

Expiration Date: XX/XX/XXXX

Burden: 1 hour

Annual Survey of Coal Stocks and Coal Exports Form EIA-8A

YOUR RESPONSE IS REQUIRED BY LAW

This report is mandatory under the Federal Energy Administration Act of 1974 (Public Law 93-275). Failure to comply may result in criminal fines, civil penalties, and other sanctions as provided by law. Title 18 USC 1001 makes it a criminal offense for any person knowingly and willingly to make to any Agency or Department of the United States any false, fictitious, or fraudulent statements as to any matter within its jurisdiction.

Form EIA-8A shall be submitted by all coal brokers, coal traders, and coal terminals in the 50 United States and the District of Columbia that owned stocks of 10,000 or more short tons of coal originating in the United States on December 31st of the reporting year and/or exported coal originating in the United States during the reporting year. Companies that take custody (physical possession) of the coal and transport it but never own the coal need not report. Companies that report coal stocks on the EIA-923, *Power Plant Operations Report*, need not report. The EIA-923 includes on-site and off-site (i.e., transfer terminal) electric utility coal stockpiles.

PURPOSE

The U.S. Energy Information Administration's (EIA) Form EIA-8A, *Annual Survey of Coal Stocks and Coal Exports*, collects data from U.S. coal brokers, coal traders, and coal terminals and provides Congress with basic statistics concerning coal stocks and coal exports. These data appear in the *Annual Coal Report*, the *Quarterly Coal Report*, and the *Monthly Energy Review*. In addition, the U.S. Energy Information Administration uses the data in short-term and long-term models such as the Short-Term Integrated Forecasting System (STIFS) and the National Energy Modeling System (NEMS) Coal Market Module. The forecast data also appear in the *Short-Term Energy Outlook* and the *Annual Energy Outlook* publications.

DUE DATE

Form EIA-8A must be submitted no later than April 1 of the year following the reporting year.

HOW TO FILE A RESPONSE

Respondents can submit data by the Internet using an encrypted web system, email, fax, and mail. With the Internet-based option, EIA uses security protocols to protect the information against unauthorized access during transmission. Commonly used email, fax and mail transmissions, including files attached to email messages, are not considered secure electronic methods of transmitting survey data.

Secure Communication Method

By Internet: https://signon.eia.doe.gov/ssoserver/login

Unsecured Communication Methods

By email: EIA-8A@eia.gov

By fax: (202) 287-1944 Attn: Form EIA-8A

By mail: Attn: EIA-8A

U.S. Energy Information Administration, EI-24

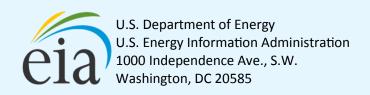
Office of Energy Statistics U.S. Department of Energy 1000 Independence Avenue, SW

Washington, DC 20585

QUESTIONS

For questions or additional information regarding the Form EIA-8A, contact the Survey Manager:

Name: Sundar Thapa Telephone: (202) 586-3836 Email: sundar.thapa@eia.gov

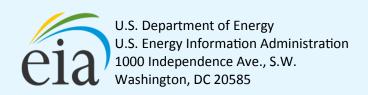


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PART 1: IDENTIFICATION INFORMATION

	Respondent ID#:									
	Reporting for Calen	ıdar Year:								
0	What is the contac	t informa	tion for	the prepa	arer?					
	Preparer's Name:						Title:			
	Street Address:									
	City:						State:	Zip Code:		
	Preparer's Telepho	ne:	()	_	Pr	reparer's Fax: () —		
	Preparer's Email:									
2	What is the contactThe company contact			-	-	information subj	mitted and answer a	ny questions concer	ning	
	the information	the information reported on this survey.								
	The company co	ontact pers	son shou	ıld NOT be	e the preparer					
	Company's Name:									
	Street Address:									
	City:						State:	Zip Code:		
	Company Contact F	Parson:					Title:			
	Contact Person's Te	elephone:	()	_	Contact	Person's Fax: () –		
	Contact Person's Er	mail:								
3	What is the contac	t informa	tion for	the prepa	arer's supervi	sor?				
	Supervisor's Name:						Title:			
	Street Address:									
	City:						State:	Zip Code:		
	Supervisor's Teleph	one:	()	_	Sup	ervisor's Fax: () –		
	Supervisor's Email:		,	,			· ·	·		
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PART 1 CONTINUED: IDENTIFICATION INFORMATION

