Company Survey of Large SNAP Retailers

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| Public reporting burden for this collection of information is 0584-NEW is estimated to average 90 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Policy Support, 3101 Park Center Drive, Room 1014, Alexandria, VA 22302 |

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| This survey is being conducted for the Food and Nutrition Service, U.S. Department of Agriculture as part of a study of the large retail chains participating in the Supplemental Nutrition Assistance Program (SNAP, formerly food stamps). All responses will be kept private to the extent allowed by law; no names will be used in our reports, and only aggregated results will be used in reports.  The study is authorized by the Agricultural Act of 2014, 7 U.S. Code § 2026 - Research, demonstration, and evaluations, (l) Cooperation with Program Research and Evaluation, which says “States, State agencies, local agencies, institutions, facilities such as data consortiums, and contractors participating in programs authorized under this Act shall— ‘‘(1) cooperate with officials and contractors acting on behalf of the Secretary in the conduct of evaluations and studies under this Act; and 2) submit information at such time and in such manner as the Secretary may require.’’  Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to:  U.S. Department of Agriculture Food and Nutrition Service Office of Policy Support, Suite 1000  Alexandria, VA 22302 Attn: Dr. Eric Sean Williams  **We thank you for your cooperation and participation in this very important study.** |

**Introduction/Responses to Frequently Asked Questions (FAQ)**

**WHO the U.S. Department of Agriculture (USDA) hired to conduct this survey**

USDA’s Food and Nutrition Service (FNS) hired independent researchers at Economic Systems, Inc. of Falls Church, VA (<http://www.econsys.com/>) working with the Florida Survey Research Center (<http://flsurveyresearch.centers.ufl.edu/>) at the University of Florida, to conduct a study of the Supplemental Nutrition Assistance Program (SNAP, formerly known as food stamps). They are FNS-authorized representatives.

**WHY USDA/FNS asks large SNAP retailers to respond to this survey**

USDA research found that rates of SNAP fraud for small stores are up to 10 times higher than the rate for large retailers. FNS’s most recent published research found that small stores account for only 15 percent of SNAP redemptions, but are responsible for 85 percent of SNAP fraud.

Given these findings, USDA wants to learn about the loss prevention and other practices used by large chains that may contribute to the low rates of SNAP fraud among large retailers.

**WHAT this survey covers**

This survey asks about organization, loss prevention, staff training, company policies, point of sale (POS) and other systems, analytics, training, surveillance and investigation.

**HOW FNS will use the results**

FNS plans to use the results to compare their own efforts in preventing SNAP fraud to those of large retail chains, which as a group have demonstrated an ability to prevent SNAP fraud.

**Privacy**

Answers to survey questions will be secured and not shared with anyone outside the research team, except as otherwise required by law. No names will be used in the reports, and only aggregated results will be included in our reports. Respondents are notified that answers given will be kept private and used for research and statistical purposes only.

**Voluntary**

This survey is voluntary and nonresponse will not affect your company’s SNAP authorization. You do not have to answer any questions that you do not want to answer.

**Paperwork Reduction Act Notice**

The valid OMB control number for this information collection is 0584-XXXX. The time required to complete this information collection is estimated to average 90 minutes per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection.

**Questions and Comments**

If you have any questions about this research or how to complete this survey, please call 800-XXX-XXXX. If you have any questions for USDA’s Food and Nutrition Service about this project, you may contact the USDA project officer, Dr. Eric Sean Williams, at 703-305-2640 or [Eric.Williams@fns.usda.gov](mailto:Eric.Williams@fns.usda.gov).

**Thank you in advance for your time and participation.**

**SURVEY INSTRUCTIONS**

Before you begin . . .

* If the company has a **single chain of stores**, please proceed
* If the company has **multiple chains**, the survey **pertains only to the chain referenced** in the letter you received from USDA/FNS announcing this survey.
* If the company **owns and franchises stores**, the survey pertains to company-owned stores and services provided by the company to franchisees.
* If the stores are a part of a **cooperative or affiliate**, the survey pertains to the services the company provides to member or affiliated stores.

