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**U.S. Department of Education's
Student Aid Internet Gateway
Application For
State Grant Agencies**

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Federal Student Aid
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9 Student Aid Internet Gateway Application for State Grant Agencies

10 Introduction

11 The *Student Aid Internet Gateway (SAIG)* was established to allow authorized entities, including State Grant Agencies
12 (the Agency) to exchange data electronically with the *U.S. Department of Education (ED, Department)*. In order to
13 participate in the SAIG, each Agency must enroll for SAIG access. The SAIG Application for State Grant Agencies is
14 comprised of two parts, an SAIG Enrollment Application and the SAIG Participation Agreement for State Grant
15 Agencies.

- 16 1. **Part One – SAIG Enrollment Application for State Grant Agencies** (pages 8 – 15), which is comprised of
17 five sections: Section One – Information about the State Grant Agencies – Instructions, including a description
18 of whether the form is being used for an initial application, adding a new *Primary Destination Point*
19 *Administrator* (Primary DPA) or *Non-Primary Destination Point Administrator* (Non-Primary DPA), or
20 changing information about the Primary DPA or Non-Primary DPA; Section Two – Tell us about the services for
21 which the Primary DPA wants to enroll for the DPA in Section One – this is where the DPA identified in Section
22 One is enrolling in SAIG services; Section Three – Responsibilities of the Primary and Non-Primary Destination
23 Point Administrator; Section Four – Certification of the President/CEO or Designee; and Section Five – Federal
24 Student Aid User of Electronic Services Statement.
- 25 2. **Part Two – SAIG Participation Agreement for State Grant Agencies** (pages 16 – 22), is the legally binding
26 agreement between the Department and the Agency. The SAIG Participation Agreement establishes the
27 conditions under which the Department will provide the Agency with certain data received or generated by the
28 Department concerning applicants for the *Title IV, HEA student financial aid programs (Free Application for*
29 *Federal Student Aid [FAFSA®] Applicants)*. In addition, the SAIG Participation Agreement provides the
30 authority for the agreement, as well as authorized uses and disclosures of data, data security, and reporting of
31 unauthorized disclosures.

32 Information about the Enrollment Process to Participate in the U.S. Department of Education’s SAIG

33 The enrollment process will enable the Agency to select services to receive, and/or view, student financial aid data
34 either online or by batch processing using ED provided software – *EDconnect* (PC-based software) or *TDClient*
35 (client software for multiple environments).

36 Each Agency must designate one individual as its Primary Destination Point Administrator (Primary DPA). Each
37 Agency is also provided with a Primary Destination Point (an *SAIG mailbox*, which is identified by a *TG Number*).
38 The Primary DPA is the only person within the Agency who may enroll other agency staff as Non-Primary DPAs
39 with *Destination Points* assigned for specific SAIG services. Upon completing the enrollment process, the Primary
40 DPA can add or remove users, edit information about the Agency, or change the Agency’s enrollment in various
41 SAIG services.

42 To maintain access to *Federal Student Aid (FSA)* systems, the Primary DPA must validate the individuals enrolled
43 for a SAIG Mailbox and *Electronic Services* for the Agency on a schedule determined by ED. If validation is not
44 completed via the SAIG Enrollment Web site within the prescribed timeframe, all services assigned to the Agency
45 and the Agency’s individuals could be deactivated.

46 Notes about the SAIG Application –

47 The first usage of a term in this document is italicized and can be found in the glossary located in
48 Attachment A. The glossary will assist in understanding the many technical terms and acronyms commonly
49 used in the *Title IV, HEA student financial aid programs* and in connection with the SAIG system.

51 **Available Services for State Grant Agencies**

52 The services that a State Grant Agency can access over the SAIG are:

- 53 • Automatic ISIR processing: A State Grant Agency can receive processed *FAFSA* applicant data in the form of
54 an *Institutional Student Information Record (ISIR)* from the Department’s *Central Processing System (CPS)*. An
55 Agency may receive data for *Residents* (Title IV applicants who indicate on their FAFSA, as their state of legal
56 residence, the state where the Agency is located) and/or *Non-Residents* (Title IV applicants who included on
57 their FAFSA a post-secondary institution that is in the state where the Agency is located but not the applicant’s
58 state of legal residence). With this service, ISIRs are automatically transmitted to the State Grant Agency when
59 students submit FAFSA applications and corrections to ED. The ISIR contains information submitted by the
60 student, as well as CPS processing results. The DPA is automatically enrolled for *Financial Aid Administrator*
61 (*FAA*) *Access to CPS Online* and EDconnect as part of enrolling for ISIR processing. Only one person at the
62 Agency can be enrolled in the Automatic ISIR Processing.
- 63 • *National Student Loan Data Systems (NSLDS) Online: View Only:* The Agency may request that a DPA have
64 online access to NSLDS to view Title IV, HEA loan, grant, and enrollment history.

65 **Agency Personnel Involved in the SAIG Enrollment Application Process**

66 There are four categories of users at the Agency who may obtain data from the Department’s systems and who must
67 complete parts of the SAIG Application:

- 68 • **President/CEO or Designee:** The President/CEO is the individual responsible for identifying a Primary DPA for
69 the Agency, and who is legally authorized to execute the SAIG Participation Agreement in Part Two on behalf of
70 the Agency. This person is also responsible for signing the Enrollment Application in Section Four –
71 Certification of the President/CEO or Designee on behalf of the State Grant Agency and to authorize the
72 enrollment for the Primary DPA or Non-Primary DPA. The President/CEO may also assign a Designee in
73 Section Four to act as the Authorizing Official on behalf of the President/CEO for future enrollments; however,
74 only the President/CEO is authorized to sign the SAIG Participation Agreement in Part Two.

- 75 • **Primary DPA:** The Primary DPA is the individual at the Agency who is responsible for the administration of
76 the Agency’s SAIG participation which includes assigning access to the Agency’s mailboxes and services and
77 determining the Agency’s *Electronic Services Users*. The Primary DPA is the only individual within the Agency
78 who may enroll Agency staff as Non-Primary DPAs. The Primary DPA is required to complete and submit
79 Sections One through Three of the SAIG Enrollment Application to the Coralville, Iowa address provided.
80 When enrolling Non-Primary DPAs, a portion of Section Three of the Enrollment Application must be
81 completed by the Primary DPA indicating that the Primary DPA has reviewed the responsibilities of DPAs with
82 the Non-Primary DPA, including the responsibility to protect the privacy of the information obtained or provided
83 via SAIG. The Primary DPA is also responsible for obtaining the signature of the President/CEO or Designee in
84 Section Four for every enrollment of a Primary or Non-Primary DPA.

- 85 • **Non-Primary DPA:** When a Primary DPA enrolls a Non-Primary DPA in the SAIG, ED will assign the Non-
86 Primary DPA a SAIG Mailbox with a unique TG number. The Non-Primary DPA, in collaboration with the
87 Primary DPA, is required to complete and submit Sections One through Three of the Enrollment Application.

- 88 • **Electronic Services Users:** Electronic Services Users are enrolled for *FAA Access to CPS Online* or EDconnect
89 by the Primary DPA. These Electronic Services Users are associated with the Primary Destination Point and do
90 not require individually assigned mailboxes to access FAA Access or EDconnect. To protect the integrity and
91 security of the data being transmitted, all Electronic Services Users must complete and submit Section Five –
92 Federal Student Aid User of Electronic Services Statement to their Primary DPA. Section Five must also be
93 signed by and maintained by the Primary DPA at the Agency. Section Five User Statements must be available for
94 inspection by the Department.

