#### U.S. Department of Education OFFICE OF POSTSECONDARY EDUCATION International and Foreign Language Education Washington, DC 20202

www.ed.gov/ope/iegps

#### FY 2018

#### **APPLICATION FOR GRANTS**

under the

#### **CENTERS FOR INTERNATIONAL BUSINESS EDUCATION**

CFDA NUMBER: 84.220A

FORM APPROVED

OMB No. 1840-0616

Applications Available: **TBD** 



**DATED MATERIAL - OPEN IMMEDIATELY** 

## **CLOSING DATE: TBD**

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Dear Applicant:

Thank you for your interest in applying for a fiscal year (FY) 2018 grant under the Centers for International Business Education (CIBE) program. This application booklet includes information about the program, instructions, and forms that applicants need to submit a complete application package to the U.S. Department of Education (Department). We encourage you to review these instructions in their entirety before preparing and submitting your application.

Centers for International Business Education (CIBES) offer consulting services on international business and marketing to businesses in their areas, develop business language curriculum, and teach international business topics to undergraduate and graduate students. They also partner with businesses and professional associations to offer internships and other real-world experience to prepare career-ready international business students. CIBEs serve to strengthen the American economy in our increasingly interconnected world by enabling U.S. citizens and companies to compete in the international business arena.

The CIBE program provides grants for up to 48 months to pay the Federal share of the cost of planning, establishing, and operating Centers for International Business Education. Eligible applicants are U.S. institutions of higher education or combinations of such institutions.

This program is among several programs that the International and Foreign Language Education office administers to respond to the ongoing national need for individuals with expertise and competence in world languages and area or international studies and contribute to developing a globally competent workforce able to engage with a multilingual/multicultural clientele at home and abroad.

You may view more information about the CIBE program at: <u>https://www2.ed.gov/programs/iegpscibe/index.html</u>.

For the FY 2018 competition, there are two **competitive preference priorities** and one **invitational priority**. Please refer to the Notice Inviting Applications published in the <u>Federal Register</u> and included in this application package for further information about the competition priorities.

We appreciate your interest in this program and look forward to receiving your application.

Sincerely,

Lenore Yaffee Garcia Acting Deputy Assistant Secretary, International and Foreign Language Education

#### **COMPETITION HIGHLIGHTS**

#### 1. Competition Priorities

#### **Competitive Preference Priorities**

Applications may be awarded up to an additional five points, depending on how well the application meets CIBE Competitive Preference Priority 1, and up to an additional five points, depending on how well the application meets CIBE Competitive Preference Priority 2. An application may receive a maximum of 10 points for these competitive preference priorities.

These priorities are:

Please note the following program priorities for FY 2018:

- a. <u>Competitive Preference Priorities (2)</u>: The competitive preference priorities for FY2018 are:
  - i. <u>Competitive Preference Priority 1:</u> Applications that propose to collaborate with one or more professional associations and/or businesses on activities designed to expand employment opportunities for international business students, such as internships and work-study opportunities.
  - ii. <u>Competitive Preference Priority 2</u>: Applications that propose significant and sustained collaborative activities with one or more Minority Serving Institutions (as defined in the Notice Inviting Applications) and/or with one or more community colleges (as defined in the Notice Inviting Applications). These activities must be designed to incorporate international, intercultural, or global dimensions into the business curriculum of the MSI(s) and/or community college(s). If an applicant institution is an MSI (as defined in this notice), that institution may propose intra-campus collaborative activities instead of, or in addition to, collaborative activities with other MSIs or community colleges.

#### **Invitational Priority**

Applications that meet the invitational priority do not receive an absolute or competitive preference over other applications in the competition.

b. <u>Invitational Preference Priority:</u> For FY 2018 there is one invitational priority for this program. Under 34 CFR 75.105(c)(1), we do not give an

application that meets these invitational priorities a competitive or absolute preference over other applications.

Applications that propose programs or activities focused on language instruction and/or performance testing and assessment to strengthen the preparation of international business professionals.

Please note that these priorities are explained in detail in the *Federal Register* Notice contained in this application package. We advise you to fully review this Notice carefully before preparing your application. The NIA published in the <u>Federal Register</u> constitutes the official competition guidelines. An applicant should not rely upon external information that is inconsistent with the application preparation guidelines and submission instructions presented in the NIA.

#### 2. Submission Procedures and CIBE Application Forms

#### **Grants.gov and Electronic Submission**

CIBE applications submitted for FY2018 must be submitted electronically using e-Application, accessible through the Department's e-Grants web site at http://www.grants.gov. We advise that you acquaint yourself with the requirements of grants.gov early. A more thorough discussion is included later in this application package. It is important to know that the Grants.gov site works differently than the Department's e-Application system used in past competitions. Please note, once you download an application from Grants.gov, you will be working offline and saving data on your computer. Please be sure to note where you are saving the Grants.gov file on your computer. You will need to log on to Grants.gov to upload and submit the application.

We also urge you to consider the following important administrative factors if you are planning to apply for this program:

Grants.gov does not allow applicants to "un-submit" applications. Therefore, if you discover that changes or additions are needed once your application has been accepted and validated by the Department, you must "re-submit" the application. You should know that if the Department receives duplicate applications, we will accept and process the application with the latest "date/time received" validation.

For additional information on verifying submission of your application, please refer to the "Grants.gov Submission Procedures and Tips for Applicants" section.

Once you download the CIBE application package from Grants.gov, you will be working offline and saving data on your computer. Please be sure to note where you are saving the Grants.gov file on your computer, because you will need to upload your work into Grants.gov to submit the application. For help with Grants.gov, you should call toll-free at 1-800-516-4726, or email <u>Support@grants.gov</u>. The Support Desk is available 24 hours, 7 days a week except Federal holidays.

**Deadline Information**: The application must be received on or before the deadline date and time. Please note that U.S. Department of Education grant application deadlines fall at 4:30:00 p.m. Washington, DC time. Late applications <u>will not be accepted</u>. We suggest that you submit your application several days before the deadline. The Department is required to enforce the established deadline to ensure fairness to all applicants. No changes or additions to an application will be accepted after the deadline date and time.

<u>Suggested Page Limit</u>: The suggested maximum number of pages and line spacing for Project Narrative portion of the application is 55 pages, double-spaced.

**Project Abstract**: Applicants are required to submit a Project Abstract. The suggested length and format for the abstract is one page, single-spaced. The abstract should include the name of the applicant institution, title of the project, name and contact information for the Project Director, and a brief overview of the proposed project. The abstract must be uploaded into the "ED Abstract Narrative Form" in the e-Application.

**<u>CIBE Assurance Form</u>**: CIBE applications must include the CIBE assurance form found in this application package. \_

**Proposed Grant Dates & Project Activities**: Proposed start and end dates for the FY 2018 twelve months budget period will be October 1, 2018 – September 30, 2019. Applicants may be funded for 48 months. An itemized line item budget showing the federal request and the matching amount should be submitted for each 12-month budget period. This is uploaded in the Budget Narrative Attachment Form section.

#### 3. FY 18 Estimated Funding

# CIBE grant amounts are estimated only; the U.S. Department of Education is not bound by the estimates given below:

- Estimated total amount available for new awards: \$4,571,400
- Estimated average range of award: \$265,000 \$305,000 per year
- Estimated average award: \$285,000
- Estimated number of awards: 16

We will reject any application that proposes a budget exceeding \$365,000 for a single 12 month budget period.

The project period for a CIBE grant is up to 48 months.

#### OVERVIEW CENTERS FOR INTERNATIONAL BUSINESS EDUCATION

#### AUTHORIZATION

20 U.S.C. 1130-1131.

#### **APPLICABLE REGULATIONS**

(a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 74, 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The Education Department debarment and suspension regulations in 2 CFR part 3485. (c) The notice of final priorities, published elsewhere in the <u>Federal Register</u>. As there are no program-specific regulations, we encourage each potential applicant to read the authorizing statute for the CIBE Program in section 612 of Title VI, part B, of the HEA. The regulations in 34 CFR part 79 apply to all applicants except federally recognized Indian tribes. The regulations in 34 CFR part 86 apply to institutions of higher education (IHEs) only.

#### PURPOSE

This program provides funding to institutions of higher education in the United States on issues of importance to U.S. trade and competitiveness.

#### **ELIGIBLE APPLICANTS**

To be eligible for assistance under this program, an applicant must be an institution of higher education, or a combination of institutions, that establishes a Center Advisory Council which will conduct extensive planning prior to the establishment of a Center for International Business Education concerning the scope of the Center's activities and the design of its programs.

The Center Advisory Council shall include—

- (1) One representative of an administrative department or office of the institution of higher education (or a combination of these institutions);
- (2) One faculty representative of the business or management school or department of the institution (or a combination of these institutions);
- One faculty representative of the international studies or foreign language school or department of the institution (or a combination of these institutions);
- (4) One faculty representative of another professional school or department of the institution (or a combination of these institutions), as appropriate;
- (5) One or more representatives of local or regional businesses or firms;
- (6) One representative appointed by the Governor of the State in which the institution (or a combination of these institutions) is located whose normal responsibilities include official oversight or involvement in State-sponsored trade-related activities or programs; and

(7) Such other individuals as the institution of higher education (or a combination of these institutions) deems appropriate such as a representative of a community college in the region served by the center.

#### 1. Authorized Activities

The purpose of the Centers for International Business Education Program is to coordinate programs of the Federal government in the areas of research, education, and training in international business and trade competitiveness; and to provide grants to eligible institutions of higher education, or combinations of these institutions, to pay the Federal share of the cost of planning, establishing and operating Centers for International Business Education that will—

- Be national resources for the teaching of improved business techniques, strategies, and methodologies that emphasize the international context in which business is transacted;
- Provide instruction in critical foreign languages and international fields needed to provide an understanding of the cultures and customs of United States trading partners;
- (3) Provide research and training in the international aspects of trade, commerce, and other fields of study;
- (4) Provide training to students enrolled in the institution, or combinations of institutions, in which a center is located;
- (5) Serve as regional resources to businesses proximately located by offering programs and providing research designed to meet the international training needs of these businesses; and
- (6) Serve other faculty, students and institutions of higher education located within their region.

#### 2. Programmatic Requirements

Programs and activities to be conducted by Centers for International Business Education assisted under this program must include—

- (1) Interdisciplinary programs which incorporate foreign language and international studies training into business, finance, management, communications systems, and other professional curricula;
- (2) Interdisciplinary programs which provide business, finance, management, communications systems, and other professional training for foreign language and international studies faculty and degree candidates;
- (3) Programs, such as intensive language programs, available to members of the business community and other professionals, which are designed to develop or enhance their international skills, awareness, and expertise;

- (4) Collaborative programs, activities, or research involving other institutions of higher education, local educational agencies, professional associations, businesses, firms or combinations thereof, to promote the development of international skills, awareness, and expertise among current and prospective members of the business community and other professionals;
- (5) Research designed to strengthen and improve the international aspects of business and professional education and to promote integrated curricula; and
- (6) Research designed to promote the international competitiveness of American businesses and firms, including those not currently active in international trade.

#### 3. Other Permissible Activities

Programs and activities to be conducted by Centers for International Business Education assisted under this program may also include—

- (1) The establishment of overseas internship programs for students and faculty designed to provide training and experience in international business activities, except that no Federal funds provided under this program may be used to pay wages or stipends to any participant who is engaged in compensated employment as part of an internship program;
- (2) The establishment of linkages overseas with institutions of higher education and other organizations that contribute to the educational objectives of this program;
- (3) Summer institutes in international business, foreign area studies, foreign language studies, and other international studies designed to carry out the purposes of paragraph (1);
- (4) The development of opportunities for business students to study abroad in locations which are important to the existing and future economic well-being of the United States;
- (5) Outreach activities or consortia with business programs located at other institutions of higher education (including those that are eligible to receive assistance under part A or B of title III of the Higher Education Act or under Title V) for the purpose of providing expertise regarding the internationalization of such programs, such as assistance in research, curriculum development, faculty development, or educational exchange programs;
- (6) Programs encouraging the advancement and understanding of technologyrelated disciplines, including manufacturing software systems and technology management; and
- (7) Other eligible activities prescribed by the Secretary.
- 4. Cost Sharing Requirements The Federal Share

The applicant's share of the cost of planning, establishing and operating Centers under this section may not be less than—

- (1) 10 percent for the first year in which Federal funds are furnished;
- (2) 30 percent for the second year; and
- (3) 50 percent for the third year and for each year thereafter.
- 5. Cost Sharing Requirements the Non-Federal Share

#### The non-Federal share of the cost of planning, establishing, and operating Centers under this program may be provided either in cash or by in-kind assistance.

#### NOTE: WAIVER OF THE NON-FEDERAL SHARE:

In case an institution of higher education receives a grant under this program to conduct outreach or consortia activities with another institution of higher education in accordance with paragraph (5) under "Other Permissible Activities," the Secretary may waive a portion of the requirements for the non-Federal share stipulated under "Funding Requirements--The Federal Share." The portion that may be waived shall be equal to the amount provided by the grantee to any other institution of higher education for carrying out these outreach or consortia activities. Any waiver shall be subject to the terms and conditions that the Secretary deems necessary for carrying out the purpose of this program.

#### 6. Other Requirements

The statute requires applicants to provide--

- (1) An assurance that the Center Advisory Council will meet not less than once each year after the establishment of the Center to assess and advise on the programs and activities conducted by the Center;
- (2) A description of the extensive planning that the Center Advisory Council and the institution of higher education, or a combination of these institutions, have conducted or will conduct prior to the establishment of the Center for International Business Education, concerning the scope of the Center's activities and the design of its programs;
- (3) An assurance of ongoing collaboration in the establishment and operation of the Center by faculty of the business, management, foreign language, international studies, professional international affairs, and other professional schools or departments, as appropriate;
- (4) An assurance that the education and training programs of the Center will be open to students concentrating in each of these respective areas, as appropriate, and that diverse perspective will be made available to students; and
- (5) An assurance that the institution of higher education, or combination of these institutions, will use the assistance provided under this program to

supplement and not to supplant activities conducted by the institution or institutions of higher education and assisted by this program.

#### 7. Allowable Costs

Grant funds may be used to pay the Federal share of the cost of planning, establishing or operating a center, including the cost of--

- (1) Faculty and staff travel in foreign areas, regions, or countries;
- (2) Teaching and research materials;
- (3) Curriculum planning and development;
- (4) Bringing visiting scholars and faculty to the center to teach or to conduct research;
- (5) Training and improvement of the staff, for the purpose of, and subject to such conditions as the Secretary finds necessary, for carrying out the objectives of this program; and
- (6) Other costs consistent with planning, establishing or operating a center.

The applicant completes section A and B of ED form 524, included in the application package, showing the federal and the matching costs for each year for which funding is requested. Projects may be funded for 48 months, beginning October 1, 2018. In addition to section A and B of Form 524, the applicant provides a detailed line item budget with a breakout of all proposed costs (Federal and matching) for each 12 month period for which funding is requested. Under 34 CFR 75.562, the Secretary accepts an indirect cost rate of no more than 8 percent of the total direct cost of the project for the Federal share and for the matching share.

#### 8. Evaluation of Applications for Awards

A three-member panel of non-federal reviewers reviews each application. Each reviewer will prepare a written evaluation of the application and assign points for each selection criterion.

#### 9. Selection of Grantees

The Secretary will select an application for funding in rank order, based on the application's total score for the selection criteria. If there are insufficient funds to fund all applications with the same total score, the Secretary will choose among the tied applications using established criteria for breaking a tie.

