

# Quarterly Survey of Industrial, Commercial, & Institutional Coal Users Form EIA-3

# YOUR RESPONSE IS REQUIRED BY LAW

This report is **mandatory** under the Federal Energy Administration Act of 1974 (Public Law 93-275). Failure to comply may result in criminal fines, civil penalties, and other sanctions as provided by law. **Title 18 USC 1001 makes it a criminal offense for any person knowingly and willingly to make to any Agency or Department of the United States any false, fictitious, or fraudulent statements as to any matter within its jurisdiction.** 

All companies operating coke plants within the United States during the reporting quarter must submit form EIA-3. All other sites that use in excess of 1,000 short tons of anthracite, bituminous, subbituminous, lignite, or refined coal during the sum of the current reporting quarter and the previous three reporting quarters must submit form EIA-3.

Submit a separate form EIA-3 for each site consuming or using the coal.

### PURPOSE

The U.S. Energy Information Administration's (EIA) Form EIA-3, *Quarterly Survey of Industrial, Commercial, & Institutional Coal Users*, collects data from U.S. manufacturing plants, coal transformation/processing plants, coke plants, and commercial and institutional users of coal. The information collected includes basic statistics concerning coal consumption, prices, quality, and stocks. These data appear in the *Annual Coal Report, Quarterly Coal Report, Monthly Energy Review*, and *State Energy Profiles*. In addition, the EIA uses the data for coal demand analyses and in short-term modeling efforts, which produce forecasts of coal demand that may be presented to Congress. The forecast data appear in the *Short-Term Energy Outlook* and *Annual Energy Outlook* as well.

### DUE DATE

Submit form EIA-3 no later than **30 days** after the end of the reporting quarter. The reporting quarters are as follows: First Quarter, January 1- March 31; Second Quarter, April 1 - June 30; Third Quarter, July 1 - September 30; Fourth Quarter, October 1 - December 31.

### HOW TO FILE A RESPONSE

Respondents must use the U.S. Energy Information Administration Data xChange Community Portal to access the EIA-3 campaign and submit data. With the Internet-based option, EIA uses security protocols to protect the information against unauthorized access during transmission. EIA does not accept email, fax, or mailed paper forms.

#### Data Submission Method

By Internet: <u>https://eiadataxchange-c.force.com/</u>

### QUESTIONS

Please contact the U.S. Energy Information Administration Customer Care Team using the following communication methods:

By email:	EIA4USA@eia.gov	
By phone:	1-855-EIA-4USA (1-855-342-4872)	[Monday through Friday, 8:00 AM - 6:00 PM E.T.]

For additional information regarding the Form EIA-3, contact the Survey Manager:

Name: Kimberly Palacios Telephone: (202) 287-6514 Email: Kimberly.Palacios@eia.gov



