# Brokering License Requirements – ITAR Part 129.6

* **Cover Page**
  + Transaction ID (optional)
  + Documents
    - No drop-down list of options for documents. User can enter a description/title of the document and attach what they want.
* **Block 1 - 2** – Basic Information
  + Date Prepared (auto populated and required)
  + Applicant Information
    - Registration Code (required)  
      *Business Rule – Registration Code must be a K Code.*
    - Name (required)
    - Attention (required)
    - Address (required)
    - City (required)
    - State
    - Zip/Postal Code
    - Country (required)
* **Block 3** - Certification Statement
  + Select appropriate statement(s) (required)
    - A
    - B
    - C
    - D
* **Block 4** - Describe Brokering Activity
  + Action to be taken by the applicant to facilitate the manufacture, export, import, or transfer of a defense article or defense service (which may be referred to as a “defense article or defense service transaction”)
* **Block 5** - Persons who may participate in brokering activity
  + **Brokering Participants:** (at least 1 required)
    - Name (required)
    - Address (required)
    - City (required)
    - State
    - Zip/Postal Code
    - Country (required)
    - Nationality (required)
    - **Place of Business Information:**
      * Name (required)
      * Address (required)
      * City (required)
      * State
      * Zip/Postal Code
      * Country (required)
  + Add
* **Block 6 - 9** - Description of Defense Article or Defense Services that may be involved
  + 6. Commodity Information (at least 1 required)
    - USML Category (required)
    - USML Sub Category (required)
    - Is defense article significant military equipment (SME)?
    - Name or Military Nomenclature (required)
    - Estimated Quantity (required)
    - Estimated U.S. dollar value (required)
    - Line Item total (auto calculated)
    - Security Classification (required)
    - Add (ability to add multiple commodities)
  + 7. Total Value (auto calculated)
  + 8. End User (at least 1 required)
    - Name (required)
    - Address (required)
    - City (required)
    - Country (required)
    - Add (ability to add multiple end users)
  + 9. End Use (required)
* **Block 10** – Related to direct commercial sale or FMS program (required)
  + Statement whether brokering activities are related to a sale through direct commercial sale or under the U.S. Foreign Military Sales program or other activity in support of the U.S. Government
* **Block 11** - Empowered Certification Statement (required)
  + Certification that the request is complete and accurate
    - If at the time of submission certain information required in Block 4, 5, 6, 7, 8, 9 or 10 is not yet available, this fact must be stated and explained in the certification required.
  + Signature (Empowered official)

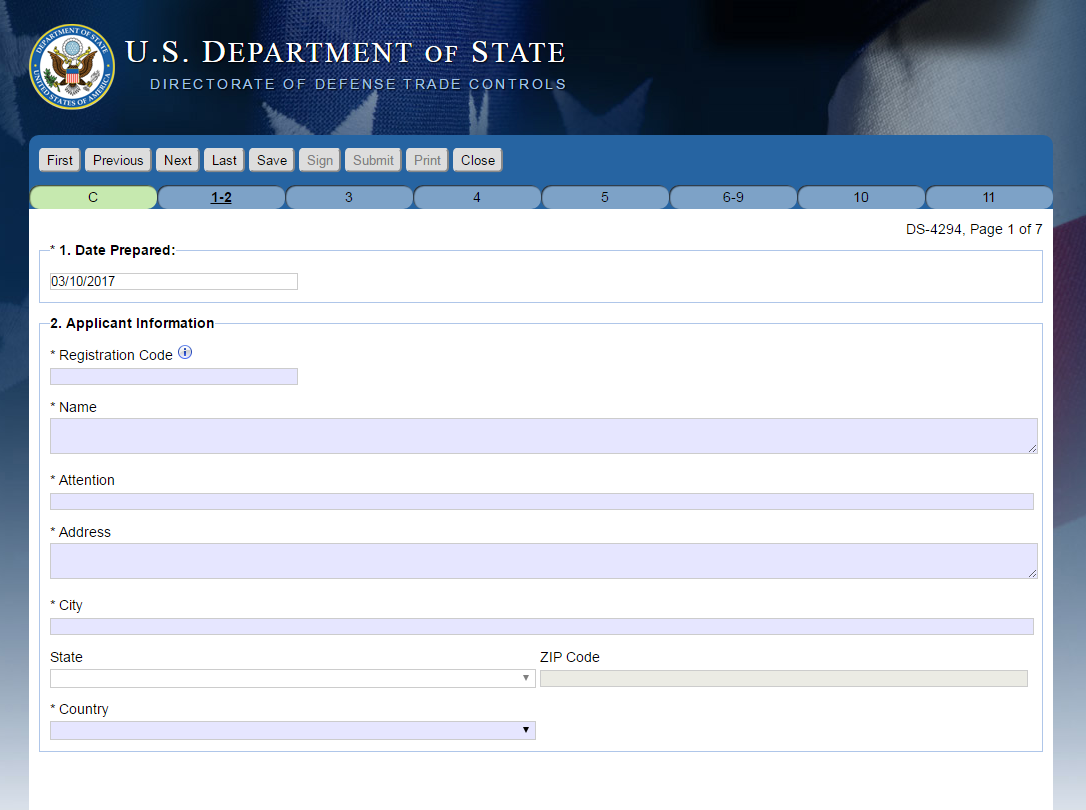
On successful submission, assign case number. Numbering convention is BA-L###-YY. Number resets yearly back to 001.