#### 10. Applicant Funding

The Department is often unable to award the full amount of funds requested. Applicants should pay close attention to the "Maximum Award" section of the <u>Notice</u>. The Department will reject any application exceeding \$365,000 for a single 12-month budget period.

#### 11. Notice to Successful Applicants

The Department's Office of Legislation and Congressional Affairs will inform the members of Congress from the districts and states with successful applicants. Successful applicants will receive award notices by mail shortly after the Congress is notified. No funding information will be released before the Congress is notified. Notification generally occurs in August or September.

#### 12. Notice to Unsuccessful Applicants

Unsuccessful applicants will be notified in writing following the notice to successful applicants.

#### 13. Performance Reports

All CIBE grantees must submit project performance reports using the International Resource Information System (IRIS) electronic reporting system. If you wish to view the performance report currently required, visit the IRIS Web site at <a href="http://iris.ed.gov">http://iris.ed.gov</a>. Please be advised that the report is for informational purposes only, and does not necessarily reflect the actual reporting instrument that you will use, should you receive a FY 2018 grant award. The performance report will assist IFLE staff in determining whether or not the CIBE project is making substantial progress toward meeting the approved project objectives and whether or not a continuation award is in the best interest of the federal government. Project Directors will be responsible for overall project reports as well as entering project participant information into the system and ensuring that participants complete and submit individual reports.

# The IRIS reporting instrument includes sections for grantees to input data and information that respond to the Government Performance and Results Act (GPRA) to assess overall program performance.

#### 14. Contact

For CIBE program-related questions and assistance, please contact:

Program Officer:	Timothy Duvall
Address:	International and Foreign Language Education (IFLE)
	U.S. Department of Education
	400 Maryland Avenue, SW, Room 3E215
	Washington, DC 20202
Telephone:	(202) 453-7521
E-mail Address:	<u>timothy.duvall@ed.gov</u>

For technical and Grants.gov-related questions and assistance, please contact:

Grants.gov Support DeskTelephone:(800)-518-4726Email:support@grants.govHours:24 hours a day, 7 days a week (closed federal holidays)

#### SUPPLEMENTAL INFORMATION

#### 1. <u>Additional Attachments to Applications</u> Please limit additional attachments to the following:

- a. Curricula vitae of key personnel and other persons who are vital to the proposed project.
- b. Position descriptions
- c. Letters of support
- d. Project timeline

**<u>NOTE</u>**: These appendices must be attached to the "Other Attachments Form" in the Grants.gov application package.

- 2. <u>Recommended Start Date</u> The Department recommends a start date of October 1, 2018.
- 3. <u>Evaluation of Applicants for Awards</u>

A three-member panel of independent reviewers evaluates each application. Each reviewer assigns points for each selection criterion and prepares evaluation comments.

4. <u>Selection Criteria</u>

The selection criteria for this program are from EDGAR (34 CFR 75.209 and 75.210) and are as follows: (a) meeting the purpose of the authorizing statute, which is to coordinate the programs of the Federal Government in the areas of research, education, and training in international business and trade competitiveness (see section 612(a)(1) of the HEA, 20 U.S.C. 1130-1(a)(1)), (b) significance, (c) quality of the project design, (d) quality of the management plan, (e) quality of project personnel, (f) adequacy of resources, and (g) quality of the project evaluation. Note: Applicants should address these selection criteria only in the context of the program requirements in section 612 of the HEA.

5. <u>Notifying successful applicants</u>

If your application is successful, we notify your U.S. Representative and U.S. Senators and send you a Grant Award Notification (GAN); **or** we may send you an email containing a link to access G5, the Department's Grant Management System. The email will be sent to both the project director and certifying representative in order for them to view and print the Adobe Acrobat version of the electronically signed GAN. If neither the project director nor certifying representative is registered in G5, they will immediately be prompted to register once the link is accessed. The Department's **electronic signature and issuance of the GAN makes it crucial that your application include correct email addresses for both the project director and the certifying representative.** 

- 6. <u>Notifying ineligible or unsuccessful applicants</u> If your application is not evaluated or not selected for funding, we notify you in writing.
- <u>Technical Review Forms</u>
   All applicants will receive copies of the peer reviewers' evaluations.
- 8. <u>Reporting Requirements</u>

If you receive a FY 2018 new grant award, you will be required to submit annual and final performance reports during the entire funding cycle using the International Resource Information System (IRIS). This online system collects narrative, data, and budget information about funded projects to enable program officers to determine if a grantee is making substantial progress toward meeting approved project objectives. At the end of the project period, grantees are required to submit both a final performance report and a federal financial report (SF 425).

If you wish to view the CIBE program reporting instrument currently required, visit the International Education Programs Service (IEPS) Web site at <a href="https://www2.ed.gov/programs/iegpscibe/performance.html">https://www2.ed.gov/programs/iegpscibe/performance.html</a>

Please be advised that the report is for informational purposes only, and does not reflect the actual reporting instrument that you will use, should you receive a FY 2018 grant award.

#### 9. Contact Information.

#### For CIBE program-related questions and assistance, please contact:

Program Officer:	Timothy Duvall
Address:	International and Foreign Language Education
	U.S. Department of Education
	400 Maryland Avenue, SW/ Room 3E215
	Washington, D.C. 20202
Telephone:	(202) 453-7521
E-mail Address:	timothy.duvall@ed.gov

#### For Grants.gov-related questions and assistance, please contact:

Support Desk:	Grants.gov Support Desk
Telephone:	(800) 518-4726
Email:	support@grants.gov
Hours:	24 hours a day, 7 days a week, except Federal holidays

#### IMPORTANT – PLEASE READ FIRST U.S. Department of Education Grants.gov Application Submission Procedures and Tips for Applicants

http://www.Grants.gov

#### **IMPORTANT – PLEASE READ FIRST**

#### U.S. Department of Education Grants.gov Submission Procedures and Tips for Applicants

To facilitate your use of Grants.gov, this document includes important submission procedures you need to be aware of to ensure your application is received in a timely manner and accepted by the Department of Education.

#### **ATTENTION – ADOBE FORMS AND PDF FILES REQUIRED**

Applications submitted to Grants.gov for the Department of Education will be posted using Adobe forms. Therefore, applicants will need to download the latest version of Adobe reader (at least Adobe Reader 8.1.2). Information on computer and operating system compatibility with Adobe and links to download the latest version is available on Grants.gov. We strongly recommend that you review these details on www.Grants.gov before completing and submitting your application. In addition, applicants should submit their application a day or two in advance of the closing date as detailed below. Also, applicants are required to upload their attachments in .pdf format only. (See details below under "Attaching Files – Additional Tips.") If you have any questions regarding this matter please email the Grants.gov Contact Center at support@grants.gov or call 1-800-518-4726.

- REGISTER EARLY Grants.gov registration may take five or more business days to complete. You may begin working on your application while completing the registration process, but you cannot submit an application until all of the Registration steps are complete. For detailed information on the Registration Steps, please go to: <u>http://www.grants.gov/applicants/get\_registered.jsp</u> [Note: Your organization will need to update its SAM registration annually (formerly Central Contractor Registry (CCR)<sup>1\*</sup>.]
- 2) SUBMIT EARLY We strongly recommend that you do not wait until the last day to submit your application. Grants.gov will put a date/time stamp on your application and then process it after it is fully uploaded. The time it takes to

<sup>&</sup>lt;sup>1</sup> Please note that the Central Contractor Registry (CCR) was replaced by the System for Award Management (SAM) effective July 30, 2012. For more information on the migration of CCR data to SAM, grant applicants should read this information located on Grants.gov: <u>http://grants-gov.blogspot.com/2012/07/information-about-pending-migration.html#!/2012/07/information-about-pending-migration.html</u>.

upload an application will vary depending on a number of factors including the size of the application and the speed of your Internet connection, and the time it takes Grants.gov to process the application will vary as well. If Grants.gov rejects your application (see step three below), you will need to resubmit successfully to Grants.gov before 4:30:00 p.m. Washington, DC time on the deadline date.

Note: To submit successfully, you must provide the DUNS number on your application that was used when you registered as an Authorized Organization Representative (AOR) on Grants.gov. This DUNS number is typically the same number used when your organization registered with the SAM (formerly CCR - Central Contractor Registry). If you do not enter the same DUNS number on your application as the DUNS you registered with, Grants.gov will reject your application.

3) VERIFY SUBMISSION IS OK – You will want to verify that Grants.gov received your application submission on time and that it was validated successfully. To see the date/time your application was received, login to Grants.gov and click on the Track My Application link. For a successful submission, the date/time received should be earlier than 4:30:00 p.m. Washington, DC time, on the deadline date, AND the application status should be: Validated, Received by Agency, or Agency Tracking Number Assigned. Once the Department of Education receives your application from Grants.gov, an Agency Tracking Number (PR/award number) will be assigned to your application and will be available for viewing on Grants.gov's Track My Application link.

If the date/time received is later than 4:30:00 p.m. Washington, D.C. time, on the deadline date, your application is late. If your application has a status of "Received" it is still awaiting validation by Grants.gov. Once validation is complete, the status will either change to "Validated" or "Rejected with Errors." If the status is "Rejected with Errors," your application has not been received successfully. Some of the reasons Grants.gov may reject an application can be found on the Grants.gov site: http://www.grants.gov/applicants/applicant\_faqs.jsp#54. For more detailed information on troubleshooting Adobe errors, you can review the Adobe Reader Error Messages document at

<u>http://www.grants.gov/assets/AdobeReaderErrorMessages.pdf</u>. If you discover your application is late or has been rejected, please see the instructions below. Note: You will receive a series of confirmations both online and via e-mail about the status of your application. Please do not rely solely on e-mail to confirm whether your application has been received timely and validated successfully.

#### SUBMISSION PROBLEMS - WHAT SHOULD YOU DO?

If you have problems submitting to Grants.gov before the closing date, please contact Grants.gov Customer Support at 1-800-518-4726 or <u>http://www.grants.gov/contactus/contactus.jsp</u>, or access the Grants.gov Self-Service web portal at: <u>https://grants-portal.psc.gov/Welcome.aspx?pt=Grants</u>. If electronic submission is <u>optional</u> and you have problems that you are unable to resolve before the deadline date and time for electronic applications, please follow the transmittal instructions for hard copy applications in the Federal Register notice and get a hard copy application postmarked by midnight on the deadline date.

If electronic submission is required, you must submit an electronic application before 4:30:00 p.m., unless you follow the procedures in the Federal Register notice and qualify for one of the exceptions to the electronic submission requirement and submit, no later than two weeks before the application deadline date, a written statement to the Department that you qualify for one of these exceptions. (See the Federal Register notice for detailed instructions.)

#### **HELPFUL HINTS WHEN WORKING WITH GRANTS.GOV**

Please note, once you download an application from Grants.gov, you will be working offline and saving data on your computer. Please be sure to note where you are saving the Grants.gov file on your computer. You will need to logon to Grants.gov to upload and submit the application. You must provide the DUNS number on your application that was used when you registered as an Authorized Organization Representative (AOR) on Grants.gov.

Please go to http://www.grants.gov/contactus/contactus.jsp for help with Grants.gov. For additional tips related to submitting grant applications, please refer to the Grants.gov Submit Application FAQs found on the Grants.gov

http://www.grants.gov/applicants/submit application fags.jsp.

#### **DIAL-UP INTERNET CONNECTIONS**

When using a dial up connection to upload and submit your application, it can take significantly longer than when you are connected to the Internet with a high-speed connection, e.g. cable modem/DSL/T1. While times will vary depending upon the size of your application, it can take a few minutes to a few hours to complete your grant submission using a dial up connection. If you do not have access to a high-speed connection and electronic submission is required, you may want to consider following the instructions in the Federal Register notice to obtain an exception to the electronic submission requirement no later than two weeks before the application **deadline date.** (See the Federal Register notice for detailed instructions.)

#### MAC USERS

For Mac compatibility information, review the Operating System Platform Compatibility Table at the following Grants.gov link:

http://www.grants.gov/help/download software.jsp. If electronic submission is required and you are concerned about your ability to submit electronically as a non-Windows user, please follow instructions in the Federal Register notice to obtain an

exception to the electronic submission requirement no later than two weeks before the application deadline date. (See the Federal Register notice for detailed instructions.)

#### Attaching Files – Additional Tips

Please note the following tips related to attaching files to your application, especially the requirement that applicants **only include read-only, non-modifiable .PDF files** in their application:

- 1. Ensure that you attach <u>**PDF files only</u>** for any attachments to your application, and they must be in a **read-only, non-modifiable format**. PDF files are the only Education approved file type accepted as detailed in the Federal Register application notice. Applicants must submit individual .PDF files only when attaching files to their application. Specifically, the Department will not accept any attachments that contain files within a file, such as PDF Portfolio files, or an interactive or fillable .PDF file. Any attachments uploaded that are not .PDF files or are password protected files will not be read. If you need assistance converting your files to a .pdf format, please refer to the following Grants.gov webpage with links to conversion programs under the heading of additional resources: <u>http://www.grants.gov/applicants/app help reso.jsp</u>.</u>
- 2. Grants.gov cannot process an application that includes two or more files that have the same name within a grant submission. Therefore, each file uploaded to your application package should have a unique file name.
- When attaching files, applicants should follow the guidelines established by Grants.gov on the size and content of file names. Uploaded files must be less than 50 characters, contain no spaces, no special characters (example: -, &, \*, %, /, #, \) including periods (.), blank spaces, or accent marks. The names of submitted applications that do not comply with the Grants.gov guidelines will be rejected at Grants.gov and not forwarded to the Department.
- 4. Applicants should limit the size of their file attachments. Documents submitted that contain graphics and/or scanned material often greatly increase the size of the file attachments and can result in difficulties opening the files. For reference, the average discretionary grant application package totals 1 to 2 MB. Therefore, you may want to check the total size of your package before submission.

#### GRANTS.GOV REGISTRATION INSTRUCTIONS FOR INDIVIDUALS

An individual submits grant applications on his/her own behalf, not representing an organization, institution or government. Individual applicants are only eligible for grants that are open to individuals and that have already been published on the Grants.gov website. Individuals do not need a DUNS Number to register to submit applications. The system will generate a default value in that field.

Note: In order to register as an individual you will need to obtain the Funding Opportunity Number (FON) for a grant application that you intend to apply for.

Please note that if you register as an individual, you will only be able to apply to grant opportunities that are open to individuals. An individual cannot submit a grant application to a grant opportunity that is only open to organizations.

#### **REGISTER WITH GRANTS.GOV**

- 1. Go to <u>http://www.grants.gov/applicants/get\_registered.jsp</u>.
- 2. Select the **<u>Register with Grants.gov</u>** button on the main screen.
- 3. This will take you to the **Register with Grants.gov** screen where you will need to enter the **Funding Opportunity Number (FON)** of an individual opportunity and then select **Register**.
- 4. Once you enter a valid Funding Opportunity Number (FON) you will need to complete a profile. When entering an email address, please keep in mind that all correspondence with Grants.gov will be sent to that email address. For the Secret Question/Answer fields, enter a question only you would be able to answer and will be able to remember in the future. The password you choose must contain at least eight (8) characters including: at least one (1) uppercase letter (A-Z); at least one (1) lowercase letter (a-z); and at least one (1) number (0-9). When you have completed the form select the **Continue** button.
- 5. On the next page you will need to validate your information. If you need to change your information select the **Edit** button, if your information is correct as entered select the **Submit** button.
- 6. You will see a message at the top of the screen that will read "You are successfully registered." To continue to the Applicant login page select the Continue button on the bottom of the page. If you don't receive the successful message another message will appear stating what issue needs to be addressed. Simply correct the error or contact the Contact Center for further assistance.
- 7. You will receive an email notification that will let you know you are able to apply for grant opportunities as an Individual.