Respondent ID#:       ILA-923 Site ID:       ILA-923 Site ID:         Reporting for Quarter:       Calendar Year:       ILA-923 Site ID:         What is the name and location for this site?       State:       Zip Code:         Site Name:       State:       Zip Code:       Ital:         What is the contact information for the preparer for this site?       Title:       Ital:       Ital:         Street Address:       Title:       Ital:       Ip Code:       Ital:         City:       State:       Ip Code:       Ip Code:       Ip Code:         Preparer's Telephone:       (       )       -       Ip Code:       Ip Code:         Supervisor's Name:       Ip Code:       Ip Code:       Ip Code:       Ip Code:       Ip Code:         Supervisor's Name:       Ip Code:       Ip	Respondent ID#:									
What is the name and location for this site?       Site \u00e1 and (100 and (10					EIA-923 Site ID:					
Site Name: State: State: Zip Code:   Order shame: Title: State: Title:   Street Address: State: State: Zip Code:   City: State: State: Zip Code:   Preparer's Enail: Preparer's Fax: I I   Preparer's Enail: Ittle: Ittle: Ittle:   Street Address: Ittle: Ittle: Ittle:   City: State: Zip Code: Ittle:   Preparer's Enail: Ittle: Ittle: Ittle:   Street Address: Ittle: Ittle: Ittle:   Street Address: State: Zip Code: Ittle:   Street Address: Ittle: Ittle: Ittle:   Street Address: It	Reporting for Quart	er:			Calendar Year:					
City Name: State: Zip Code:   Preparer's Name: Title: Title:   Street Address: State: Zip Code:   City: State: Zip Code:   City: State: Zip Code:   Preparer's Telephone: I Preparer's Fax: I   Preparer's Email: Preparer's Fax: I I   Supervisor's Name: Title: I I   Supervisor's Name: I I I   Street Address: Title: I I   Supervisor's Name: I I I   Supervisor's Name: I I I   Supervisor's Name: I I I   Supervisor's Telephone: I I I   Supervisor's Tel	What is the name a	and locatio	on for this	<u>site</u> ?						
What is the contact information for the preparer for this site?       Title:         Preparer's Name:       Title:         City:       State:       Zip Code:         Preparer's Telephone:       Preparer's supervisor for this site?       Jimester in the contact information for the preparer's supervisor for this site?       Jimester in the contact information for the preparer's supervisor for this site?         Supervisor's Name:       Title:       Jimester in the contact information for the preparer's supervisor for this site?       Jimester intervisor in the company that with this survisor is Telephone:       Jimester in the contact information for the preparer.         Supervisor's Telephone:       Image:       Jimester in the contact information for the preparer.       Jimester in the contact information for the preparer.       Jimester in the contact information for the company that with this survisor is Telephone:       Jimester in the contact information for the company that with the information submitted and answer any questions concerning the information reported on this surver.       Jimester information for the company that be able to verify all the information submitted and answer any questions concerning the information reported on this surver.       Jimester information for the company that with the information submitted and answer any questions concerning the information reported on this surver.         Company's Name:       Jimester information for the company that with the information submitted and answer any questions concerning the information reported on this surver.       Jimester information for the company that with the informatio	Site Name:									
Preparer's Name:       Title:       Itel:       Itel: </td <td>City Name:</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>State:</td> <td></td> <td>Zip Cod</td> <td>e:</td>	City Name:						State:		Zip Cod	e:
Street Address: State: State: Zip Cole   Preparer's Telephone: ( ) - Preparer's Fax: ( ) -   Preparer's Email: Title: Title: Title: Title: Title: Title: Title:   Supervisor's Name: State: State: Zip Cole Title: Title: Title: Title:   Supervisor's Telephone: ( ) - Supervisor's Fax: ) - Title:   Supervisor's Telephone: ( ) - Supervisor's Fax: ) - Title:   Supervisor's Telephone: ( ) - Supervisor's Fax: ) - Title:   Supervisor's Telephone: ( ) - Supervisor's Fax: ) Title:   Supervisor's Telephone: ( ) - Supervisor's Fax: ) <td>What is the contact</td> <td>t informati</td> <td>ion for the</td> <td>e prepare</td> <td>er for this <u>site</u>?</td> <td></td> <td></td> <td></td> <td></td> <td></td>	What is the contact	t informati	ion for the	e prepare	er for this <u>site</u> ?					
City:       State:       Zip Code:         Preparer's Telephone:        Preparer's Fax:            Preparer's Email:         Preparer's Fax:             What is the contact information for the preparer's supervisor's Name:        Title: </td <td>Preparer's Name:</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>Title:</td> <td></td> <td></td> <td></td>	Preparer's Name:						Title:			