Follow same rules for internal business rule validation...

* Verify registration code is valid (exists, name and code match and not expired)
* Run names through watchlist
* Flag any licensing warnings (countries)
* Assign case based on USML category

# screen shots as of 3/17

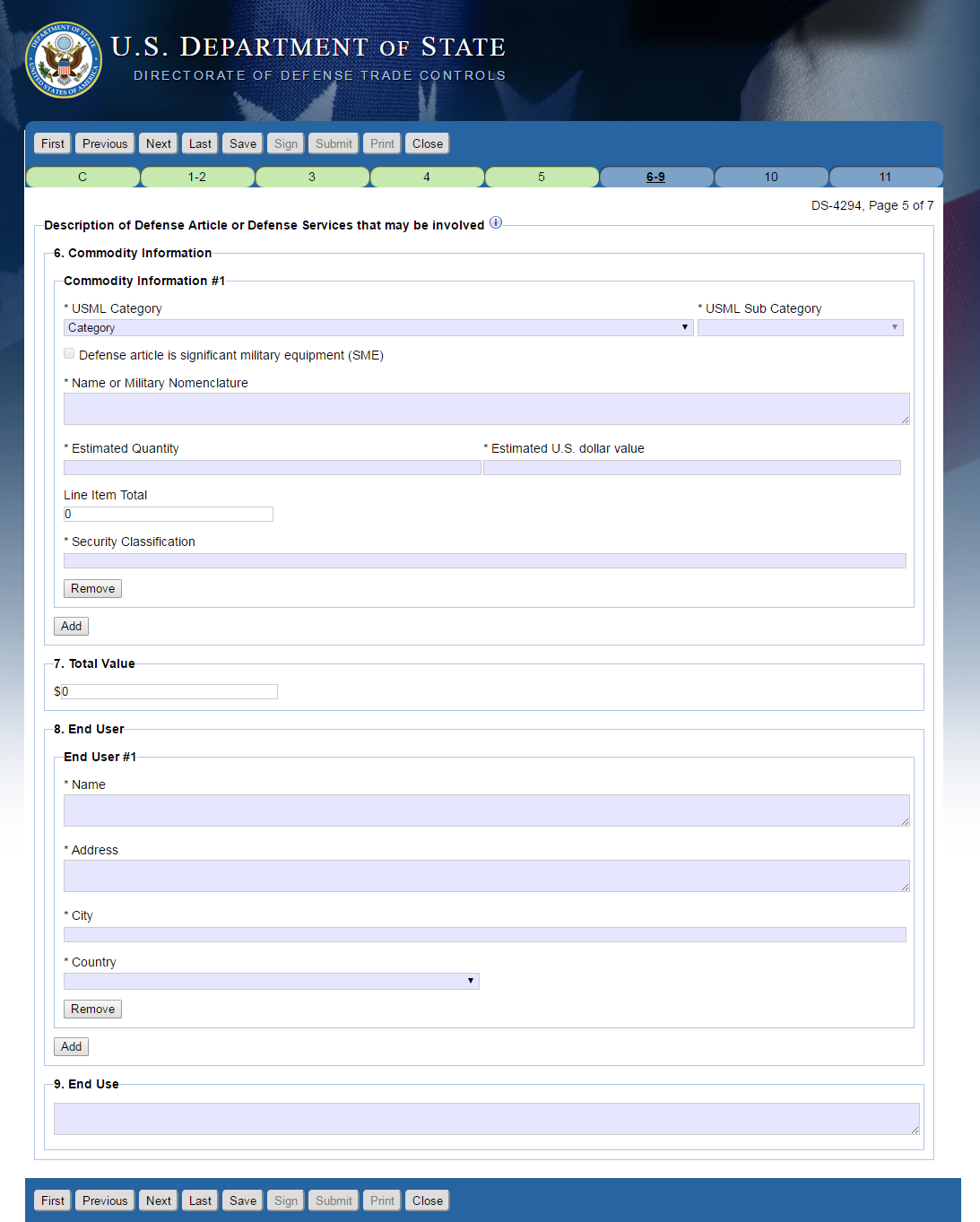


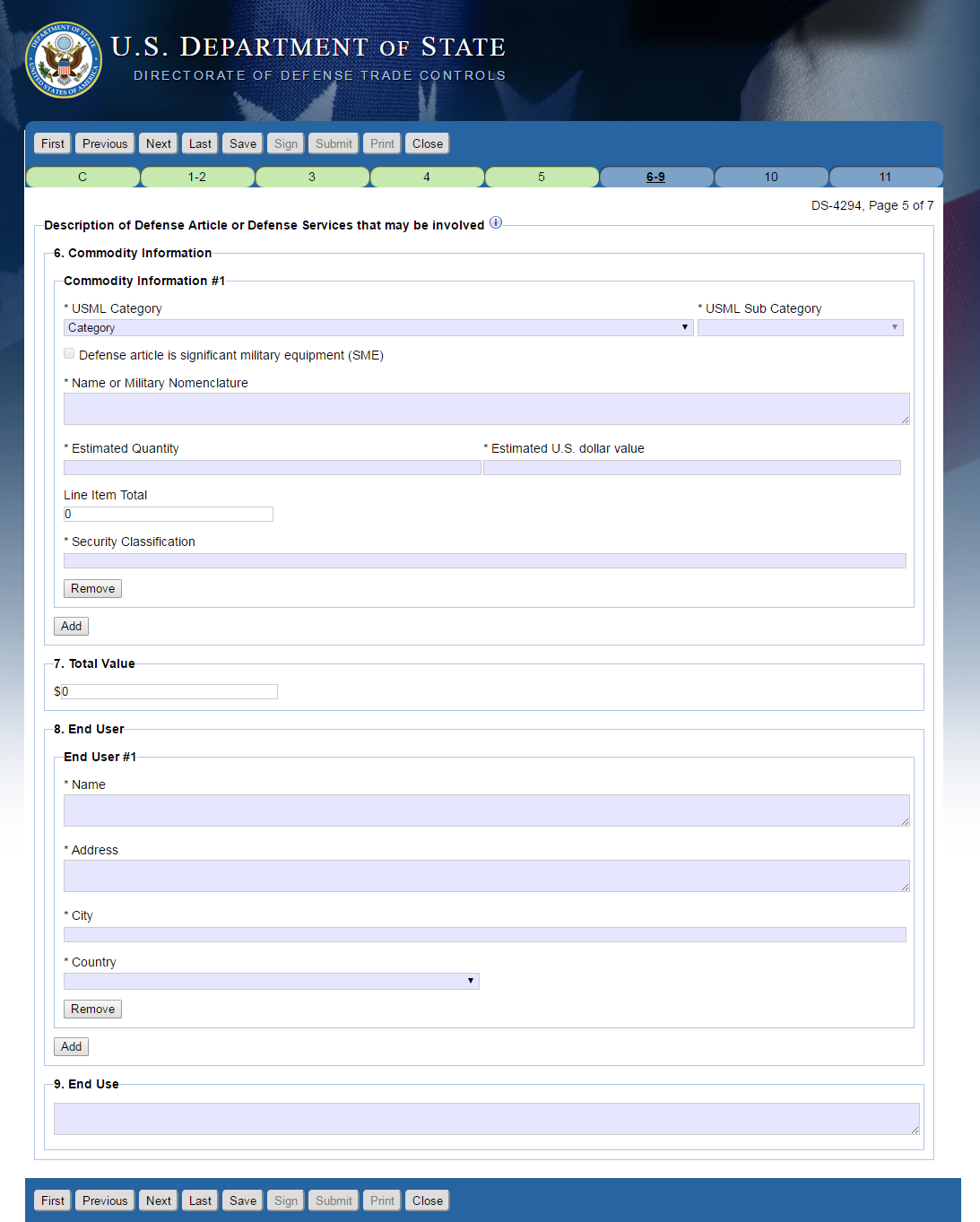














Incomplete block 5 and 10, therefore explanation text box is required.



Complete and valid Blocks 4 -10 information, therefore explanation block disabled.

