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**Welcome to the Tips, Complaints and Referrals website. The goal of this site is to provide a way for members of the public to provide information to the SEC regarding possible violations of the federal securities laws.**

Thank you for coming forward with information about a possible violation of the U.S. securities laws. This questionnaire is designed to elicit the kinds of information necessary for us to best evaluate your complaint and, if appropriate, to take action.

To submit information to the SEC about possible violations of the federal securities laws, please [click here](#) or click the "Questionnaire" link, located above. Please note that we may use the information you provide with others. Read the [PRIVACY ACT NOTICE](#) to learn more about how we may use the information you send to us.

#### **Information about the Whistleblower Award Program**

The Dodd-Frank Wall Street Reform and Consumer Protection Act, signed into law by President Obama on July 21, 2010, authorizes the SEC to pay an award to eligible whistleblowers. You may be eligible for a monetary award if the information you submit leads to an SEC action that results in monetary sanctions exceeding \$1,000,000. To learn more about the program, including the eligibility requirements in the statute and final rules approved by the Commission to implement this program, please [click here](#).

You are encouraged to submit your information in writing online by filling out the online questionnaire, available [here](#). If you submit electronically and would like to be considered for an award under our whistleblower program, you are required to answer yes to the appropriate question on the "About you" page of the questionnaire and to electronically submit the whistleblower declaration at the end of the questionnaire. Click [here](#) to see a screen shot of the required declaration. If you wish to remain anonymous but still be eligible for an award, you must be represented by, and provide contact information for, an attorney.

If you prefer to submit your information in hard copy, you may print out the newly-available [Form-TCR](#) and submit it:

- **by mail** to the Office of the Whistleblower, SEC, 100 F Street, NE, Mail Stop 5971, Washington, DC 20549; or
- **by fax** to the Office of the Whistleblower (703) 813-9322.

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