#### **EXCEPTION to ELECTRONIC SUBMISSION REQUIREMENT**

**Exception to Electronic Submission Requirement:** You qualify for an exception to the electronic submission requirement, and may submit your application in paper format, if you are unable to submit an application through Grants.gov because--

- You do not have access to the Internet; or
- You do not have the capacity to upload large documents to Grants.gov; <u>and</u>
- No later than two weeks before the application deadline date (14 calendar days or, if the fourteenth calendar day before the application deadline date falls on a Federal holiday, the next business day following the Federal holiday), you mail or fax a written statement to the Department, explaining which of the two grounds for an exception prevents you from using the Internet to submit your application.
  - If you mail your written statement to the Department, it must be postmarked no later than two weeks before the application deadline date.
  - If you fax your written statement to the Department, we must receive the faxed statement no later than two weeks before the application deadline date.

Address and mail or email your statement to: Timothy Duvall, U.S. Department of Education, 400 Maryland Avenue, SW, Room 3E215, Washington, DC 20202-4260; <u>timothy.duvall@ed.gov</u>

### **APPLICATION TRANSMITTAL INSTRUCTIONS**

Your paper application must be submitted in accordance with the mail or hand delivery instructions described in this notice:

#### a. Submission of Paper Applications by Mail

If you qualify for an exception to the electronic submission requirement, you may mail (through the U.S. Postal Service or a commercial carrier) your application to the Department. You must mail the original and two copies of your application, on or before **the** (**INSERT month, day, year) deadline date**, to the Department at the following address:

U.S. Department of Education Application Control Center Attention: (CFDA Number 84.220A) LBJ Basement Level 1 400 Maryland Avenue, SW. Washington, DC 20202-4260

You must show proof of mailing consisting of one of the following:

- 1) A legibly dated U.S. Postal Service postmark
- 2) A legible mail receipt with the date of mailing stamped by the U.S. Postal Service
- **3)** A dated shipping label, invoice, or receipt from a commercial carrier.
- **4)** Any other proof of mailing acceptable to the Secretary of the U.S. Department of Education

If you mail your application through the U.S. Postal Service, we do <u>not</u> accept either of the following as proof of mailing:

- **1)** A private metered postmark
- 2) A mail receipt that is not dated by the U.S. Postal Service

If your application is postmarked after the application deadline date, we will not consider your application.

<u>Note</u>: The U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, you should check with your local post office.

#### b. Submission of Paper Applications by Hand Delivery

If you qualify for an exception to the electronic submission requirement, you (or a courier service) may deliver your paper application to the Department by hand. You must deliver the original and two copies of your application, by hand, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education Application Control Center Attention: (CFDA Number 84.220A) 550 12<sup>th</sup> Street, SW. Room 7039, Potomac Center Plaza Washington, DC 20202-4260 8:00 a.m. and 4:30 p.m., Washington, D.C. time, except Saturdays, Sundays, and Federal holidays.

<u>Note for Mail or Hand Delivery of Paper Applications</u>: If you mail or hand deliver your application to the Department—

- You must indicate on the envelope and—if not provided by the Department—in Item 11 of the SF 424 the CFDA number, including suffix letter, if any, of the competition under which you are submitting your application; and
- 2) The Application Control Center will mail to you a notification of receipt of your grant application. If you do not receive this grant notification within 15 business days from the application deadline date, you should call the U.S. Department of Education Application Control Center at (202) 245-6288.

THE FY 14 NOTICE INVITING APPLICATIONS (NIA) (pp 25-53) IS A PLACEHOLDER DOCUMENT. THE FY 18 NIA WILL REFLECT THE DEPARTMENT'S NEW POLICY AND REQUIREMENTS FOR THE CONTENT AND FORMAT OF GRANT APPLICATIONS. 4000-01-U

DEPARTMENT OF EDUCATION

Applications for New Awards; Centers for International Business Education Program

AGENCY: Office of Postsecondary Education, Department of Education

ACTION: Notice.

<u>Overview Information</u>:

Centers for International Business Education (CIBE) Program

Notice inviting applications for new awards for fiscal year (FY) 2014.

Catalog of Federal Domestic Assistance (CFDA) Number: 84.220A. Dates:

Applications Available: [INSERT DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Deadline for Transmittal of Applications: [INSERT DATE 30 DAYS

AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER]

Deadline for Intergovernmental Review: [INSERT DATE 90 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Full Text of Announcement

I. Funding Opportunity Description

<u>Purpose of Program</u>: The purpose of the CIBE Program is to provide funding to institutions of higher education or consortia of such institutions for curriculum development, research, and training on issues of importance to U.S trade and competitiveness.

<u>Priorities</u>: This notice contains two competitive preference priorities and one invitational priority.

<u>Competitive Preference Priorities</u>: For FY 2014, these priorities are competitive preference priorities. Under 34 CFR 75.105(c)(2) (i), we award up to an additional five points to an application that meets Competitive Preference Priority 1, depending on how well the application meets Competitive Preference Priority 1, and up to an additional five points to an application that meets Competitive Preference Priority 2, depending on how well the application meets Competitive Preference Priority 2. An applicant may receive a maximum of 10 points for its response to these competitive preference priorities.

These priorities are:

<u>Competitive Preference Priority 1--Collaboration with a</u> <u>Professional Association or Business</u>. (0-5 points)

Applications that propose to collaborate with one or more professional associations and/or businesses on activities designed to expand employment opportunities for international

business students, such as internships and work-study opportunities.

<u>Competitive Preference Priority 2--Collaboration with Minority-</u> <u>Serving Institutions (MSIs) or community colleges</u>. (0-5 points)

Applications that propose significant and sustained collaborative activities with one or more Minority-Serving Institutions (MSIs) (as defined in this notice) and/or with one or more community colleges (as defined in this notice). These activities must be designed to incorporate international, intercultural, or global dimensions into the business curriculum of the MSI(s) and/or community college(s). Institutions that are Title III- and/or Title V-eligible may propose intra-campus collaborative activities instead of, or in addition to, collaborative activities with other MSIs or community colleges.

For the purpose of this priority:

<u>Community college</u> means an institution that meets the definition in section 312(f) of the Higher Education Act of 1965, as amended (HEA) (20 U.S.C. 1058(f)); or an institution of higher education (as defined in section 101 of the HEA (20 U.S.C. 1001)) that awards degrees and certificates, more than 50 percent of which are not bachelor's degrees (or an equivalent) or master's, professional, or other advanced degrees.

<u>Minority-Serving Institution</u> (MSI) means an institution that is eligible to receive assistance under sections 316 through 320

of part A of Title III, under part B of Title III, or under Title V of the HEA.

<u>Note</u>: You may view lists of Title III and Title V eligible institutions at the following links:

http://www.ed.gov/about/offices/list/ope/idues/t3t5-eligibles-2014.pdf

http://www2.ed.gov/programs/iduesaitcc/tribal-newgrantees2013.pdf
http://www.ed.gov/programs/iduesaitcc/tribal-f-

nccgrantees2013.pdf

The eligibility status is still current for institutions listed at the links above.

You may also view the list of Historically Black Colleges and Universities at 34 CFR 608.2.

<u>Invitational Priority</u>: For FY 2014, this priority is an invitational priority. Under 34 CFR 75.105(c)(1), we do not give an application that meets this invitational priority a competitive or absolute preference over other applications.

The priority is:

<u>Invitational Prior</u>ity.

Applications that propose programs or activities focused on language instruction and/or performance testing and assessment to strengthen the preparation of international business professionals.

Program Authority: 20 U.S.C. 1130-1.

<u>Applicable Regulations</u>: (a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 74, 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The Education Department debarment and suspension regulations in 2 CFR part 3485. (c) The notice of final priorities, published elsewhere in the <u>Federal Register</u>.

As there are no program-specific regulations, we encourage each potential applicant to read the authorizing statute for the CIBE Program in section 612 of Title VI, part B, of the HEA. <u>Note</u>: The regulations in 34 CFR part 79 apply to all applicants except federally recognized Indian tribes.

<u>Note</u>: The regulations in 34 CFR part 86 apply to institutions of higher education (IHEs) only.

<u>Area of National Need</u>: In accordance with section 601(c) of the HEA (20 U.S.C. 1121(c)), the Secretary has consulted with and received recommendations regarding national need for expertise in foreign languages and world regions from the head officials of a wide range of Federal agencies. The Secretary has taken these recommendations into account, and a list of foreign languages and world regions identified by the Secretary as areas of national need may be found using the following link:

http://www2.ed.gov/about/offices/list/ope/iegps/consultation-2014.pdf.

II. Award Information

<u>Type of Award</u>: Discretionary grants.

Estimated Available Funds: \$4,571,400.

Estimated Range of Awards: \$265,000-\$305,000.

Estimated Average Size of Awards: \$285,000.

Estimated Number of Awards: 16.

<u>Note</u>: The Department is not bound by any estimates in this notice.

<u>Project Period</u>: Up to 48 months.

III. Eligibility Information

1. <u>Eligible Applicants</u>: IHEs or consortia of IHEs.

2. <u>Cost Sharing or Matching</u>: The matching requirement is described in Section 612 (e) of the HEA (20 U.S.C. § 1130-31 (e) (2)(3)(4)). The HEA requires that the Federal share of the cost of planning, establishing, and operating centers under this program shall be--

a. Not more than 90 percent for the first year in which Federal funds are received;

b. Not more than 70 percent for the second year; and

c. Not more than 50 percent for the third year and for each year thereafter.

The non-Federal share of the cost of planning, establishing, and operating centers under this program may be provided either in cash or in-kind.

Waiver of non-Federal share: In the case of an IHE receiving a grant under the CIBE program and conducting outreach or consortium activities with another IHE in accordance with section 612(c)(2)(E) of the HEA, the Secretary may waive a portion of the requirements for the non-Federal share equal to the amount provided by the IHE receiving the grant to the other IHE for carrying out the outreach or consortium activities. Any such waiver is subject to the terms and conditions the Secretary deems necessary for carrying out the purposes of the programs. IV. Application and Submission Information

 <u>Address to Request Application Package</u>: You can obtain an application package via the Internet or from the Education Publications Center (ED Pubs). To obtain a copy via the Internet, use the following address: <u>http://grants.gov</u>. To obtain a copy from ED Pubs, write, fax, or call the following: ED Pubs, U.S. Department of Education, P.O. Box 22207, Alexandria, VA 22304. Telephone, toll free: 1-877-433-7827.
 FAX: (703) 605-6794. If you use a telecommunications device for the deaf (TDD) or a text telephone (TTY), call the following toll free number: 1-877-576-7734.

You can contact ED Pubs at its Web site, also: <u>www.EDPubs.gov</u> or at its email address: <u>edpubs@inet.ed.gov</u>.

If you request an application from ED Pubs, be sure to identify the competition as follows: CFDA number 84.220A.

Individuals with disabilities can obtain a copy of the application package in an accessible format (e.g., braille, large print, audiotape, or compact disc) by contacting the person or team listed under <u>Accessible Format</u> in section VIII of this notice.

2. <u>Content and Form of Application Submission</u>: Requirements concerning the content of an application, together with the forms you must submit, are in the application package for this program. Page Limit: The application narrative (Part III of the application) is the section where you, the applicant, address the selection criteria that reviewers use to evaluate your application. You must limit the application narrative to no more than 55 typed pages, using the following standards:

• A "page" is 8.5" x 11", on one side only, with 1" margins at the top, bottom, and both sides.

• Double space (no more than three lines per vertical inch) all text in the application narrative, <u>except</u> titles, headings, footnotes, quotations, references, and captions. Charts, tables, figures, and graphs in the application narrative may be single-spaced and will count toward the page limit.

• Use a font that is either 12 point or larger or no smaller than 10 pitch (characters per inch). However, you may use a 10-point font in charts, tables, figures, and graphs.

• Use one of the following fonts: Times New Roman, Courier, Courier New, or Arial. An application submitted in any other font (including Times Roman and Arial Narrow) will not be accepted.

• The page limit does not apply to Part I, the Application for Federal Assistance cover sheet (SF 424); the supplemental information form; Part II, the budget summary form (ED Form 524); Part IV, assurances, certifications, and the response to Section 427 of the General Education Provisions Act; the table of contents; the one-page project abstract; the appendices; or the line item budget.

We will reject your application if you exceed the page limit.

3. <u>Submission Dates and Times</u>:

Applications Available: [INSERT DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Deadline for Transmittal of Applications: [INSERT DATE 30 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Applications for grants under this program must be submitted electronically using the Grants.gov Apply site (<u>grants.gov</u>). For information (including dates and times) about how to submit your application electronically, or in paper format by mail or hand delivery if you qualify for an exception to the electronic

submission requirement, please refer to Other Submission Requirements in Section IV of this notice.

We do not consider an application that does not comply with the deadline requirements.

Individuals with disabilities who need an accommodation or auxiliary aid in connection with the application process should contact the person listed under <u>For Further Information Contact</u> in Section VII of this notice. If the Department provides an accommodation or auxiliary aid to an individual with a disability in connection with the application process, the individual's application remains subject to all other requirements and limitations in this notice.

Deadline for Intergovernmental Review: [INSERT DATE 90 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

4. <u>Intergovernmental Review</u>: This program is subject to Executive Order 12372 and the regulations in 34 CFR part 79. Information about Intergovernmental Review of Federal Programs under Executive Order 12372 is in the application package for this program.

5. <u>Funding Restrictions</u>: We reference regulations outlining funding restrictions in the <u>Applicable Regulations</u> section of this notice.

6. <u>Data Universal Numbering System Number, Taxpayer</u> <u>Identification Number, and System for Award Management</u>: To do business with the Department of Education, you must--

a. Have a Data Universal Numbering System (DUNS) number and a Taxpayer Identification Number (TIN);

b. Register both your DUNS number and TIN with the System
 for Award Management (SAM) (formerly the Central Contractor
 Registry (CCR)), the Government's primary registrant database;

c. Provide your DUNS number and TIN on your application; and

d. Maintain an active SAM registration with current information while your application is under review by the Department and, if you are awarded a grant, during the project period.

You can obtain a DUNS number from Dun and Bradstreet at the following web site: http://fedgov.dnb.com/webform. A DUNS number can be created within one to two business days.

If you are a corporate entity, agency, institution, or organization, you can obtain a TIN from the Internal Revenue Service. If you are an individual, you can obtain a TIN from the Internal Revenue Service or the Social Security Administration. If you need a new TIN, please allow 2-5 weeks for your TIN to become active.

The SAM registration process can take approximately seven business days, but may take upwards of several weeks, depending on

the completeness and accuracy of the data entered into the SAM database by an entity. Thus, if you think you might want to apply for Federal financial assistance under a program administered by the Department, please allow sufficient time to obtain and register your DUNS number and TIN. We strongly recommend that you register early.

<u>Note</u>: Once your SAM registration is active, you will need to allow 24 to 48 hours for the information to be available in Grants.gov and before you can submit an application through Grants.gov.

If you are currently registered with SAM, you may not need to make any changes. However, please make certain that the TIN associated with your DUNS number is correct. Also note that you will need to update your registration annually. This may take three or more business days.

Information about SAM is available at www.SAM.gov. To further assist you with obtaining and registering your DUNS number and TIN in SAM or updating your existing SAM account, we have prepared a SAM.gov Tip Sheet, which you can find at: http://www2.ed.gov/fund/grant/apply/sam-fags.html.