Preparer's Telephone:       (       )       –       Preparer's Fax:       (       )       –         Preparer's Email:       What is the contact information for the preparer's supervisor for this site?       I'itle:       I'itle: <t< td=""><td>Street Address:</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></t<>	Street Address:									
Preparer's Email:     What is the contact information for the preparer's supervisor for this site?   Supervisor's Name:   Street Address:   City:   State:   Zip Code:   Supervisor's Telephone:   ())   -   Supervisor's Famail:     What is the contact information for this company?   Supervisor's Email:     What is the contact information for this company?   Report contact information for the company that owns the site for which this survey is being completed.   The company contact person must be able to verify all the information submitted and answer any questions concerning the information reported on this survey.   The company contact person should NOT be the preparer.   City:   Street Address:   City:   Street Address:   Company's Name:   Street Address:   City:   State:   Zip Code:   Company Contact Person:   Itel:   Company Contact Person:   Itel:   Contact Person's Telephone:   Itel:	City:						State:		Zip Coc	le:
What is the contact information for the preparer's supervisor for this site?       Title:       Image: Imag	Preparer's Telephor	ne:	()	-	-	Prep	arer's Fax:	(	)	-
What is the contact information for the preparer's supervisor for this site?       Title:       Image: Imag	Preparer's Email:									
What is the contact information for this company?         Report contact information for the company that owns the site for which this survey is being completed.         The company contact person must be able to verify all the information submitted and answer any questions concerning the information reported on this survey.         The company contact person should NOT be the preparer.         Company's Name:         Street Address:         City:       State:         Zip Code:         Company Contact Person:         Title:         Contact Person's Telephone:       –         Contact Person's Fax:       )         -       Contact Person's Fax:							State:		Zip Coc	le:
Street Address: City: State: Zip Code: Company Contact Person: Title:	Supervisor's Telepho	one:	( )	-		Super	visor's Fax:	(	)	-
City: State: Zip Code:   Company Contact Person: Title:   Contact Person's Telephone: ) –   Contact Person's Fax: () –	Supervisor's Telepho Supervisor's Email: What is the contact Report contact inform The company contact information repo	<b>t informati</b> mation for t t person m orted on th	<b>ion for thi</b> the compa ust be able is survey.	i <b>s compa</b> any that o e to verify	wns the site for wh all the information	ich this surv	ey is being c	omplete	) ed. stions cor	-
Company Contact Person:       Title:         Contact Person's Telephone:       )       –       Contact Person's Fax:       ()       –	Supervisor's Telepho Supervisor's Email: What is the contact Report contact inform The company contact information report The company contact	<b>t informati</b> mation for t t person m orted on th	<b>ion for thi</b> the compa ust be able is survey.	i <b>s compa</b> any that o e to verify	wns the site for wh all the information	ich this surv	ey is being c	omplete	) ed. stions cor	-
Contact Person's Telephone: ( ) – Contact Person's Fax: ( ) –	Supervisor's Telephe Supervisor's Email: What is the contact Report contact inform The company contact information report The company contact Company's Name:	<b>t informati</b> mation for t t person m orted on th	<b>ion for thi</b> the compa ust be able is survey.	i <b>s compa</b> any that o e to verify	wns the site for wh all the information	ich this surv	ey is being c	omplete	) ed. stions cor	-
	Supervisor's Telephe Supervisor's Email: What is the contact Report contact inform The company contact information report The company contact Company's Name: Street Address:	<b>t informati</b> mation for t t person m orted on th	<b>ion for thi</b> the compa ust be able is survey.	i <b>s compa</b> any that o e to verify	wns the site for wh all the information	ich this surv	ey is being co and answer a	omplete	stions cor	
Contact Person's Email:	Supervisor's Telephe Supervisor's Email: What is the contact Report contact inform The company contact information repor The company contact Company's Name: Street Address: City:	<b>t informati</b> mation for t t person m orted on th t person sh	<b>ion for thi</b> the compa ust be able is survey.	i <b>s compa</b> any that o e to verify	wns the site for wh all the information	ich this surv	ey is being co and answer a State:	omplete	stions cor	
	Supervisor's Telephe Supervisor's Email: What is the contact Report contact inform The company contact information repor The company contact Company's Name: Street Address: City: Company Contact P	t informati mation for t t person m orted on th t person sh	ion for thi the compa ust be able is survey. hould <b>NOT</b>	i <b>s compa</b> any that o e to verify	wns the site for wh all the information	ich this surve	ey is being co and answer a State: Title:	omplete any que	stions cor	