95 **Part One: SAIG Enrollment Application for State Grant Agencies – Instructions**

96 **Completing the SAIG Enrollment Application**

97 **General Reminder:**

98 The SAIG Enrollment Application may be completed online at <https://fsawebenroll.ed.gov>. As an alternative, the
99 enrollment application can be printed on paper, completed, and submitted to the address on page 7 of these
100 instructions. **Note: The Department will not accept photocopied signatures or stamped signatures. Applications**
101 **submitted after the Office of Management and Budget (OMB) Expiration Date noted on the bottom of each**
102 **page will not be accepted.**

103 **Help and Questions on Completing and Submitting the Enrollment Application**

104 If you have any questions about completing the SAIG Enrollment Application, call CPS/SAIG Technical Support at
105 **1-800-330-5947**. To reach a telecommunications device for the deaf (TDD/TTY), **CALL 1-800-511-5806**. Technical
106 support representatives are available 7 A.M. – 7 P.M. Central Time, Monday through Friday, excluding federal
107 holidays. If you prefer, you can e-mail inquiries to CPSSAIG@ed.gov. A technical support specialist will respond to
108 your e-mail within one business day.

109 **Section One (General Information) – must be completed by the Primary DPA for all DPAs**

110 Section One collects information about the type of enrollment, as well as information about the Agency and the DPA
111 you want to enroll or for whom you are changing or adding information.

112 Item 1. This SAIG Enrollment Application can be used for multiple purposes. As the Primary DPA, you may use
113 the application to enroll new participants in the SAIG and you can use the application to change or add
114 information about an existing DPA or Destination Point.

115 A. Check Box A for “Initial application” if the Agency is a first-time SAIG participant and the Agency does
116 not have a Destination Point. The President/CEO of the Agency will be designating the individual who will
117 serve as the Agency’s Primary DPA. This enrollment will establish this user as the Primary DPA of the
118 Primary Destination Point for the Agency. Note: If item 1A is selected, then enrollees must also complete
119 items 2 and 4.

120 B. Check Box B for “Adding a new user for an additional Destination Point” if the Agency is currently
121 enrolled in the SAIG and you want to add a Non-Primary DPA and an additional Destination Point.
122 Fill in the Primary TG Number for the Destination Point. Note: If item 1B is selected, then enrollees must
123 also complete items 2 and 4.

124 C. Check Box C for “Changing information for an existing Destination Point” if the Agency is currently
125 enrolled in the SAIG and you want to change or add any information about an existing user or Destination
126 Point. Fill in the TG Number for the Destination Point. Also, complete the information that has changed
127 for any items in Sections One and Two; and complete Sections Three and Four. Note: If item 1C is
128 selected, then enrollees must also complete items 2 and 4.

129 **If you are uncertain of which box to check, call CPS/SAIG Technical Support at 1-800-330-5947. To**
130 **reach a telecommunications device for the deaf (TDD/TTY), call 1-800-511-5806.**

131 Item 2. Provide the name of the Agency, as you would like it to appear on all SAIG correspondence.
132 (The limit is 40 characters, including letters and spaces.)

133 Item 3. This item is pre-filled with “State Grant Agency.”

134 Item 4. Check whether the information being provided is for the Primary DPA (only one Primary DPA per Agency)
135 or for a Non-Primary DPA (the Agency may have more than one Non-Primary DPA enroll for NSLDS
136 Online service). A separate application must be used for each Non-Primary DPA enrolling for NSLDS
137 Online service. All information must be provided for the DPA enrolling for the Agency identified in Item
138 2. **Note: Do not use a group e-mail address.**

139 **Section Two (Selection of Services) – must be completed by the Primary DPA when adding or**
140 **changing services**

141 Section Two allows you to add or change services for the Agency and the Primary DPA or Non-Primary DPA
142 identified in Section One.

143 Item 5. If the Agency would like the DPA identified in Item 4 to have access to receive ISIRs check the “Yes,”
144 box and answer the remaining questions for this section. If not check the “No” box and skip to Item 6.

145 A. Check either or both boxes for the appropriate Automatic ISIR Processing.

146 B. Check either or both boxes for the *award years* this DPA should receive the applicant data. If the 2017-
147 2018 Award Year is selected then the Agency will only receive 2017-2018 ISIRs. If the 2018-2019 Award
148 Year is selected the Agency’s enrollment will be rolled over automatically to include subsequent award
149 years.

150 C. Choose the preferred delivery option, Daily or By Request. Daily is the default ISIR delivery method. If
151 “By Request” is checked, the DPA must use FAA Access to CPS Online to request and receive ISIRs. The
152 ISIRs are then sent to the DPA’s SAIG Mailbox through the SAIG.

153 Item 6. If you would like NSLDS Online access to view Title IV, HEA loan, grant, and enrollment history, check
154 the “Yes” box, check the “NSLDS Online-View Only” box, specify the state that the Agency is located, and
155 select all applicable job functions.

156 **Section Three (Primary DPA & Non-Primary DPA Responsibilities) – must be completed by all**
157 **Primary and Non-Primary DPAs**

158 Section Three collects the required agreements and signature pages from the Primary DPA or the Non-Primary DPA
159 identified in Section One.

160 Each Primary and Non-Primary DPA must read and sign the “Responsibilities of the Primary and Non-Primary
161 Destination Point Administrators” statement.

162 **Section Four – must be completed by the Agency President/CEO or Designee**

163 Section Four collects the required authorization from the Agency to process the enrollment information for the Primary
164 DPA or Non-Primary DPA identified in Section One.

165 If the President/CEO wishes to designate another person to be the responsible authorizing official (the Designee), then
166 Section Four, Box 1 must be completed. **This designation needs to be completed only once.**

167 For each Destination Point, the President/CEO or Designee must sign the Certification of the President/CEO or
168 Designee on behalf of the State Grant Agency in Section Four, Box 2.

169

170 **Submitting Enrollment Application and Signature Pages**

171 Send your completed SAIG State Grant Agency enrollment application and original, signed signature pages to:

172 **CPS/SAIG Technical Support**
173 **2450 Oakdale Blvd.**
174 **Coralville, Iowa 52241**

175 **Before mailing signature pages, confirm the following:**

- 176 ✓ The President/CEO or Designee name and signature match the information on file with the Department.
- 177 ✓ Signature pages for both *Section Three: Responsibilities of the Primary and Non-Primary Destination Point*
178 *Administrators* and *Section Four: Certification of the President/CEO or Designee* are enclosed.
- 179 ✓ All signatures are original. Signatures are not photocopied or stamped.

180 Once the Department receives all necessary signature documents, the Department will process the enrollment.

181

182 **Part One: SAIG Enrollment Application for State Grant Agencies**

183 **Section One: Provide the following information**

184 1. Is this an initial application or are you adding a new DPA or changing information on a Destination Point?

185 Check the applicable box below.

- 186 A. Initial application
- 187 B. Adding a new user for an additional Destination Point under Primary TG _____
- 188 C. Changing information for an existing Destination Point with TG _____

189 **(Read instructions on page 5 carefully.)**

190 2. What is the name of your Agency? _____
191 (maximum 40 characters)

192 3. Confirm your type of organization.

193 State Grant Agency

194 4. Complete the following information for the Primary or Non-Primary Destination Point Administrator (DPA) you wish to designate at the Agency for this enrollment. The DPA will be assigned a Destination
195 Point with an identification number (TG number).

196 Check one:

- 197 Primary DPA
- 197 Non-Primary DPA

198 First Name _____ Last Name _____

199 Business address _____

200 Business address _____

201 City _____ State _____ Zip _____

202 Area code/phone (_____) _____ Area code/fax (_____) _____

203 E-mail Address _____
204 (maximum 70 characters)

205 Social Security Number _____ Date of Birth _____ 19____
206 (month) (day) (year)

207 Mother's maiden name _____

208 **Go to Section Two**

Office Use Only
Customer Number _____
TG Number _____

212 **Section Two: Tell us about the services for which the Primary DPA wants to enroll for**
213 **the DPA in Section One**

27

SAIG Enrollment Application for State Grant Agencies

214

5. Do you want this DPA to have access to ISIR services?

215 Yes No, Go to Item 6

216 A. If yes, select the appropriate service(s):

217 Automatic ISIR Processing: Residents

218 Automatic ISIR Processing: Non-Residents

219 B. For which award years? (Check one or both.)

220 2017-2018 only 2018-2019 and all subsequent years

221 C. Choose delivery option:

222 2017-2018 Daily By Request

223 2018-2019 Daily By Request

224 6. Do you want this DPA to have access to NSLDS Online? (Note: Paper enrollment for this service is not available. You must enroll online at <https://fsawebenroll.ed.gov>.)

