3a and 3b).	operated facilit	ies			
	• Include inmates housed in local facilit arrangement.					
	·	Male	Female	Male	Female	
	a. TOTAL					< Update as needed
	(If "0" (zero), skip to item 5.)	Mala	Forest			
	b. Are these inmates included in item 2?	Male 1 Yes 2 No	Female 1 Yes 2 No			
	(If item 4b is "NO", explain in the NC	TES section.)				
5.	On December 31, 2017, how man jurisdiction were housed —	ıy inmates u	nder your			
	Exclude inmates housed in privately (reported in items 3a and 3b) and inn	operated facilit nates housed i	ies n local jails			
	(reported in item 4a).	Male	Female	Male	Female	
	a. In Federal facilities					← Update as needed
	b. In other States' facilities —	1: 01:1				
	 Include only those inmates house facilities in other States. 	d in State-ope Male	rated Female	Male	Female	
						< Update as needed
	(If "0" (zero) in items 5a and 5b, skip c. Are these inmates included	o to item 6.) Male	Female			
	in item 2?	1 Yes 2 No	1 Yes 2 No			
	(If item 5c is "NO", explain in the NO	OTES section.)				

FORM NPS-1B (MM-DD-YYYY) Page 3

	On December 31, 2017, how many urisdiction were —	inmates under your	7. Between January 1, 2017 and December 31, how many inmates sentenced to more than	2017, 1 year
(See insert for race/ethnicity definitions.)	Male Female	under your jurisdiction were admitted as — Male	Female
6	a. White (not of Hispanic origin.)		a. New court commitments (Include probation violators entering prison on probated sentence, split sentences, and	
ı	b. Black or African American		shock probation.)	
	(not of Hispanic origin.)		b. Parole violators —	
			(1) with a new sentence	
(c. Hispanic or Latino		(2) without a new sentence (Include violators returned without a new sentence, those	
(d. American Indian/Alaska Native (not of Hispanic origin.)		held pending a hearing, and those not formally revoked.)	
	e. Asian (not of Hispanic origin.)		c. Other conditional release violators (Include returns from mandatory release other than parole.)	
1	 Native Hawaiian or other Pacific Islander (not of Hispanic origin.) 		(1) with a new sentence	
	g. Two or more races (not of		(2) without a new sentence	
;	Hispanic origin.)		(2) William a non somenes	
	n. Additional categories in your information system – Specify		d. Transfers from other jurisdictions (Include inmates received from other jurisdictions to continue sentences already in	
			force.)	
			e. AWOL returns, with or	
i	. Not known		without new sentences	
			f. Escapee returns, with or without new sentences	
j	. TOTAL (Sum of items 6a to 6i should equal item 2d)		a. Datuma from annual or band	
			g. Returns from appeal or bond (Include all inmates reinstated after long-term absences of more than 30 days.)	
	SECTION II – ADMISSIONS A	AND RELEASES		
	DURING 2017		h. Other admissions - Specify	
	Donovina Inctions	one		
	Reporting Instruction Include only those inmates with a total n			
	more than 1 year.			
•	Include inmates under your jurisdiction, they are housed.	regardless of where	i. TOTAL (Sum of items 7a to 7h)	
•	Exclude short-term movements (less that jurisdiction is retained (e.g., to court and	n 30 days) where on furlough.)		
•	Escape include inmates that were physi boundaries at time of disappearance)	cally within facility		
•	AWOLs include inmates that were physi boundaries at time of disappearance, ex	cally outside facility ample-workrelease)		

SECTION I - YEAR-END PRISON COUNTS - Continued

B. Between January 1, 2017 and	December 3	1, 2017,	9. How many inmates with a tota	l maximum s	entence of
how many inmates sentenced under your jurisdiction were re		n 1 year	more than one year were —	Male	Female
a. Unconditional releases	Mala	Female	a. Under your jurisdiction on		
(1) Expirations of sentence	Male	remale	January 1, 2017		
(Include inmates who served their maximum sentence					
minus credits.)			b. Admitted during 2017		
(O) Ocharantations (Include			(Transcribe from item 7i)		
(2) Commutations (Include inmates whose sentence was					
lowered to time served to			c. Released during 2017 (Transcribe item 8i)		
allow for an immediate unconditional release.)					
(3) Other unconditional			d. Under your jurisdiction on December 31, 2017		
releases – Specify			(Add items 9a and 9b, subtract		
			item 9c, should equal item 2a.)		
			SECTION III – PRISON SY	STEM CAP	ACITY
b. Conditional releases			10. On December 31, 2017, what w	as the capa	city of
(1) Probations (Include			your prison system? (Exclude ca		
inmates released on shock probation or placed on			a. Rated capacity (The number	Male	Female
probation and conditionally			of beds or inmates assigned by		
released.)			rating officials to institutions within your jurisdiction.)		
(2) Supervised mandatory			, ,		
releases (Include inmates who by law had to be			b. Operational capacity (The number of inmates that can be		
conditionally released.)			accommodated based on staff,		
			existing programs, and services in institutions within your		
			jurisdiction.)		
(3) Discretionary paroles			c. Design capacity (The number		
(4) Other conditional releases - Specify			of inmates that planners or architects intended for all		
releases - Specify			institutions within your		
			jurisdiction.)		
			SECTION IV - SPECIAL CUST	ODY POPL	JLATIONS
c. Deaths			11. On December 31, 2017, how macustody were under age 18? (In		
			private facilities)	Male	Female
d. AWOLs				Wale	remaie
di Aliona					
			40. On December 24, 2047, how m	any inmetes	in warm
e. Escapes from confinement			12. On December 31, 2017, how many custody who were not citizens	of the Unite	d States
f. Turnefere to other			(Include inmates held in private faciliti	es) Male	Female
f. Transfers to other jurisdictions (Include inmates			a. Had a total maximum	IVIAIC	remale
sent to other jurisdictions to			sentence of more than 1 year (Include inmates with consecutive		
continue sentences already in force.)			sentences that add to more than 1		
,			year.)		
			b. Had a total maximum sentence of 1 year or less		
g. Releases to appeal or bond			Schenoe of 1 year of less		
h Other releases Chesify			c. Were unsentenced		
h. Other releases - Specify			42 Have do your abtain data		
			13. How do you obtain data on incitizens of the United States? (Ch		
			Inmate self-report		
i. TOTAL			Law enforcement or court documents accor	mpanying inmate	at prison admission
(Sum of items 8a to 8h)			Verification of citizenship status using exter	, , ,	
			Volinoation of offizonally status using exter	nai data source	

