

U.S. DEPARTMENT OF THE TREASURY COMPLAINT OF CLASS DISCRIMINATION FORM INSTRUCTIONS TD F 62-03.10 (REV. 02/2017 EDITION)

(Read the following instructions carefully before you complete this form) (Please complete all items on the complaint form)

GENERAL: This form is to be used to file a formal complaint of class discrimination if you are an applicant for employment with the Department of the Treasury, or a present or former Department of the Treasury employee and:

- 1) believe you have been discriminated against because of your race, color, religion, sex (including pregnancy, sexual orientation and gender identity), national origin, age, disability, protected genetic information, or in reprisal, <u>or</u>
- 2) believe you have been discriminated against because of your parental status. Your claim is not covered under a statutory basis, but will be processed under a parallel procedure.

IMPORTANT NOTE: In certain situations, the information provided in Part III of the attached complaint form may be used in lieu of an affidavit in the investigation of your complaint. Accordingly, the information you provide in this part should be brief, clear, and complete.

<u>WHEN TO FILE</u>: In accordance with 29 CFR 1614.106, your formal complaint must be filed **within 15 calendar days** of the date you received the Notice of Right to File a Discrimination Complaint form from your EEO Counselor. You must sign and date your complaint. If you are represented **by an attorney**, the attorney may sign the complaint on your behalf.

This time limit may be extended:

- 1) if you show that you were not notified of the time limits and were not otherwise aware of them, or
- 2) if you were prevented by circumstances beyond your control from submitting the matter within the time limit, or
- 3) for other reasons considered sufficient by the Department.

REPRESENTATION: You may have a representative of your own choosing at all stages of the processing of your complaint. However, your representative will be disqualified if such representation would conflict with the official or collateral duties of the representative. No EEO Counselor, EEO Investigator or EEO Officer may serve as a representative. (Your representative need not be an attorney, but only an attorney representative may sign the complaint on your behalf.)

<u>WHERE TO FILE</u>: In accordance with 29 CFR 1614.106(c), your written complaint must be signed by you or your attorney. The complaint should be filed with the Department of the Treasury. (Filing instructions are contained in the "Notice of Right to File" letter, which was provided by your EEO Counselor.) Keep a copy of the completed complaint form for your records.

PRIVACY ACT STATEMENT

- 1. <u>FORM NUMBER/TITLE/DATE</u>: Department of the Treasury Form Number TD F 62-03.10, Class Complaint of Employment Discrimination with the Department of the Treasury (10/15 Edition).
- 2. <u>AUTHORITY</u>: 29 U.S.C. § 206(d); 29 U.S.C. § 791; 42 U.S.C. § 2000e; 42 U.S.C. § 2000ff-(2); 29 U.S.C. § 633a; 5 U.S.C. § 1303-1304; 5 CFR § 5.2-5.3; 29 C.F.R. § 1614.103(a); 29 CFR § 1614.105; .107; 29 C.F.R. §1614.204; Executive Order 11478, as amended; Executive Order 13152 and Management Directive 110 (August 2015).
- 3. PRINCIPAL PURPOSES: The purpose of this complaint form, whether recorded initially on the form or taken from a letter from the Complainant, is to record the filing of a formal written complaint of employment discrimination with the Department of the Treasury on the grounds of race, color, religion, sex (including pregnancy, sexual orientation, and gender identity), national origin, age, disability, protected genetic information, parental status, or reprisal. Information provided on this form will be used by the Department of the Treasury to determine whether the complaint was timely filed and whether the allegations in the complaint are within the purview of 29 CFR Part 1614, or the Executive Orders listed in item 2 above, to provide a factual basis for investigation of the complaint, and to reach a decision on the complaint. This form may also be used to record an amendment request or additional evidence for an open, pending complaint.
- **4.** <u>ROUTINE USES</u>: Disclosures may be made consistent with the routine uses published in applicable Systems of Record Notices, including EEOC/GOVT-1 and Treasury .013. These routine uses include:
 - a. to respond to a request from a Member of Congress regarding the status of the complaint or appeal;
 - **b.** to respond to a court subpoena and/or to refer to a district court in connection with a civil suit;
 - c. to disclose information to authorized officials or personnel to adjudicate a complaint or appeal; or
 - **d.** to disclose information to another Federal agency or to a court or third party in litigation when the Government is party to a suit before the court.
- 5. WHETHER DISCLOSURE IS MANDATORY OR VOLUNTARY, AND EFFECT ON INDIVIDUAL BY NOT PROVIDING INFORMATION: Formal complaints of employment discrimination must be in writing, signed by the Complainant (or attorney representative), and must identify the parties and action or policy at issue. Failure to comply may result in the Department of the Treasury dismissing the complaint. It is not mandatory that this form be used to provide the requested information.