# PART 2: COMPANY AND SITE INFORMATION

• Provide the North American Industry Classification System (NAICS) code and a brief product description of the <u>company's</u> primary products and/or services.

NAICS code:	
Product Description:	

- 2 Does this <u>site</u> operate a coke oven?
  - O Yes
  - $\bigcirc$  No
- **Which of the following options best describes your <u>company's primary product and/or services?</u> Select only one.** 
  - Manufacturing facilities Skip to 5
  - Institutional entities Skip to G
  - Commercial entities *Skip to* ⑤
  - Coal Transformation/Processing plants Continue to ④

Which plant type best describes this <u>site</u> for which you are reporting? Select only one.

- Coking plant
- Gasification plant
- Liquefaction plant
- Refining plant

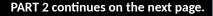
# Does this <u>site</u> have a coal-fired electric generating nameplate capacity of 1MW or greater? Note:

Generator nameplate capacity (installed): The maximum rated output of a generator, prime mover, or other electric power production equipment under specific conditions designated by the manufacturer. Installed generator nameplate capacity is commonly expressed in megawatts (MW) and is usually indicated on a nameplate physically attached to the generator.

O Yes

6

O No





## PART 2 CONTINUED: COMPANY AND SITE INFORMATION

# **O**Does this <u>site</u> use another fuel for co-firing?

Notes:

Co-firing is the simultaneous use of two or more fuels by a single combustion system. Please indicate appropriate unit of measurement for type of fuel for quantity of fuel and average heat content below.

Exclude:

The limited use of a second fuel for start-up or flame stabilization.

○ Yes — Please fill out Table 6.A.

 $\bigcirc$  No- Move on to Question 7.

6 A.	Additional Fuel Source			Heat Content of Fuel		
	Type of Fuel	Quantity	Unit of Measurement	Heat Content	Unit of Measurement	



Yes — What is the amount of CO2 captured during this quarter?
 No



PART 3: COAL CONSUMPTION AND COST AT THIS SITE
--

0	Report the following disposition at this site	during the repor	ting quarter:	
	Ending Coal Stocks from Previous Quarter			short tons
	Quantity of Coal Received During Quarter <b>Note:</b> This value should match the Total ente Item 1, Column B (Quantity of Coal Receiv Part4 rigin)	/ed from		short tons
				SHOLLOUS
	Quantity of Coal Consumed During Quarter	-		short tons
	<ul> <li>Ädjustments</li> <li>Report any changes in stocks during the repord due to any stock losses, reassessments, transfrom other sites owned by the same paren or sales of coal stocks to other companies.</li> <li>Enter a "+" or "-" sign to indicate whether th adjustment is an addition or reduction to y stocks.</li> <li>If a value is entered, explain adjustment below</li> </ul>	nsfers to or t company, e our site's		
				short tons
	Ending Coal Stocks	=		short tons
2	What was the cost of the coal received at the Include insurance, freight and taxes (i.e., the deliver			
	Total Cost of Coal Received During Quarter		\$.00	
3	Did this <u>site</u> receive coal from a broker duri	ng the reporting (	quarter?	
	○ Yes - Continue to ③ A			
	⊖ No			
	OA Please provide the contact information     A	n for your broker	:	
	Company Name Contact N	lame	Email Address	Phone Number



# PART 4: CHARACTERISTICS OF COAL RECEIPTS AT THIS SITE

### • What are the characteristics of the coal receipts at this <u>site</u> during the reporting quarter?

### Notes:

Origin of Coal (A) and Rank of Coal (D): See page 13 for codes.

Mine Safety and Health Administration (MSHA) ID of Coal Mine (C): Refer to <u>http://www.msha.gov/drs/drshome.htm#MID</u> for assistance in finding the MSHA ID.

Is this a Spot-Market or Contract Purchase? (E): Coal received from spot-market purchases and from contract purchases must be reported separately. Data on coal received under each purchase order or contract from the same supplier must be reported separately. Coal purchases can be aggregated when supplier, purchase type, contract data, rank of coal, mode of transportation, costs, fuel quality, and all mine information are identical.

Is this a Spot-Market or Contract Purchase Codes (E): S—Spot-Market; C—Contract Purchase.

If you need additional space, make copies of pages 6 and 7.

Each line runs across pages 6 and 7. After entering column (F) data on page 6 for any line, continue with column (G) on page 7 for the same line.

Multiple shipments received from one MSHA ID can be combined into one entry as long as Coal Rank is the same.