225 Yes No, Go to Item 6

IMPORTANT: Only the DPA is permitted to use his or her Destination Point for online access to NSLDS. Permission to use this Destination Point for online NSLDS access CANNOT be granted to anyone else.

228 NSLDS Online-View Only

229 Specify State _____

230 Indicate your job functions (check all that apply):

231 100% of job responsibilities related to State Scholarship and Grant Agency functions

232 Less than 100% of job responsibilities related to State Scholarship and Grant Agency functions

233 Default/Overpayment Status

234 Enrollment

235 Loan Forgiveness

236 Other _____

237 Comments _____

Section Three

239

240

241

242

Office Use Only
Customer Number _____
TG Number _____

243 Section Three: Responsibilities of the Primary and Non-Primary Destination Point 244 Administrators

245 Each Destination Point Administrator (DPA) must read, sign, and submit this statement (with original signatures, stamped signatures will not be accepted) and must keep a copy for the Agency's records.

246 1. Responsibilities of the Primary DPA and the Non-Primary DPA:

- 247 • Must ensure that SAIG computing resources are used only for official government business.
- 248 • Must ensure that the FAFSA[®] applicant is a resident of the state where the Agency is located or has included on the
249 FAFSA a postsecondary institution that is located in the state where the Agency is located.
- 250 • Only the DPA listed in Section One, Item 4, page 8 is permitted to sign up and use the National Student Loan Data
251 System (NSLDS) as indicated in Item 6, page 9.
- 252 • Must use software provided by the Department to monitor SAIG mailbox activity. This software will keep track of who
253 is using the SAIG Mailbox, what information is being accessed, the date and time of access, and the batch number (if
254 applicable).
- 255 • By applying for access to Federal Student Aid systems, must consent to monitoring, recording, and auditing; and
256 acknowledge that information obtained under this consent may be disclosed by the Department to an appropriate third-
257 party (e.g., law enforcement personnel).
- 258 • Must ensure that all Federal Student Aid applicant information is protected from access by or disclosure to unauthorized
259 personnel. In the event of an unauthorized disclosure or an actual or suspected breach of applicant information or other
260 sensitive information (such as personally identifiable information), the DPA must notify Federal Student Aid within 1
261 hour of becoming aware of the breach at CPSSAIG@ed.gov.
- 262 • Must ensure that password sharing, the sharing of system access, and the use of any tools that allow access to the SAIG
263 are strictly prohibited. (These tools are called “authenticators.”)
- 264 • Must ensure that access is provided only to systems, networks, data, control information, and software for which the
265 DPA is authorized.
- 266 • Must ensure that procedures for sanitizing stored information are followed (e.g., overwriting electronic media that
267 contain sensitive information before reuse).
- 268 • The Non-Primary DPA must inform the Agency's Primary DPA when access to a Federal Student Aid system is no
269 longer required (i.e. the individual is leaving a position or his or her job responsibilities have changed).
- 270 • The information provided to the Primary and Non-Primary DPA by the U.S. Department of Education is protected by the
271 Privacy Act of 1974, as amended. Protecting this information, once it is entrusted to the Primary and Non-Primary DPA,
272 becomes his or her responsibility. Therefore, the Primary and Non-Primary DPA must protect the privacy of all
273 information that has been provided by the U.S. Department of Education. The Primary and Non-Primary DPA
274 understand that any person, including himself or herself, who knowingly and willfully requests or obtains any record
275 concerning an individual from an agency under false pretenses shall be guilty of a misdemeanor and is subject to a fine
276 of up to \$5,000 (5 U.S.C. 552a(i)(3)).

277 2. Additional Requirements of the Primary DPA:

- 278 • Must ensure that all users are aware of and comply with all of the requirements to protect and secure data from
279 Departmental sources using SAIG.
- 280 • Must maintain copies of all SAIG enrollment documents submitted to the Department, including the signed
281 “Responsibilities of the Primary and Non-Primary Destination Point Administrators” application for all DPA's and the
282 certification signed by the Agency's President/CEO or Designee.
- 283 • Must maintain a signed Federal Student Aid User of Electronic Services Statement (see Section Five) for anyone who is
284 enrolled in Electronic Services (FAA Access to CPS Online or EDconnect).
- 285 • At least on an annual basis, must validate all DPA and user access rights for the Agency.
- 286 • At least on an annual basis, must monitor the Agency's NSLDS user access by creating reports using the NSLDS Web
287 site
- 288 • Must ensure that the Agency has a process to inform the Primary DPA of any changes in a user's need for access to FSA
289 systems because of changes to job responsibilities or termination of employment. The Primary DPA must immediately
290 deactivate or delete user access rights for Agency employees who no longer require access.

SAIG Enrollment Application for State Grant Agencies

291 3. Agreements

292 The Primary DPA and/or the Non-Primary DPA agree(s) and understand(s) that intentional submission of false or
 293 misleading information to the U.S. Department of Education is subject to a fine of up to \$10,000, imprisonment for up to
 294 five years, or both, under provisions of the United States Code (including 18 U.S.C. 1001). The Primary DPA and/or the
 295 Non-Primary DPA also agree(s) to comply with all provisions of Section 483 of the Higher Education Act of 1965, as
 296 amended.

297 4. Privacy Act Statement

298 We use the information that you provide on this application to enroll your Agency and its users for services with Federal
 299 Student Aid systems.

300 **AUTHORITY FOR MAINTENANCE OF THE SYSTEM AND DISCLOSURE OF SOCIAL SECURITY** 301 **NUMBERS:**

302 Title IV of the Higher Education Act of 1965, as amended (HEA); 20 U.S.C. 1070 *et seq.* Section 31 U.S.C. 7701 and
 303 Executive Order 9397 (November 23, 1943), as amended by Executive Order 13478 (November 18, 2008) authorize the
 304 collection of *Social Security Numbers* of users of this system. The Social Security Number is voluntary in order to identify
 305 individuals for Federal purposes, but you will not be provided with access to or use of the system if you do not provide a
 306 Social Security Number.

307 **PURPOSE(S):**

308 The information in this system entitled “Student Aid Internet Gateway (SAIG), Participation Management System”
 309 (18-11-10) is maintained for the purposes of: (1) Processing stored data from the SAIG Enrollment Forms (Web and paper
 310 versions); (2) maintaining the SAIG Enrollment Web site (titled <https://fsaweбенroll.ed.gov>); (3) managing the assignment of
 311 individual electronic SAIG mailbox numbers, known as “TG numbers”; and (4) authorizing users of the CPS, electronic
 312 Campus Based (eCB) System, NSLDS, Common Origination and Disbursement (COD) System, Financial Management
 313 System (FMS), and the Access and Identity Management System (AIMS).

314 **ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS** 315 **AND THE PURPOSES OF SUCH USES:**

316 The Department may disclose information contained in a record in this system of records under the routine uses listed in
 317 the system of records notice, which was published on April 19, 2010 (75 FR 20346-20350)
 318 (<https://edocket.access.gpo.gov/2010/2010-8959.htm>) without the consent of the individual if the disclosure is compatible
 319 with the purposes for which the record was collected. These disclosures may be made on a case-by-case basis or, if the
 320 Department has complied with the computer matching requirements of the Privacy Act of 1974, as amended (Privacy Act),
 321 under a computer matching agreement.