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SECTION V

Reporting Instructions

- For the following section **HIV test** includes any type of test, oral or blood, used to diagnose HIV among adults.
- If you are unable to report an item using NPS definitions and reporting criteria, describe the definitions or criteria you used in the **NOTES** section.
- · Exclude inmates held in local jails, private facilities and facilities in other jurisdictions.
- Include inmates held in any public facility run by your state, including halfway houses, camps, farms, training/treatment centers, and hospitals.

13.	On December 3	31, 2017, which	h of the follo	wing
	best described	HIV testing an	nong inmates	entering
	vour			

- facilities? (Check only one).
 Include all testing done upon entry such as during the intake process, reception or shortly thereafter.
- All inmates were tested for HIV regardless of whether the inmate agreed (Skip to Question 15)
- All inmates were told that an HIV test will be performed, and the test was given unless the inmate declined
- All inmates were told that HIV testing was available, and the inmate must have requested a test
- Inmates were only tested based upon an assessment of high-risk behavior, medical history, or other clinical evaluation
- Not all inmates were told that an HIV test is available, but were tested if they requested one
- Other (Please specify)
- Did not provide HIV testing (Skip to Question 15)
- 14. On December 31, 2017, which of the following best described consent for HIV testing among inmates entering your facility? (Check only one).
 - General consent for medical services was obtained
 - Separate consent, specifically for HIV testing, was obtained
 - Inmate consent was not obtained
- 15. On December 31, 2017, which of the following described HIV testing among inmates already in custody? (Check all that apply).
 - · Exclude all testing done during the entry and discharge processes.
 - Offered HIV test during routine medical examinations
 - Tested inmates in high-risk groups
 - Tested upon request from the inmate
 - Tested upon clinical indication
 - Tested upon court order
 - Tested following involvement in an incident
 - Other (Please specify)
 - Did not provide HIV testing

		AIDS				
16.	des	December 31, 2017, which ocribed HIV testing among in charge planning?(Check only o	nmates durin	ng <u>best</u> g		
	• E	clude all testing done upon exit or cclude all testing done upon entry istody.				
All inmates were offered HIV testing						
		Some inmates were offered HIV	testing			
		Inmates were only tested upon re	equest from the	inmate		
		Other (Please specify)				
		Did not provide HIV testing				
17.	cus	December 31, 2017, how ma tody were —				
		clude all inmates under your custo ngth.	ody, regardless	of sentence		
		Asymptomatic HIV positive	Male	Female		
	(p	Inmates who were HIV ositive but had no HIV-related ymptoms.)				
		nfected with lesser forms				
	(of symptomatic HIV disease Inmates who had symptoms of				
	Ĥ	IIV infection but without a onfirmed AIDS diagnosis.)				
	·	offinition Albe diagnosis.				
		And the base AIDO				
	c. C	Confirmed to have AIDS				
		TOTAL				
	(Sum of items 17a to 17c)				

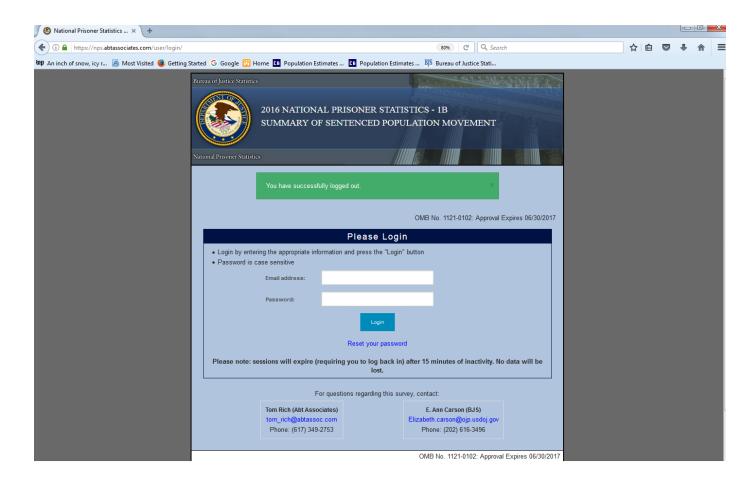
Page 6 FORM NPS-1B (MM-DD-YYYY)

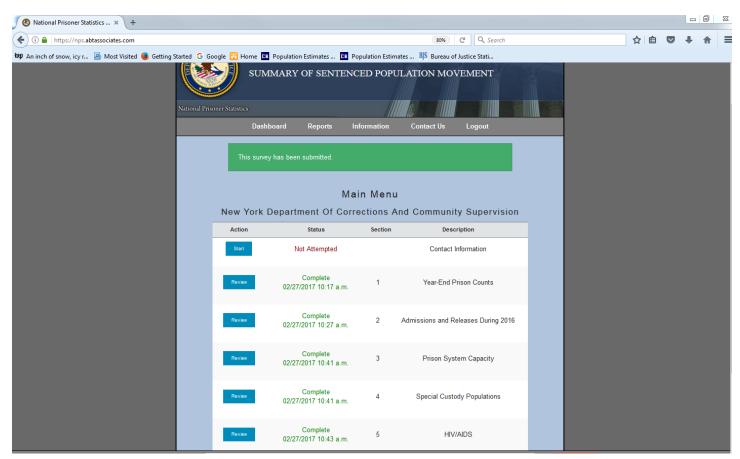
SECTIO	N VI – NOTES
Please review last year's explanatory notes and make any correction: Please mark (X) box to indicate that you have reviewed and upda	