Input the computed weighted average for heat, sulfur, ash, and mercury content.

	*	<b>Origin of</b> <b>Coal</b> State or Country	Quantity of Coal Received from this Origin	MSHA ID of Coal Mine	Rank of Coal	Is this a Spot-Market or Contract Purchase?	Heat Content BTU per Pound	Continue with Column (G) on Page 7
	Line Number	(A)	(B)	(C)	(D)	(E)	(F)	Continue wi on P
EXAMPLES	0	AL	20,000 short tons	103321	BIT	С	12,715	$\rightarrow$
EXAN	0 0	EKY	5,000 short tons	151256 4	BIT	S	12,950	$\rightarrow$
	1		short tons					$\rightarrow$
	2		short tons					$\rightarrow$
	3		short tons					$\rightarrow$
	4		short tons					$\rightarrow$
	5		short tons					$\rightarrow$
	6		short tons					$\rightarrow$
	7		short tons					$\rightarrow$
	8		short tons					$\rightarrow$
	9		short tons					$\rightarrow$
	1 0		short tons					$\rightarrow$
	1 1		short tons					$\rightarrow$
	1 2		short tons					$\rightarrow$
		Total:	short tons					



# PART 4 CONTINUED: CHARACTERISTICS OF COAL RECEIPTS AT THIS SITE

### • What are the characteristics of the coal receipts at this <u>site</u> during the reporting quarter?

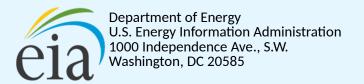
### Notes:

Mercury Content (I): If lab tests of the coal receipts do not include the mercury content, enter the amount specified in the contract with the supplier. If mercury content information is not available from these sources, please enter "0" for mercury content.
 Commodity Cost (J): Commodity cost is free-on-board and does not include freight, insurance, and taxes.

Average Cost of Delivered Coal (K): Report Commodity Cost (J), plus insurance, freight, and taxes.

Mode of Transportation (L, M): The Primary Mode of Transportation refers to the longest leg from the mine. The Secondary Mode of Transportation refers to the second longest leg from the mine. See page 13 for additional instructions on Mode of Transportation.
 Mode of Transportation Codes (L, M): TR—Truck; RR—Railroad; BG—Barge; GL—Great Lakes and tidewater barges and colliers; PC—Pipelines, slurry pipelines, conveyors and tramways; OV—Ocean vessel.

ber	Sulfur Content Percent by weight to nearest 0.01%	Ash Content Percent by weight to nearest 0.01%	Mercury Content Parts per million on a dry basis to nearest .001	nmodity Cost/ port Cost of C Only) Dollars per short ton		Delive Comr + Freig	erage Cost of ered Coal /Tor (Report nodity Cost (J) Insurance, ht, and Taxes) Dollars per short ton (K)	Transportation	Secondary Mode of Transportation From Mine within United States
Line Number	(G)	(H)	(1)	(L)				(L)	(M)
0	1.29 %	11.31 %	0.021	\$ 95.00			\$ <b>100</b> .00	RR	TR
0 0	1.25 %	11.22 %	0.010	\$ <b>100</b> .00			\$ <b>105</b> .00	TR	
1	%	%		\$ 0	.0	\$	00		
2	%	%		\$ 0	.0	\$	00		
3	%	%		\$ 0	.0	\$	00		
4	%	%		\$ 0	.0	\$	00		
5	%	%		\$ 0	.0	\$	00		
6	%	%		\$ 0	.0	\$	00		
7	%	%		\$ 0	.0	\$	00		
8	%	%		\$ 0	.0	\$	00		
9	%	%		\$ 0	.0	\$	00		
1 0	%	%		\$ 0	.0	\$	00		
Page 7 1 1	%	%		\$ 0	.0	Form E \$	IA-3, Quarterly Surve • 00	ey of Industrial, Commercial,	& Institutional Coal Users



# PART 5: COKING PLANTS ONLY

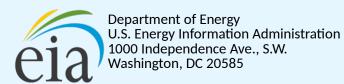
- Are you reporting for a <u>coking plant</u>?
  - Yes Continue to ②
  - No Skip to Part 6

**2** What were the coke and breeze production, distribution, and ending stocks at this <u>coking plant</u> during the reporting quarter?