322 (1) **Program Disclosures.** The Department may disclose records maintained in the SAIG, Participation Management
 323 System for the purpose of allowing authorized users who are eligible to participate in the electronic exchange of data with
 324 the Department to transmit files to and from the following Department systems and access the Department’s Web sites
 325 online, based on the approved program functions of each of the Department’s systems that include, but are not limited to
 326 the following:

- 327 (a) COD System;
- 328 (b) CPS;
- 329 (c) eCB System;
- 330 (d) NSLDS;
- 331 (e) FMS;
- 332 (f) DMCS, under Common Services for Borrowers (CSB) system of records notice;
- 333 (g) Federal Loan Servicers (TIVAS and NFP);
- 334 (h) DCAS; and
- 335 (i) AIMS.

336 (2) **Freedom of Information Act (FOIA) or Privacy Act Advice Disclosure.** The Department may disclose records to
 337 the Department of Justice (DOJ) and the Office of Management and Budget (OMB), if the Department seeks advice
 338 regarding whether records maintained in the system of records are required to be released under the FOIA or the Privacy
 339 Act of 1974.

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(3) **Disclosure to the DOJ.** The Department may disclose records to the DOJ to the extent necessary for obtaining DOJ advice on any matter relevant to an audit, inspection, or other inquiry related to the programs covered by this system.

(4) **Contract Disclosure.** If the Department contracts with an entity for the purposes of performing any function that requires disclosure of records in this system to employees of the contractor, the Department may disclose the records to those employees. Before entering into such a contract, the Department shall require the contractor to maintain Privacy Act safeguards as required under 5 U.S.C. 552a(m) with respect to the records in the system.

(5) **Litigation and Alternative Dispute Resolution (ADR) Disclosures.** In the event of specified litigation or ADR, if the Department determines that disclosure of records to the DOJ, or attorneys engaged by DOJ, an adjudicative body before which the Department is authorized to appear, an individual or entity designated by the Department or otherwise empowered to resolve or mediate disputes, a party, counsel, representative or witness in an administrative proceeding is relevant and necessary to the litigation, the Department may disclose those records as a routine use to any of the foregoing individuals or entities.

(6) **Research Disclosure.** The Department may disclose records to a researcher if an appropriate official of the Department determines that the individual or organization to which the disclosure would be made is qualified to carry out specific research related to functions or purposes of this system of records. The official may disclose records from this system of records to that researcher solely for the purpose of carrying out that research related to the functions or purposes of this system of records. The researcher shall be required to maintain Privacy Act safeguards with respect to the disclosed records.

(7) **Congressional Member Disclosure.** The Department may disclose information to a member of Congress from the record of an individual in response to an inquiry from the member made at the written request of that individual. The Member's right to the information is no greater than the right of the individual who requested it.

(8) **Disclosure for Use by Law Enforcement Agencies.** The Department may disclose information to any Federal, State, local or other agencies responsible for enforcing, investigating, or prosecuting violations of administrative, civil, or criminal law or regulation if that information is relevant to any enforcement, regulatory, investigative, or prosecutorial responsibility within the entity's jurisdiction.

(9) **Enforcement Disclosure.** In the event that information in this system of records indicates, either on its face or in connection with other information, a violation or potential violation of any applicable statute, regulation, or order of a competent authority, the Department may disclose the relevant records to the appropriate agency, whether foreign, Federal, State, tribal, or local, charged with the responsibility of investigating or prosecuting that violation or charged with enforcing or implementing the statute, Executive Order, rule, regulation, or order issued pursuant thereto.

(10) **Employment, Benefit, and Contracting Disclosure.** The Department may disclose records to a Federal, State, or local agency maintaining civil, criminal, or other relevant enforcement or other pertinent records, or to another public authority or professional organization, if necessary to obtain information relevant to a Departmental or receiving entity's decision concerning the hiring or retention of an employee or other personnel action, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit.

(11) **Employee Grievance, Complaint, or Conduct Disclosure.** The Department may disclose records to another agency of the Federal Government if the record is relevant to one of the following proceedings regarding a present or former employee of the Department: complaint, grievance, discipline, or competence determination proceedings. The disclosure may only be made during the course of the proceeding.

(12) **Labor Organization Disclosure.** The Department may disclose records to an arbitrator to resolve disputes under a negotiated grievance procedure or to officials of labor organizations recognized under 5 U.S.C. chapter 71 when relevant and necessary to their duties of exclusive representation.

(13) **Disclosure in the Course of Responding to a Breach of Data.** The Department may disclose records to appropriate agencies, entities, and persons when (a) the Department suspects or has confirmed that the security or confidentiality of information in this system has been compromised; (b) the Department has determined that as a result of the suspected or confirmed compromise, there is a risk of harm to economic or property interests, identity theft or fraud or harm to the security or integrity of the system or other systems or programs (whether maintained by the Department or another agency or entity) that rely upon the compromised information; and (c) the disclosure made to such agencies, entities, or other persons is reasonably necessary to assist in connection with the Department's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

By signing below, I certify that I have read these responsibilities, understand them, agree to fulfill the responsibilities, and will protect all data obtained through or provided to U.S. Department of Education systems.

43

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392

Non-Primary Destination Point Administrator's Name _____

393

(Printed name)

394

Signature _____ Date _____

395

(Must match name in Question 4 – original signature must be submitted. Stamped signature will not be accepted.)

396

Primary Destination Point Administrator's Name _____

397

(Printed name)

398

Signature _____

399

(Original signature must be submitted. Stamped signature will not be accepted.)

**Sign and send this application as instructed
in "Submitting Enrollment Application and Signature Pages."**

400

401

402

Go to Section Four

403

404

405

406

Office Use Only

Customer Number _____

TG Number _____

SAIG Enrollment Application for State Grant Agencies

407 Section Four: Certification of the President/CEO or Designee

408 Designation of Authorizing Official

409 **Important Note:** If you as the President or CEO wish to designate someone other than yourself to sign SAIG Enrollment
410 Applications, you may do so by completing the designation statement below and signing Box 1. Have your designee complete and
411 sign Box 2.

412 I hereby designate _____ with the title _____, to be my responsible
413 (Name of Individual)
414 authorizing official for all future Federal Student Aid System Enrollment Applications. All related responsibilities of the
415 President/CEO shall be carried out by this designee. As President/CEO, I agree to assume the responsibility for such actions
416 associated with this and future enrollment agreements. This designation is effective as of the date signed below.

417	Box 1	
418	President/CEO _____	Title _____
419	(Printed name of President/CEO)	(Printed title – Required)
420	Signature _____	Date _____
421	(Original signature must be submitted. Stamped signature will not be accepted.)	

422 Responsibilities of the President/CEO or Designee

423 As the President/CEO or Designee I certify that:

- 424 • I or my designee will notify CPS/SAIG Technical Support within one business day, by e-mail at CPSSAIG@ed.gov or call
425 1-800-330-5947 when any person no longer serves as a designated authorizing official, Primary DPA or Non-Primary DPA.
- 426 • I will not permit unauthorized use or sharing of SAIG passwords or codes that have been issued to anyone at my Agency.
- 427 • Each person who is a SAIG DPA for my agency has read and signed a copy of this application at “Section Three:
428 Responsibilities of the Primary and Non-Primary DPA.”
- 429 • Each person who is a SAIG DPA for my agency has made a copy of the signed Section Three document for his or her own
430 files and a copy is maintained at my Agency.
- 431 • My Agency has provided security due diligence and verifies that administrative, operational, and technical security controls
432 are in place and are operating as intended. Additionally, my Agency verifies that it performs appropriate due diligence to
433 ensure that, at a minimum, any employee who has access to FSA ISIR data meets applicable state security requirements for
434 personnel handling sensitive personally identifiable information.
- 435 • I have signed this certification below and sent the original to the Department. I have retained a copy of this certification at
436 the Agency. My signature below affirms that I have read these responsibilities and agree to abide by them.