This survey asks about loss prevention and SNAP violations. Misuse of SNAP in retail settings includes these types of violations:

* *SNAP benefits being accepted for non-food or hot food purchases.*
* *Cash being provided as change for SNAP benefits.*
* *SNAP benefits being exchanged for cash, usually at a lesser value.*
* *SNAP purchases being returned for cash or store credit instead of being credited to the SNAP EBT card.*
* *Stolen cards being used to make purchases at authorized SNAP retail stores.*
* *Purchased cards being used to make purchases at authorized SNAP retail stores.*

FNS recognizes that some violations can occur due to misunderstanding, lack of knowledge, and that stolen or purchased cards and non-receipted returns would not be detectable by SNAP retailers.

Please complete this electronic survey by either marking the appropriate answer(s) or typing an answer in the space provided. Loss Prevention (LP) and/or Asset Protection (AP) Departments are expected to answer this survey. If requested information is not available through LP/AP, each survey section suggests other corporate departments that may have pertinent information. If it is not feasible to obtain the requested information, enter “not sure” as the response.

Organizational Structure

First, we have a few questions about organization of the company and the loss prevention department. *[[1]](#footnote-1)* [Most questions can be answered by the head of the Loss Prevention (LP) and/or Asset Protection (AP) department; some may involve store operations.]

1. Please describe how your company is organized/structured? (Please select the best response)

Parent company owns and operates all stores under one banner

Parent company owns several chains which have different names, but operate under the parent company

Parent company owns several chains which operate separately

Parent company grants franchises which operate under parent company

Parent company grants franchises which are independently owned and operated

Parent company both owns stores and franchises stores

Company has affiliation or cooperative relationship with stores and provides services to stores

Other (Please describe): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Not sure

Prefer not to answer

1. Does the company have LP/AP personnel outside of corporate headquarters organized into units, such as region, district, zone, markets and/or groups?

Yes

No

Neither

Not sure

Prefer not to answer

1. Please estimate how much of the company’s LP resources are allocated to:

Asset protection \_\_\_\_\_\_\_\_\_\_\_\_\_\_% Not sure Prefer not to answer

Training \_\_\_\_\_\_\_\_\_\_\_\_\_\_% Not sure Prefer not to answer

Video Surveillance \_\_\_\_\_\_\_\_\_\_\_\_\_\_% Not sure Prefer not to answer

In-store investigation \_\_\_\_\_\_\_\_\_\_\_\_\_\_% Not sure Prefer not to answer

Analytics \_\_\_\_\_\_\_\_\_\_\_\_\_\_% Not sure Prefer not to answer

Other (Please describe): \_\_\_\_\_\_\_\_\_\_\_\_\_\_% Not sure Prefer not to answer

Total should equal 100%

1. Do all the company’s retail stores have investigators on site?

All stores have investigators on site

Some but not all have investigators on site

No investigators on site

Not sure

Prefer not to answer

1. Using a scale from 1 to 3, where 1 is “managers have complete autonomy/discretion,” and 3 is “corporate policy must be followed without exception,” please tell us how much control individual store managers have in the following types of store operations:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | 1 - Managers have *complete* autonomy/ discretion | 2- Managers have  *some* autonomy/discretion | 3 - *Corporate policy* *must be followed* without exception | Not sure | Prefer not to answer |
| Hiring store employees |  |  |  |  |  |
| Training store employees |  |  |  |  |  |
| Processing merchandise returns |  |  |  |  |  |
| Placement of video surveillance in store |  |  |  |  |  |
| Fraud/theft investigations involving employees |  |  |  |  |  |
| Fraud/theft investigations involving customers |  |  |  |  |  |

Corporate Involvement in SNAP

Next, we have a few questions about company-wide involvement in the SNAP program. [Human Resources and/or Store Operations departments may be able to provide information to answer some of these questions.]

1. Please tell us which departments/offices of the company have any involvement with SNAP (i.e., training, store SNAP applications, LP/AP, point of sale (POS) systems, transaction processing, etc.) in any way? (Please mark ALL that apply.)