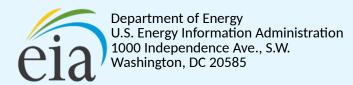
	<u>Coke</u>	<u>Breeze</u>
Ending Stocks from Previous Quarter	short to	short tons
Production	+ short to	short tons
 Quantity Consumed by your Company	- short to	ons - short tons
Quantity of Domestic Sales	- short to	short tons
Quantity of Export Sales	- short to	ons - short tons
Adjustments	+/ short t	tons +/ short tons
Ending Stocks	short to	ns short tons

• What was the total revenue from coke and breeze commercial sales at this <u>coking plant</u> during the reporting quarter?

	<u>Coke</u>		Bro	eeze
Total Revenue from Domestic Sales	\$	.00	\$	.00
Total Revenue from Export Sales	\$	.00	\$	.00
Total Sales	\$	.00	\$	.00



Par	T 6: GASIFICATION PLANTS ONLY			
0	Are you reporting for a gasification plant?			
	○ Yes — Continue to ②			
	○ No — <i>Skip to</i> Part 7			
2	Does this gasification plant gasify coal in-si	itu?		
	$\bigcirc$ Yes — How deep is the coal seam fuelin	g the process?	feet	
	O No			
3	What was the distribution of the gasified c State codes and "Type of Consumer" codes are o If you need more room, copy page 9.	oal produced at this gasification page 14.	ation plant during the report	ing quarter?
	Report States Serviced by the Pipeline	How much of that gasified o	oal was distributed or sold?	Type of Consumer
			thousand cubic feet	
			thousand cubic feet	
			thousand cubic feet	
			thousand cubic feet	
			thousand cubic feet	
			thousand cubic feet	
	Total:		thousand cubic feet	
4	What was the average heat content of the quarter?	gasified coal produced at th	nis <u>gasification plant</u> during t	he reporting
	BTU per cubic foot			
6	What were the byproducts of the gasified of If you need more room, copy page 9.	coal produced at this <u>gasific</u>	ation plant during the repor	ting quarter?
	Byproduct of the Unit of Gasified Coal Measurement	Quantity Produce	d Quantity D	istributed or Sold



# PART 7: LIQUEFACTION PLANTS ONLY

• Are you reporting for a <u>liquefaction plant</u>?

- Yes Continue to ②
- No *Skip to* Part 8
- What was the distribution of the liquefied coal produced at this <u>liquefaction plant</u> during the reporting quarter? "State or Country where the Liquefied Coal went to," "Type of Consumer," and "Primary/Secondary Mode of Transportation from Plant" codes are on page 14.

If you need more room, copy page 10.

State or Country where the Liquefied Coal went to:	Quantity Distributed or Sold to each State or Country	Type of Consum er	Primary Mode of Transportation from Plant	Secondary Mode of Transportation from Plant
	short tons			
Total:	short tons			

• What was the average sulfur content as a percentage of the liquefied coal produced at this <u>liquefaction plant</u> during the reporting quarter?



What was the average heat content of the liquefied coal produced at this <u>liquefaction plant</u> during the reporting quarter?



• What were the byproducts of the liquefied coal produced at this <u>liquefaction plant</u> during the reporting quarter? If you need more room, copy page 10.

Byproduct of the Liquefied Coal Unit of Measurement **Quantity Produced** 

**Quantity Distributed or Sold** 



# PART 8: COAL REFINING PLANTS ONLY

- Are you reporting for a <u>coal refining plant</u>?
  - Yes Continue to ②
  - No *Skip to* Part 9
- **2** What was the disposition of the refined coal stocks at this <u>coal refining plant</u> during the reporting quarter?

Ending Refined Coal Stocks from Previous Quarter		short tons
Refined Coal Produced	+	short tons
 Quantity of Refined Coal Consumed	-	short tons
Quantity of Refined Coal Sold	-	short tons
Other Adjustments	+/-	short tons
Refined Coal Ending	=	short tons

What was the distribution of the refined coal produced at this <u>coal refining plant</u> during the reporting quarter? "State or Country where the Refined Coal went to," "Type of Consumer," and "Primary/Secondary Mode of Transportation from Plant" codes are on page 14.