437	Box 2	
438	State Scholarship and Grant Agency President/CEO or Designee	
439	_____	Title _____
440	(Printed name)	(Printed title – Required)
441	Signature _____	Date _____
442	(Original signature must be submitted. Stamped signature will not be accepted.)	
443	Name of Agency _____	

**Sign and send this application as instructed
in “Submitting Enrollment Application and Signature Pages.”**

446 U.S. Department of Education:

447 Received on behalf of the U.S. Department of Education: _____
448 Date

Office Use Only
Customer Number _____
TG Number _____

452 Section Five: Federal Student Aid User of Electronic Services Statement

453 Any individual who is not a Destination Point Administrator and who accesses Federal Student Aid systems and/or uses resources
454 that access Federal Student Aid systems, whether by batch or online, must read and sign this statement. The original Federal
455 Student Aid User of Electronic Services Statement must be maintained by the Agency. The user should keep a copy of the signed
456 statement for his or her records.

457 The user understands that intentional submission of false or misleading information to the U.S. Department of Education is subject
458 to a fine up to \$10,000, imprisonment for up to five years, or both, under provisions of the United States Criminal Code (including
459 18 U.S.C. 1001). The user also agrees to comply with all provisions of section 483 of the Higher Education Act of 1965, as
460 amended.

461 The user understands that the information provided by the U.S. Department of Education is protected by the Privacy Act of 1974,
462 as amended. Protecting this information, once it is entrusted to the user, becomes his or her responsibility. Therefore, the user
463 agrees to protect the privacy of all information provided to him or her by the U.S. Department of Education. The user understands
464 that any person, including himself or herself, who knowingly and willfully requests or obtains any record concerning an individual
465 from an agency under false pretenses, shall be guilty of a misdemeanor and is subject to a fine of up to \$5,000 (5 U.S.C. 552a(i)
466 (3)).

467 Responsibilities of the Electronic Services User

468 Appropriate uses of Federal Student Aid systems by an Electronic Services User:

- 469 • Must use Electronic Services computing resources only for official government business.
- 470 • Must ensure that the FAFSA® applicant is a resident of the state where the Agency is located or has included on the FAFSA a
471 postsecondary institution that is located in the state where the Agency is located, before accessing Federal Student Aid
472 systems to obtain privacy protected information about the student.
- 473 • Must know the name of the Primary DPA and how to contact that individual.
- 474 • Must protect all Federal Student Aid systems from access by or disclosure to unauthorized personnel.
- 475 • Must report immediately to the Primary DPA any security incidents, potential threats, or vulnerabilities that involve Electronic
476 Services.
- 477 • Must report to the Primary DPA any compromise, suspected compromises, or incidents of sharing of a password or any other
478 authenticator.
- 479 • Must access only those systems, networks, data, control information, and software for which he or she is authorized.
- 480 • Must ensure that all Electronic Services information is marked according to its sensitivity and is properly controlled and
481 stored.
- 482 • Must inform the Agency's Primary DPA that the Electronic Services User no longer needs access to a Federal Student Aid
483 system (i.e., the individual is leaving his or her position or his or her job responsibilities have changed).
- 484 • Must not add code that might be harmful to the SAIG or Electronic Services.

485 My signature below affirms that I have read this Statement and agree to abide by these rules.

486 TG# _____

487 Electronic Services User's Name _____
488 (Print)

489 Electronic Services User's Job Title _____ SSN _____

490 Phone # (____) _____

491 Electronic Services User's Signature _____ Date _____

492 Primary DPA Signature _____ Date _____

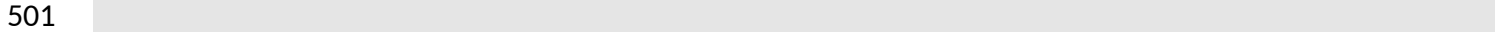
493 **(This statement with an original signature [not a stamped signature] must be maintained by the Primary DPA.)**

494 **Do Not Submit This User Statement to the Department of Education.**
495 **The Original Must Be Retained By The Agency.**

496 **Part Two: SAIG Participation Agreement for State Grant Agencies**

497 **Instructions for Signing the SAIG Participation Agreement**

498 In order to participate in the SAIG to access data electronically that resides in the U.S. Department of Education’s data
499 systems (including receiving data on Institutional Student Information Records [ISIRs]), the President/CEO of the
500 State Grant Agency must complete and sign this SAIG Participation Agreement (Agreement).



502 **Terms of the Agreement**

503 **A. Parties to the Agreement**

504 1. The U.S. Department of Education (referred to as the Department) administers certain Federal
505 programs, authorized under the Higher Education Act of 1965 as amended (the HEA), that provide
506 financial assistance to students attending institutions of higher education.

507 2. The Agency _____
508 *(Name of State Grant Agency administering State higher education financial aid program, referred to as the Agency)*

509 located at _____
510 *(Street address, City, State, ZIP Code)*

511 in the State of _____ administers State programs that provide
512 financial assistance to students attending institutions of higher education.

513 **B. Purpose of the Agreement**

514 1. The purpose of this Agreement is to establish the conditions under which the Department will provide
515 to the Agency certain data received or generated by the Department concerning FAFSA® applicants in
516 order to: (i) facilitate and improve the awarding of financial assistance under State financial aid
517 programs to students attending institutions of higher education; (ii) coordinate the awarding of such
518 assistance with financial aid provided by the Federal government under the HEA; (iii) facilitate and
519 improve the awarding of financial assistance awarded by eligible institutions; and (iv) permit the
520 Agency to disclose limited ISIR information to local educational agencies (LEAs), secondary schools,
521 or other entities that have been designated by the U.S. Secretary of Education (as a Designated Entity),
522 to determine the completion status of a student’s Free Application for Federal Student Aid (FAFSA)
523 and facilitate providing assistance to such students in completing the FAFSA.

524

525

526

Office Use Only
Customer Number _____
TG Number _____

SAIG Enrollment Application for State Grant Agencies

527

528 **C. Definitions** – For purposes of this Agreement, the following definitions apply:

- 529 1. **Authorized Personnel** – (i) Employees of the Agency who require access to the ISIR Data to meet
 530 any of the purposes provided in Section B of this Agreement, including both paid and non-paid staff
 531 and authorized agents such as contractors, subcontractors, volunteers, or other parties to whom the
 532 Agency has outsourced any of its services or functions. All *Authorized Personnel* must be under the
 533 direct control of the Agency with respect to the use and maintenance of ISIR Data. (ii) Employees of
 534 an LEA, secondary school, or Designated Entity who require access to the FAFSA Filing Status
 535 Information to determine the completion status of a student’s Free Application for Federal Student Aid
 536 (FAFSA) and facilitate providing assistance to such students in completing the FAFSA, including both
 537 paid and non-paid staff and authorized agents such as contractors, subcontractors, volunteers, or other
 538 parties to whom the LEA, secondary school, or Designated Entity has outsourced any of its services or
 539 functions. All Authorized Personnel must be under the direct control of the LEAs, secondary schools,
 540 or Designated Entity with respect to the use and maintenance of ISIR Data.
- 541 2. **Designated Entity** – A public or non-profit entity that has an Established Relationship with the student
 542 that the U.S. Secretary of Education has designated as eligible to receive FAFSA Filing Status
 543 Information from an Agency.
- 544 3. **Destination Point Administrator** – The one Primary Destination Point Administrator (Primary DPA)
 545 at each Agency as appointed and enrolled into the SAIG by the Agency President/CEO or Designee.
 546 Each Agency may have as many Non-Primary Destination Point Administrators (Non-Primary DPAs)
 547 as it needs who are appointed and enrolled into the SAIG by the Primary DPA.
- 548 4. **Established Relationship** – A relationship between a student FAFSA applicant and an LEA,
 549 secondary school, or Designated Entity. In the case of an LEA, an Established Relationship exists
 550 where the student FAFSA applicant is enrolled in a secondary school under the legal authority of the
 551 LEA or the LEA otherwise is providing services to the FAFSA applicant. In the case of a secondary
 552 school, an Established Relationship exists where the student FAFSA applicant is enrolled in the
 553 secondary school itself or the secondary school otherwise is providing services to the FAFSA
 554 applicant. In the case of a Designated Entity, an Established Relationship exists when the student
 555 FAFSA applicant is enrolled in or has registered with or is receiving services from the Designated
 556 Entity in order for the Designated Entity to assist the student in pursuit of postsecondary education.
- 557 5. **FAFSA** – The Free Application for Federal Student Aid form, authorized by HEA section 483, which
 558 is the Department’s common application for determining the need and eligibility of a student for
 559 Federal student aid.
- 560 6. **FAFSA Filing Status Information** – Information from an ISIR that the Agency may disclose to
 561 LEAs, secondary schools, and Designated Entities on the completion status of a student’s FAFSA.
 562 FAFSA Filing Status Information includes: Student’s first name; Student’s last name; Student’s date
 563 of birth; Student’s ZIP Code; FAFSA submitted date (the date the FAFSA was submitted to the
 564 Department); FAFSA processed date (the date the Department processed the FAFSA); a Selected for
 565 Verification flag; and a FAFSA completion flag, as determined by the Agency (e.g., FAFSA not
 566 submitted, FAFSA complete, or FAFSA incomplete).
- 567 7. **ISIR** – The Institutional Student Information Record (ISIR) is the output document resulting from the
 568 submission of a FAFSA to the Department and includes the data received, system generated data
 569 results and FAFSA Filing Status Information.