Communications

Compliance

Computer Programming/IT

Customer Service

Human Resources

Finance/Accounting/Treasury

Licensing/government relations

Loss Prevention/Asset Protection

Merchandising

Store Operations

Other (Please describe): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Prefer not to answer

1. Is there a single department that coordinates all SNAP activities in the company?

Yes

No

Not sure

Prefer not to answer

**IF YES:**

7A. What department has the coordinating role?

Department: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Prefer not to answer

*The next set of questions covers how staff become informed about SNAP requirements.*

1. How does the company find out about changes in SNAP rules and regulations?

*Enter text.*

Not sure

Prefer not to answer

1. For which of the following employee groups does the company provide training related to SNAP rules and regulations (including violations)? (Please mark ALL that apply.)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Company Provides SNAP training | Company does NOT provide SNAP training | Not sure | Prefer not to answer |
| Sales associates/ cashiers |  |  |  |  |
| Customer service/staff who process returns |  |  |  |  |
| Loss prevention/Asset protection staff |  |  |  |  |
| Point of Sale (POS) System staff |  |  |  |  |
| Computer staff |  |  |  |  |
| Finance/Accounting staff |  |  |  |  |
| Other staff, specify:  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |  |  |  |  |
| Other staff, specify:  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |  |  |  |  |
| Other staff, specify:  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |  |  |  |  |

**SKIP to Q 14 if no SNAP training is provided by the company**

1. Please indicate how training on SNAP rules and regulations is included in the training program for new sales associates/cashiers:

SNAP training is standalone

SNAP training is one segment of a standalone LP training program

SNAP training is one segment of a comprehensive job training program

Other (Please describe): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Not sure

Prefer not to answer

1. What methods are used to deliver training to new sales associates/cashiers on SNAP rules and regulations (including violations)? (Please mark ALL that apply.)

Computer Based Training (CBT)

Printed materials

Video materials

Classroom-style instruction

On-the-job training

Peer mentoring

Other (Please describe): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Not sure

Prefer not to answer

1. Does the company’s training program regarding SNAP rules and regulation (including violations) make use of training materials provided by USDA Food and Nutrition Service (FNS)?

Yes

No

Not sure

Prefer not to answer

**If NO:**

12A. Please explain to help us understand why the company does not use the USDA/FNS training materials.

*Enter text.*

Prefer not to answer

**IF YES:**

12B. Using a scale from 1 to 5, where 1 is “not useful at all” and 5 is “very useful,” how useful the training materials provided by USDA FNS to the company’s SNAP training?

1 – Not useful at all

2 – Rarely useful

3 – Sometimes useful

4 – Often useful

5 – Very useful

Not sure

Prefer not to answer

*The next set of questions is about effectiveness of training. [These questions may be answered by LP/AP or store operations.]*

1. Is training on SNAP rules and regulations (including SNAP violations) a one-time program, or do staff periodically receive updated training on SNAP?

One-time training

Periodic updates

Updates based on store manager’s discretion

Other (Please describe): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Not sure

Prefer not to answer

13A. Using a scale from 1 to 5, where 1 is “not at all effective” and 5 is “very effective,” how effective are the company’s employee training programs in . . .?

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | 1 –  Not at all effective | 2 –  Slightly effective | 3 –  Somewhat effective | 4 - Effective | 5 –  Very effective | Not sure | Prefer not to answer |
| Limiting loss to the company? |  |  |  |  |  |  |  |
| Limiting SNAP violations? |  |  |  |  |  |  |  |

# Store Features and Shared Policies

Next, we’d like to know about some common features and shared policies that apply to the company’s stores. [These questions may require input from store operations and/or computer systems staff.]

1. What percent of the company’s stores have self-checkout lanes?

None

Percent\_\_\_\_\_\_\_\_\_\_\_\_\_%

Not sure

Prefer not to answer

**IF not greater than 0 percent, skip to Q 15.**

**IF greater than 0 percent:**

14A. Which of the following options describe what is typical for customers using a self-checkout to purchase non-barcoded produce items that require counting or weighing?