In addition, if you are submitting your application via Grants.gov, you must (1) be designated by your organization as an Authorized Organization Representative (AOR); and (2) register yourself with Grants.gov as an AOR. Details on these steps are

outlined at the following Grants.gov Web page:

www.grants.gov/web/grants/register.html.

7. <u>Other Submission Requirements</u>: Applications for grants under this program must be submitted electronically unless an IHE qualifies for an exception to this requirement in accordance with the instructions in this section.

#### a. <u>Electronic Submission of Applications</u>.

Applications for grants under the CIBE Program, CFDA number 84.220A, must be submitted electronically using the Governmentwide Grants.gov Apply site at <u>www.Grants.gov</u>. Through this site, you will be able to download a copy of the application package, complete it offline, and then upload and submit your application. You may not email an electronic copy of a grant application to us.

We will reject your application if you submit it in paper format unless, as described elsewhere in this section, you qualify for one of the exceptions to the electronic submission requirement <u>and</u> submit, no later than two weeks before the application deadline date, a written statement to the Department that you qualify for one of these exceptions. Further information regarding calculation of the date that is two weeks before the application deadline date is provided later in this section under <u>Exception to Electronic Submission Requirement</u>.

You may access the electronic grant application for the CIBE Program at www.Grants.gov. You must search for the downloadable application package for this competition by the CFDA number. Do not include the CFDA number's alpha suffix in your search (e.g., search for 84.220, not 84.220A).

Please note the following:

• When you enter the Grants.gov site, you will find information about submitting an application electronically through the site, as well as the hours of operation.

• Applications received by Grants.gov are date and time stamped. Your application must be fully uploaded and submitted and must be date and time stamped by the Grants.gov system no later than 4:30:00 p.m., Washington, DC time, on the application deadline date. Except as otherwise noted in this section, we will not accept your application if it is received--that is, date and time stamped by the Grants.gov system--after 4:30:00 p.m., Washington, DC time, on the application deadline date. We do not consider an application that does not comply with the deadline requirements. When we retrieve your application from Grants.gov, we will notify you if we are rejecting your application because it was date and time stamped by the Grants.gov system after 4:30:00 p.m., Washington, DC time, on the application deadline date.

• The amount of time it can take to upload an application will vary depending on a variety of factors, including the size of the application and the speed of your Internet connection. Therefore, we strongly recommend that you do not wait until the application deadline date to begin the submission process through Grants.gov.

• You should review and follow the Education Submission Procedures for submitting an application through Grants.gov that are included in the application package for this competition to ensure that you submit your application in a timely manner to the Grants.gov system. You can also find the Education Submission Procedures pertaining to Grants.gov under News and Events on the Department's G5 system home page at <u>www.G5.gov</u>.

• You will not receive additional point value because you submit your application in electronic format, nor will we penalize you if you qualify for an exception to the electronic submission requirement, as described elsewhere in this section, and submit your application in paper format.

• You must submit all documents electronically, including all information you typically provide on the following forms: the Application for Federal Assistance (SF 424), the Department of Education Supplemental Information for SF 424, Budget Information--Non-Construction Programs (ED 524), and all necessary assurances and certifications.

• You must upload any narrative sections and all other attachments to your application as files in a PDF (Portable Document) read-only, non-modifiable format. Do not upload an interactive or fillable PDF file. If you upload a file type other than a read-only, non-modifiable PDF or submit a passwordprotected file, we will not review that material.

• Your electronic application must comply with any page-limit requirements described in this notice.

• After you electronically submit your application, you will receive from Grants.gov an automatic notification of receipt that contains a Grants.gov tracking number. (This notification indicates receipt by Grants.gov only, not receipt by the Department.) The Department then will retrieve your application from Grants.gov and send a second notification to you by email. This second notification indicates that the Department has received your application and has assigned your application a PR/Award number (an ED-specified identifying number unique to your application).

• We may request that you provide us original signatures on forms at a later date.

<u>Application Deadline Date Extension in Case of Technical Issues</u> <u>with the Grants.gov System</u>: If you are experiencing problems submitting your application through Grants.gov, please contact the Grants.gov Support Desk, toll free, at 1-800-518-4726. You

must obtain a Grants.gov Support Desk Case Number and must keep a record of it.

If you are prevented from electronically submitting your application on the application deadline date because of technical problems with the Grants.gov system, we will grant you an extension until 4:30:00 p.m., Washington, DC time, the following business day to enable you to transmit your application electronically or by hand delivery. You also may mail your application by following the mailing instructions described elsewhere in this notice.

If you submit an application after 4:30:00 p.m., Washington, DC time, on the application deadline date, please contact the person listed under <u>For Further Information Contact</u> in section VII of this notice and provide an explanation of the technical problem you experienced with Grants.gov, along with the Grants.gov Support Desk Case Number. We will accept your application if we can confirm that a technical problem occurred with the Grants.gov system and that the problem affected your ability to submit your application by 4:30:00 p.m., Washington, DC time, on the application deadline date. The Department will contact you after a determination is made on whether your application will be accepted.

<u>Note</u>: The extensions to which we refer in this section apply only to the unavailability of, or technical problems with, the

Grants.gov system. We will not grant you an extension if you failed to fully register to submit your application to Grants.gov before the application deadline date and time or if the technical problem you experienced is unrelated to the Grants.gov system. <u>Exception to Electronic Submission Requirement</u>: You qualify for an exception to the electronic submission requirement, and may submit your application in paper format, if you are unable to submit an application through the Grants.gov system because--

• You do not have access to the Internet; or

• You do not have the capacity to upload large documents to the Grants.gov system; and • No later than two weeks before the application deadline date (14 calendar days or, if the fourteenth calendar day before the application deadline date falls on a Federal holiday, the next business day following the Federal holiday), you mail or fax a written statement to the Department, explaining which of the two grounds for an exception prevents you from using the Internet to submit your application.

If you mail your written statement to the Department, it must be postmarked no later than two weeks before the application deadline date. If you fax your written statement to the Department, we must receive the faxed statement no later than two weeks before the application deadline date.

Address and mail or fax your statement to: Timothy Duvall, U.S. Department of Education,400 Maryland Avenue SW, Room 3E215,

Washington, DC 20202. FAX: 202-453-5780. Your paper application must be submitted in accordance with the mail or hand delivery instructions described in this notice.

b. Submission of Paper Applications by Mail.

If you qualify for an exception to the electronic submission requirement, you may mail (through the U.S. Postal Service or a commercial carrier) your application to the Department. You must mail the original and two copies of your application, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education Application Control Center Attention: (CFDA Number 84.220A) LBJ Basement Level 1 400 Maryland Avenue, SW. Washington, DC 20202-4260

You must show proof of mailing consisting of one of the following:

(1) A legibly dated U.S. Postal Service postmark.
 (2) A legible mail receipt with the date of mailing
 stamped by the U.S. Postal Service.

(3) A dated shipping label, invoice, or receipt from a commercial carrier.

(4) Any other proof of mailing acceptable to the Secretary of the U.S. Department of Education.

If you mail your application through the U.S. Postal Service, we do not accept either of the following as proof of mailing:

(1) A private metered postmark.

(2) A mail receipt that is not dated by the U.S.Postal Service.

If your application is postmarked after the application deadline date, we will not consider your application. <u>Note</u>: The U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, you should check with your local post office.

#### c. <u>Submission of Paper Applications by Hand Delivery</u>.

If you qualify for an exception to the electronic submission requirement, you (or a courier service) may deliver your paper application to the Department by hand. You must deliver the original and two copies of your application, by hand, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education Application Control Center Attention: (CFDA Number 84.220A) 550 12th Street, SW. Room 7041, Potomac Center Plaza Washington, DC 20202-4260

The Application Control Center accepts hand deliveries daily between 8:00 a.m. and 4:30:00 p.m., Washington, DC time, except Saturdays, Sundays, and Federal holidays.

<u>Note for Mail or Hand Delivery of Paper Applications</u>: If you mail or hand deliver your application to the Department--

(1)You must indicate on the envelope and--if not provided by the Department--in Item 11 of the SF 424 the CFDA number, including suffix letter, if any, of the competition under which you are submitting your application; and

(2) The Application Control Center will mail to you a notification of receipt of your grant application. If you do not receive this notification within 15 business days from the application deadline date, you should call the U.S. Department of Education Application Control Center at (202) 245-6288.

V. Application Review Information

1. <u>Selection Criteria</u>: The selection criteria for this program are from EDGAR (34 CFR 75.209 and 75.210) and are as follows: (a) meeting the purpose of the authorizing statute, which is to coordinate the programs of the federal government in the areas of research, education, and training in international business and trade competitiveness (HEA 612(a)(1)), (b) significance, (c) quality of the project design, (d) quality of the management plan, (e) quality of project personnel, (f) adequacy of resources, and (g) quality of the project evaluation.

<u>Note</u>: Applicants should address these selection criteria only in the context of the program requirements in section 612 of the HEA.

Additional information regarding these criteria is in the application package for this program. The total number of points available under these selection criteria, combined with the competitive preference priorities, is as follows:

1
Maximum
points
possible
20
20
10
10
10
10
20
100
100
10
110

2. <u>Review and Selection Process</u>: We remind potential applicants that in reviewing applications in any discretionary grant competition, the Secretary may consider, under 34 CFR 75.217(d)(3), the past performance of the applicant in carrying out a previous award, such as the applicant's use of funds, achievement of project objectives, and compliance with grant conditions. The Secretary may also consider whether the applicant failed to submit a timely performance report or submitted a report of unacceptable quality.

In addition, in making a competitive grant award, the Secretary also requires various assurances including those applicable to Federal civil rights laws that prohibit discrimination in programs or activities receiving Federal financial assistance from the Department of Education (34 CFR 100.4, 104.5, 106.4, 108.8, and 110.23).

3. <u>Special Conditions</u>: Under 34 CFR 74.14 and 80.12, the Secretary may impose special conditions on a grant if the applicant or grantee is not financially stable; has a history of unsatisfactory performance; has a financial or other management system that does not meet the standards in 34 CFR parts 74 or 80, as applicable; has not fulfilled the conditions of a prior grant; or is otherwise not responsible.

4. <u>Integrity and Performance System</u>: If you are selected under this competition to receive an award that over the course of the project period may exceed the simplified acquisition threshold (currently \$150,000), under 2 CFR 200.205(a)(2) we must make a judgment about your integrity, business ethics, and record of performance under Federal awards--that is, the risk posed by you as an applicant--before we make an award. In doing so, we must consider any information about you that is in the integrity

and performance system (currently referred to as the Federal Awardee Performance and Integrity Information System (FAPIIS)), accessible through SAM. You may review and comment on any information about yourself that a Federal agency previously entered and that is currently in FAPIIS.

Please note that if the total value of your currently active grants, cooperative agreements, and procurement contracts from the Federal Government exceeds \$10,000,000, the reporting requirements in 2 CFR part 200, Appendix XII, require you to report certain integrity information to FAPIIS semiannually. Please review the requirements in 2 CFR part 200, Appendix XII, if this grant plus all the other Federal funds you receive exceed \$10,000,000.

VI. Award Administration Information

1. <u>Award Notices</u>: If your application is successful, we notify your U.S. Representative and U.S. Senators and send you a Grant Award Notification (GAN); or we may send you an email containing a link to access an electronic version of your GAN. We may notify you informally, also.

If your application is not evaluated or not selected for funding, we notify you.

2. <u>Administrative and National Policy Requirements</u>: We identify administrative and national policy requirements in the

application package and reference these and other requirements in the <u>Applicable Regulations</u> section of this notice.

We reference the regulations outlining the terms and conditions of an award in the <u>Applicable Regulations</u> section of this notice and include these and other specific conditions in the GAN. The GAN also incorporates your approved application as part of your binding commitments under the grant.

3. <u>Reporting</u>: (a) If you apply for a grant under this competition, you must ensure that you have in place the necessary processes and systems to comply with the reporting requirements in 2 CFR part 170 should you receive funding under the competition. This does not apply if you have an exception under 2 CFR 170.110(b).

(b) At the end of your project period, you must submit a final performance report, including financial information, as directed by the Secretary. If you receive a multi-year award, you must submit an annual performance report that provides the most current performance and financial expenditure information as directed by the Secretary under 34 CFR 75.118. The Secretary may also require more frequent performance reports under 34 CFR 75.720(c). For specific requirements on reporting, please go to www.ed.gov/fund/grant/apply/appforms/appforms.html.

For the CIBE Program, final and annual reports must be submitted into the International Resource Information System

(IRIS) online data and reporting system. You can view the performance report screens and instructions at <a href="http://iris.ed.gov/iris/pdfs/CIBE.pdf">http://iris.ed.gov/iris/pdfs/CIBE.pdf</a>.

(c)Under 34 CFR 75.250 (b), the Secretary may provide a grantee with additional funding for data collection analysis and reporting. In this case the Secretary establishes a data collection period.

4. <u>Performance Measures</u>: Under the Government Performance and Results Act of 1993, as updated by the GPRA Modernization Act of 2010 on January 4, 2011, the Department will use the following performance measures to evaluate the success of the CIBE Program:

1: Percentage of CIBE Program participants who advanced in their professional field two years after their participation.

2: Percentage of CIBE projects that established or internationalized a concentration, degree, or professional program with a focus on or connection to international business over the course of the CIBE grant period. (longterm measure).

3: Percentage of CIBE projects for which there was an increase in the export business activities of the project's business industry participants.

The information provided by grantees in their performance reports submitted via IRIS will be the source of data for these measures.

5. <u>Continuation Awards</u>: In making a continuation award, the Secretary may consider, under 34 CFR 75.253, the extent to which a grantee has made "substantial progress toward meeting the objectives in its approved application." This consideration includes the review of a grantee's progress in meeting the targets and projected outcomes in its approved application, and whether the grantee has expended funds in a manner that is consistent with its approved application and budget. In making a continuation grant, the Secretary also considers whether the grantee is operating in compliance with the assurances in its approved application, including those applicable to Federal civil rights laws that prohibit discrimination in programs or activities receiving Federal financial assistance from the Department (34 CFR 100.4, 104.5, 106.4, 108.8, and 110.23).

VII. Agency Contact

<u>For Further Information Contact</u>: Timothy Duvall, U.S. Department of Education, 400 Maryland Avenue SW, room 3E215, Washington, DC 20202. Telephone: (202) 453-7521 or by email:

timothy.duvall@ed.gov.

If you use a TDD or a TTY, call the FRS, toll free, at 1-800-877-8339.

#### VIII. Other Information

#### VIII. Other Information

<u>Accessible Format</u>: Individuals with disabilities can obtain this document and a copy of the application package in an accessible format (e.g., braille, large print, audiotape, or compact disc) on request to the program contact person listed under <u>For Further</u> <u>Information Contact</u> in section VII of this notice.

<u>Electronic Access to This Document</u>: The official version of this document is the document published in the <u>Federal Register</u>. Free Internet access to the official edition of the <u>Federal Register</u> and the Code of Federal Regulations is available via the Federal Digital System at: <u>www.gpo.gov/fdsys</u>. At this site you can view this document, as well as all other documents of this Department published in the <u>Federal Register</u>, in text or Adobe Portable Document Format (PDF). To use PDF you must have Adobe Acrobat Reader, which is available free at the site.

You may also access documents of the Department published in the <u>Federal Register</u> by using the article search feature at: <u>www.federalregister.gov</u>. Specifically, through the advanced search feature at this site, you can limit your search to documents published by the Department. Dated:

Lynn B. Mahaffie, Delegated the Duties of Assistant <u>Secretary for Postsecondary Education</u>.