What was the operational	status of your	company during the r	eporting year?	
O My company was in op	eration			
O My company was temp	orarily not in	operation		
O My company ceased op Enter the date the com		_		
Ceased Operation Date:	/ /	(DD/MM/YY)		
Was your company sold or	merged durin	g the reporting year?		
O My company was NOT	sold or merge	d during the reporting	year — <i>Continue to</i> Part 2	
O My company was sold of	or merged dur	ing the reporting year		
Enter the date the com this form to EIA.	pany was solo	d or merged and the ne	ew company's contact informat	ion below. Then return
Sold/Merged Date:	/ /	(DD/MM/YY)		
New Company Name:				
New Mailing Address:				
City:			State:	Zip Code:
New Company Contact Pe	erson:		Title:	
New Contact Person's Tel	ephone: () —	New Contact Person's Fax: () —
New Contact Person's Em	ail·			

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PART 2: INDIVIDUAL LOCATION REPORTS OF COAL STOCKS OWNED BY COMPANY

Did the company for which you are reporting OWN 10,000 or more short tons of coal stocks that originated in the United States on December 31st of the reporting year?

Note:

• Coal stocks are defined as coal that has been mined or purchased and stored awaiting shipment or transfer to user.

Exclude:

- Pit inventory in coal stocks. Pit inventory is coal in place that has been surveyed or prepared for mining.
- Coal stocks in-transit (in rail or vessels) at year-end.
- Coal stocks reported on the EIA-923, *Power Plant Operations Report*. The EIA-923 includes on-site and off-site (i.e., transfer terminal) electric utility coal stockpiles.
- Yes *Complete* Part 2
- O No *Skip to* Part 3
- What are the origin, amount, rank, and coal grade of the coal stocks owned in individual locations by this company?

Notes:

- Abbreviations for state names can be found on page 8.
- Rank types include Anthracite, Bituminous, Subbituminous, and Lignite.
- If you need additional space, make copies of page 5.

Individual Location Reports:

	In which location is the coal stock	k located in?	WV			
EXAMPLE	Which states did this coal originate from?		oal came from each state?	What was the rank of the coal received from each state?	Was this coal metallurgical or non-metallurgical?	
	PA	2,000 short tons		Bituminous	Metallurgical	
	PA	2,000 short tons		Anthracíte	Metallurgical	
	WV		1,000 short tons	Bituminous	Non-Metallurgical	
			short tons			
			short tons			
			short tons			
	Total coal stocks :		<i>5,000</i> short tons			

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PART 2 CONTINUED: INDIVIDUAL LOCATIONS REPORTS OF COAL STOCKS OWNED BY COMPANY

Individual Location Reports Continued:

In which location is the coal stock	k located in?		
Which states did this coal originate from?	How much coal came from each state?	What was the rank of the coal received from each state?	Was this coal metallurgical or non-metallurgical?
	short tons		
Total coal stocks :	short tons		
In which location is the coal stock	k located in?		
Which states did this coal originate from?	How much coal came from each state?	What was the rank of the coal received from each state?	Was this coal metallurgical or non-metallurgical?
	short tons		
Total coal stocks :	short tons		

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Is the coal grade

PART 3: COAL EXPORTED FROM EACH STATE

Did the company for which you are reporting EXPORT coal that originated in the United States during the reporting year?

Note:

Coal exports are defined as coal that has been mined or purchased for shipment outside of the United States.

Exclude:

Imported coal.

What state did

- Coal that was not mined in the United States.
- Coal exports reported on the EIA-7A, Annual Survey of Coal Production and Preparation.
- Yes *Complete* Part 3
- O No *Skip to* Part 4
- What are the quantity, total revenue, rank, and coal grade of coal exported from each origin state by this company?

What was the total revenue of

What was the rank of

- Abbreviations for state names can be found on page 8.
- Rank types include Anthracite, Bituminous, Subbituminous, and Lignite.

How much coal was

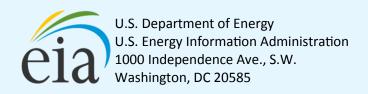
- Report separate lines for each unique combination of origin state (a), rank (d), and coal grade (e).
- If you need additional space, make copies of page 6.

this coal originate from?	exported from the origin state reported in column a?		the coal reported in column b?		the coal reported in column b?	reported in column b metallurgical or non-metallurgical?
(a)	(b)		(c)		(d)	(e)
VA	5,000	short tons	\$ 900,000	.00	Bituminous	Metallurgical
VA	15,000	short tons	\$ 1,650,000	.00	Bituminous	Non-Metallurgical
WY	10,000	short tons	\$ 1,050,000	.00	Subbituminous	Non-Metallurgical
WY	20,000	short tons	\$ 2,400,000	.00	Bituminous	Non-Metallurgical
		short tons	\$.00		
		short tons	\$.00		
		short tons	\$.00		
		short tons	\$.00		
		short tons	\$.00		
		short tons	\$.00		
		short tons	\$.00		
		short tons	\$.00		
		short tons	\$.00		
		short tons	\$.00		

short tons Total exported coal:

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Report any commen	its in the comment b	oox below and th	en return the EIA	-8A form to EIA.	