SAIG Enrollment Application for State Grant Agencies

- 570 8. **LEA** – A Local Educational Agency is a public board of education or other public authority legally
 571 constituted within a State for either administrative control of or direction of, or to perform service
 572 functions for, public elementary or secondary schools in a city, county, township, school district, or
 573 other political subdivision of a State; or such combination of school districts or counties a State
 574 recognizes as an administrative agency for its public elementary and secondary schools; or any other
 575 public institution or agency that has administrative control and direction of a public elementary or
 576 secondary school.
- 577 9. **Secondary School** – A day or residential school that provides secondary education as determined
 578 under State law. In the absence of State law, the Secretary may determine, with respect to that State,
 579 whether the term secondary school applies.

D. Authority

- 581 1. Section 483 (a)(10) of the HEA, 20 U.S.C. 1090(a)(10) authorizes the Department to disclose FAFSA
 582 (ISIR) data. The Department’s routine uses in the applicable System of Records Notice published
 583 under the Privacy Act of 1974, as amended, (5 U.S.C. 552a) permits this disclosure of FAFSA data to
 584 the Agency in order to permit the Agency to determine an applicant’s eligibility for financial
 585 assistance under State financial aid programs. Further, in order to encourage and assist students with
 586 the completion of a FAFSA, the Agency may disclose the FAFSA Filing Status Information of a
 587 student to an entity with an Established Relationship with the student, including an LEA, a secondary
 588 school, or a Designated Entity. (Federal Student Aid Application File Systems of Record Notice (Aug.
 589 3, 2011, 76 Fed. Reg. 46774, 46777-78.)

E. Disclosure of ISIR Data

- 591 1. At the agency’s request, the Department will provide student ISIR data to the Agency if the student is a
 592 resident of the State where the Agency is located or the student has included on the FAFSA a
 593 postsecondary institution that is located in the State where the Agency is located.

F. Authorized Uses

- 595 1. The Agency must limit access, disclosure, and use of ISIR data provided to it under this Agreement to
 596 the Agency’s Authorized Personnel and for the authorized uses described in this section and the
 597 Authorized Re-Disclosure of FAFSA Filing Status Information in the next section.
- 598 2. The Agency agrees to comply with all provisions of the Higher Education Act of 1965, as amended
 599 (HEA), 20 U.S.C. 1001 et seq., including HEA section 483(a)(3)(E), 20 U.S.C. 1090(a)(3)(E), which
 600 provides that ISIR data (including FAFSA Filing Status Information) may be used “only for the
 601 application, award, and administration” of student aid awarded by the Department, States, and
 602 institutions eligible to participate in the Federal student financial assistance programs, and other entities
 603 that the Secretary may designate.
- 604 3. The Agency may use the ISIR data furnished to it under this Agreement only with regard to the
 605 administration of a State’s and the Federal government’s programs of financial assistance to students
 606 attending institutions of higher education, including calculation of State student financial aid awards,
 607 research necessary for the proper administration of a State student aid program, and verification of data
 608 provided by the applicant on State student aid applications.
- 609 4. The Agency may use the ISIR data to disclose to the public statistical summary data obtained from the
 610 information furnished by the Department, provided that the identity of individual Federal student aid
 611 applicants cannot be ascertained from such summary data.
- 612 5. Consistent with applicable law, the Secretary of Education may designate, through an addendum to this
 613 agreement, additional authorized uses of ISIR data furnished by the Department.

SAIG Enrollment Application for State Grant Agencies

614 G. Disclosure of FAFSA Filing Status Information

- 615 1. The Agency may disclose a student’s FAFSA Filing Status Information to an LEA, secondary school,
 616 and Designated Entity that has been approved by the Secretary, if the LEA, secondary school, or
 617 Designated Entity has an Established Relationship with the student.
- 618 2. Before an Agency discloses FAFSA Filing Status Information to an LEA, secondary school, or
 619 Designated Entity, it must execute a written agreement with the LEA, secondary school, or Designated
 620 Entity. The agreement must, at a minimum, include:
- 621 (i) Procedures for oversight by the Agency of the LEA’s, secondary school’s, or Designated Entity’s
 622 compliance with the provisions of the written agreement;
 - 623 (ii) Appropriate privacy, data security, and information safeguarding provisions consistent with the
 624 Agency’s obligations under this Agreement, including the requirements specified in Section J –
 625 Data Security below;
 - 626 (iii) Assurances that the FAFSA Filing Status Information will be used by the LEA, secondary school,
 627 or Designated Entity only for purposes consistent with section 483(a)(3)(E) of the HEA;
 - 628 (iv) Assurances that the LEA, secondary school, or Designated Entity will comply, as applicable, with
 629 the requirements of the Family Educational Rights and Privacy Act (FERPA) (20 U.S.C. 1232g and
 630 its regulations codified at 34 CFR part 99) in disclosing any personally identifiable information
 631 from students’ education records to the Agency or its agents;
 - 632 (v) Assurances that the disclosures will only be made to Authorized Personnel, as defined in section C.
 633 of this Agreement, of the LEA, secondary school, or Designated Entity and for authorized purposes
 634 described in Section B –Purpose of the Agreement above; and
 - 635 (vi) Assurances that the LEA, secondary school, or Designated Entity will not re-disclose or share the
 636 FAFSA Filing Status information obtained from the Agency in personally identifiable form other
 637 than (1) to the FAFSA applicant and to the FAFSA applicant’s parents if the applicant is under age
 638 18, to the applicant if the applicant is age 18 or older or enrolled in a post-secondary institution, or
 639 to any other party with the consent of the FAFSA applicant or the consent of the FAFSA applicant’s
 640 parents if the FAFSA applicant is under the age of 18, or (2) if required to do so by law and if such
 641 use is consistent with all applicable privacy laws, including the privacy provisions of section 483(a)
 642 (3)(E) of the HEA, 20 U.S.C. 1090(a)(3)(E) and the Family Educational Rights and Privacy Act (20
 643 U.S.C. 1232g).
- 644 3. The written agreements must be made available to the Department upon request.

645 H. Prohibition on Unauthorized Disclosures

- 646 1. Any use, disclosure, or re-disclosure of ISIR data or FAFSA Filing Status Information provided to the
 647 Agency under this Agreement not specified above in Section F – Authorized Uses or Section G –
 648 Disclosure of FAFSA Filing Status Information, is unauthorized and prohibited.
- 649 2. The Agency must ensure that Authorized Personnel are informed about and aware of the prohibitions
 650 regarding the use, disclosure, and re-disclosure of any data and information provided to the Agency
 651 under this Agreement.