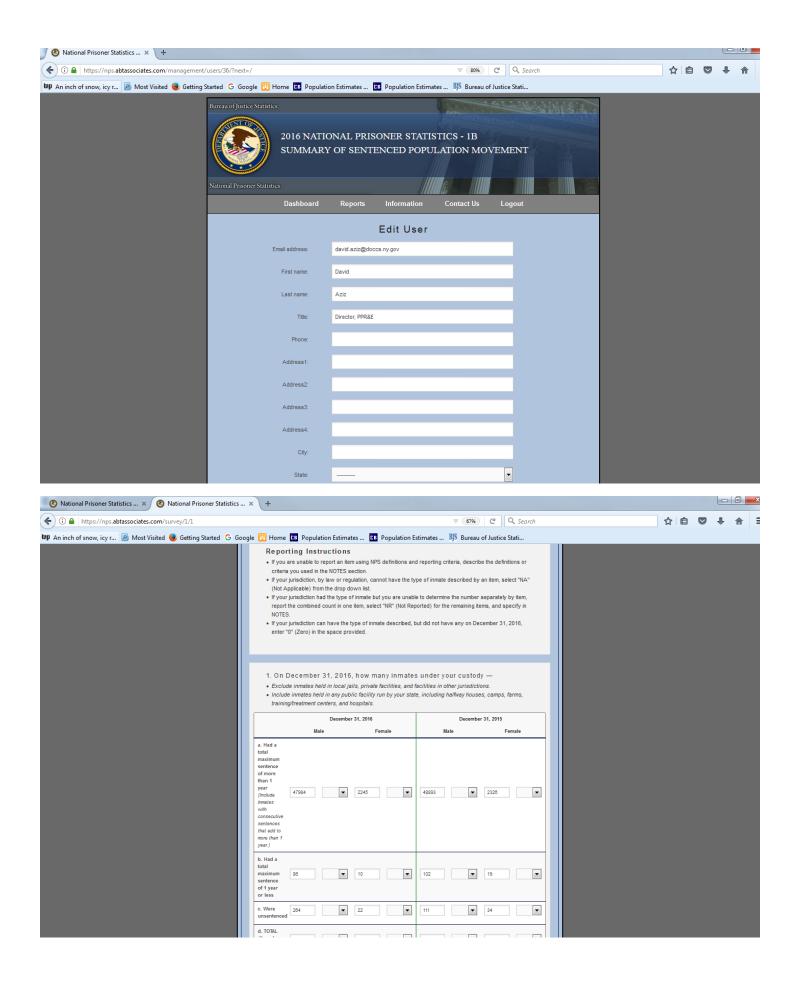
FORM NPS-1B (MM-DD-YYYY) Page 7

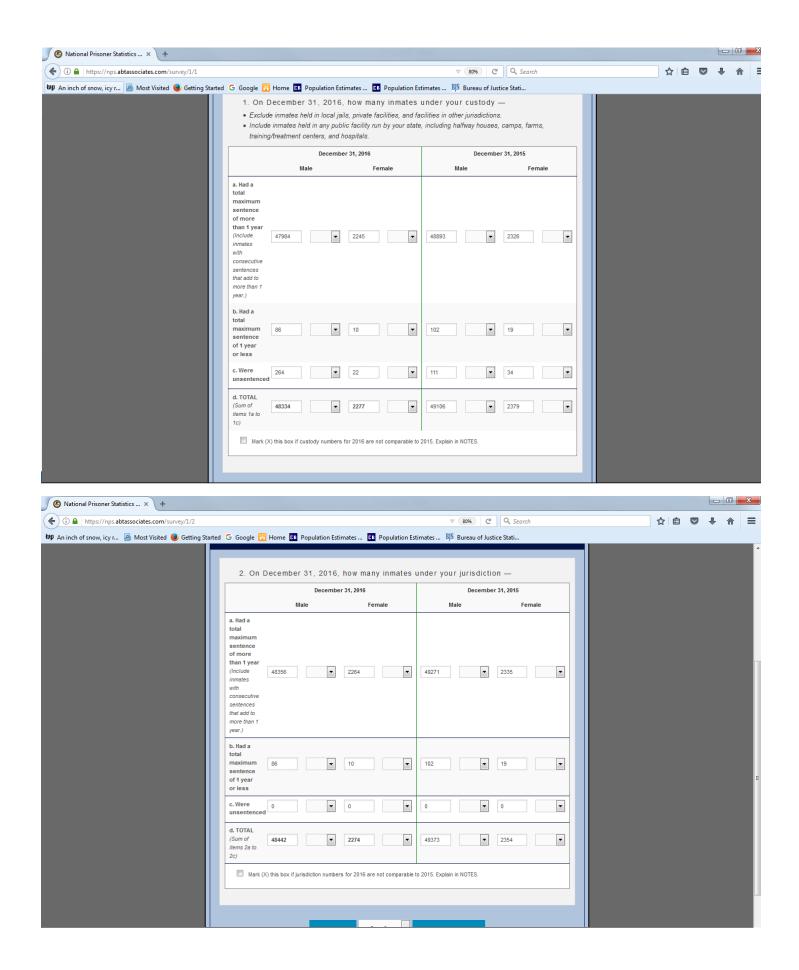
Appendix 4:

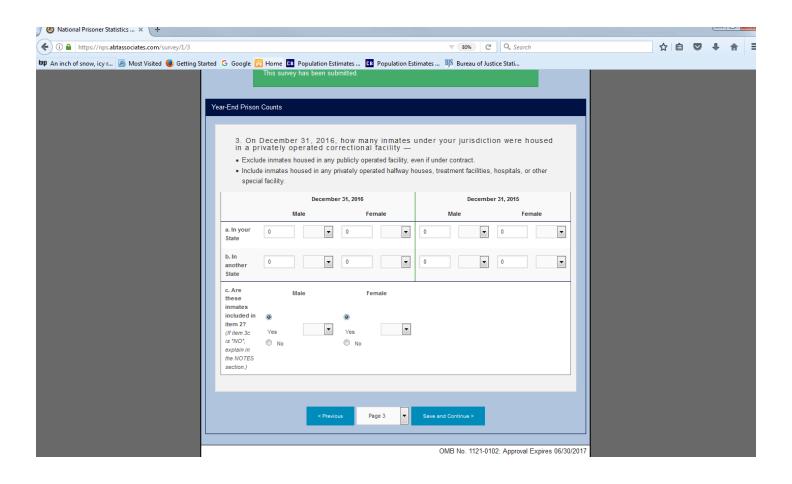
National Prisoner Statistics Program Screenshots of 2016 NPS-1B secure data collection webtool