If you need more room, copy page 11.

State or Country where the Refined Coal went to:	Quantity Distributed or Sold to each State or Country	Type of Consum er	Primary Mode of Transportation from Plant	Secondary Mode of Transportation from Plant
	short tons			
Total:	short tons			

What was the average sulfur content as a percentage of the refined coal produced at this <u>coal refining plant</u> during the reporting quarter?



What was the average ash content as a percentage of the refined coal produced at this <u>coal refining plant</u> during the reporting quarter?

. %

What was the average heat content of the refined coal produced at this <u>coal refining plant</u> during the reporting quarter?

BTU per pound



# PART 9: COMMENTS

**1** Report any comments in the comment box below and then return the EIA-3 form to EIA.



# Codes for use when completing the EIA-3 Form.

### Codes for Part 4 "Origin of Coal (A)" States: AL—Alabama AK—Alaska AR—Arkansas AZ—Arizona CO—Colorado IL—Illinois IN—Indiana

IA-lowa KS—Kansas EKY—Kentucky, Eastern WKY-Kentucky, Western LA-Louisiana MD-Maryland MS-Mississippi MO-Missouri MT-Montana NM-New Mexico ND—North Dakota OH—Ohio OK—Oklahoma PAA—Pennsylvania, Anthracite PAB—Pennsylvania, Bituminous TN-Tennessee TX—Texas UT—Utah VA—Virginia WA-Washington NWV-West Virginia, Northern SWV-West Virginia, Southern WY-Wyoming

### **Countries:**

AU—Australia CL—Columbia CN—Canada IS—Indonesia MX—Mexico VZ—Venezuela OT—Other (please specify)

### "RANK OF COAL (D)"

ANT—Anthracite BIT—Bituminous SUB—Subbituminous LIG—Lignite RC—Refined Coal WOC—Waste Coal

### Special instructions for "Primary/Secondary Mode of Transportation from Site (L) (M):

1. The Primary Mode of Transportation refers to the longest leg from the mine. The Secondary Mode of Transportation refers to the second longest leg from the mine.

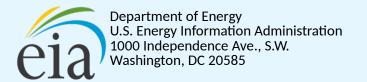
2. If only one mode of transportation is used for a shipment, enter the applicable code under the Primary Mode column.

3. If more than one method of transportation is used for a single shipment, enter the applicable code for Secondary Mode as well.

4. If two methods of transportation are used for a single shipment and both distances are equal, then the Primary Mode shall be considered the method used to deliver coal to the consumer. Enter the other method utilized under Secondary Mode.

538ff4nore than two methods are used in a single shipment, only the Primary and Secondary Models should be reported reial, & Institutional Coal Users

6 Do not report "Truck" as a transportation mode if trucks are used to transport coal exclusively on private roads between the mine and the



# Codes for use when completing the EIA-3 Form.



- States: AL—Alabama AK—Alaska AR—Arkansas AZ—Arizona CA-California CO-Colorado CT-Connecticut DE-Delaware DC—District of Columbia
- FL—Florida
- GA-Georgia
- HI—Hawaii
- ID—Idaho
- IL—Illinois
- IN-Indiana
- IA-lowa
- KS—Kansas
- **KY**—Kentucky
- LA-Louisiana
- ME-Maine
- MD-Maryland
- MA-Massachusetts
- MI-Michigan
- MN-Minnesota
- MS-Mississippi

# Mole Misse Part 6 Item 3; Part 7 Item 2; Part 8 Item 3.

### Npe Néloankamer:

NG-NewadIture, Mining and Construction: Companies engaged in agriculture, mining, or construction industries. Exclude transformed coal What New Bynopshitenies producing construction materials (such as brick and cement), industrial minerals (such as Bentonite) and Advice New Aresepplies (such as fertilizer) and by companies engaged in processing agricultural products. These distributions or sales are to be Meddeletin Mexic Pacturing (MF).