PAPERWORK REDUCTION ACT STATEMENT: In accordance with the Paperwork Reduction Act of 1995, The Department of the Treasury may not conduct or sponsor, and the respondent is not required to respond to this collection of information unless it displays a valid OMB Control Number. The valid OMB Control Number for this information collection is 1505-XXXX. The collection of this information is voluntary. However, the information is necessary to determine if your complaint of employment discrimination is acceptable for further processing in accordance with EEOC, 29 C.F.R. §1614. The time required to complete this information collection is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing the form. Send comments regarding this burden estimate or any other aspects of this collection, including suggestions for reducing this burden, to Department of the Treasury, Office of Civil Rights and Diversity, 1500 Pennsylvania Avenue, N.W., Washington, DC 20220.

Form No. TD F 62-03.10



COMPLAINT OF CLASS DISCRIMINATION WITH THE DEPARTMENT OF THE TREASURY

For Office Use Only:

Department Formal Case Number

Filing Date

| PART I: CLASS AGENT IDENTIFICATION | | | | | |
|--|------------------------------|--------------------------|-----------------------|--|--|
| 1. Class Agent Name | | | | | |
| Last Name | First Name | | Middle Initial | | |
| 2. Primary Contact Number (Include Area Code) | | | | | |
| Phone | Best Time to Call: OM | Morning OAfternoon | OEvening | | |
| | Dest Time to Call. Olv | offling OAitemoon | OLVEIIIIg | | |
| 3. Preferred Email Address | | | | | |
| Email | | | | | |
| 4. Home Address (You must notify the Department of any changes of address or your complaint may be dismissed. Send updated information to: Office of Civil Rights and Diversity, Department of the Treasury, 1500 Pennsylvania Avenue NW, Washington, DC 20220.) | | | | | |
| Street Address | City | State | ZIP | | |
| 5. If you are a current or former employee of the Federal government, list your most recent title, series, and grade. | | | | | |
| Title | | Series | Grade | | |
| 6. Name and Address of Organization Where You | Work (if a Treasury Employee | e) | | | |
| Bureau and Business Unit | , , , , , | anizational Component | | | |
| | | | | | |
| Street Address | City | State | ZIP | | |
| 7. Employment Status in Relation to this Complain | nt: | | | | |
| 0.4 11 1 0.7 1 11 0.0 | (0 0 1111 | Date Left Treasury Emplo | yment (if applicable) | | |
| O Applicant O Probationary O Care | | | | | |
| O Former Employee ORetired O Other: _ | | - | | | |
| PART II: DESIGN | NATION OF REPRESEN | ITATIVE | | | |
| 8. You may represent yourself in this complaint or you may choose someone to represent you. Your representative does not have to be an attorney. You may change your designation of a representative at a later date, but you must notify the department immediately in writing of any change, and you must include the same information requested in this Part. | | | | | |
| "I hereby designate (Please Print Name) to serve as my representative during the course of this complaint. I understand that my representative is authorized to act on my behalf." | | | | | |
| 9. Representative's Mailing Address | | | | | |
| Firm / Organization | | | | | |
| Street Address | City | State | ZIP | | |
| 10. Representative's Employer (If Federal Agency) | | | | | |
| Employer | | | | | |
| 11. Representative's Telephone/Email Address | | | | | |
| Phone | Email | | | | |