 Not applicable—all produce items sold have barcodes

 Customers cannot enter produce without barcodes; a self-checkout attendant must enter produce items without barcodes

The customer can enter non-barcoded produce items (for example, by pressing a picture of a bananas on a screen to trigger the system to weigh the bananas, or by entering the number of oranges)

Not sure

Prefer not to answer

14B. Does the company plan to decrease, maintain, or increase this level of self-checkouts in the future?

Decrease

Maintain

Increase the current level

Not sure

Prefer not to answer

1. Please estimate the percent of the company’s stores that use cash management systems. [A cash management system is a computerized system that, at a minimum, is used to account for revenue from sales and to identify the form(s) of tender for each sale.]

None

Percent\_\_\_\_\_\_\_\_\_\_\_\_\_%

Not sure

Prefer not to answer

**IF not greater than 0 percent, skip to Q 16.**

**IF greater than 0 percent:**

15A. Using a scale from 1 to 5, where 1 is “not at all effective” and 5 is “very effective,” how effective is the company’s cash management system in:

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | 1 –  Not at all effective | 2 –  Slightly effective | 3 - Somewhat effective | 4 - Effective | 5 –  Very effective | Not sure | Prefer not to answer |
| Limiting loss to the company? |  |  |  |  |  |  |  |
| Limiting SNAP purchases of non-permitted items in the company’s stores? |  |  |  |  |  |  |  |
| Limiting cash being returned for SNAP EBT transactions? |  |  |  |  |  |  |  |

1. Please estimate the percent of the company’s stores that use an inventory management system. *[An inventory management system is a computerized system that, at a minimum, allows the company to track inventory against sales and to use that information for purposes such as monitoring shrink, re-ordering stock, and/or measuring inventory as an asset.]*

None

Percent\_\_\_\_\_\_\_\_\_\_\_\_\_%

Not sure

Prefer not to answer

**IF not greater than 0 percent, skip to Q 17.**

**IF greater than 0 percent:**

16A. Using a scale from 1 to 5, where 1 is “not at all effective” and 5 is “very effective,” how effective is the company’s inventory management system in:

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | 1 –  Not at all effective | 2 - Slightly effective | 3 - Somewhat effective | 4 - Effective | 5 –  Very effective | Not sure | Prefer not to answer |
| Limiting loss to the company? |  |  |  |  |  |  |  |
| Limiting purchases of non-permitted items in SNAP transactions? |  |  |  |  |  |  |  |

Point of Sale (POS) System

Next, we are going to focus on the company’s POS system. [Definition: *A point of sale (POS))* system *is, at a minimum, a computerized register that uses a barcode scanner to identify products being sold and to calculate the amount of the sale to the customer. POS systems can be programmed to identify SNAP-permitted items.]*

1. Please estimate the percent of the company’s stores that use POS systems.

None

Percent\_\_\_\_\_\_\_\_\_\_\_\_\_%

Not sure

Prefer not to answer

**If not greater than zero percent, skip to Q 18.**

**IF greater than zero percent:**

17A. Are the company’s POS systems integrated with inventory such that sales can be tracked against inventory?

Yes

No

Some are integrated, some are not

Not sure

Prefer not to answer

17B. How much of the SNAP-permitted food items have barcodes that identify them as SNAP-permitted by the company’s POS (rather than requiring the cashier to determine whether a food is SNAP-permitted)?

All

Most

Some

Few

None

Not sure

Prefer not to answer

**If Less Than “All”**:

17B.1. In which of the following categories are food items sold without barcodes that identify them as SNAP-permitted in the POS? (Please mark ALL that apply.)

Fresh meat

Fresh produce

Packaged/canned food

Frozen food

SNAP-allowed deli products like meats and cheeses

Dairy

Bakery

Bulk foods like grains, nuts, dried fruit

Other, Specify \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Not sure

Prefer not to answer

17C. Which organizational levels are involved in programming SNAP-permitted foods into the Point of Sale (POS) system? (Please mark ALL that apply.)