### AUTHORIZING LEGISLATION AND REGULATIONS

#### TITLE VI OF THE HIGHER EDUCATION OPPORTUNITY ACT Part B-BUSINESS AND INTERNATIONAL EDUCATION PROGRAMS

#### FINDINGS AND PURPOSES

#### SEC.611 (A) THE CONGRESS FINDS THAT-

(1) the future economic welfare of the United States will depend substantially on increasing international skills in the business community and creating an awareness among the American public of the internationalization of our economy;

(2) concerted efforts are necessary to engage business schools, language and area study programs, professional international affairs education programs, public and private sector organizations, and United States business in a mutually productive relationship which benefits the Nation's future economic interest;

(3) few linkages presently exist between the manpower and information needs of United States business and the international education, language training and research capacities of institutions of higher education in the United States, and public and private organizations; and

(4) organizations such as world trade councils, world trade clubs, chambers of commerce and State departments of commerce are not adequately used to link universities and business for joint venture exploration and program development.

#### (b) It is the purpose of this part--

(1) to enhance the broad objective of this Act by increasing and promoting the Nation's capacity for international understanding and economic enterprise through the provision of suitable international education and training for business personnel in various stages of professional development; and

(2) to promote institutional and non-institutional educational and training activities that will contribute to the ability of United States business to prosper in an international economy.

#### SEC 612. CENTERS FOR INTERNATIONAL BUSINESS EDUCATION.

#### (a) PROGRAM AUTHORIZED.---

(1) **PURPOSE**.--The purpose of this section is to coordinate the programs of the Federal Government in the areas of research, education, and training in international business and trade competitiveness;

(2) IN GENERAL --- The Secretary is authorized to make grants to institutions of higher education, or combinations of such institutions, to pay the Federal share of the cost of planning, establishing and operating centers for international business education which--

(A) will be national resources for the teaching of improved business techniques, strategies, and methodologies which emphasize the international context in which business is transacted;

(B) will provide instruction in critical foreign languages and international fields needed to provide understanding of the cultures and customs of United States trading partners; and

(C) will provide research and training in the international aspects of trade commerce, and other fields of study.

(3) **SPECIAL RULE** --- In addition to providing training to students enrolled in the institution of higher education in which a center is located, such centers shall serve as regional resources to businesses proximately located by offering programs and providing research designed to meet the international training needs of such businesses. Such centers shall also serve other faculty, students, and institutions of higher education located within their region.

**(b) AUTHORIZED EXPENDITURES**.-- Each grant under this section may be used to pay the Federal share of the cost of planning, establishing or operating a center, including the cost of-

- (1) faculty and staff travel in foreign areas, regions, or countries;
- (2) teaching and research materials
- (3) curriculum planning and development;
- (4) bringing visitor scholars and faculty to the center to teach or to conduct research; and
- (5) training and improvement of the staff, for the purpose of, and subject to such conditions as the Secretary finds necessary for carrying out the objectives of this section.

# (c) AUTHORIZED ACTIVITIES. --

(1) MANDATORY ACTIVITIES. -- Program and activities to be conducted by centers assisted under this section shall include-

(A) interdisciplinary programs which incorporate foreign language and international studies and training into business, finance, management communications systems, and other professional curricula;

(B) interdisciplinary programs which provide business, finance, management, communication systems, and other professional training for foreign language and international studies faculty and degree candidates;'

(C) programs, such as intensive language programs, available to members of the business community and other professionals which are designed to develop or enhance their international skills, awareness, and expertise;

(D) collaborative programs, activities, or research involving other institutions of higher education, local educational agencies, professional associations, businesses, firms, or combinations thereof, to promote the development of international skills, awareness, and expertise among current and prospective members of the business community and other professionals;

(E) research designed to strengthen and improve the international aspects of business and professional education and to promote integrated curricula; and

(F) research designed to promote the international competitiveness of American businesses and firms, including those not currently active in international trade.

(2) **PERMISSIBLE ACTIVITIES.** - Programs and activities to be conducted by centers assisted under this section may include-

(A) the establishment of overseas internship programs for students and faculty designed to provide training and experience in international business activities, except that no Federal funds provided under this section maybe used to pay wages or stipends to any participant who is engaged in compensated employment as part of an internship program;

(B) the establishment of linkages overseas with institution of higher education and other organizations that contribute to the educational objectives of this section;

(C) summer institutes in international business, foreign area studies, foreign language studies and other international studies designed to carry out the purposes of subparagraph (A) of this paragraph;

(D) the development of opportunities for business students to study abroad in locations which are important to the existing and future economic well-being of the United States;

(E) outreach activities or consortia with business programs located at other institutions of higher education (including those that are eligible to receive assistance under part A or B of title III or under title V) for the purpose of providing expertise regarding the internationalization of such programs, such as assistance in research, curriculum development, faculty development, or educational exchange programs;

(F) programs encouraging the advancement and understanding of technology-related disciplines, including manufacturing software systems and technology management; and

(G) other eligible activities prescribed by the Secretary.

# (d) ADVISORY COUNCIL--

(1) **ESTABLISHMENT**-In order to be eligible for assistance under this section, an institution of higher education, or combination of such institutions, shall establish a center advisory council

which will conduct extensive planning prior to the establishment of a center concerning the scope of the center's activities and the design of its programs.

(2) **MEMBERSHIP ON ADVISORY COUNCIL**— The Center advisory council shall include

(A) one representative of an administrative department or office of the institution of higher education;

(B) one faculty representative of the business or management school or department of such institution;

(C) one faculty representative of the international studies or foreign language school or department of such institution;

(D) one faculty representative of another professional school or department of such institution as appropriate;

(E) one or more representative of local or regional businesses or firms;

(F) one representative appointed by the Governor of the State in which the institution of higher education is located whose normal responsibilities include official oversight or involvement in State-sponsored trade-related activities or programs; and

(G) such other individuals as the institution of higher education deems appropriate such as a representative of a community college in the region served by the center.

# (3) MEETINGS ---In addition to the initial planning activities

required under subsection (d)(1), the center advisory council shall meet not less than once each year after the establishment of the center to assess and advise on the programs and activities conducted by the center.

# (E) GRANT DURATION; FEDERAL SHARE --

(1) **DURATION OF GRANTS** – The Secretary shall make grants under this section for a minimum of 3 years unless the Secretary determines that the provision of grants of shorter duration is necessary to carry out the objectives of this section.

(2) **FEDERAL SHARE.**-The Federal share of the cost of planning, establishing and operating centers under this section shall be-

(A) not more than 90 percent for the first year in which Federal funds are received;

(B) not more than 70 percent for the second such year and

(C) not more than 50 percent for the third such year and for each such year thereafter.

(3) NON-FEDERAL SHARE-The non-Federal share of the cost of planning, establishing, and operating centers under this section may be provided either in cash or in-kind.

(4) WAIVER OF NON FEDERAL SHARE --In the case of an institution of higher education receiving a grant under this part and conducting outreach or consortia activities with another institution of higher education in accordance with section 612(2)E, the Secretary may waive a portion of the requirements for the non-Federal share required in paragraph (2) equal to the amount provided by the institution of higher education receiving such grant to such other institution of higher education for carrying out such outreach or consortia activities. Any such waiver shall be subject to such terms and conditions, as the Secretary deems necessary for carrying out the purposes of this section.

**(f) GRANT CONDITIONS** -- Grants under this section shall be made on such conditions as the Secretary determines to be necessary to carry out the objectives of this section. Such conditions shall include-

(1) evidence that the institution of higher education, or combination of such institutions, will conduct extensive planning prior to the establishment of a center concerning the scope of the center's activities and the design of its programs in accordance with subsection(d)(1);

(2) assurance of ongoing collaboration in the establishment and operation of the center by faculty of the business, management, foreign language, international studies, professional international affairs, and other professional schools or departments, as appropriate;

(3) assurance that the education and training programs of the center will be open to students concentrating in each of these respective areas, as appropriate, and that diverse perspectives will be made available to students in this program; and

(4) assurance that the institution of higher education, or combination of such institutions, will use the assistance provided under this section to supplement and not to supplant activities conducted by institutions of higher education described in subsection(1).

# PART D-GENERAL PROVISIONS

Sec.631. DEFINITIONS.

(a) **DEFINITIONS-**As used in this title-

(1) the term 'area studies' means a program for comprehensive study of the aspects of a society or societies, including study of its history, culture, economy, politics, international relations and languages;

(2) the term 'international business' means profit-oriented business relationships conducted across national boundaries and includes activities such as the buying and selling of goods, investments in industries, the licensing of processes, patents and trademarks, and the supply of services;

(3) the term 'export education' means educating, teaching and training to provide general knowledge and specific skills pertinent to the selling of goods and services to other countries, including knowledge of market conditions, financial arrangements, laws, and procedures;

(4) the term 'internationalization of curricula' means the incorporation of international or comparative perspectives in existing courses of study or the addition of new components to the curricula to provide an international context for American business education;

(5) the term 'comprehensive language and area center' means an administrative unit of a university that contributes significantly to the national interest in advance research and scholarship, employs a critical mass of scholars in diverse disciplines related to a geographic concentration, offers intensive language training in languages of its area specialization, maintains important library collections related to the area, and makes training available in language and area studies to a graduate, postgraduate, and undergraduate clientele; and

(6) the term 'undergraduate language and area center' means an administrative unit of an institution of higher education, including but not limited to 4-year colleges, that contributes significantly to the national interest through the education and training of students who matriculate into advanced language and area studies programs, professional school programs, or incorporate substantial international and foreign language content into baccalaureate degree programs, engages in research, curriculum development and community outreach activities designed to broaden international and foreign language knowledge, employs faculty with strong language, area, and international studies credentials, maintains library holdings, including basic reference works, journals, and works in translation, and makes training available predominantly to undergraduate students;

(7) the term 'critical languages' means each of the language contained in the list of critical languages designated by the Secretary pursuant to section 212(d) of the Education for Economic Security Act (50 Fed. Reg.149, 31413), except that, in the implementation of this definition, the Secretary may set priorities according to the purposes of this title; and

(8) the term 'institution of higher education' means, in addition to institutions which meet the definition of section 1201(a) of this Act, institutions which meet the requirements of section 1201(a) of this Act except that (1) they are not located in the United States, and (2) they apply for assistance under this title in consortia with institutions which meet the definition of 1201(a) of this Act.

**(b) SPECIAL CONDITIONS-**All references to individuals or organizations, unless the context otherwise requires, mean individuals who are citizens or permanent residents of the United States or organizations which are organized or incorporated in the United States."

**Applicable Regulations:** The Education Department **General Administrative Regulations** (EDGAR) in 34 CFR parts 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, and 99.

### Executive Order 12372 (Intergovernmental Review of Federal Programs)

This program falls under the rubric of Executive Order 12372 (Intergovernmental Review of Federal Programs) and the regulations in 34 CFR Part 79. One of the objectives of the Executive order is to strengthen federalism--or the distribution of responsibility between localities, States, and the Federal government--by fostering intergovernmental partnerships. This idea includes supporting processes that State or local governments have devised for coordinating and reviewing proposed Federal financial grant applications.

The process for doing this requires grant applicants to contact State Single Points of Contact for information on how this works. Multi-state applicants should follow procedures specific to each state.

Further information about the State Single Point of Contact process and a list of names by State can be found at:

# http://www.whitehouse.gov/omb/grants/spoc.pdf

Absent specific State review programs, applicants may submit comments directly to the Department. All recommendations and comments must be mailed or hand-delivered by the date indicated in the actual application notice to the following address: The Secretary, EO 12372--CFDA# [commenter must insert number--including suffix letter, if any], U.S. Department of Education, room 7E200. 400 Maryland Avenue, SW., Washington, DC 20202.

Proof of mailing will be determined on the same basis as applications (see 34 CFR \$75.102). Recommendations or comments may be hand-delivered until 4:30 p.m. (eastern time) on the closing date indicated in this notice.

**Important note:** The above address is not the same address as the one to which the applicant submits its completed applications. **Do not send applications to the above address.** 

# **GENERAL EDUCATION PROVISIONS ACT (GEPA)**

# **SECTION 427**

Section 427 of GEPA requires all applicants for new awards to include in their applications a description of the steps the applicant proposes to take to ensure equitable access to, and participation in, its federally-assisted programs for students, teachers, and other program beneficiaries with special needs. The provision allows applicants discretion in developing the required description. The statute highlights six types of barriers that can impede equitable access or participation: *gender, race, national origin, color, disability, or age.* 

A general statement of an applicant's nondiscriminatory hiring policy is not sufficient to meet this requirement. Applicants must identify potential barriers and explain steps they will take to overcome these barriers.

#### NOTES:

- Applicants must include information in their applications to address this provision in order to receive funding under this program. You must provide information within the Program Narrative in response to the relevant selection criteria.
- You are also asked to include the ED GEPA 427 Form in Part IV (Assurances, Certifications, and Survey Forms).

#### **Government Performance and Results Act (GPRA)**

#### What is GPRA?

The Government Performance and Results Act of 1993 (GPRA) is a statute that requires all federal agencies to manage their activities with attention to the consequences of those activities. Each agency is to clearly state what it intends to accomplish, identify the resources required, and periodically report their progress to the Congress. In so doing, it is expected that the GPRA will contribute to improvements in accountability for the expenditures of public funds, improve Congressional decision-making through more objective information on the effectiveness of federal programs, and promote a new government focus on results, service delivery, and customer satisfaction.

The following performance measures have been established to assess the effectiveness of Centers for International Business (CIBE) program.

# What is the Performance Indicator for the Centers for International Business Education program?

The objective of the CIBE program is to meet the nation's security and economic needs through the development of a national capacity in foreign languages, and area and international studies. Under the Government Performance and Results Act, the Department will use the following measures to evaluate the success of the program in meeting this objective. The information provided by grantees in their performance reports submitted via the electronic International Resource Information System (IRIS) will be the source of data for these measures.

#### **CIBE GPRA Measures:**

Measure 1: Percentage of CIBE program participants who advanced in their professional field 2 years after their participation.

Measure 2: Percentage of CIBE projects that established or internationalized a concentration, degree, or professional program with a focus on or connection to international business over the course of the CIBE grant period. (long-term measure).

Measure 3: The percentage of CIBE projects whose business industry participants increased export business activities.

Efficiency Measure: Cost per CIBE doctoral or Master's graduate employed in international business-related fields, including teaching in a business school or program within 8 years after graduation as measured by the IFLE Tracking Survey.

#### Centers for International Business Education (CIBE): FY 2018 Application Checklist

# <u>Use This Checklist While Preparing Your Application.</u> All items listed on this checklist are required.

#### Part I: Standard Forms

- \_ Application for Federal Assistance (SF 424)
- \_\_\_\_ Standard Budget Sheet (ED 524), Sections A & B
- \_ SF 424B Assurances Non-Construction Programs
- \_ Disclosure of Lobbying Activities
- \_\_\_\_ ED 80-0013 Certification
- \_\_\_\_\_ 427 GEPA
- \_ Department of Education Supplemental Information Form for SF 424

#### Part II: Project Narrative

- \_\_\_\_ ED Abstract Narrative Form
- Project Narrative Attachment Form
- \_ Other Narrative Attachment Forms
- \_\_\_\_ Budget Narrative Attachment Form

#### Part III: Survey Form

\_ Survey Form on Ensuring Equal Opportunity for Applicants

<u>NOTE:</u> The "ED Abstract Narrative Form" is where the applicant will attach the program abstract. The "Project Narrative Attachment Form" is for the suggested maximum number 55page narrative addressing the program selection criteria that will be used to evaluate applications submitted for this competition. In the "Other Narrative Attachment Forms" section, each applicant should include information about the Advisory Council, faculty resumes, and <u>all</u> the charts and narrative appendices that are requested in the e-application package. Do not upload information that is not specifically requested in the Other Narrative Attachment section. The "Budget Narrative Attachment Form" is where the applicant attaches a detailed line item budget showing federal and matching funds for each 12 months period for <u>each</u> of the 4 years for which funding is requested.