| PART III: ALLEGED DISCRIMINATORY ACTIONS | | | | | | |
|--|------|--------------------------|------------------------|--------|--|--|
| 12. Name and Address of Treasury Bureau that took the action at issue (if different than item 6.) | | | | | | |
| Bureau and Business Unit | | Office and Organizationa | al Component | | | |
| Street Address | City | | State | ZIP | | |
| 13. If your complaint involves nonselection for a position, please complete the below information. If you wish to allege more than one nonselection, list the same information for each additional nonselection under number 14. | | | | | | |
| Position | | | Series | Grade | | |
| Vacancy Announcement Number | | | Date Learned of Nonsel | ection | | |
| 14. IDENTIFICATION OF CLASS (Provide the name of each class member or the group to which the class belongs and indicate race, color, religion, sex (pregnancy, sexual orientation, and gender identity), national origin, age, disability, protected genetic information, parental status or reprisal and other pertinent information, including the employment status, and job titles). If additional space is required, continue on blank sheet. | | | | | | |
| | | | | | | |
| 15. DESCRIPTION INDIVIDUAL ALLEGATION OF DISCRIMINATION OF THE AGENT (Be specific and detailed as to the action or matter involved. Explain, how you were adversely affected, etc.) If additional space is required, continue on blank sheet | | | | | | |
| | | | | | | |
| 16. DESCRIPTION CLASS ALLEGATION OF DISCRIMINATION (Be specific and detailed and describe the specific policy or practice alleged as discriminatory and the Treasury organization involved in implementing the policy or practice.) If additional space is required, continue on blank sheet | | | | | | |
| | | | | | | |

| 17. Mark below ONLY the bases you believe were relied on to take the actions described in #15 and 16. | | | | | |
|--|---|--|--|--|--|
| ☐ Age (Date of Birth:) ☐ N | ational Origin (Specify:) | | | | |
| ☐ Race (State Race: | isability | | | | |
| l ' | rotected Genetic Information | | | | |
| · · · · · · · · · · · · · · · · · · · | etaliation/Reprisal | | | | |
| ☐ Sex (☐ Male ☐ Female) | (Date of Prior EEO Activity:) | | | | |
| l ' | arental Status | | | | |
| 18. What remedial or corrective action are you seeking to resolve the | | | | | |
| 10. What remedial of corrective action are you seeking to resolve the | iis matter: | | | | |
| | | | | | |
| PART IV: CONT | ACT | | | | |
| 19. When did the <i>most recent</i> discriminatory event occur? | | | | | |
| Date of Most Recent Event | | | | | |
| | | | | | |
| 20. When did you first become aware of the alleged discrimination? | | | | | |
| Date of Awareness | | | | | |
| 21. When did you contact an EEO Counselor? | | | | | |
| Date of EEO Contact | | | | | |
| Name 4550 Councille | order Dhann or Free! | | | | |
| Name of EEO Counselor EEO Cour | selor Phone or Email | | | | |
| 22. Did you discuss all actions raised in item 15 and 16 with an EEC | Counselor? | | | | |
| (If no, please explain) | | | | | |
| OYes ONo | | | | | |
| | | | | | |
| 23. When did you receive your Notice of Right to File? | | | | | |
| Date Recieved Notice | | | | | |
| 24. If you contacted an EEO Counselor more than 45 days after the | most recent alleged discriminatory event, or if you | | | | |
| are filing this form more than 15 days after receiving the Notice | of Right to File, please provide an explanation for | | | | |
| the delay below and attach additional supporting documentation | n if necessary. | | | | |
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| 25. On this same matter, have you filed a grievance or appeal unde | r: | | | | |
| 25. On this same matter, have you filed a grievance or appeal unde Negotiated grievance procedure OYes O No | r: | | | | |
| | r: | | | | |
| Negotiated grievance procedure OYes O No Agency grievance procedure OYes O No | r: | | | | |
| Negotiated grievance procedure Agency grievance procedure OYes O No Merit System Protections Board appeal procedure OYes O No | | | | | |
| Negotiated grievance procedure OYes O No Agency grievance procedure OYes O No | | | | | |
| Negotiated grievance procedure Agency grievance procedure OYes O No Merit System Protections Board appeal procedure OYes ONo If you filed a grievance or appeal, provide date filed, case number, a Date Filed Case Number | and present status. Present Status | | | | |
| Negotiated grievance procedure Agency grievance procedure OYes O No Merit System Protections Board appeal procedure OYes ONo If you filed a grievance or appeal, provide date filed, case number, a | and present status. Present Status | | | | |
| Negotiated grievance procedure Agency grievance procedure OYes O No Merit System Protections Board appeal procedure OYes ONo If you filed a grievance or appeal, provide date filed, case number, a Date Filed Case Number | nnd present status. Present Status URE | | | | |