Corporate level

Regional level

District level

Store level

Other, please describe \_\_\_\_\_\_\_\_\_\_\_\_\_

Not sure

Prefer not to answer

17D. Which of the following types of information are collected by POS and/or cash management systems? (Please mark ALL that apply.)

Basic transaction data:

Cashier identifier

Customer identifier or loyalty program number

Register identifier

Time of transaction

Date of transaction

Transaction type and value:

Type of sale (e.g., regular or return)

Type of return credit (cash, credited to original payment tender, store credit, exchange of the same/like item)

Transaction value

Discounts, coupons applied

Transaction item details:

Number of items purchased

Transaction elapsed time

Transaction details on product pricing

Transaction details on SNAP-allowed

Transaction details on product type(s) (grocery, deli, etc.)

Tender details:

Transaction tender type (e.g., cash, check, credit, debit, EBT)

Number of types of tender used (e.g., multiple credit cards, cash plus debit card, etc.)

Specific tender identification (e.g., card number, check number)

Register attendant actions:

Indicator of a voided item

Indicator of a voided transaction

Indicator of a keyed versus scanned item

Indicator of item price decrease over-ride

Indicator of item price increase over-ride

Indicator of generic stock keeping unit (SKU) or other internal code (for example, “grocery” versus specific product)

None of these

Not sure

Prefer not to answer

17E. How long beyond the transaction date does the company typically retain data collected by the POS system?

1 day or less

2 to 7 days

8 to 14 days

15 to 30 days

1 to 3 months

4 to 6 months

7 to 9 months

10 to 12 months

More than 1 year

Varies by type of information between \_\_*Enter number of: days, months or years*\_\_\_\_\_ and \_\_\_\_Enter number of: days, months or years\_\_\_\_

Not sure

Prefer not to answer

*[These questions may be answered by LP]*

17F. Using a scale from 1 to 5, where 1 is “not at all effective” and 5 is “very effective,” how effective is the company’s POS system in:

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | 1 –  Not at all effective | 2 - Slightly effective | 3 - Somewhat effective | 4 –  Effective | 5 –  Very effective | Not sure | Prefer not to answer |
| Limiting loss to the company? |  |  |  |  |  |  |  |
| Limiting purchases of non-permitted items with SNAP EBT cards? |  |  |  |  |  |  |  |
| Limiting cash returned as change in a SNAP EBT transaction? |  |  |  |  |  |  |  |

VIDEO SURVEILLANCE AT THE CHECKOUT

Next, we are going to focus on video surveillance at the checkout.

1. Please estimate what percentage of the company’s stores have video surveillance at the checkout: None

Percent\_\_\_\_\_\_\_\_\_\_\_\_\_%

Not sure

Prefer not to answer

**IF greater than zero percent:**

18A. Using a scale from 1 to 5, where 1 is “not at all effective” and 5 is “very effective,” how effective is checkout video surveillance as a tool in:

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | 1 - Not at all effective | 2 - Slightly effective | 3 - Somewhat effective | 4 - Effective | 5 - Very effective | Not sure | Prefer not to answer |
| Limiting loss to the company? |  |  |  |  |  |  |  |
| Limiting misuse of SNAP in the company’s stores? |  |  |  |  |  |  |  |

FOOD RETURNS

Next, we have some questions on return policies. [Respondents may want to consult with the corporate unit in charge of store policies and operations for some of these questions.]

1. Does the company have a policy for non-receipted returns of food items?

Yes

No

Varies by store

Not sure

Prefer not to answer

**IF NO Skip to Q20:**

**IF YES or Varies by Store:**

19A. For a non-receipted return of a food item, is the company’s general policy for employees to first ask what form of payment was used in the purchase?

Yes

No

Not applicable—non-receipted returns are not accepted

Prefer not to answer

19B. If the type of payment cannot be confirmed, what is possible?

Return is refused

Customer is given a like item in exchange

Customer is given store credit for the item

Customer is given cash for the item

Customer is given their preference (like item, store credit, or cash)

The manager may use his/her discretion

Other (Please describe): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Not sure

Prefer not to answer

19C. Does the company’s food return policy generally take into account the price of an item in determining if the return will be accepted on a non-receipted return?