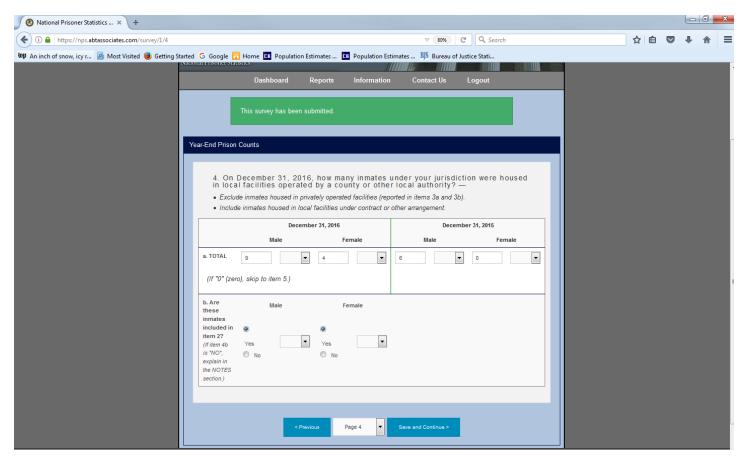


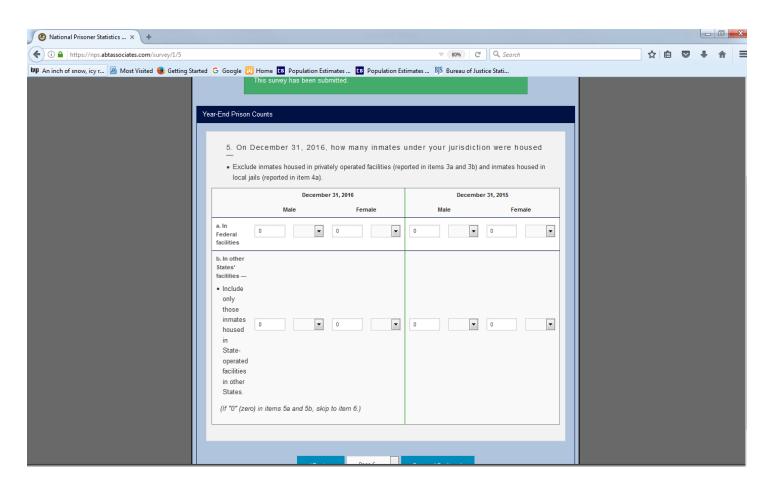


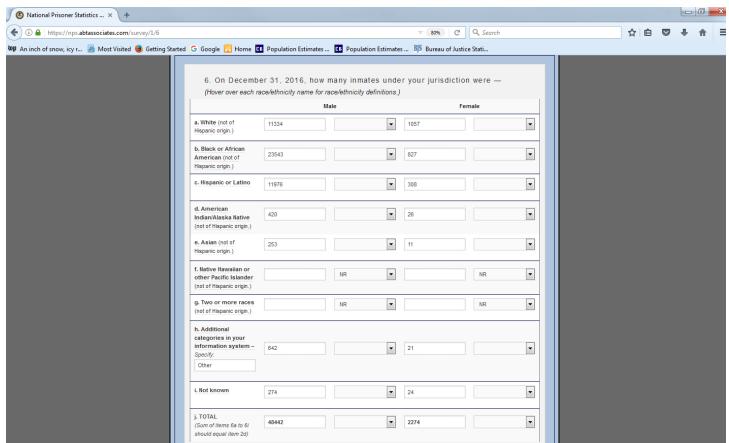


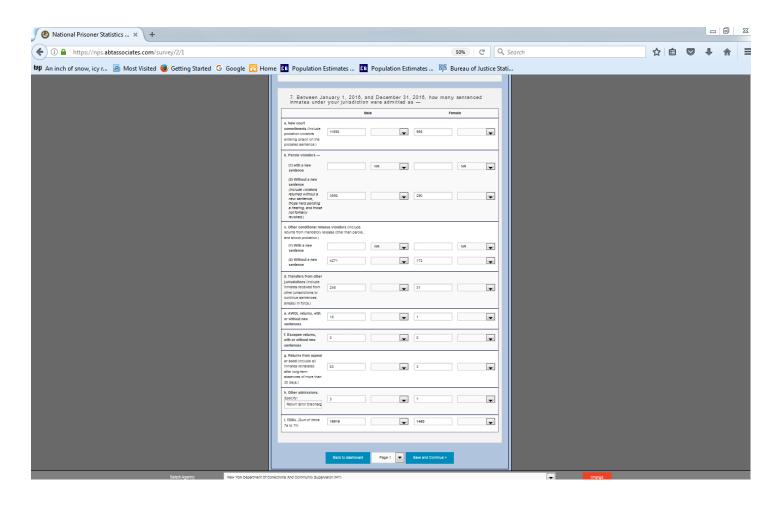


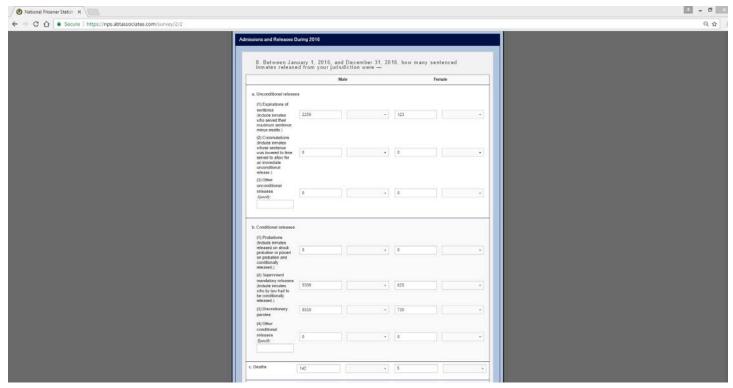


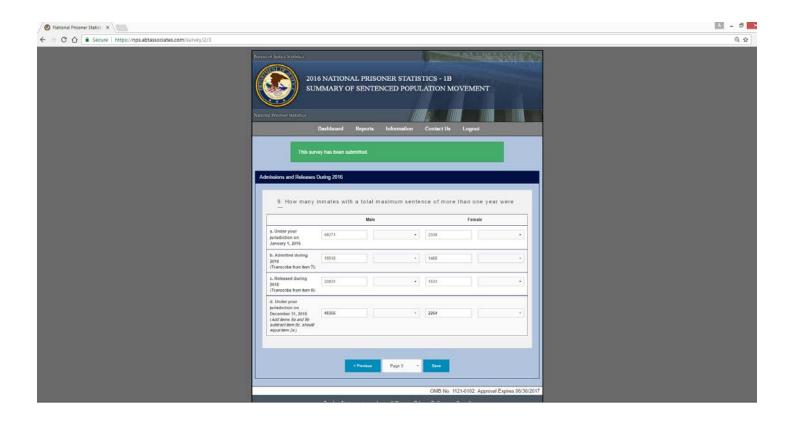


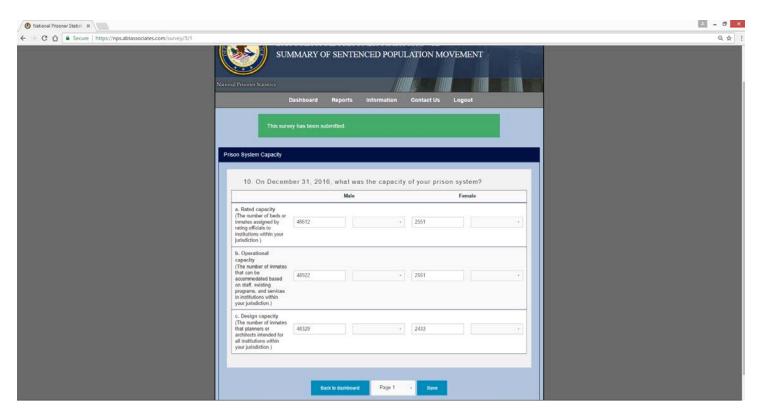


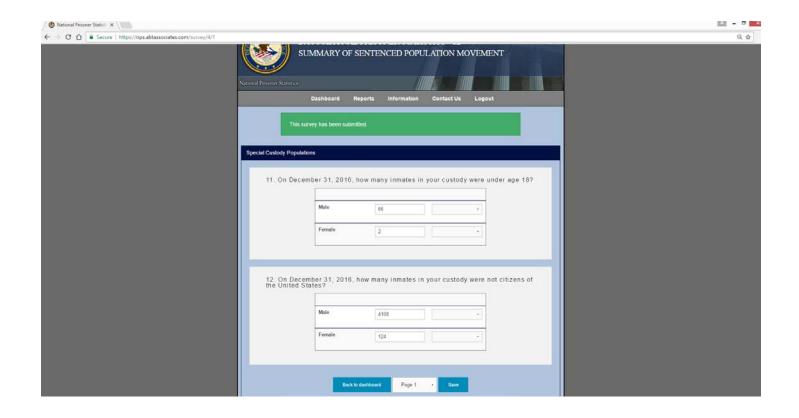


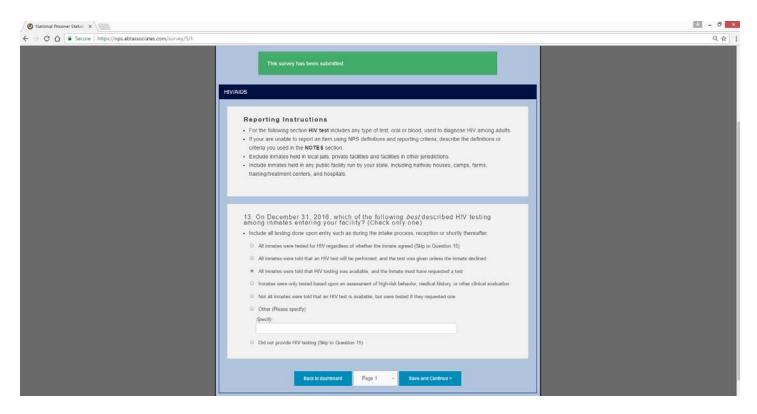


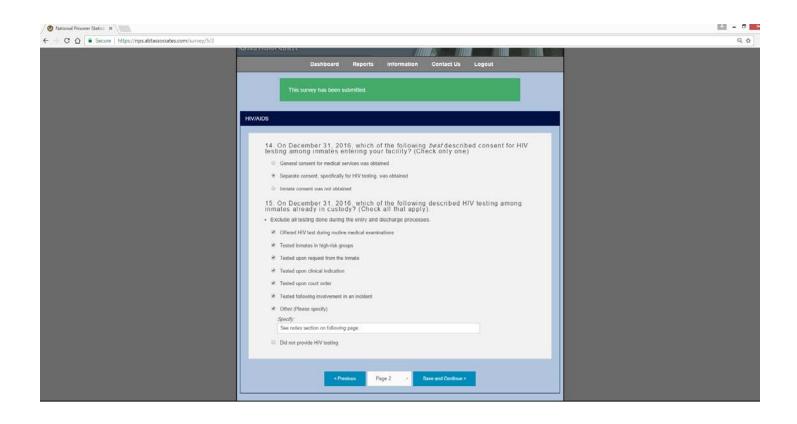


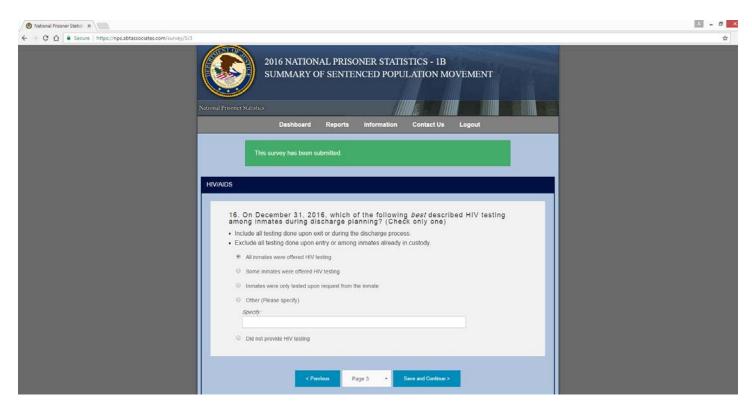


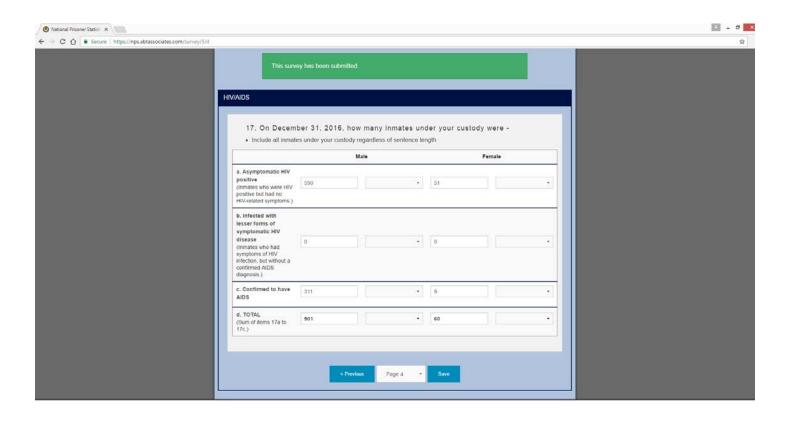


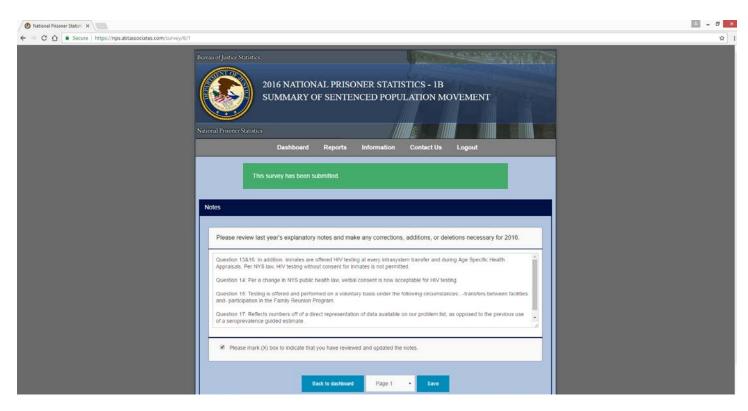












Appendix 5:

National Prisoner Statistics Program Non-response follow-up email

Contact
Title
Department
Address 1
City, State Zipcode

Dear Contact,

Thank you for your continued participation in the National Prisoner Statistics 1B year-end data collection program.

BJS intends to publish its annual *Prisoners in 2017* bulletin in September 2018 to provide the public with more timely statistics. To meet this deadline, we request that you submit your 2017 form as soon as possible. If you have inquiries regarding the collection, please contact Tom Rich, our data collection agent at Abt Associates, Inc, via telephone at 617-349-2753 or email at tom_rich@abtassociates.com. If you have any general comments about these collections, you may also contact me 202-616-3496 or Elizabeth.Carson@usdoj.gov.

Sincerely,

E. Ann Carson, Ph.D.

Statistician, Corrections Statistics Program

Appendix 6:

National Prisoner Statistics Program BJS email request for final tabulation review and approval

Date

Contact
Title
Department
Address 1
City, State Zipcode

Dear Contact,

Thank you for participating in the National Prisoner Statistics Program (NPS). The Bureau of Justice Statistics (BJS) is getting ready to release its annual *Prisoners in 2017* bulletin at the end of September 2018.

Attached are eight draft tables using data on prisoners under your jurisdiction and in your custody on December 31, 2017. We are sending you this draft before the public release to give you an opportunity to verify the accuracy of your data. We have also attached a blank NPS form should you need to refer back to BJS definitions for various measures. Please review these tables and provide any revisions before **July 15, 2018**. If we do not hear from you by that time, we will assume that your state's statistics are correct and will proceed with publication.

The numbers contained in the tables are preliminary and may be revised. <u>Please do not cite</u> data for any other jurisdictions prior to publication of the report.

On behalf of the Bureau of Justice Statistics, I thank you for providing these data to us. If you have any questions about your data, please contact me at 202/616.3496 or elizabeth.carson@usdoj.gov.

Sincerely,

E. Ann Carson
Acting Chief, Corrections Unit
Bureau of Justice Statistics
U.S. Department of Justice

Attachment.