#### Q. Instructions for Completing the CIBE Application Package

The CIBE application consists of three parts. Applicants should organize their applications in accordance with the following:

#### Part I: Standard Forms

Application for Federal Assistance (SF 424) Standard Budget Sheet (ED 524), Sections A & B SF 424B – Assurances Non-Construction Programs Disclosure of Lobbying Activities ED 80-0013 Certification 427 GEPA Department of Education Supplemental Information Form for SF 424

#### Part II: Project Narrative

The <u>ED Abstract Narrative Attachment Form</u> is where you attach the one-page program abstract.

The <u>Project Narrative Attachment Form</u> is for the narrative section addressing the program selection criteria that will be used to evaluate applications submitted for this competition – the suggested maximum number of pages for this section is 55 pages. Remember to include a Table of Contents before the narrative.

The <u>Other Narrative Attachment Form</u> is where you attach <u>all proposal appendices</u> requested in the application package. Do not upload information that is not requested. This section may include charts, may be single-spaced, and there is no restriction as to the size and types of fonts you may use. This section is not included in the suggested maximum length of 55 pages.

The <u>Budget Narrative Attachment Form</u> is where you attach a detailed line itemized budget showing federal and matching funds for each 12 month budget period. You may apply for 48 months of funding. This section is not included in the suggested maximum length of 55 pages.

#### Part III: Survey Form

Survey Form on Ensuring Equal Opportunity for Applicants

# INSTRUCTIONS FOR UPLOADING THE PROJECT NARRATIVE ATTACHMENT FORM

Upload the narrative section as one attachment in .pdf format. Begin with a table of contents, then begin your narrative by addressing each selection criterion. Use each selection criterion as a heading to indicate to which criterion you are responding.

**Suggested Formatting:** A "page" is 8.5" x 11", one side only, with 1" margins at the top, bottom, and both sides. Page numbers and an identifier may be within the 1" margin. Double space (no more than three lines per vertical inch) all text in the application narrative, except titles, headings, footnotes, quotations, references, captions and all text in charts, tables, and graphs. Use one of the following suggested fonts: Times New Roman, Courier, Courier New, or Arial. Please refer to the <u>Notice</u> in this application for all application submission requirements including submission dates, margins, and size and types of fonts.

The Project Narrative Attachment Form is a discussion of the selection criteria as they pertain to your project. **Include a discussion of the invitational priorities in your narrative**. The abstract, table of contents, required forms, other narrative attachments, appendices, assurances and certifications may be in addition to the suggested 55-page limit.

Before preparing the Program Narrative, applicants should review the Dear Applicant Letter, the <u>Federal Register</u> notice (<u>Notice</u>), for specific guidance and requirements. Please note that applications will be evaluated according to the specific selection criteria specified in the <u>Notice</u> and in this package. The narrative should be written concisely. Only the required information should be submitted.

The Secretary evaluates an application on the basis of the criteria in EDGAR 34 CFR 75.209 and 75.210. The Program Narrative should provide, in detail, the information that addresses each selection criterion. The maximum possible score for each category of selection criterion is indicated in parenthesis. The CIBE selection criteria contain sub-criteria. <u>Applicants must</u> <u>specifically address each sub-criterion.</u>

#### **CIBE SELECTION CRITERIA**

The Secretary uses the following selection criteria to evaluate applications for new grants under the Centers for International Business Education Program. <u>The maximum score for all these</u> <u>criteria is 110 points.</u> NOTE: In addressing the selection criteria printed below, refer to sections 611 and 612 of the legislation for the Centers for International Business and Education Program and also to the 2 invitational priorities in the Closing Date Notice.

#### 1. Meeting the purpose of the authorizing statute. (20 points)

The Secretary reviews each application to determine how well the project meets the purposes of sections 611 and 612 of Title VI, part B of the Higher Education Act Opportunity Act of 2008.

a) Describe the objectives of the project and the extent to which they further the statutory provisions.

#### 2. Significance. (20 points)

- a) Describe the national significance of the proposed project.
- b) Describe the importance or magnitude of the results or outcomes likely to be attained by the proposed project. **Note: You may discuss the significance of regional and local activities here.**

#### 3. Quality of the project design. (10 points)

a) Describe the extent to which the proposed activities include a coherent, sustained program of research and development in the field, including, where appropriate, a substantial addition to an ongoing line of inquiry.

#### 4. Quality of the management plan. (10 points)

a) Describe the extent to which the management plan is adequate to achieve the objectives of the proposed project on time and within budget, including clearly defined responsibilities, timelines, and milestone for accomplishing project tasks.

#### 5. Quality of project personnel. (10 points)

- a) Describe the extent to which the qualifications, including relevant training and experience, of the project director or principal investigator meet the needs of the project.
- b) Describe the extent to which the qualifications, including relevant training and experience, of key project personnel meet the needs of the project.
- c) Describe the extent to which the applicant encourages applications for employment from persons who are members of groups that have traditionally been underrepresented based on race, color, national origin, gender, age, or disability.

Note: Briefly describe key staff and faculty in this section. Supplemental materials should include resumes for staff, business and other faculty, and some Advisory Board members, in alphabetical order, 2 resumes per page, single-spaced. Provide title including department, education, research and teaching experience, major publications, awards, etc. Suggested maximum length: 20 pages, 40 resumes.

#### 6. Adequacy of resources. (10 points)

- a) Describe the extent to which the costs are reasonable in relation to the objectives, design, and potential significance of the proposed project.
- b) Describe the adequacy of support, including, but not limited to, facilities, equipment, supplies, and other resources from the applicant organization or the lead applicant organization.

# 7. Quality of the Project Evaluation. (20 points)

**Note:** Please carefully review the section on "Guidance on Developing an Evaluation Plan" in this application package for detailed instructions on how to address this criterion.

### 8. <u>Competitive Preference Priority 1: Business Collaboration</u>. (5 points)

\*Please note in the abstract if you plan to address this priority.

# 5. <u>Competitive Preference Priority 2: Community College/MSI Collaboration</u>. (5 points)

\*Please note in the abstract if you plan to address this priority.

Instructions for Other Narrative Attachment Form and Budget Narrative Attachment Form

<u>The Other Narrative Attachment Form</u> section includes charts, may be singlespaced, and there is no restriction on the size and type of fonts. You may include the following supplemental materials in your application.

1. Advisory Council. Provide the names and titles of Members of the Advisory Council. **Required to establish eligibility**. Membership requirements are in the legislation section of this application. Provide date the Council was established and list dates of planning meetings. Council minutes are not required. Suggested maximum 5 pages.

2. Attach CIBE Assurance Form and Letters of support. Suggested maximum 5 letters.

3. You may provide materials that might assist the reviewers in evaluating how you meet the purposes of the authorizing statute. These might include a chart showing how your proposed CIBE meets the legislative intent; a chart on inter-and intra-university linkages and collaborative and consortium arrangements; an exhibit on language offerings; an exhibit on degree programs, etc. Suggested maximum number is 15 pages.

4. You may provide materials that might assist the reviewers in evaluating how you meet the criteria on significance and project design. Suggested maximum is 8 pages.

5. You may provide an organizational chart and a management plan with timelines. Suggested maximum is 5 pages.

- **6.** Resumes. Provide resumes for staff, business and other faculty, and possibly some Advisory Board members, in alphabetical order, suggested format is two resumes per page, single spaced. Provide title including department, education, research and teaching experience, major publications, awards, etc.
- 7. Budget notes relating costs to proposed activities may be attached. Suggested maximum is 5 pages.
- 8. You may provide a detailed evaluation plan in chart form. Suggested maximum 12 pages.
- 9. Performance Measure Form information should be attached.

#### **Budget Narrative Attachment Form**

The applicant provides a line item budget of all proposed costs (Federal and matching) for each 12 month budget period. Indirect cost is limited to 8% for federal share and matching share. Suggested maximum number is 3 pages for each 12 month budget period. You may apply for up to 48 months of funding.

#### Instructions for Budget Summary Form 524

**NOTE:** Applicants to the CIBE program **MUST** submit (1) a budget summary form to categorize requested funds using ED Form 524, Sections A & B, <u>AND</u> (2) upload as Budget Narrative Attachment Form a detailed itemized line item budget showing federal and matching funds for each 12 month budget period. You may request funding for 4 years, beginning October 1, 2018. The budget pages are not included in the suggested maximum number of pages.

The budget may include all costs that are allowable, reasonable, and necessary for carrying out the objectives of the CIBE Program.

Costs that may be supported with grants funds include the following:

- 1. <u>Personnel</u>: On line 1 (ED Form 524), enter only the project personnel salaries and wages. [Fees and expenses for consultants should be included on line 8.] The budget should include the total commitment of time and the total salary to be charged to the project for each key staff member. You should provide a breakdown of project personnel that includes: the position titles; the percent of time and number of months committed to the project for each key staff member; the salary for each key staff member; and the total salary costs to be charged to the grant.
- 2. <u>Fringe Benefits</u>: On line 2 (ED Form 524), enter the amount of fringe benefits. The institution's normal fringe benefit contribution may be charged to the program. Leave this blank if fringe benefits applicable to direct salaries and wages are treated as part of the indirect costs. In the budget, include an explanation and appropriate justification if the institution's normal fringe benefit contribution exceeds 20 percent of salaries.
- 3. <u>Travel</u>: On line 3 (ED Form 524), provide the costs for project personnel and student participants. [Consultants' travel should be included on line 8.] In the budget, you should detail the proposed travel costs: for each trip explain the purpose and objective of the travel and provide the number of persons traveling. Transportation costs should not exceed tourist class airfare. For automobile mileage, the established institution rate should be used. Reimbursement is allowed for taxicab, bus, train, or limousine transportation. Per diem at the established institution rate is permitted when an individual is away from home overnight on official project business (see OMB Circular A-21, J.48.c Commercial Air Travel). Foreign travel can be authorized under the grant and must be carefully justified. Include in your travel budget funds to travel to the annual project directors' meeting. Allowable expenses regarding this meeting include airfare and ground transportation, hotels, conference fees, meals and incidentals.

If a grant is awarded, all foreign travel must be approved by the program officer before such travel takes place.

4. <u>Equipment</u>: On line 4 (ED Form 524), indicate the cost of equipment -- non-expendable personal property, which has a usefulness of greater than one year and an acquisition cost of \$5,000 or more per unit. [Consistent with an applicant's policy, a lower dollar amount

may be used to define equipment.] In the budget, explain why the requested equipment is necessary to carry out project activities, and include a list of all equipment in the following format: item, quantity, cost per unit, and total cost.

- 5. <u>Supplies</u>: On line 5 (ED Form 524), include the costs of all tangible personal property that was not included as "equipment" on line 4. In the budget, provide an itemized list of the supplies.
- 6. <u>Contractual</u>: Not applicable. Leave blank.
- 7. <u>Construction</u>: Not applicable. Leave blank.
- 8. <u>Other</u>: On line 8 (ED Form 524), indicate all direct costs not covered on lines 1 through 5. The costs/fees for consultants and consultants' travel should be included here. Examples of "other" costs are: equipment rental, required fees, communications costs, rental of space, utilities, and printing costs. In the line item budget, provide a breakdown of all direct costs not clearly covered by other budget categories.

Evaluators and Consultants: If the project proposes to use outside evaluators and consultants, identify the consultants who will work on the project and the scope of work to be performed by each consultant. Also, provide a detailed breakdown of the costs (daily fees to be paid, estimated number of days of services, and all travel expenses, including per diem). Cost allowances for consultant fees, honoraria, per diem, and travel should not exceed amounts permitted by comparable institutional policies.

- 9. <u>Total Direct Costs</u>: On line 9 (ED Form 524), provide the total direct costs requested the sum of lines 1 through 8.
- 10. Indirect Costs: On line 10 (ED Form 524), provide the amount of indirect costs.

For the CIBE program, indirect costs are limited to 8% of a modified total direct cost base both for the Federal costs and the matching costs. The Federal share of indirect cost is 8% and the matching share is 8%. You cannot match with more than 8% indirect cost. To determine the modified total direct cost base, subtract capital expenditures of \$5,000 or more. {See EDGAR, 34 CFR 75.562 (c)}

- 11. <u>Training Stipends</u>: Not applicable. Leave blank.
- 12. <u>Total Cost</u>: On line 12 of ED Form 524, provide the total amount that you are requesting which is the sum of lines 9 and 10. Note: This total cost should also be entered on line 18a on the application face sheet (SF 424).

#### **CIBE Assurance Form**

INSTRUCTIONS: Applicants are required to provide the following assurance. This assurance form must be signed by the authorized representatives of the applicant. Upload this form as item #2 in the Other Narrative Attachment Form section of the e-application.

The applicant hereby assures and certifies that:

- 1. In addition to conducting the extensive planning activities required under the eligibility section of the statute, the Center Advisory Council shall meet not less than once a year after the establishment of the Center to assess and advise on the programs and activities conducted by the Center;
- 2. There shall be ongoing collaboration in the establishment and operation of the Center by faculty of the business, management, foreign language, international studies and other professional schools or departments, as appropriate;
- 3. The education and training programs of the Center will be open to students concentrating in each of these respective areas, as appropriate, and that diverse perspectives will be made available to students in these programs.
- 4. The applicant will use the assistance provided under this program to supplement and not to supplant activities already being conducted by the applicant.

Name and Title of Authorized Representative

Signature

Date

# CIBE PROJECT PERFORMANCE MEASURE FORM (PMF)

The **Centers for International Business Education (CIBE)** application must include a Performance Measure Form (PMF) for the FY 2018 -2021 proposed project. The PMF presents the data elements that you have selected as necessary to demonstrate your project's performance and will make it possible for you and the U.S. Department of Education, to assess progress toward and ultimate achievement of project goals. The data elements in your PMFs should be clear, relevant, and quantifiable, to the greatest extent possible.

The instructions below for developing the PMFs for inclusion in the application are consistent with the PMF instructions in the International and Foreign Language Education's International Resource Information System (IRIS) online performance reporting system. If your FY 2018 application is recommended for funding, you will be required to enter the PMFs' data from the submitted application into the IRIS PMF screens.

The CIBE PMF example (on page 80 and 81) is formatted as a table in order to give applicants a visual of the **five** data elements that you must include in a project PMF. For purposes of this application, however, you may use your discretion as to how you present the PMF's information, as long as you include <u>all of the five required</u> <u>data elements</u>.\_

# 1. Project Goal (Minimum 3, Maximum 5)

A project goal is the broad, overall statement of what the project is aiming to achieve/accomplish. The project goals selected for the PMFs do not have to cover every project goal in your application, but the three to five selected goals must align with the overall scope of the CIBE project. The project goals that you select should be reasonable and realistic representations of what you anticipate achieving by the end of the grant cycle; and they should allow you and others to make a determination of substantial progress.

Tip: Limit the project goal statement to one sentence.

# 2. Performance Measure (Minimum 1, Maximum 3)

The performance measure is the metric against which you will measure whether the project is meeting its overall project goal. The performance measure should be specific and time bound, with well-defined units of measure (e.g., number of students served, number of courses enhanced or created, percentage of increase/decrease, etc.). The performance measure should reflect the project goal so that meeting the performance measure is likely to result in the achievement of the project goal.