Yes

No

Varies by store

Not sure

Prefer not to answer

**IF YES:**

19D. At what dollar amount is manager approval required to accept the returned food?

Dollar Amount: $\_\_\_\_\_\_\_\_\_\_\_

Varies by store

Prefer not to answer

1. Does the company have a policy on customer use of multiple payment cards that applies to food purchases?

Yes

No

Varies by store

Prefer not to answer

**IF YES:**

20A. What is the company’s general policy on customer use of multiple payment cards that applies to food purchases?

*Enter text.*

Prefer not to answer

1. Does the company have a general policy on customer use of multiple SNAP EBT cards for payment?

Yes

No

Prefer not to answer

**IF YES:**

21A. What is the company’s general policy on customer use of multiple SNAP EBT cards as payment?

*Enter text.*

Prefer not to answer

# Analytics

Now, we have some questions about the use of analytics by the company. [These questions may be answered by the analytics or LP/AP manager.]

1. Does the company conduct analyses of store-level POS system data and/or other data to identify possible fraud and theft?

Yes

No

Prefer not to answer

**IF NO SKIP TO Q 23**

**IF YES:**

22A. What types of transactions are subjected to analyses?

Both SNAP and non-SNAP transactions

Only non-SNAP transactions

Only SNAP transactions

Prefer not to answer

22B. Does the company employ in-house statisticians or analysts to conduct analysis on store-level data?

Yes

No

Prefer not to answer

22C. Which types of software does the company use to analyze data for loss prevention?(Please mark ALL that apply)

Internally developed software

Off-the-shelf purchased software

Custom software purchased from a vendor

Other (Please describe): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Not sure

Prefer not to answer

**If ‘Off-the-shelf purchased software’ or ‘Custom software purchased from a vendor’; otherwise skip to 23:**

22D: What is the name of the purchased analytical software and the company that provides it?

*Enter text.*

Prefer not to answer

22E. Does the company contract with vendors to provide analytical services?

Yes

No

Prefer not to answer

**If NO to Q22E, SKIP to Q23**

**IF YES:**

22F. What vendor or vendors does the company contract with to provide analytical services?

*Enter text.*

Prefer not to answer

22G. Does the company use the results from analytics to trigger further action or investigation for both SNAP and non-SNAP transactions?

Yes, both SNAP and non-SNAP transactions can trigger further action

Yes, but only non-SNAP transactions can trigger further action

Yes, but only SNAP transactions can trigger further action

No

Prefer not to answer

**IF NO, SKIP to 23.**

**IF YES:**

22H. Which analytic indicators could trigger further action or investigation? (Please mark ALL that apply.)

Register attendant actions:

Excessive overrides

Excessive generic codes or SKUs (e.g., “grocery” for a specific item)

Excessive keyed items (instead of scanned items)

Excessive item voids

Excessive transaction voids

Excessive register markdowns

Excessive transaction time

Low transaction volume

Excessive coupon/discount amounts

Other outlier transaction values

Tender

Excessive number of types of tender in a transaction

Excessive number of payment cards in a transaction

Sales

Round number transaction totals

Cash drawer discrepancies

Discrepancies between cash and other forms of tender

Cash drawer shortages

*Inventory*

Inventory too high relative to sales

Inventory too low relative to sales

Manager actions

Excessive manager register logons -

Excessive manager logons to particular registers

Excessive manual corrections in system data

Self-Checkouts

**Outlier purchases of items without barcodes (e.g., produce)**

**Other outlier transactions**

Returns

Customers with high non-receipted return volume or dollars

Customers who buy at one store, but return to other(s)

Other

Please list any other indicators that are important to the company in controlling fraud and theft, such as specialized geographic analyses, specialized analyses of short-term or part-time employee behaviors, etc. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Not sure

Prefer not to answer

We are interested in learning how issues identified through analytics are resolved.