Appendix 7:

National Prisoner Statistics Program 2017 NPS-1B(T) data collection form

NPS-1B(T)

Abt Associates
National Prisoner Statistics Survey
55 Wheeler Street
Cambridge, MA 02138

FORM **NPS-1B(T)** (10-3-2017)

National Prisoner Statistics Prison Population Report 2017 U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTING AGENT ABT ASSOCIATES INC.

				DATA S	SUP	PLIE	D BY		
NAME						Title			
TELEPHONE	Area Code	Number	Extension	FAX NUMBER	Area	Code	Number	E-MAIL ADDRESS	

GENERAL INFORMATION

- If you have any questions, contact the **Abt Associates NPS Project Director**, **Tom Rich** (617-349-2753 or **tom_rich@abtassoc.com**) or the **BJS NPS Program Manager**, **E. Ann Carson** (202-616-3496 or elizabeth.carson@ojp.usdoj.gov).
- Please submit the completed questionnaire by emailing a scanned copy of the form to tom_rich@abtassoc.com, by mailing the
 completed form to Abt Associates at the address above, or by FAXing all pages to 1-617-492-5219.
- Please retain a copy of the completed form for your records.

Who does this survey cover?

This survey covers all sentenced and unsentenced inmates under your jurisdiction on **December 31, 2017**, regardless of the location of the inmates.

- INCLUDE inmates under your Territory's/Commonwealth's jurisdiction held in your prison facilities (e.g., prisons, penitentiaries and correctional institutions; reception, diagnostic and classification centers; half-way houses, treatment centers, release centers, work farms, bootcamps, and prison farms).
- INCLUDE inmates under your jurisdiction backed up in local jails or held in another jurisdiction's facilities.
- · INCLUDE inmates who are temporarily absent (less than 30 days), out to court, or on work release.
- INCLUDE inmates who are serving a sentence for your Territory/Commonwealth and another jurisdiction at the same time in your facilities.
- EXCLUDE pre-trial detainees and other inmates held in your Territory's/Commonwealth's facilities for another jurisdiction.

This survey covers all sentenced and unsentenced inmates in your custody on **December 31, 2017**.

- INCLUDE your jurisdiction's inmates and inmates your Territory/Commonwealth housed for other jurisdictions who were physically located in your prison facilities on **December 31, 2017.**
- EXCLUDE your Territory's/Commonwealth's inmates held outside of your prison facilities.

INSTRUCTIONS

- Please do not leave any item blank.
- If the answer to a question is "not available" or "unknown," write "DK" in the space provided.
- If the answer to a question is "not applicable" write "NA" in the space provided.
- If the answer to a question is "none" or "zero," write "0" in the space provided.
- Please give the name, title, telephone number, fax number and e-mail address of the person filling out the report in the space provided above.