The performance measure may address direct products and services delivered (outputs), and/or the results of those products and services (outcomes). Also, a performance measure conveys not only "what" will be achieved, but also "by how much."

# *Tip: Limit the Performance Measure to one sentence.*

# 3. Activities (Minimum 1, Maximum 3)

Activities are actions that you will implement in order to meet the performance measure and the project goal. Each performance measure will have at least one, and up to three activities, if multiple activities are necessary to meet a performance measure.

# 4. Data Indicators (Minimum 1, Maximum 3)

Data indicators are specific, observable, and measurable characteristics that can be used to determine whether carrying out the activity results in progress being made toward meeting the performance measure. Therefore, the data indicators are linked to and should reflect both the activity and the performance measure. When deciding on a data indicator, take into consideration which types and sources of data will be available to best demonstrate that the project is achieving or will achieve its objectives and intended outcomes. Data sources include, but are not limited to, course enrollments, exit surveys, workshop attendance records, registrar's reports, etc.

Include the frequency (period of measurement) for the data indicator, such as, by semester, quarterly, annually.

Tip: At least one data indicator must correspond directly to the performance measure so that this relationship is explicit and that evidence of meeting the performance measure is easy to document and express.

# 5. Baselines and Targets

The baseline is the initial value collected for the data indicator at or prior to the start of the project, which serves as a point of reference. The target is the planned value for the data indicator(s) over the course of the project period. A target may be expressed as discrete for each reporting period or cumulative over the course of the performance period. Please include comments to describe the types of targets. A project in the planning or formative stage might not have an outcome (and hence, a value) at the beginning. In this case, it is appropriate to indicate that the target is zero.

If your application is recommended for funding, you will report the "actual" values for your targets in your annual IRIS performance report.

Tip: Baselines, targets, and actuals are quantifiable values and should, therefore, be represented by numbers or percentages.

# EXAMPLE OF A CIBE PROJECT PERFORMANCE MEASURE FORM (PMF)

<b>1. Project Goal Statement:</b> Increase the number of students graduating from X University who are proficient in business Portuguese by 10 percent during the grant period when compared to the prior 4-year period.									
2. Performance Measures	3. Activities	4. Data/ Indicators	5. Frequency	6. Data Source					
A) Create and offer business Portuguese courses.	A.1 Recruit and hire qualified business Portuguese	<ul> <li>The number of qualified business Portuguese</li> </ul>	Annually	Departmental Records	6	7	8	9	10
	instructors. A.2 Review and revise all language courses to ensure that a communicative- based approach is used.	<ul> <li>instructors in the program.</li> <li>The number and percentage of all courses in business Portuguese program in which a communicativ e-based approach is used.</li> </ul>	Annually	Departmental Records	6 of 12 (50%)	8 of 14 (57%)	10 of 16 (63%)	14 of 18 (78%)	20 of 20 (100%)

2. Performance Measures	3. Activities	4. Data/ Indicators	5. Frequency	6. Data Source					
B) Increase by 10% the number of students completing	B.1 Disseminate course information to potential	<ul> <li>Number of students enrolled in beginning, intermediate,</li> </ul>	Quarterly	University Registrar	120	160	200	240	280
intermediate and advanced level courses in business Portuguese each project	students in other departments, e.g., business management, finance,	and advanced level courses at the beginning of each quarter/semes	Quarterly	University Registrar	80	88	97	107	118
year.	accounting, etc. B.2 Recruit heritage language students to enroll in advanced level courses. B.3 Provide tutoring, mentoring, and authentic opportunities for students to interact in business Portuguese.	<ul> <li>ter.</li> <li>The number of students progressing from beginning to intermediate and from intermediate to advanced levels at the end of each quarter.</li> <li>The number of students successfully completing intermediate or advanced level courses each quarter/semes</li> </ul>	Quarterly	University Registrar	60	66	73	80	88

Γ

# Instructions for Standard Forms

- Application for Federal Assistance (SF 424)
- Department of Education Supplemental Form for the SF 424
- Department of Education Budget Summary Form (ED 524)
- Disclosure of Lobbying Activities (SF-LLL)
- Survey Instructions on Ensuring Equal Opportunity for Applicants

#### Instructions for the SF-424

Public reporting burden for this collection of information is estimated to average 60 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

This is a standard form (including the continuation sheet) required for use as a cover sheet for submission of preapplications and applications and related information under discretionary programs. Some of the items are required and some are optional at the discretion of the applicant or the Federal agency (agency). Required items are identified with an asterisk on the form and are specified in the instructions below. In addition to the instructions provided below, applicants must consult agency instructions to determine specific requirements.

Item	Entry:	Item	Entry:			
1.	Type of Submission: (Required): Select one type of submission in accordance with agency instructions.         • Preapplication         • Application	10.	Name Of Federal Agency: (Required) Enter the name of the Federal agency from which assistance is being requested with this application.			
	<ul> <li>Changed/Corrected Application – If requested by the agency, check if this submission is to change or correct a previously submitted application. Unless requested by the agency, applicants may not use this to submit changes after the closing date.</li> </ul>	11.	<b>Catalog Of Federal Domestic Assistance Number/Title:</b> Enter the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested, as found in the program announcement, if applicable.			
2.	<ul> <li>Type of Application: (Required) Select one type of application in accordance with agency instructions.</li> <li>New - An application that is being submitted to an agency for the first time.</li> </ul>	12.	<b>Funding Opportunity Number/Title:</b> (Required) Enter the Funding Opportunity Number and title of the opportunity under which assistance is requested, as found in the program announcement.			
	<ul> <li>Continuation - An extension for an additional funding/budget period for a project with a projected completion date. This can include renewals.</li> <li>Revision - Any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision, enter the appropriate letter(s). More than one may be selected. If "Other" is</li> </ul>	13.	<b>Competition Identification Number/Title:</b> Enter the Competition Identification Number and title of the competition under which assistance is requested, if applicable.			
	selected, please specify in text box provided. A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration E. Other (specify)	14.	Areas Affected By Project: List the areas or entities using the categories (e.g., cities, counties, states, etc.) specified in agency instructions. Use the continuation sheet to enter additional areas, if needed.			
3.	<b>Date Received:</b> Leave this field blank. This date will be assigned by the Federal agency.		<b>Descriptive Title of Applicant's Project:</b> (Required) Enter a brief descriptive title of the project. If appropriate, attach a map showing project location (e.g., construction or real property projects). For			
4.	<b>Applicant Identifier</b> : Enter the entity identifier assigned by the Federal agency, if any, or applicant's control number, if applicable.		preapplications, attach a summary description of the project.			
5a	Federal Entity Identifier: Enter the number assigned to your organization by the Federal Agency, if any.         Federal Award Identifier: For new applications leave blank. For a continuation or revision to an existing award, enter the previously assigned Federal award identifier number. If a changed/corrected application, enter the Federal Identifier in accordance with agency instructions.         Date Received by State: Leave this field blank. This date will be assigned by the State, if applicable.		<b>Congressional Districts Of</b> : (Required) 16a. Enter the applicant's Congressional District, and 16b. Enter all District(s) affected by the			
5b.			<ul> <li>program or project. Enter in the format: 2 characters State</li> <li>Abbreviation - 2-3 characters District Number, e.g., CA-12 for</li> <li>California 12<sup>th</sup> district, NC-103 for North Carolina's 103<sup>rd</sup> district.</li> <li>If all congressional districts in a state are affected, enter "all" for the district number, e.g., MD-all for all congressional districts in Maryland.</li> </ul>			
6.						
7.	<b>State Application Identifier:</b> Leave this field blank. This identifier will be assigned by the State, if applicable.		<ul> <li>If nationwide, i.e. all districts within all states are affected, enter US-all.</li> <li>If the program/project is outside the US, enter 00-000.</li> </ul>			
8.	<b>Applicant Information</b> : Enter the following in accordance with agency instructions:		• In the program/ project is outside the OS, enter 00-000.			

	<ul> <li>a. Legal Name: (Required): Enter the legal name of applicant that will undertake the assistance activity. This is the name that the organization has registered with the Central Contractor Registry. Information on registering with CCR may be obtained by visiting the Grants.gov website.</li> <li>b. Employer/Taxpayer Number (EIN/TIN): (Required): Enter the Employer or</li> </ul>	17.	<b>Proposed Project Start and End Dates</b> : (Required) Enter the proposed start date and end date of the project.		
	Taxpayer Identification Number (EIN or TIN) as assigned by the Internal Revenue Service. If your organization is not in the US, enter 44-4444444. <b>c. Organizational DUNS</b> : (Required) Enter the organization's DUNS or DUNS+4 number received from Dun and Bradstreet. Information on obtaining a DUNS	18.	<b>Estimated Funding:</b> (Required) Enter the amount requested or to contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines, as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the		
	number may be obtained by visiting the Grants.gov website. <b>d. Address</b> : Enter the complete address as follows: Street address (Line 1 required), City (Required), County, State (Required, if country is US), Province, Country (Required), Zip/Postal Code (Required, if country is US).		change. For decreases, enclose the amounts in parentheses. Is Application Subject to Review by State Under Executive Order		
	<b>e. Organizational Unit:</b> Enter the name of the primary organizational unit (and department or division, if applicable) that will undertake the assistance activity, if applicable.		<b>12372 Process?</b> Applicants should contact the State Single Point Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmenta review process. Select the appropriate box. If "a." is selected, en the date the application was submitted to the State		
	<b>f. Name and contact information of person to be contacted on matters</b> <b>involving this application</b> : Enter the name (First and last name required), organizational affiliation (if affiliated with an organization other than the				
	applicant organization), telephone number (Required), fax number, and email address (Required) of the person to contact on matters related to this application.		Is the Applicant Delinquent on any Federal Debt? (Required) Select the appropriate box. This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.		
			If yes, include an explanation on the continuation sheet.		
9.	Type of Applicant: (Required) Select up to three applicant type(s) in accordance with agency instructions.A.State Government B.M.Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)B.County Government C.M.Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)D.Special District Government E.N.Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education)G.Independent School District Institution of Higher Education Institution of Higher EducationO.Private Institution of Higher EducationI.Indian/Native American Tribal Government (Other than Federally Recognized)P.Individual Q.For-Profit Organization (Other than Small Business)J.Indian/Native American Triball Government (Other than Federally Recognized)R.Small Business S.Hispanic-serving InstitutionK.Indian/Native American Tribally Designated OrganizationU.Tribally Controlled Colleges and Universities (TCCUs)L.Public/Indian Housing AuthorityV.Alaska Native and Native Hawaiian Serving Institutions W.W.Non-domestic (non-US) Entity X.Other (specify)	21.	Authorized Representative: (Required) To be signed and dated by the authorized representative of the applicant organization. Enter the name (First and last name required) title (Required), telephone number (Required), fax number, and email address (Required) of the person authorized to sign for the applicant. A copy of the governing body's authorization for you to sign this application as the official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)		

Instructions for Department of Education Supplemental Information for SF 424

**a. Project Director.** Name, address, telephone and fax numbers, and e-mail address of the person to be contacted on matters involving this application.

**2. Novice Applicant.** Check **"Yes"** or "**No**" only if assistance is being requested under a program that gives special consideration to novice applicants. Otherwise, **leave blank.** 

Check "**Yes**" if you meet the requirements for novice applicants specified in the regulations in 34 CFR 75.225 and included on the attached page entitled "Definitions for Department of Education Supplemental Information for SF 424." By checking "Yes" the applicant certifies that it meets these novice applicant requirements. Check "**No**" if you do not meet the requirements for novice applicants.

**3. Human Subjects Research.** (See I. A. "Definitions" in attached page entitled "Definitions for Department of Education Supplemental Information For SF 424.")

**If Not Human Subjects Research.** Check "**No**" if research activities involving human subjects are not planned at any time during the proposed project period. The remaining parts of Item 3 are then not applicable.

**If Human Subjects Research.** Check "**Yes**" if research activities involving human subjects are planned at any time during the proposed project period, either at the applicant organization or at any other performance site or collaborating institution. Check "**Yes**" even if the research is exempt from the regulations for the protection of human subjects. (See I. B. "Exemptions" in attached page entitled "Definitions for Department of Education Supplemental Information For SF 424.")

**3a. If Human Subjects Research is Exempt from the Human Subjects Regulations.** Check "**Yes**" if all the research activities proposed are designated to be exempt from the regulations. Insert the exemption number(s) corresponding to one or more of the six exemption categories listed in I. B. "Exemptions." In addition, follow the instructions in II. A. "Exempt Research Narrative" in the attached page entitled "Definitions for Department of Education Supplemental Information For SF 424."

**3a. If Human Subjects Research is Not Exempt from Human Subjects Regulations.** Check "**No**" if some or all of the planned research activities are covered (not exempt). In addition, follow the instructions in II. B. "Nonexempt Research Narrative" in the page entitled "Definitions for Department of Education Supplemental Information For SF 424

**3a. Human Subjects Assurance Number.** If the applicant has an approved Federal Wide (FWA) on file with the Office for Human Research Protections (OHRP), U.S. Department of Health and Human Services, that covers the specific activity, insert the number in the space provided. If the applicant does not have an approved assurance on file with OHRP, enter "None." In this case, the applicant, by signature on the SF-424, is declaring that it will comply with 34 CFR 97 and proceed to obtain the human subjects assurance upon request by the designated ED official. If the application is recommended/selected for funding, the designated ED official will request that the applicant obtain the assurance within 30 days after the specific formal request.

**Note about Institutional Review Board Approval.** ED does not require certification of Institutional Review Board approval with the application. However, if an application that involves non-exempt human subjects research is recommended/selected for funding, the designated ED official will request that the applicant obtain and send the certification to ED within 30 days after the formal request.

Paperwork Burden Statement. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1890-0017. The time required to complete this information collection is estimated to average between 15 and 45 minutes per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, D.C. 20202-4700. If you have comments or concerns regarding the status of your individual submission of this form write directly to: Joyce I. Mays, Application Control Center, U.S. Department of Education, Potomac Center Plaza, 550 12<sup>th</sup> Street, S.W. Room 7076, Washington, D.C. 20202-4260.

## Definitions for Department of Education Supplemental Information For SF 424

### (Attachment to Instructions for Supplemental Information for SF 424)

#### **Definitions:**

**Novice Applicant (See 34 CFR 75.225)**. For discretionary grant programs under which the Secretary gives special consideration to novice applications, a novice applicant means any applicant for a grant from ED that—

- Has never received a grant or subgrant under the program from which it seeks funding;
- Has never been a member of a group application, submitted in accordance with 34 CFR 75.127-75.129, that received a grant under the program from which it seeks funding; and
- Has not had an active discretionary grant from the Federal government in the five years before the deadline date for applications under the program. For the purposes of this requirement, a grant is active until the end of the grant's project or funding period, including any extensions of those periods that extend the grantee's authority to obligate funds.

In the case of a group application submitted in accordance with 34 CFR 75.127-75.129, a group includes only parties that meet the requirements listed above.

### PROTECTION OF HUMAN SUBJECTS IN RESEARCH

#### I. Definitions and Exemptions

#### A. Definitions.

A research activity involves human subjects if the activity is research, as defined in the Department's regulations, and the research activity will involve use of human subjects, as defined in the regulations.

#### -Research

The ED Regulations for the Protection of Human Subjects, Title 34, Code of Federal Regulations, Part 97, define research as "a systematic investigation, including research development, testing and evaluation, designed to develop or contribute to generalizable knowledge." *If an activity follows a deliberate plan whose purpose is to develop or contribute to generalizable knowledge it is research.* Activities which meet this definition constitute research whether or not they are conducted or supported under a program that is considered research for other purposes. For example, some demonstration and service programs may include research activities.