22I. In considering the company’s analytical findings and exception reports over the last year, on a scale of 1 to 5 where 1 is “never” and 5 is “always,” please describe how often they were resolved in these ways.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | 1 - Never | 2 - Rarely | 3 - Sometimes | 4 - Often | 5 –  Always | Prefer not to answer |
| Issues were forwarded to a supervisor or store manager for review, retraining or disciplinary action |  |  |  |  |  |  |
| Issues were forwarded to an in-store investigator for resolution. |  |  |  |  |  |  |
| Corporate, regional or district LP staff resolved the issues by gathering information via email/phone call |  |  |  |  |  |  |
| Corporate, regional or district LP staff resolved the issues via in-person, on-site investigation. |  |  |  |  |  |  |
| Corporate, regional or district LP staff or store staff brought in law enforcement. |  |  |  |  |  |  |
| Issues were resolved in some other way (Please describe): |  |  |  |  |  |  |

1. Using a scale from 1 to 5, where 1 is “not at all effective” and 5 is “very effective,” how effective are analytics in:

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | 1 –  Not at all effective | 2 - Slightly effective | 3 - Somewhat effective | 4 - Effective | 5 –  Very effective | Not sure | Prefer not to answer |
| Limiting loss to the company? |  |  |  |  |  |  |  |
| Limiting misuse of SNAP in the company’s stores, regardless of whether the analytics specifically targeted misuse of SNAP? |  |  |  |  |  |  |  |

1. Has the company performed any analytics specifically related to SNAP purchases in the company’s stores?

Yes

No

Not sure

Prefer not to answer

**IF YES:**

24A. Which of the following topics specifically related to SNAP purchases has the company analyzed? (Please mark ALL that apply.)

Exception analysis on value of SNAP transactions

Exception analysis on round number SNAP purchases

Exception analysis on the same item being purchased excessively in a SNAP purchases

Exception analysis on SNAP purchases at or near EBT card limit

Exception analysis on store volume of SNAP transactions

Exception analysis on generic grocery or other codes in SNAP transactions

Exception analysis on manager overrides in SNAP transactions

Exception analysis on employee voids in SNAP transactions

Unauthorized product purchases

Length of transaction time (difference by EBT tender vs. another tender)

Returns of SNAP purchases

Types of products purchased in SNAP transactions

Use of multiple SNAP EBT cards in a SNAP transaction

SNAP purchases in self-checkout lanes

Other (Please describe): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Not sure

Prefer not to answer

Fraud and Fraud Investigations

Next, we have a few questions about fraud.

1. Using a scale from 1 to 5, where 1 is "never a challenge" and 5 is "always a challenge," how often do these types of SNAP fraud or unusual activities pose a challenge to the company?

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | 1 – Never a challenge | 2 –  Rarely a challenge | 3 - Occasionally a challenge | 4 –  Often a challenge | 5 –  Always a challenge | Cannot be determined | Prefer not to answer |
| SNAP participants purchasing non-SNAP products with SNAP EBT cards |  |  |  |  |  |  |  |
| SNAP participants getting cash as change for SNAP EBT purchases |  |  |  |  |  |  |  |
| SNAP participants using multiple EBT cards to pay for a SNAP purchase |  |  |  |  |  |  |  |
| SNAP participants getting cash or non-SNAP items for SNAP EBT returns |  |  |  |  |  |  |  |
| Stolen or purchased SNAP EBT cards being used to make purchases in the company’s stores |  |  |  |  |  |  |  |

**IF “never” or “rarely” poses a challenge (responses 1 or 2):**

25A. For items above that you identified as never or rarely posing a challenge, is that because:

SNAP participants purchasing non-SNAP products with SNAP EBT cards

1 – This type of violation is rarely attempted

2 – This type of violation is not detectable

3 – Store practices/systems prevent this type of violation

4 – Some other reason, please describe: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Not sure

Prefer not to answer

SNAP participants getting cash as change for SNAP EBT purchases

1 – This type of violation is rarely attempted

2 – This type of violation is not detectable

3 – Store practices/systems prevent this type of violation

4 – Some other reason, please describe: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Not sure

Prefer not to answer

SNAP participants using multiple SNAP EBT cards to make a SNAP purchase.