			ON COUNTS		
l. On December 31, 2017	7, how many inmates under your jurisd	liction-			
Please do not count any in	mates in more than one category.				
government has the lega	hom your Territory/Commonwealth all authority and responsibility for the on sentence, regardless of their location.				
·	m sentence of more than 1 year?	Decemb	er 31, 2017	Decembe (If Availa	er 31, 2016
	ng consecutive sentences that add	Male	Female	Male	Female
 Include inmates serving concurrent sentences in which the sentence for the most serious offense is more than 					
i year.					
b. Had a total maximu	m sentence of 1 year or less?				
c. Were unsentenced?					
d. TOTAL (Sum of Items 1a through	gh 1c)				
. How complete are the	counts in item 1, above? Mark (X) one.				
☐ Complete count —	The figures are based on actual counts of	all inmates un	der your jurisdictio	n.	
Partial count —	The figures are based on actual counts of inmates who should be included in the co-crowding). Please identify the types of inn	inmates under unts (e.g., inma	your jurisdiction bates housed in other	ut exclude certa er jurisdictions, fa	
Estimate —	The figures are based on estimates rather identify which items were estimated, and it	than actual co	ounts of inmates ur	nder your jurisdic	
					age 4.
	SECTION II – YEAR-END	CUSTODY		,	aye 4.
3. On December 31, 2017	SECTION II – YEAR-END 7, how many inmates under your	CUSTODY		,	aye 4.
•		CUSTODY			age 4.
custody-Please do not co	7, how many inmates under your				age 4.
Include all inmates who a facilities only, including the	7, how many inmates under your ount any inmates in more than one category. are physically located in your Territorial	ition.			er 31, 2016
 custody-Please do not construction Include all inmates who a facilities only, including the facilities only include inmates service. 	7, how many inmates under your ount any inmates in more than one category. are physically located in your Territorial hose your Territory housed for another jurisdic	ition.	COUNTS	Decembe	er 31, 2016
Include all inmates who a facilities only, including the service of the facilities only including the service of the facilities only including the service of the facilities only include inmates service of the facilities of	7, how many inmates under your ount any inmates in more than one category. are physically located in your Territorial hose your Territory housed for another jurisdicum sentence of more than 1 year? In grant consecutive sentences that add and concurrent sentences in which most serious offense is more than	ition. Decemb	COUNTS er 31, 2017	Decembe (If Availa	er 31, 2016 ble)
Include all inmates who a facilities only, including the service of the facilities only including the service of the facilities only including the service of the facilities only include inmates service to more than 1 year. Include inmates service the sentence for the facilities only include inmates.	7, how many inmates under your ount any inmates in more than one category. are physically located in your Territorial hose your Territory housed for another jurisdic im sentence of more than 1 year? ng consecutive sentences that add ng concurrent sentences in which	ition. Decemb	COUNTS er 31, 2017	Decembe (If Availa	er 31, 2016 ble)
 custody-Please do not construction Include all inmates who a facilities only, including the service of the result of the sentence for the	7, how many inmates under your ount any inmates in more than one category. are physically located in your Territorial hose your Territory housed for another jurisdicum sentence of more than 1 year? In grant consecutive sentences that add and concurrent sentences in which most serious offense is more than	ition. Decemb	COUNTS er 31, 2017	Decembe (If Availa	er 31, 2016 ble)
a. Had a total maximu Include inmates servito more than 1 year. Include inmates servithe sentence for the reference for	7, how many inmates under your ount any inmates in more than one category. are physically located in your Territorial hose your Territory housed for another jurisdiction in sentence of more than 1 year? In grant consecutive sentences that add and concurrent sentences in which most serious offense is more than	ition. Decemb	COUNTS er 31, 2017	Decembe (If Availa	er 31, 2016 ble)
• Include all inmates who a facilities only, including the service of the facilities only including the service of the facilities only including the service of the facilities only include inmates service the sentence for the facilities of the fac	7, how many inmates under your ount any inmates in more than one category. are physically located in your Territorial hose your Territory housed for another jurisdiction in sentence of more than 1 year? In grant consecutive sentences that add and concurrent sentences in which most serious offense is more than	ition. Decemb	COUNTS er 31, 2017	Decembe (If Availa	er 31, 2016 ble)
Include all inmates who a facilities only, including the service of the facilities only including the service of the facilities only including the service of the facilities only include inmates service to more than 1 year. Include inmates service the sentence for the facilities of the faciliti	7, how many inmates under your ount any inmates in more than one category. are physically located in your Territorial hose your Territory housed for another jurisdiction in sentence of more than 1 year? In geonsecutive sentences that add ang concurrent sentences in which most serious offense is more than the most serious offense is mo	ition. Decemb	COUNTS er 31, 2017	Decembe (If Availa	er 31, 2016 ble)
Include all inmates who a facilities only, including the service of the result of the service of the result of the sentence for the result of the sent	7, how many inmates under your ount any inmates in more than one category. are physically located in your Territorial hose your Territory housed for another jurisdiction in sentence of more than 1 year? In geonsecutive sentences that add ang concurrent sentences in which most serious offense is more than the most serious offense is mo	ition. Decemb	COUNTS er 31, 2017	Decembe (If Availa	er 31, 2016 ble)
custody-Please do not construction of acilities only, including the a. Had a total maximu Include inmates serving to more than 1 year. Include inmates serving the sentence for the real 1 year. b. Had a total maximum. c. Were unsentenced? d. TOTAL (Sum of Items 3a through	7, how many inmates under your ount any inmates in more than one category. are physically located in your Territorial hose your Territory housed for another jurisdiction in sentence of more than 1 year? In gonsecutive sentences that add and concurrent sentences in which most serious offense is more than the sentence of 1 year or less?	etion. Decemb Male	er 31, 2017 Female	Decembe (If Availa	er 31, 2016 ble)
custody-Please do not constructive sonly, including the analysis of acilities only, including the acilities only include inmates servited to more than 1 year. Include inmates servite the sentence for the real 1 year. b. Had a total maximum. c. Were unsentenced? d. TOTAL (Sum of Items 3a through). How complete are the	7, how many inmates under your ount any inmates in more than one category. are physically located in your Territorial hose your Territory housed for another jurisdiction in sentence of more than 1 year? In grant consecutive sentences that add and concurrent sentences in which most serious offense is more than immediately sentence of 1 year or less? In sentence of 1 year or less?	all inmates uninmates in you, inmates from	er 31, 2017 Female der your custody. Ir custody but exclusion another jurisdiction	Decembe (If Availa Male	Female s of inmates

 Page 2
 33
 FORM NPS-1B(T) (MM-DD-YYYY)

SECTION III RACIAL AND ET	HNIC CO	MPOSITION		
. On December 31, 2017, how many inmates under your jurisdic	tion-	w 24 2047	Dagawaha	. 24 . 004.0
Please do not count any inmates in more than one category.	Decembe	er 31, 2017	December (If Availab	
 Include all inmates for whom your Territory/Commonwealth government has the legal authority and responsibility for the enforcement of their prison sentence, regardless of their location. 	Male	Female	Male	Female
a. White (not of Hispanic origin)				
b. Black (not of Hispanic origin)				
c. Hispanic or Latino (If your system records indicate Hispanic origin separately from race, enter "NR" in item 5c and report count in NOTES.)				
d. American Indian/Alaska Native (not of Hispanic origin)				
e. Asian (not of Hispanic origin)				
f. Native Hawaiian or Other Pacific Islander (not of Hispanic origin)				
g. Two or more races (not of Hispanic origin)				
h. Additional categories in your information system – Specify				
i. Not known				
j. TOTAL (Sum of 5a through 5i)				
SECTION IV - CROWDING	AND CAP	PACITY		
a. On December 31, 2017, how many inmates were under your housed in facilities operated by other Commonwealth/Territ authorities, solely to ease prison crowding?	jurisdiction tory, State,	but were or Federal	Decembe	er 31, 2017
 INCLUDE only inmates that were held in a prison in another Common State, or in the Federal system, solely to ease prison crowding. 	weath/Territory	у,	Male	Female
 EXCLUDE inmates held outside your jurisdiction's facilities for reasons other than crowding (e.g., work release, court appearance, hospitals, treatment programs). 				
b. Are these inmates included in the counts in Section I, item $ \\$	1d?			
Yes No — Please explain in Section V on page 4.				
On December 31, 2017, what was the capacity of your prison s	_		Danimi	24 004
 If your system has more than one prison, enter the combined capacity If the answer is "not available" or "unknown," write "DK" in the space p 				er 31, 2017
 If the answer is "not applicable," write "NA" in the space provided. 	TOVIGEU.		Male	Female
 a. What was the rated capacity? The number of beds or inmates assigned by rating officials to in 	stitutions.			
 b. What was the operational capacity? The number of inmates that can be accommodated based on significant capacity. 	taff, existing			
programs, and services in institutions. c. What was the design capacity?				
The number of inmates that planners or architects intended for your jurisdiction.	all institutions	within		

SECTION V - EXPLANATORY NOTES	

Page 4 FORM NPS-1B(T) (MM-DD-YYYY)