NY-New York

MC-Clare Plants linal plants where transformed coal is carbonized for the manufacture of coke. Shipments of transformed coal to coke plants WP use ont back of eating or electric power generation should be included with Manufacturing (MF).

OH-Ohio

OK-COkhahomal/Institutional: Wholesale or retail businesses; health institutions (hospitals); social and educational institutions (schools and ORveolicities) and Federal, State and local governments (military installations, prisons, office buildings, etc.). Exclude shipments to Federal Boweleproyedramisuch as TVA; and rural electrification

Roopenateds and the power projects. These are to be included in Electric Generation (EG).

SC—South Carolina

20-EtecthiDelecteration: All utility and non-utility power producers. All privately owned companies and all publicly owned agencies Engaged1945999 production of electric power for public use. Publicly owned agencies include the following: Municipal electric utilities; Federal bwde we jects, such as the Tennessee Valley Authority (TVA); and rural electrification cooperatives, power districts, and State power þrojedtah

VT\_Vermont

MF-Wiginitacturing (except Coke Plants): Those industrial users/plants, not including coke plants, that are engaged in the mechanical or Wemka thington mation of materials or substances into new (i.e., finished or semi-finished) products.

### WV—West Virginia

YEI—Saliss to fimployees: Transformed coal sold to employees during the reporting year.

# WY—Wyoming

OT-Other: Shipments of transformed coal to any other consumer category. In page 12, enter a brief explanation of the buyer's general 6ountries:

### CN-Canada

# Mimahy∉siecondary

**OfodestofsTranspondention** Canada or Mexico)

- TR—Truck
- RR-Railroad
- BG—Barge
- GL—Great Lakes and tidewater barges and colliers
- PC—Pipelines, slurry pipelines, conveyors and tramways

QVe 14Ocean Vessel



# DEFINITIONS

See the EIA Glossary for coal definitions: http://www.eia.gov/tools/glossary/.

# SANCTIONS

The timely submission of Form EIA-3 by those required to report is mandatory under Section 13(b) of the Federal Energy Administration Act of 1974 (FEAA) (Public Law 93-275) as amended (codified at 15 U.S.C. 772). Failure to respond may result in criminal fines, civil penalties, and other sanctions as provided by law. The government may bring a civil action to prohibit reporting violations which may result in a temporary restraining order or a preliminary or permanent injunction without bond. In such a civil action, the court may also issue mandatory injunctions commanding any person to comply with these reporting requirements. **Title 18 U.S.C. 1001 makes it a criminal offense for any person knowingly and willingly to make to any Agency or Department of the United States any false, fictitious, or fraudulent statements as to any matter within its jurisdiction.** 

## **REPORTING BURDEN**

Public reporting burden for this collection of information is estimated to average 1.25 hours per response, including the time of reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Energy Information Administration, Office of Survey Development and Statistical Integration, EI-21, Forrestal, 1000 Independence Ave., SW, Washington, DC 20585; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

# DISCLOSURE OF INFORMATION

The information reported on this form will be protected and not disclosed to the public to the extent that it satisfies the criteria for exemption under the Freedom of Information Act (FOIA), 5 U.S.C. §552, the DOE regulations, 10 C.F.R. §1004.11, implementing the FOIA, and the Trade Secrets Act, 18 U.S.C. §1905.

The Federal Energy Administration Act requires the Energy Information Administration to provide company-specific data to other Federal agencies when requested for official use. The information reported on these forms may also be made available, upon request, to another component of the Department of Energy (DOE); to any Committee of Congress, the Government Accountability Office, or other Federal agencies authorized by law to receive such information. A court of competent jurisdiction may obtain this information in response to an order. The information may be used for any non-statistical purposes such as administrative, regulatory, law enforcement, or adjudicatory purposes.

Data protection methods are applied to the cost data reported in Parts 3 and 4 to ensure that the risk of disclosure of identifiable information is very small. Data protection methods are not applied to the other aggregate statistical and quantity data published from this survey. Thus, there may be some statistics that are based on data from fewer than three respondents, or that are dominated by data from one or two large respondents. In these cases, it may be possible for a knowledgeable person to estimate the information reported by a specific respondent.