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WY-Wyoming

OT-Other (Please explain in Part 4,

CODES— For use when completing Parts 2 & 3 of the EIA-8A Form

Codes for Part 2, Item 2 'In which location is the coal stock located in?' and Part 3, Item 2 'What state did this coal originate from?'

AL-Alabama LA-Louisiana OK-Oklahoma AK—Alaska ME-Maine OR-Oregon AZ-Arizona MD-Maryland PA—Pennsylvania AR—Arkansas MA—Massachusetts RI-Rhode Island CA-California SC-South Carolina MI-Michigan CO-Colorado MN-Minnesota SD—South Dakota CT—Connecticut MS-Mississippi TN-Tennessee DE-Delaware MO-Missouri TX—Texas DC—District of Columbia UT-Utah MT—Montana FL-Florida NE-Nebraska VT-Vermont GA-Georgia NV-Nevada VA-Virginia HI—Hawaii NH—New Hampshire WA-Washington WV-West Virginia ID—Idaho NJ-New Jersev IL-Illinois NM-New Mexico WI-Wisconsin

IA—lowa NC—North Carolina KS—Kansas ND—North Dakota

KY—Kentucky OH—Ohio Comments)

NY-New York

Codes for Part 2, Item **②** 'Which states did this coal originate from?'

AL—Alabama LA—Louisiana PAB—Pennsylvania, Bituminous AK—Alaska MD—Maryland TN—Tennessee AR—Arkansas MS—Mississippi TX—Texas CO—Colorado MO—Missouri UT—Utah IL—Illinois MT—Montana WA—Washington

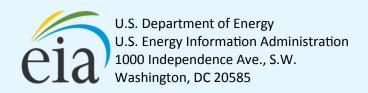
IN—IndianaNM—New MexicoNWV—West Virginia, NorthernIA—IowaND—North DakotaSWV—West Virginia, Southern

PAA—Pennsylvania, Anthracite

KS—Kansas OH—Ohio WY—Wyoming EKY—Kentucky, Eastern OK—Oklahoma

IN-Indiana

WKY-Kentucky, Western



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DEFINITIONS

See the EIA Glossary for coal definitions: http://www.eia.gov/tools/glossary/.

SANCTIONS

The timely submission of Form EIA-8A by those required to report is mandatory under Section 13(b) of the Federal Energy Administration Act of 1974 (FEAA) (Public Law 93-275) as amended (codified at 15 U.S.C. 772). Failure to respond may result in criminal fines, civil penalties, and other sanctions as provided by law. The government may bring a civil action to prohibit reporting violations which may result in a temporary restraining order or a preliminary or permanent injunction without bond. In such a civil action, the court may also issue mandatory injunctions commanding any person to comply with these reporting requirements. Title 18 U.S.C. 1001 makes it a criminal offense for any person knowingly and willingly to make to any Agency or Department of the United States any false, fictitious, or fraudulent statements as to any matter within its jurisdiction.

REPORTING BURDEN

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time of reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Energy Information Administration, Office of Survey Development and Statistical Integration, EI-21, Forrestal, 1000 Independence Ave., SW, Washington, DC 20585; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

DISCLOSURE OF INFORMATION

The information reported on this form will be protected and not disclosed to the public to the extent that it satisfies the criteria for exemption under the Freedom of Information Act (FOIA), 5 U.S.C. §552, the DOE regulations, 10 C.F.R. §1004.11, implementing the FOIA, and the Trade Secrets Act, 18 U.S.C. §1905.

The Federal Energy Administration Act requires the Energy Information Administration to provide company-specific data to other Federal agencies when requested for official use. The information reported on these forms may also be made available, upon request, to another component of the Department of Energy (DOE); to any Committee of Congress, the Government Accountability Office, or other Federal agencies authorized by law to receive such information. A court of competent jurisdiction may obtain this information in response to an order. The information may be used for any non-statistical purposes such as administrative, regulatory, law enforcement, or adjudicatory purposes.

Disclosure limitation procedures are applied to the total revenue of coal exported from each origin state, reported in Part 3, to ensure that the risk of disclosure of identifiable information is very small. Disclosure limitation procedures are not applied to the other aggregate statistical data published from this survey. Thus, there may be some statistics that are based on data from fewer than three respondents, or that are dominated by data from one or two large respondents. In these cases, it may be possible for a knowledgeable person to estimate the information reported by a specific respondent.