1 – This type of activity is rarely attempted

2 – This type of activity is not detectable

3 – Store practices/systems do not monitor this type of activity

4 – Some other reason, please describe: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Not sure

Prefer not to answer

SNAP participants getting cash or non-SNAP items for SNAP EBT returns

1 – This type of violation is rarely attempted

2 – This type of violation is not detectable

3 – Store practices/systems prevent this type of violation

4 – Some other reason, please describe: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Not sure

Prefer not to answer

Stolen or purchased SNAP EBT cards being used to make purchases in the company’s stores

1 – This type of violation is rarely attempted

2 – This type of violation is not detectable

3 – Store practices/systems prevent this type of violation

4 – Some other reason, please describe: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Not sure

Prefer not to answer

**IF store practices/systems prevent this type of violation or unusual activity (response 3):**

25B. What works best in preventing this type of violation?

SNAP participants purchasing non-SNAP products with SNAP EBT cards

*Enter text.*

Not sure

Prefer not to answer

SNAP participants getting cash as change for SNAP EBT purchases

Not sure

*Enter text.*

Prefer not to answer

SNAP participants getting cash or non-SNAP items for SNAP EBT returns

*Enter text.*

Not sure

Prefer not to answer

Stolen or purchased SNAP EBT cards being used to make purchases in the company’s stores

*Enter text.*

Not sure

Prefer not to answer

*Enter Text.*

1. Please estimate how many times in the past fiscal year that corporate, regional or district LP/AP staff investigated suspected SNAP/EBT violations?

Number: \_\_\_\_\_\_\_\_\_\_

Not sure

Prefer not to answer

1. Have corporate or regional/district LP/AP staff ever worked with federal or State partners (law enforcement or non-law enforcement) to investigate SNAP fraud?

Yes

No

Not sure

Prefer not to answer

**IF YES:**

27A. In what ways has corporate or regional/district LP/AP staff worked with federal or State partners (law enforcement or non-law enforcement) to investigate SNAP fraud?

*Enter text.*

Not sure

Prefer not to answer

1. Have corporate or regional/district LP/AP staff worked with federal or State partners (law enforcement or non-law enforcement) on large-scale investigations of retail fraud, interstate theft, fraud involving government programs, or other major criminal activities?

Yes

No

Not sure

Prefer not to answer

**IF YES:**

28A. In what ways have corporate or regional/district LP/AP staff worked with federal or State partners (law enforcement or non-law enforcement) in large-scale investigations?

*Enter text.*

Not sure

Prefer not to answer

Future Directions

1. Which, if any, of these risks pose a concern to the company in the near future? (Please mark ALL that apply.)

Credit card fraud

Cyber crime

Debit card fraud

Employee theft

Organized crime

Return fraud

Self-checkout theft

Shoplifting

SNAP fraud

Flash mob thefts

Armed robbery

Active shooter

Other (Please describe): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

No risks pose concerns in the near future

Not sure

Prefer not to answer

1. Does the company have plans to increase resources in any of these LP/AP areas? (Please mark ALL that apply.)

Loss prevention training

Video surveillance

In-store investigation

Analytics/exception reporting

Liaison with law enforcement

Product protection

Other (Please describe): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

No increases are planned

Not sure

Prefer not to answer

1. Do you have any suggestions for FNS about reducing SNAP fraud in retail settings?

Yes

No

Prefer not to answer

-

**IF YES:**

31A. Please feel free to add any other thoughts or suggestions:

*Enter text.*

FNS investigates emerging threats to the SNAP program, as well as fraud that could occur on a large scale. An example of such an investigation might be an investigation of perpetrators using stolen and/or purchased EBT cards to make SNAP purchases.

Please indicate your company’s willingness to work with FNS investigators if FNS has reason to believe your company could aid in an important SNAP investigation.

Company would work with FNS investigators.

Company would prefer not to get involved in an FNS SNAP investigations.

*Thank you for your time and participation.*

1. Blue text in italics under headings is transitional text for CATI interviewers to read for CATI administration. Blue text in brackets will appear in both the CATI and Internet versions, providing instructions and definitions. [↑](#footnote-ref-1)