SAIG Enrollment Application for State Grant Agencies

652 I. Reporting of Unauthorized Disclosures

- 653 1. The Agency will report to the Department in writing any use, disclosure, or re-disclosure of ISIR data
 654 or FAFSA Filing Status Information not authorized by this Agreement. The Agency shall submit the
 655 report within one (1) business day after the Agency learns of such unauthorized use, disclosure, or re-
 656 disclosure to: U.S. Department of Education, Federal Student Aid, 830 First St. NE., Union Center
 657 Plaza, Room 32E1, Washington, DC 20202 or via e-mail at FAFSACompletion@ed.gov. The report
 658 must identify: (i) the nature of the unauthorized use, disclosure, or re-disclosure; (ii) the ISIR data or
 659 FAFSA Filing Status Information used, disclosed, or re-disclosed; (iii) the person or entity, if known,
 660 who made the unauthorized use or received the unauthorized disclosure, or re-disclosure; (iv) what the
 661 Agency has done or will do to notify affected FAFSA applicants and to mitigate any deleterious effect
 662 of the unauthorized use, disclosure, or re-disclosure; and (v) what corrective action the Agency has
 663 taken or will take to prevent future similar unauthorized use, disclosure, or re-disclosure.
- 664 2. The Agency shall also provide any such other information concerning the unauthorized disclosures as
 665 requested by the Department.

666 J. Data Security

- 667 1. The Agency will protect the integrity of the ISIR data and, if applicable, FAFSA Filing Status
 668 Information received under this Agreement from unauthorized access, use, or re-disclosure.
- 669 2. The Agency shall take all steps necessary to safeguard the confidentiality of the data received. The
 670 Agency will restrict access to the data provided or created under this Agreement to only those
 671 Authorized Personnel who need the data to perform their official duties in connection with the uses of
 672 the data authorized in this Agreement.
- 673 3. The Agency will advise all Authorized Personnel who have access to the data of the confidential nature
 674 of the data, the safeguards required to protect the data, and criminal sanctions for noncompliance under
 675 applicable Federal, state, or local laws.
- 676 4. The Agency must develop, implement, maintain, and use reasonable and appropriate administrative,
 677 technical, and physical security measures to preserve the confidentiality, integrity, and availability of
 678 all data electronically maintained or transmitted pursuant to this Agreement. The Agency will process
 679 the data provided or created under this Agreement under the immediate supervision and control of
 680 Authorized Personnel in a manner that will protect the confidentiality of the data, so that unauthorized
 681 persons cannot retrieve any data by computer, remote terminal, or other means. Systems personnel
 682 must enter personal identification numbers when accessing data on the Parties' systems.

683 K. Liability

- 684 1. The Agency shall be liable for the actions and omissions of its respective officers, employees, and
 685 agents. This obligation shall survive termination of this Agreement.

686 L. Oversight and Compliance

- 687 1. The Agency shall maintain copies of this Agreement and all SAIG Enrollment Applications submitted
 688 to the Department, as provided in Part 1, Sections One through Four of the SAIG Enrollment
 689 Applications for State Grant Agencies.
- 690 2. The Department may, at its discretion, monitor the Agency's records, processes, procedures, and
 691 electronic systems for compliance with the terms of this Agreement. The Department also may, at its
 692 discretion, perform on-site inspections of the Agency to monitor compliance with the terms of this
 693 Agreement.

SAIG Enrollment Application for State Grant Agencies**694 M. Entire Agreement**

- 695 1. This Agreement constitutes the entire understanding of the Parties with respect to their individual
696 rights and obligations in carrying out the terms of the Agreement, and supersedes any prior or
697 contemporaneous agreements or understandings.

698 N. Effective Date, Modification, Duration, and Termination of the Agreement

- 699 1. The effective date of this agreement is upon the Department's notification to the Agency that the
700 Agency's SAIG application has been processed and approved by the Department. The Parties of the
701 Agreement may modify this Agreement at any time with mutual written consent.
- 702 2. This Agreement shall remain in force until terminated by the Agency or the Department, providing that
703 the party requesting termination provides the other party with written notice.
- 704 3. If the Department finds that the Agency has failed to comply with this Agreement, the Agency shall,
705 upon the request of the Department, delete or return all data received under this Agreement and copies
706 made of such data. Furthermore, the Department may terminate this Agreement or take such other
707 action as may be necessary and appropriate to protect the interests of the FAFSA applicants, the United
708 States, and the Title IV, HEA Federal student aid programs.
- 709 4. The expiration of this Agreement shall not, however, affect the obligations incurred by the Agency
710 under this Agreement.

711 *Remainder of this page intentionally left blank.*

712

79

SAIG Enrollment Application for State Grant Agencies

80

Part Two: SAIG Participation Agreement for State Grant Agencies (Continued)

713

O. SIGNATURE PAGE

714

1. The undersigned has caused this Agreement to be duly executed in its name and on its behalf.

715

716

Name of the State Grant Agency

717

718

Address of the State Grant Agency

719

720

Printed name of the Agency President/CEO

Printed Title

721

722

Original Signature of the Agency President/CEO

Date

723

(Stamped signature will not be accepted.)

724

725

**Sign and send this application as instructed
in "Submitting Enrollment Application and Signature Pages."**

726

U.S. Department of Education:

727

Received on behalf of the U.S. Department of Education: _____

728

Date

729

730

731

Office Use Only

Customer Number _____

TG Number _____

81

OMB NO: 1845-0002

82

Expiration Date: 11/30/2019

83

Effective Date: 9/24/2017

732 **Attachment A: Glossary**

733 *Authorized Personnel:* (i) Employees of the Agency who require access to the ISIR Data to meet any of the
 734 purposes provided in Section B of the SAIG Participation Agreement for State Grant Agencies (Part 2 of the
 735 SAIG Application for State Grant Agencies), including both paid and non-paid staff and authorized agents
 736 such as contractors, subcontractors, volunteers, or other parties to whom the Agency has outsourced any of
 737 its services or functions. All Authorized Personnel must be under the direct control of the Agency with
 738 respect to the use and maintenance of ISIR Data. (ii) Employees of LEA, secondary school, or Designated
 739 Entity who require access to the FAFSA Filing Status Information to determine the completion status of a
 740 student's Free Application for Federal Student Aid (FAFSA) and facilitate providing assistance to such
 741 students in completing the FAFSA, including both paid and non-paid staff and authorized agents such as
 742 contractors, subcontractors, volunteers, or other parties to whom the LEA, secondary school, or Designated
 743 Entity has outsourced any of its services or functions. All Authorized Personnel must be under the direct
 744 control of the LEAs, secondary schools, or Designated Entity with respect to the use and maintenance of
 745 ISIR Data.

746 *Award Year:* July 1 of one year through June 30 of the next year. For the Grant Services (Pell Grant, Iraq and
 747 Afghanistan Service Grant, and TEACH Grant), and the eCB programs systems, eligible students are paid
 748 out of funds designated for a particular award year.

749 *Central Processing System (CPS):* The CPS manages the application and eligibility determination portion of the
 750 federal student aid process. It gathers information from applicants via the Free Application for Federal
 751 Student Aid (FAFSA), applies computerized edits and calculations to determine the student's eligibility,
 752 and communicates the results to the students, schools, state agencies, third-party servicers, and other
 753 federal computer systems.

754 *Data Challenges and Appeals Solution (DCAS):* DCAS provides the online access for accepting, processing,
 755 resolving, and archiving student and loan level data challenges and appeals.

756 *Destination Point:* An organization enrolled in the SAIG that uses an electronic mailbox to send and receive
 757 financial aid data using the SAIG. Every Destination Point is administered by a Primary or Non-Primary
 758 Destination Point Administrator (DPA) and has an electronic mailbox number that begins with the letters
 759 "TG."