#### —Human Subject

The regulations define human subject as "a living individual about whom an investigator (whether professional or student) conducting research obtains (1) data through intervention or interaction with the individual, or (2) identifiable private information." (1) If an activity involves obtaining information about a living person by manipulating that person or that person's environment, as might occur when a new instructional technique is tested, or by communicating or interacting with the individual, as occurs with surveys and interviews, the definition of human subject is met. (2) If an activity involves obtaining private information about a living person in such a way that the information can be linked to that individual (the identity of the subject is or may be readily determined by the investigator or associated with the information), the definition of human subject is met. [Private information includes information about behavior that occurs in a context in which an individual can reasonably expect that no observation or recording is taking place, and information which has been provided for specific purposes by an individual and which the individual can reasonably expect will not be made public (for example, a school health record).]

#### B. Exemptions.

Research activities in which the <u>only</u> involvement of human subjects will be in one or more of the following six categories of *exemptions* are not covered by the regulations:

(1) Research conducted in established or commonly accepted educational settings, involving normal educational practices, such as (a) research on regular and special education instructional strategies, or (b) research on the effectiveness of or the comparison among instructional techniques, curricula, or classroom management methods.

(2) Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior, unless: (a) information obtained is recorded in such a manner that human subjects can be identified, directly or through identifiers linked to the subjects; and (b) any disclosure of the human subjects' responses outside the research could reasonably place the subjects at risk of criminal or civil liability or be damaging to the subjects' financial standing, employability, or reputation. *If the subjects are children, exemption 2 applies only to research involving educational tests and observations of public behavior when the investigator(s) do not participate in the activities being observed. Exemption 2 does not apply if children are surveyed or interviewed or if the research involves observation of public behavior and the investigator(s) participate in the activities being observed.* [Children are defined as persons who have not attained the legal age for consent to treatments or procedures involved in the research, under the applicable law or jurisdiction in which the research will be conducted.]

(3) Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior that is not exempt under section (2) above, if the human subjects are elected or appointed public officials or candidates for public office; or federal statute(s) require(s) without exception that the confidentiality of the personally identifiable information will be maintained throughout the research and thereafter.

(4) Research involving the collection or study of existing data, documents, records, pathological specimens, or diagnostic specimens, if these sources are publicly available or if the information is recorded by the investigator in a manner that subjects cannot be identified, directly or through identifiers linked to the subjects.

(5) Research and demonstration projects which are conducted by or subject to the approval of department or agency heads, and which are designed to study, evaluate, or otherwise examine: (a) public benefit or service programs; (b) procedures for obtaining benefits or services under those programs; (c) possible changes in or alternatives to those programs or procedures; or (d) possible changes in methods or levels of payment for benefits or services under those programs.

(6) Taste and food quality evaluation and consumer acceptance studies, (a) if wholesome foods without additives are consumed or (b) if a food is consumed that contains a food ingredient at or below the level and for a use found to be safe, or agricultural chemical or environmental contaminant at or below the level found to be safe, by the Food and Drug Administration or approved by the Environmental Protection Agency or the Food Safety and Inspection Service of the U.S. Department of Agriculture.

#### II. Instructions for Exempt and Nonexempt Human Subjects Research Narratives

If the applicant marked "Yes" for Item 3 of Department of Education Supplemental Information for SF 424, the applicant must provide a human subjects "exempt research" or "nonexempt research" narrative. Insert the narrative(s) in the space provided. If you have multiple projects and need to provide more than one narrative, be sure to label each set of responses as to the project they address.

#### A. Exempt Research Narrative.

If you marked "Yes" for item 3 a. and designated exemption numbers(s), provide the "exempt research" narrative. The narrative must contain sufficient information about the involvement of human subjects in the proposed research to allow a determination by ED that the designated exemption(s) are appropriate. The narrative must be succinct.

#### B. Nonexempt Research Narrative.

If you marked "No" for item 3 a. you must provide the "nonexempt research" narrative. The narrative must address the following seven points. Although no specific page limitation applies to this section of the application, be succinct.

#### (1) Human Subjects Involvement and Characteristics:

Provide a detailed description of the proposed involvement of human subjects. Describe the characteristics of the subject population, including their anticipated number, age range, and health status. Identify the criteria for inclusion or exclusion of any subpopulation. Explain the rationale for the involvement of special classes of subjects, such as children, children with disabilities, adults with disabilities, persons with mental disabilities, pregnant women, prisoners, institutionalized individuals, or others who are likely to be vulnerable

(2) **Sources of Materials**: Identify the sources of research material obtained from individually identifiable living human subjects in the form of specimens, records, or data. Indicate whether the material or data will be obtained specifically for research purposes or whether use will be made of existing specimens, records, or data.

(3) **Recruitment and Informed Consent**: Describe plans for the recruitment of subjects and the consent procedures to be followed. Include the circumstances under which consent will be sought and obtained, who will seek it, the nature of the information to be provided to prospective subjects, and the method of documenting consent. State if the Institutional Review Board (IRB) has authorized a modification or waiver of the elements of consent or the requirement for documentation of consent. (4) **Potential Risks:** Describe potential risks (physical, psychological, social, legal, or other) and assess their likelihood and seriousness. Where appropriate, describe alternative treatments and procedures that might be advantageous to the subjects.

(5) **Protection Against Risk**: Describe the procedures for protecting against or minimizing potential risks, including risks to confidentiality, and assess their likely effectiveness. Where appropriate, discuss provisions for ensuring necessary medical or professional intervention in the event of adverse effects to the subjects. Also, where appropriate, describe the provisions for monitoring the data collected to ensure the safety of the subjects.

(6) **Importance of the Knowledge to be Gained**: Discuss the importance of the knowledge gained or to be gained as a result of the proposed research. Discuss why the risks to subjects are reasonable in relation to the anticipated benefits to subjects and in relation to the importance of the knowledge that may reasonably be expected to result.

(7) **Collaborating Site(s)**: If research involving human subjects will take place at collaborating site(s) or other performance site(s), name the sites and briefly describe their involvement or role in the research.

Copies of the Department of Education's Regulations for the Protection of Human Subjects, 34 CFR Part 97 and other pertinent materials on the protection of human subjects in research are available from the Grants Policy and Oversight Staff, Office of the Chief Financial Officer, U.S. Department of Education, Washington, D.C. 20202-4250, telephone: (202) 245-6120, and on the U.S. Department of Education's Protection of Human Subjects in Research Web Site: http://www.ed.gov/about/offices/list/OCFO/humansu b.html

NOTE: The **State Applicant Identifier** on the SF 424 is for State Use only. Please complete it on the OMB Standard 424 in the upper right corner of the form (if applicable).

## Instructions for ED 524

## **General Instructions**

This form is used to apply to individual U.S. Department of Education (ED) discretionary grant programs. Unless directed otherwise, provide the same budget information for each year of the multi-year funding request. Pay attention to applicable program specific instructions, if attached. Please consult with your Business Office prior to submitting this form.

## <u>Section A - Budget Summary</u> <u>U.S. Department of Education Funds</u>

All applicants must complete Section A and provide a breakdown by the applicable budget categories shown in lines 1-11.

Lines 1-11, columns (a)-(e): For each project year for which funding is requested, show the total amount requested for each applicable budget category.

Lines 1-11, column (f): Show the multi-year total for each budget category. If funding is requested for only one project year, leave this column blank.

Line 12, columns (a)-(e): Show the total budget request for each project year for which funding is requested.

Line 12, column (f): Show the total amount requested for all project years. If funding is requested for only one year, leave this space blank.

### Indirect Cost Information:

If you are requesting reimbursement for indirect costs on line 10, this information is to be completed by your Business Office. (1): Indicate whether or not your organization has an Indirect Cost Rate Agreement that was approved by the Federal government. (2): If you checked "yes" in (1), indicate in (2) the beginning and ending dates covered by the Indirect Cost Rate Agreement. In addition, indicate whether ED or another Federal agency (Other) issued the approved agreement. If you check "Other," specify the name of the Federal agency that issued the approved agreement. (3): If you are applying for a grant under a Restricted Rate Program (34 CFR 75.563 or 76.563), indicate whether you are using a restricted indirect cost rate that is included on your approved Indirect Cost Rate Agreement or whether you are using a restricted indirect cost rate that complies with 34 CFR 76.564(c)(2). Note: State or Local government agencies may not use the provision for a restricted indirect cost rate specified in 34 CFR 76.564(c)(2). Check only one response. Leave blank, if this item is not applicable.

## Section B - Budget Summary Non-Federal Funds

If you are required to provide or volunteer to provide matching funds or other non-Federal resources to the project, these should be shown for each applicable budget category on lines 1-11 of Section B.Lines 1-11, columns (a)-(e): For each project year, for which matching funds or other contributions are provided, show the total contribution for each applicable budget category.

Lines 1-11, column (f): Show the multi-year total for each budget category. If non-Federal contributions are provided for only one year, leave this column blank.

Line 12, columns (a)-(e): Show the total matching or other contribution for each project year.

Line 12, column (f): Show the total amount to be contributed for all years of the multi-year project. If non-Federal contributions are provided for only one year, leave this space blank.

# Section C - Budget Narrative [Attach separate sheet(s)] Pay attention to applicable program specific instructions, if attached.

- 1. Provide an itemized budget breakdown, and justification by project year, for each budget category listed in Sections A and B. For grant projects that will be divided into two or more separately budgeted major activities or sub-projects, show for each budget category of a project year the breakdown of the specific expenses attributable to each sub-project or activity.
- 2. If applicable to this program, provide the rate and base on which fringe benefits are calculated.
- 3. If you are requesting reimbursement for indirect costs on line 10, this information is to be completed by your Business Office. Specify the estimated amount of the base to which the indirect cost rate is applied and the total indirect expense. Depending on the grant program to which you are applying and/or your approved Indirect Cost Rate Agreement, some direct cost budget categories in your grant application budget may not be included in the base and multiplied by your indirect cost rate. For example, you must multiply the indirect cost rates of "Training grants" (34 CFR 75.562) and grants under programs with "Supplement not Supplant" requirements ("Restricted Rate" programs) by a "modified total direct cost" (MTDC) base (34 CFR 75.563 or 76.563). Please indicate which costs are included and which costs are excluded from the base to which the indirect cost rate is applied.

When calculating indirect costs (line 10) for "Training grants" or grants under "Restricted Rate" programs, you must refer to the information and examples on ED's website at: <u>http://www.ed.gov/fund/grant/apply/appforms/appforms.html</u>.

You may also contact (202) 377-3838 for additional information regarding calculating indirect cost rates or general indirect cost rate information.

4. Provide other explanations or comments you deem necessary.

## Instructions for Completion of SF-LLL: Disclosure of Lobbying Activities

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

- 1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
- 2. Identify the status of the covered Federal action.
- 3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
- 4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
- 5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
- 6. Enter the name of the federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- 7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
- 8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitations for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Included prefixes, e.g., "RFP-DE-90-001."

- 9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
- 10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individual(s) performing services, and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).

11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503

## Instructions for Survey on Ensuring Equal Opportunity

Provide the applicant's (organization) name and DUNS number and the grant name and CFDA number.

- 1. Self-explanatory.
- 2. Self-identify.
- 3. Self-identify.
- 4. 501(c)(3) status is a legal designation provided on application to the Internal Revenue Service by eligible organizations. Some grant programs may require nonprofit applicants to have 501(c)(3) status. Other grant programs do not.
- 5. Self-explanatory.
- 6. For example, two part-time employees who each work half-time equal one full-time equivalent employee. If the applicant is a local affiliate of a national organization, the responses to survey questions 2 and 3 should reflect the staff and budget size of the local affiliate.
- 7. Annual budget means the amount of money your organization spends each year on all of its activities.

## Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is <u>1840-0616</u>. The time required to complete this information collection is estimated to average **80** hours (or minutes) per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection.

If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, D.C. 20202-4537.

**If you have comments or concerns regarding the status of your individual submission of this form, write directly to:** Timothy Duvall, Centers for International Business Education Program, U.S. Department of Education, 400 Maryland Avenue, SW, Room 3E215, Washington, D.C. 20202.



# Certification of Eligibility for Federal Assistance in Certain Programs

I understand that 34 CFR 75.60, 75.61, and 75.62 require that I make specific certifications of eligibility to the U.S. Department of Education (ED) as a condition of applying for Federal funds in certain programs and that these requirements are in addition to any other eligibility requirements that ED imposes under program regulations. Under 34 CFR 75.60 – 75.62:

- I. I certify that:
  - A. I do not owe a debt, or I am current in repaying a debt, or I am not in default (as that term is used at 34 CFR Part 668) on a debt:
    - 1. To the Federal Government under a nonprocurement transaction (e.g., a previous loan, scholarship, grant, or cooperative agreement); or
    - 2. For a fellowship, scholarship, stipend, discretionary grant, or loan in any program of ED that is subject to 34 CFR 75.60, 75.61, and 75.62, including:
      - Federal Pell Grant Program (20 U.S.C. 1070a, et seq.);
      - Federal Supplemental Educational Opportunity Grant (SEOG) Program (20 U.S.C. 1070(b), et seq.):
      - State Student Incentive Grant Program (SSIG) 20 U.S.C. 1070c, et seq.);
      - Federal Perkins Loan Program (20 U.S.C. 1087aa, et seq.);
      - Income Contingent Direct Loan Demonstration Project (20 U.S.C. 1087a, note);
      - Federal Stafford Loan Program, Federal Supplemental Loans for Students [SLS], Federal PLUS,
      - or Federal Consolidation Loan Program (20 U.S.C. 1071, et seq.);
      - William D. Ford Federal Direct Loan Program (20 U.S.C. 1087a, et. seq.);
      - Cuban Student Loan Program (20 U.S.C. 2601, et seq.);
      - Robert C. Byrd Honors Scholarship Program (20 U.S.C. 1070d-31, et seq.);
      - Jacob K. Javits Fellows Program (20 U.S.C. 1134h-1134l);
      - Patricia Roberts Harris Fellowship Program (20 U.S.C. 1134d-1134g);
      - Christa McAuliffe Fellowship Program (20 U.S.C. 1105-1105i);
      - Bilingual Education Fellowship Program (20 U.S.C. 3221-3262);
      - Rehabilitation Long-Term Training Program (29 U.S.C. 774(b));
      - Paul Douglas Teacher Scholarship Program (20 U.S.C. 1104, et seq.);
      - Law Enforcement Education Program (42 U.S.C. 3775);
      - Indian Fellowship Program (29 U.S.C. 774(b));
      - Teacher Quality Enhancement Grants Program (20 U.S.C. 1021, et seq.);

## OR

- B. I have made arrangements satisfactory to ED to repay a debt as described in A.1. or A.2. (above) on which I had not been current in repaying or on which I was in default (as that term is used in 34 CFR Part 668).
- II. I certify also that I have not been declared by a judge, as a condition of sentencing under section 5301 of the Anti-Drug Abuse Act of 1988 (21 U.S.C. 862), ineligible to receive Federal assistance for the period of this requested funding.

I understand that providing a false certification to any of the statements above makes me liable for repayment to ED for funds received on the basis of this certification, for civil penalties, and for criminal prosecution under 18 U.S.C. 1001.

(Signature)

(Date)

Name or number of ED program under which this certification is being made: \_\_\_\_\_ ED 80-0016 (Revised 2/01)

<sup>(</sup>Typed or Printed Name)