760 *Destination Point Administrator (DPA):* An individual representing an organization involved in the administration
 761 of Title IV, HEA student financial aid programs (such as a postsecondary institution, a State Agency, or
 762 third-party servicer) that is enrolled in the SAIG and assigned a Destination Point. To enroll as a DPA, an
 763 organization's representative must complete and submit for processing the SAIG Enrollment Application to
 764 participate in the U.S. Department of Education's Student Aid Internet Gateway. The DPA is responsible
 765 for the Agency's SAIG users' access to Federal Student Aid systems, to ensure the data provided by these
 766 systems is protected according to the Privacy Act of 1974, as amended, as well as to ensure users do not
 767 inappropriately access records that are not associated with their organization. The Primary DPA is
 768 responsible for enrolling additional Non-Primary DPAs for specific SAIG services. Non-Primary DPAs are
 769 not allowed to make SAIG enrollment changes. An Agency may only have one Primary DPA, but may have
 770 many Non-Primary DPAs.

771 *EDconnect:* ED's telecommunications software that allows PC Windows users to send and receive data through
 772 the SAIG.

773 *Electronic Services:* Primary Destination Point Administrators (Primary DPAs) and their Secondary Destination
 774 Point Administrators (Secondary DPAs) for their organization may enroll users for FAA Access to CPS
 775 Online or EDconnect Entitlement Access. An FSA User ID and password are required to log in to both
 776 systems.

Part Two: SAIG Participation Agreement for State Grant Agencies (Continued)

- 777 *Electronic Services Users:* Electronic Services Users are enrolled for FAA Access to CPS Online or EDconnect by
778 the Primary DPA. These users are associated with the Primary Destination Point and do not require
779 individually assigned mailboxes to access FAA Access or EDconnect. They must read and sign a Federal
780 Student Aid User of Electronic Services Statement that the Primary DPA must maintain.
- 781 *Federal Loan Servicer (Title IV Additional Servicer [TIVAS] or Not-For-Profit [NFP]):* An entity that services Title
782 IV, HEA loans owned by ED. These loans include, but are not limited to, William D. Ford Federal Direct
783 Loan (Direct Loan) Program loans and Federal Family Education Loan Program (FFELP) Purchased Loans.
784 Current listings of the Department's federal loan servicers, including each servicer's contact information, are
785 available at the Loan Servicing Centers for Schools and Loan Servicer Centers for Students pages on ED's
786 Information for Financial Aid Professionals (IFAP) Web site (<https://ifap.ed.gov>).
- 787 *Federal Student Aid (FSA):* The 1998 Reauthorization of the Higher Education Act (HEA) established a
788 performance-based organization at the U.S. Department of Education to administer the federal student
789 assistance programs authorized under Title IV of the HEA. These programs include the Federal Pell Grant
790 Program, the Iraq and Afghanistan Service Grant Program, the TEACH Grant Program, the Federal
791 Supplemental Educational Opportunity Grant Program, the William D. Ford Federal Direct Loan Programs,
792 the Federal Work Study Programs, and the Federal Perkins Loan Program.
- 793 *FAA Access to CPS Online:* For purposes of this document, a Web tool that State Grant Agencies use to enter
794 identity verification results and request ISIRs. It is located at <https://faaaccess.ed.gov>. An FSA User ID is
795 required to access student information.
- 796 *Free Application for Federal Student Aid (FAFSA):* The application that the student must file to apply for aid from
797 any Title IV, HEA program. Students can apply online by using *FAFSA on the Web* (<https://fafsa.ed.gov>).
798 The FAFSA is also printed and distributed free of charge by the U.S. Department of Education.
- 799 *Institutional Student Information Record (ISIR):* The term ISIR refers to all processed student information records
800 that are sent electronically to institutions and state grant agencies by the CPS. The ISIR contains all
801 information reported on the Free Application for Federal Student Aid (FAFSA), key processing results, and
802 NSLDS financial aid history information.
- 803 *National Student Loan Data System (NSLDS):* A national database of student loan-level information and selected
804 grant recipient data for loans and grants disbursed under the Title IV, HEA programs.
- 805 *Non-Primary Destination Point Administrator (Non-Primary DPA):* The Primary DPA is responsible for enrolling
806 additional Non-Primary DPAs for specific SAIG services assigned to the Non-Primary DPA's Destination
807 Points. Non-Primary DPAs are not allowed to make SAIG enrollment changes.
- 808 *Non-Resident:* Title IV applicants who indicate on their FAFSA a post-secondary institution that is located in the
809 state where the Agency is located, but the Title IV applicant does not reside in a state other than the state
810 where the Agency is located).
- 811 *Primary Destination Point Administrator (Primary DPA):* An individual who has been designated by the Agency as
812 the responsible party for the enrollment of the Agency's additional Non-Primary DPA's which result in the
813 establishment of their SAIG Mailboxes and may include FAA Access Online use. The Primary DPA is
814 responsible for the SAIG users' access to Federal Student Aid systems, to ensure that the data provided to
815 and from these systems are protected according to the Privacy Act of 1974, as amended, as well as to ensure
816 that users do not inappropriately access records that are not associated with their Agency. Note: The
817 Primary DPA is responsible for enrolling additional Non-Primary DPAs for specific SAIG services assigned
818 to the Non-Primary DPA's Destination Points. The Primary DPA is assigned the primary destination point.

SAIG Enrollment Application for State Grant Agencies

Part Two: SAIG Participation Agreement for State Grant Agencies (Continued)

- 819 *Resident:* Title IV applicants who indicate on their FAFSA, as their state of legal residence, the state in which the
820 Agency is located.
- 821 *SAIG Mailbox:* An electronic mailbox that is used to send and receive financial aid data using the SAIG. It is also
822 referred to as a Destination Point. (See *Destination Point*.)
- 823 *Social Security Number (SSN):* The number assigned to an individual by the Social Security Administration and
824 used as the identifying number for many Federal purposes, including identifying an SAIG participant and
825 applicants for the Title IV, HEA programs.
- 826 *Student Aid Internet Gateway (SAIG):* The SAIG links thousands of Destination Points across the United States, its
827 territories, and certain ED-designated foreign countries to the Higher Education Act's Title IV, HEA
828 student financial aid programs, and delivery system. DPAs are assigned Destination Points (electronic
829 mailboxes), for receiving and transmitting data to various Title IV, HEA Federal Student Aid systems such
830 as NSLDS and CPS. (See *Destination Point* and *Destination Point Administrator*.)
- 831 *TDClient:* ED's telecommunications software that allows Mainframe/Midrange users to send and receive data
832 through the SAIG.
- 833 *TG Number:* A TG Number is the identifier for an electronic mailbox which is referred to as a SAIG Mailbox
834 or Destination Point. The TG Number is a five-digit alphanumeric number, preceded by "TG."
- 835 *Title IV, HEA student financial aid programs:* Student assistance programs authorized by Title IV of the
836 Higher Education Act of 1965, as amended, (HEA).
- 837 *U.S. Department of Education (ED, Department):* The federal agency responsible for the administration of
838 Title IV, HEA Federal Student Aid programs.
- 839

840 Attachment B: Burden Statement

841 According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of
842 information unless such collection displays a valid OMB control number. The valid OMB control number for this
843 information collection is 1845-0002. Public reporting burden for this collection of information is estimated to
844 average 40 minutes per initial paper application response, 20 minutes per initial web application online, 15 minutes
845 per updated response on paper, and 10 minutes per updated response online, including time for reviewing
846 instructions, searching existing data sources, gathering and maintaining the data needed, and completing and
847 reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain a
848 benefit as cited in the Higher Education Act of 1965, as amended (HEA); 20 U.S.C. 1070 et seq. If you have
849 comments or concerns regarding the status of your individual submission of this application, please contact U.S.
850 Department of Education, Systems Integration Division, 830 First Street NE, Washington, DC 20202 directly.